

# Outcomes in females hospitalised with community-acquired pneumonia are worse than in males

Forest W. Arnold\*, Timothy L. Wiemken\*,\*\*, Paula Peyrani\*, Mehdi Mirsaeidi\* and Julio A. Ramirez\* for the Community Acquired Pneumonia Organization (CAPO) Study Group<sup>1</sup>

ABSTRACT: There is little recent information on sex-specific outcomes of patients with community-acquired pneumonia (CAP). The objective of this study was to determine whether female sex is associated with better clinical outcomes in hospitalised patients with CAP.

A secondary analysis was conducted by the Community Acquired Pneumonia Organization regarding male and female patients with CAP from 80 hospitals in 17 countries from June 1, 2001 to August 2, 2011. Outcomes were time to clinical stability, length of stay and in-hospital and 28-day mortality. Propensity-adjusted, multivariate regression models were used to predict the probability of occurrence of each of the study outcomes.

There were 6718 patients in this study, of whom 40% were female. The adjusted hazard ratio (HR) for time to clinical stability was 0.91 (95% CI 0.85–0.97; p=0.005). The adjusted HR for length of stay was 0.94 (95% CI 0.88–1.01; p=0.089). The adjusted risk ratio for in-hospital mortality was 1.04 (95% CI 0.86–1.24; p=0.717), and for 28-day mortality was 1.15 (95% CI 1.02–1.30; p=0.018).

This study demonstrates that the epidemiology of CAP may be changing, and that females have worse outcomes for CAP than males. They are more likely to take longer to reach clinical stability, have longer hospital stays and are 15% more likely to have died after 28 days. Current pneumonia scoring systems may need to be revised regarding female mortality risk.

KEYWORDS: Community-acquired pneumonia, female sex, length of stay, mortality, outcomes, quality measures

wo decades ago, sex-dependent differences in outcome and quality of care were acknowledged by the American Medical Association's Council on Ethical and Judicial Affairs as requiring prudent investigation [1]. This was followed by an emphasis in research needs about the respiratory health of females, but recent data are lacking.

Community-acquired pneumonia (CAP) is one of the most frequent infectious diseases and ranks as the fourth leading cause of death of females in the USA [2]. Studies with males and females combined have shown that mortality in CAP patients is associated with several independent risk factors, such as intensive care unit admission, advanced age, pleural effusion, increased time to first antimicrobial dose and the presence of comorbidities (*e.g.* anaemia, chronic renal failure and congestive heart failure), as well as sex [3, 4]. The first enquiry into sex and pneumonia started with hospital-acquired pneumonia (HAP). One study found that male patients had a greater incidence of HAP, and an increased length of stay (LOS) in the intensive care unit after trauma, but female patients who developed HAP demonstrated a higher mortality rate [5]. In another study, females were three times more likely to die than males with ventilator-associated pneumonia [6], but not in other studies [7, 8].

Two large studies using 1997 Medicare and Medicaid data described information regarding CAP outcomes and sex. It was shown in >140 000 elderly, hospitalised, CAP patients that males had a greater mortality than females (11.6% *versus* 9.8%, p<0.001) [9]. In another study, elderly males died more frequently than females in the subsequent year after hospitalisation for CAP, with the highest risk of death in the

**AFFILIATIONS** 

\*Division of Infectious Diseases, Dept of Medicine, School of Medicine, University of Louisville, Louisville, KY, and #School of Public Health and Information Sciences, Dept of Health Promotion and Behavior Sciences, University of Louisville, Louisville, KY, USA.

¶For details for the CAPO Study Group, please see the Acknowledgements section.

CORRESPONDENCE
F.W. Arnold
Division of Infectious Diseases
University of Louisville
University of Louisville Hospital
501 E. Broadway
Suite 120
Louisville
KY 40292

E-mail: F.Arnold@louisville.edu

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USA

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first month after discharge (44.8% *versus* 36.9%, adjusted Kaplan–Meier  $p \le 0.001$ ) [10].

