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**Title:** Different effects of adaptive servoventilation therapy in awake and asleep heart failure patients with Cheyne-Stokes respiration and healthy volunteers

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**Body:** Cheyne-Stokes respiration (CSR) in heart failure (HF) is characterized by chronic hyperventilation with low-normal to depressed pCO<sub>2</sub>. Adaptive servoventilation therapy (ASV) was introduced to stabilize respiration in these patients. This study investigates acute effects of ASV on pCO2 and oxygen saturation  $(SaO_2)$  in HF patients with CSR and healthy volunteers (HV), respectively. HF patients (EF  $\leq$  50%, NYHA  $\geq$ II) with CSR (AHI ≥ 15/h) and HV were ventilated using a new ASV device (PaceWace™, ResMed) for 1h. PCO<sub>2</sub> and SaO<sub>2</sub> were assessed transcutaneously, while vigilance was analyzed using EEG recordings. Results were obtained during ASV ventilation while awake and asleep and compared to those being obtained 30 minutes before and after ventilation. A total of 20 HF patients (19 male; 79±12 years; LV-EF 36±8%; AHI 36±14/h; EPAP 8.9±0.5 cmH<sub>2</sub>O; IPAP 17.1±0.5 cmH<sub>2</sub>O) and 15 HV (13 male, 25±4 years, EPAP 8.4±1.8 cmH<sub>2</sub>O, IPAP 14.5±2.4 cmH<sub>2</sub>O) were included. As compared to baseline values, ASV was accompanied with a decrease in pCO<sub>2</sub> (34.4±3.2 vs. 33.7±3.8mmHg) and an increase in SaO<sub>2</sub> (93.8±2.6 vs. 94.9±2.6%) in HF patients as well as in HV (pCO<sub>2</sub>: 39.5±3.0 vs. 38.2±3.8mmHg, SaO<sub>2</sub>: 96.9±1.3 vs. 97.8±0.9%), as long as they were awake. While asleep, pCO<sub>2</sub> raised and SaO<sub>2</sub> fell in HF patients (pCO<sub>2</sub> 36.3±3.8mmHg, SaO<sub>2</sub> 93.8±2.6%) as well as in HV (pCO<sub>2</sub> 41.7±3.0mmHg, SaO<sub>2</sub> 97.1±1.2; p<0.05 for all comparisons). While CSR in HF patients is characterized by hyperventilation with low pCO2, ASV therapy might lead to further hyperventilation as long as patients are awake. While asleep, pCO2 increase to mid-normal values while SaO2 stay within normal range.