Enquiries into sex outcomes and CAP started after the first HAP studies addressed sex differences in outcome. The cost of treating CAP patients is significant, \$10 billion per year in the USA, with approximately 92% of this spent on hospital care [11]. Therefore, the decision to hospitalise patients for pneumonia is an important health economy issue. In an effort to improve decisions about hospitalisation, the pneumonia severity index (PSI) was introduced in 1997 as an accurate prognostic model of CAP to assess patients' risks [12]. The PSI was designed based on 21 study cohorts providing data (17 641 patients) showing that males die more than females, thus 10 points are subtracted from the PSI of a female patient [13]. The difference in points attributed to each sex using the PSI was accurate for the time period in which it was published; however, epidemiology has the potential to change over time. A new study evaluating the relationship between sex and outcome of patients with CAP is warranted.

We evaluated a large, multicentre, international cohort study of subjects with CAP to reassess the relationship between sex and poor outcomes in hospitalised patients with CAP.

## **MATERIALS AND METHODS**

## Study design and study patients

This was an international, retrospective study of adult patients hospitalised with CAP. Data was collected from 80 hospitals in 17 countries, between June 1, 2001 and August 2, 2011. In each participating centre, medical records of hospitalised patients with the diagnosis of CAP were reviewed. Charts were selected among all patients diagnosed with CAP at each institution. Antimicrobial regimens were not dictated by randomisation, nor were they recorded prospectively. Investigators completed a case report form that was transferred via a secure website to the Community Acquired Pneumonia Organization (CAPO) study headquarters at the University of Louisville in Louisville, KY, USA. A sample of the data collection form is available at: www.caposite.com. Validation of data quality was performed at the study centre before each case was entered into the CAPO database. The study was reviewed by the institutional review board and waived because this was a retrospective, observational study.

Records of all the patients enrolled in the study were reviewed for several factors. Demographic and comorbidity information included age, sex, world region, smoking status, nursing home residency status and comorbidities, including chronic obstructive pulmonary disease (COPD), congestive heart failure, coronary artery disease, hypertension, liver, renal or cerebrovascular diseases, diabetes mellitus, HIV infection and malignancy. Physical examination findings noted on admission included altered mental state and vital signs. Radiological findings reviewed were the number of involved lobes and the presence of cavitation or pleural effusion. Laboratory values from the day of admission included platelet count, leukocyte count, arterial blood gas analysis (pH, arterial oxygen tension (PaO<sub>2</sub>) and PaO<sub>2</sub>/inspiratory oxygen fraction (FIO<sub>2</sub>) ratio), serum sodium, serum creatinine, albumin, blood urea nitrogen (BUN) and hematocrit. The PSI [12] and CRB-65 (confusion, respiratory frequency ≥30 breaths·min<sup>-1</sup>, systolic blood pressure <90 mmHg or diastolic blood pressure  $\leq$ 60 mmHg and age  $\geq$ 65 years) [14] were calculated, and microbiological information, when present, was also recorded.

## Study variable definitions

CAP was defined as the presence of a new pulmonary infiltrate on chest radiograph at the time of hospitalisation associated with at least one of the following: 1) new or increased cough; 2) an abnormal temperature (<35.6°C or >37.8°C); 3) an abnormal serum leukocyte count (leukocytosis, left shift or leukopenia defined by local laboratory values).

Hypotension was defined as a systolic blood pressure <90 mmHg or diastolic blood pressure <60 mmHg. Alteration of gas exchange was defined as  $P_{aO_2} <$ 60 mmHg,  $P_{aO_2} / F_{IO_2}$  ratio <300, or oxygen saturation <90%. Five processes of care were measured. Each subject was evaluated for blood cultures within 24 h of admission, antimicrobial administration within 8 h of admission, oxygenation status assessment and prior influenza and pneumococcal vaccine administration. CAPO regions are designated as USA/Canada, Europe, South America and Asia/Africa.

Time to clinical stability was calculated as the number of days from the date of admission to the date that the patient met clinical stability criteria. Time to clinical stability criteria were defined, as in the American Thoracic Society guidelines for CAP, as all of the following: lack of fever for  $\geq 8$  h, improving leukocytosis (decreased ≥10% from the previous day), tolerating oral intake and improved clinical signs (e.g. improved cough and shortness of breath) [15]. Criteria for clinical stability were evaluated daily during the first 7 days of hospitalisation. Patients who reached clinical stability within 7 days of admission were defined as being clinically improved. Length of stay (LOS) was calculated as the number of days from the date of admission (designated as day 0) to the date of discharge. Mortality was defined as death by any cause during hospitalisation (in-hospital mortality) and within 28 days (28day mortality). Time to clinical stability, LOS, in-hospital mortality and 28-day mortality were selected as outcomes.

## Statistical analyses

Baseline patient characteristics and clinical outcomes of females and males were compared using Chi-squared or Fisher's exact tests for categorical variables and t-tests or Mann–Whitney U-tests for continuous variables. Sex differences in time to clinical stability and LOS were evaluated using Kaplan–Meier survival curves. Significant differences between the survival curves were analysed using the log-rank test.

To examine the impact of sex on in-hospital and 28-day mortality, propensity score adjustment methodologies were used [16]. Using a logistic regression model, a propensity score was created which included all the baseline patient characteristics shown in table 1 plus processes of care and need for intensive care. The propensity score was then used to adjust for differences in the study population using a Poisson regression model with robust error variance [17]. This methodology allowed us to obtain adjusted mortality (in-hospital mortality and 28-day mortality) risk ratios for those in each sex. The propensity score adjustment was also used to control for differences in the relationship between sex and time to clinical stability and LOS using Cox proportional hazards regression models.

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TABLE 1

Demographic, clinical, laboratory and radiographic characteristics of 6718 patients with community-acquired pneumonia

Variable	Female	Male	p-value
Subjects n	2665	4053	
Demographics			
Age years	64.8±21	$64.2 \pm 18.2$	0.2431
Nursing home resident	190 (7.1)	189 (4.7)	< 0.001
Comorbidities			
COPD	469 (17.6)	1246 (30.7)	< 0.001
Congestive heart failure	455 (17.1)	704 (17.4)	0.7529
Cerebrovascular accident	427 (16)	533 (13.2)	0.0010
Liver disease	106 (4)	266 (6.6)	< 0.001
Renal disease	211 (7.9)	475 (11.7)	< 0.001
Diabetes mellitus	402 (15.1)	785 (19.4)	< 0.001
Clinical signs and laboratory findi	ings		
Altered mental status	464 (17.5)	534 (13.2)	< 0.001
Heart rate ≥125 beats·min <sup>-1</sup>	364 (13.7)	483 (11.9)	0.0352
Respiratory rate	572 (22.5)	741 (19.1)	0.0011
>30 breaths·min <sup>-1</sup>			
Systolic blood pressure	188 (7.1)	206 (5.1)	0.0008
<90 mmHg			
Temperature <35°C or ≥40°C	229 (8.6)	270 (6.7)	0.0031
PaO <sub>2</sub> <60 mmHg	1263 (47.4)	1974 (48.7)	0.2922
Blood urea nitrogen ≥30 mg·dL <sup>-1</sup>	621 (24.8)	1193 (30.7)	< 0.001
Glucose ≥250 mg·dL <sup>-1</sup>	157 (6.1)	258 (6.5)	0.4883
Sodium <130 mmol·L <sup>-1</sup>	227 (8.5)	336 (8.3)	0.7418
Hematocrit <30%	293 (11)	350 (8.6)	0.0013
Radiological findings			
Cavitary lesion	5 (0.2)	19 (0.5)	0.0588
Multilobar infiltrates	371 (13.9)	502 (12.4)	0.0671
Processes of care			
Blood cultures obtained	1759 (66)	2928 (72)	< 0.001
Antibiotics within 8 h	1818 (78)	2813 (78)	0.5698
Oxygenation assessed#	2616 (98)	3998 (99)	0.1177
Prior pneumococcal vaccine	400 (15)	740 (18)	< 0.001
Prior influenza vaccine	381 (14)	663 (16)	0.0225

Data are presented as mean $\pm$  sp or n (%), unless otherwise stated. COPD: chronic obstructive pulmonary disease;  $P_{aO_2}$ : arterial oxygen tension. #: oxygenation data was not available for 769 subjects.

A p-value  $\leq 0.05$  was considered to be statistically significant in all analyses. SAS v9.3 (SAS Inc., Cary, NC, USA) and MedCalc v12.0 (MedCalc Software, Mariakerke, Belgium) were used for all analyses.

# **RESULTS**

A total of 6718 patients were included in the study: 2665 females and 4053 males. The mean  $\pm$  sD age was  $64.7\pm19.1$  years (range 18–104 years) and 40% were female. Among the 25 variables collected, seven of them were worse for females (nursing home residence, cerebrovascular accident, mental status changes, abnormal temperature, tachypnoea, abnormal systolic blood pressure and anaemia), while five of them were worse for males (COPD, liver disease, renal disease, diabetes mellitus and abnormal BUN) (table 1). Among the processes of care, blood cultures were obtained less often from

females and fewer females had received a pneumococcal vaccine prior to admission (table 1). The severity of disease was statistically similar using CRB-65, but was worse for males when using the PSI. The mean PSI for females was 85.8 + 46.6, and for males was  $94.7 \pm 45.7$  (p  $\leq 0.001$ ) (table 2). The mean PSI for females without 10 points removed from their score was 94.7 ± 48.8 (online supplementary fig. E1) A pathogen was identified in 2204 patients. Streptococcus pneumoniae was the most common pathogen in both sexes. The most common pathogens are shown in table 3. Incidentally, among the 92 patients with *Pseudomonas*, only 11 were from a nursing home. The pneumonia unadjusted outcome results stratified by sex are shown in table 4, in which each outcome was worse for females. The number of females varied in each region: USA/ Canada: 603 (30%); Europe: 1213 (42%); South America: 804 (46%); and Asia/Africa: 45 (61%).

The analysis for time to clinical stability showed that females were less likely than males to reach clinical stability on any given day up to day 7 after admission. There was a difference in the Kaplan–Meier curves for each sex (p<0.001) (fig. 1). The adjusted hazard ratio (HR) for time to clinical stability was 0.91 (95% CI 0.85–0.97; p=0.005). The unadjusted analysis for LOS showed that females were less likely than males to be discharged from the hospital on any given day up to day 14 after admission. A difference between the Kaplan–Meier curves was detected (p=0.006) (fig. 2), but the adjusted HR for LOS was 0.94 (95% CI 0.88–1.01; p=0.089).

The proportion of patients who died while in the hospital was higher for females (10.8%) than for males (9.3%; p=0.041), but the adjusted risk ratio (RR) for in-hospital mortality was not

TABLE 2

The severity of disease for males and females among 6718 patients with community-acquired pneumonia

Severity measure	Female	Male	p-value
Subjects n CRB-65#	2665	4053	
0	1831 (69)	2899 (72)	0.20
1	253 (10)	355 (9)	
2	301 (11)	415 (10)	
3	190 (7)	284 (7)	
4	69 (3)	80 (2)	
5	10 (0.4)	15 (0.4)	
PSI risk class <sup>¶</sup>			
1	308 (12)	433 (11)	< 0.001
II	588 (22)	471 (12)	
III	493 (19)	807 (20)	
IV	836 (32)	1509 (37)	
V	414 (16)	805 (20)	
ICU admission	280 (11)	489 (12)	0.0497

Data are presented as n (%), unless otherwise stated. CRB-65: confusion, respiratory frequency  $\geqslant\!30$  breaths·min<sup>-1</sup>, systolic blood pressure <90 mmHg or diastolic blood pressure  $\leqslant\!60$  mmHg and age  $\geqslant\!=\!65$  years; PSI: pneumonia severity index; ICU: intensive care unit.  $^\#$ : CRB-65 data missing for 16 subjects;  $^\P$ : PSI data missing for 54 subjects.



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TABLE 3

The most common pathogens identified in 2204 patients with community-acquired pneumonia who had a positive respiratory sample culture

	Female	Male	Total positive cultures
Subjects	836	1368	
Streptococcus pneumoniae	356 (43)	514 (38)	870
Pandemic 2009 (H1N1) influenza A#	159 (19)	165 (12)	295
Staphylococcus aureus	100 (10)	100 (12)	239 <sup>§</sup>
MRSA	27 (3)	84 (5)	111
MSSA	30 (4)	54 (4)	84
Atypical pathogens	` ,	. ,	153
Chlamydia spp. ¶	8 (<1)	10 (<1)	18
Legionella spp.	25 (3)	65 (5)	90
Mycoplasma pneumoniae	21 (3)	24 (3)	45
Haemophilus influenzae	38 (5)	96 (7)	128
Pseudomonas aeruginosa	25 (3)	67 (5)	92
Klebsiella pneumoniae	15 (4)	47 (3)	62
Escherichia coli	17 (2)	27 (2)	44
Moraxella catarrhalis	18 (2)	26 (2)	44
Other <sup>+</sup>	739 (88)	1179 (86)	1918

Data are presented as n or n (%). Proportion is relative to the number of patients, not the number of specimens. Of the 2204 patients, 205 patients were co-infected, therefore, the sum of proportions in each region is >100%. MRSA: methicillin-resistant *S. aureus* MSSA: methicillin-sensitive *S. aureus*. #: among 274 cases, 295 (93%) were confirmed; ¶: all cases were *C. pneumoniae* except for one, which was *C. psittaci*; +: other organisms isolated were: *Acinetobacter* spp., adenovirus, *Aspergillus* spp., *Candida* spp., coagulase-negative *Staphylococcus*, *Cryptococcus neoformans*, *Enterobacter* spp., *Enterococcus faecalis*, untyped or H3N1 influenza, metapneumovirus, mixed anaerobic bacteria, nontuberculous bacteria, *Paragonimus westermani*, parainfluenza virus 1 and 4, *Pneumocystis jiroveci*; *Proteus* spp., *Pseudomonas pseudomallei*, respiratory syncytial virus A and B, rhinovirus/enterovirus, *Salmonella* spp., *Serratia* spp. and *Streptococcus pyogenes*; §: sensitivity information was not available for 44 subjects.

statistically significant; adjusted RR 1.04 (95% CI 0.86–1.24; p=0.717). The proportions of patients who died within 28 days were 26.0% for females and 21.4% for males (p<0.001). The result for this outcome was statistically significant when adjusted. The adjusted RR for 28-day mortality was 1.15 (95% CI 1.02–1.30; p=0.018).

# **DISCUSSION**

This study shows that female sex was an independent predictor of clinical outcomes of CAP when examining hospitalised patients. Female patients were more likely to take longer to improve clinically when compared to male patients, and 28-day mortality was higher for female patients, with an absolute difference of  $\sim$ 5%. Adjusted outcomes were also worse for females, aside from LOS (which trended toward significance) and in-hospital mortality. The differences we

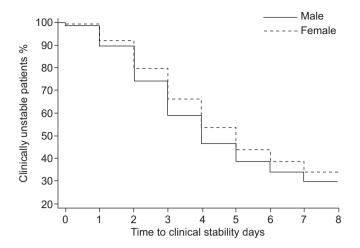
observed between male and female patients conflict with those previously observed in CAP [9, 10]. We may be witnessing an epidemiological shift that has occurred over recent decades, although little attention has been given to how males and females with CAP are managed; in other words there is a question of differences between the sexes in processes of care.

Including processes of care in the present study was important because one or more of them could have been a significant factor to explain the differences in outcomes between the two sexes. Obtaining blood cultures and having had a pneumococcal vaccine were performed in different proportions for each sex in the present study. Despite these two processes of care being quality measures of the National Hospital Quality Measures in USA, conflicting literature has been published in the last few years to support either one improving outcomes in

	Female	Male	p-value
Subjects n	2665	4053	
Length of hospital stay days	$8.9 \pm 4.2$	8.5 <u>+</u> 4.4	< 0.001
Time to clinical stability days	5.1 ± 2.5	$4.8 \pm 2.6$	< 0.001
In-hospital mortality	287 (10.8)	375 (9.3)	0.0413
28-day mortality	503 (26)	666 (21.4)	< 0.001

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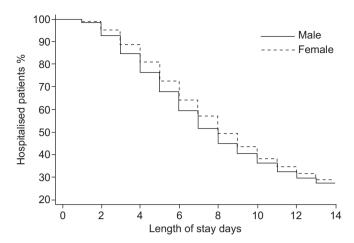


**FIGURE 1.** Kaplan-Meier curves for time to clinical stability among 2665 female and 4053 male patients with community-acquired pneumonia. p<0.001.

patients with CAP. A recent study of >11 000 patients did not find a more frequent hospitalisation or a higher mortality among subjects who had been vaccinated prior to study entry [18]. Likewise, in a recent study regarding the effect of quality measures in CAP patients prior to administration of antimicrobials, none were found to be significant, including obtaining blood cultures [19]. Professional society guidelines in the USA now no longer recommend obtaining blood cultures in every patient admitted with CAP. Nevertheless, the differences in outcomes found in the present study were performed after adjusted analysis, including adjustment for the processes of care.

An implication of the present study is that the concept that female patients have a lower risk than males with CAP may need to be revised. Because female patients had worse outcomes in the present study, they may need to be hospitalised with a lower PSI score, or the PSI score needs to be revised. A certain number of points may not need to be subtracted from female scores, or may even need to be added for females in contrast to the current procedure of having 10 points subtracted from female scores. Furthermore, other current pneumonia scoring systems, such as the CRB-65, may need to adjust for sex [14]. In clinical studies, females would be represented more accurately and distributed according to real severity of disease; hence there would be fewer  $\alpha$ - and  $\beta$ -errors.

Our study was limited by its retrospective nature, which means that there may have been selection bias. If all patients admitted for CAP were included, then that would have helped, but that was not the case. Furthermore, the patients that were enrolled were limited to the specialised type of patients seen by each principal investigator. The study was limited to the five processes of care that were reviewed. Other processes of care, such as whether to perform a special procedure (e.g. bronchoscopy or parapneumonic effusion drainage) or when to admit to an intensive care unit, were not available in the database and may have been significant. Although the proportions of comorbidities and severity of illness were controlled for statistically, it would have been ideal for these values to be equal between the sexes, thus obviating the need for adjustment. A prospective trial or a case–control study



**FIGURE 2.** Kaplan–Meier curves for length of stay among 2665 female and 4053 male patients with community-acquired pneumonia. p=0.006.

could be designed to equalise the severity of disease in each group. A prospective, randomised controlled study would also control for confounding factors.

Despite these limitations, this study demonstrates several unique strengths. It is an international study including patients from multiple continents. It highlights an area that needs to be studied further to ensure that females are being attributed an accurate severity of illness when cared for clinically. The exclusion criteria were minimal, allowing the representation of patients to be close to what might be encountered in many areas of the world, thus increasing its generalisability. The present study includes nearly 7000 patients whose diagnoses of CAP were confirmed radiographically, as opposed to identification with diagnosis or billing codes.

In conclusion, this study shows that female patients have a higher risk of poor CAP outcomes than males during hospitalisation worldwide. They have a longer time to clinical stability, and higher 28-day mortality than males. Further research is needed to verify our findings prospectively. If epidemiology has changed and females with CAP truly have worse outcomes compared to males, as the data in this study demonstrate, then future research to revise current pneumonia scoring systems regarding higher female mortality risk is needed.

# STATEMENT OF INTEREST

None declared.

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