



# Ultrasound-guided transbronchial biopsy of solitary pulmonary nodules less than 20 mm

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**ABSTRACT:** Transbronchial biopsy of solitary pulmonary nodules (SPNs) is usually performed under fluoroscopic guidance, but success varies widely. Endobronchial ultrasonography (EBUS) may increase the likelihood of success. The ability of EBUS-guided transbronchial biopsy to sample SPNs of <20 mm in diameter was assessed.

All patients seen between June 2004 and August 2007 in whom computed tomography identified a SPN of <20 mm underwent bronchoscopic general anaesthesia or moderate sedation for a radial EBUS-guided examination. If a typical ultrasonographic picture of solid tissue could be identified, specimens were taken through a catheter with forceps. If the node was not detected within 20 min, the procedure was terminated.

Of 100 nodules detected in 100 consecutive patients, 67 (mean diameter 15 mm) were visualised using EBUS and biopsy specimens taken. A diagnosis was established for 46 (46%) patients. If the lesion was visualised by EBUS, the diagnostic success was 69% (46 out of 67). The 33 patients whose nodules could not be sampled underwent surgical biopsy. Pneumothorax occurred in three patients.

For SPNs of <20 mm that can be detected using ultrasound, EBUS-guided transbronchial biopsy is safe and effective.

**KEYWORDS:** Bronchoscopy, endobronchial ultrasound, lung cancer, solitary pulmonary nodules, transbronchial lung biopsy

Flexible bronchoscopy has a variable and often poor success rate in sampling pulmonary lesions during a normal endobronchial examination. The sensitivity of bronchoscopy for detecting malignancy in a solitary pulmonary nodule (SPN) depends upon the size of the nodule, the proximity of the nodule to the bronchial tree, the success in sampling the nodule and the prevalence of cancer in the study population [1, 2]. For peripheral lesions of 2.5–4.0 cm in diameter, a bronchoscopic diagnostic yield of 62% was reported, but, in the same study, the diagnostic sensitivity in patients with suspicion of cancer decreased to <40% if the nodules were <2.5 cm in diameter [3]. Successful biopsy is mostly achieved with fluoroscopic guidance, but particularly nodules of <20 mm in diameter are difficult or impossible to visualise. Thus, for these nodules, SCHREIBER and McCORRY [4] found an overall diagnostic sensitivity of 33% (range 5–76%) in a meta-analysis, and in only two out of eight studies analysed was the diagnostic yield higher.

Endobronchial ultrasonography (EBUS) with a radial probe is useful in sampling peripheral pulmonary lesions [5–9]. Several articles have reported the success of EBUS-guided transbronchial biopsy in SPNs [5–12], but, in the most of these trials, SPNs of <20 mm in diameter were not examined.

An alternative to fluoroscopic guidance is electromagnetic navigation, which can guide the biopsy of peripheral lesions. The reported success in sampling lesions of <30 mm in diameter is ~65% [13–16]. However, electromagnetic navigation is not widely available and requires thin-section computed tomography (CT) for planning and expensive disposables.

CT-guided transthoracic needle aspiration may result in a diagnosis in 74–96% of patients, again depending on lesion size [17–20], but is associated with reported pneumothorax rates that range 15–44% [17–21].

The aim of the present study was to investigate whether EBUS-guided transbronchial biopsy could be used successfully in patients with small lesions.

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Received:

Nov 05 2008

Accepted after revision:

May 15 2009

First published online:

May 21 2009

## PATIENTS AND METHODS

The present research was conducted in accordance with the 1975 Helsinki Declaration. The study was approved by the institutional review board, and written informed consent was obtained from all patients before bronchoscopy.

Data were collected on consecutive adult patients, who were aged >40 yrs, had a positive smoking history and were referred for diagnostic bronchoscopy. Chest CT scans were reviewed in order to identify all patients with SPNs with a diameter of <20 mm and characteristics making a malignant lesion likely (e.g. spiculations and no calcifications present). Standard bronchoscopy was performed, with the patients receiving general anaesthesia or moderate sedation. Several videobronchoscopes (models BF T160 and BF T 180; Olympus, Tokyo, Japan) were used. Radial EBUS guidance was provided by a flexible probe and processor unit (UM-3R, UM-4R and US2020R; Olympus) as described below.

### Endobronchial ultrasonography

A 20-MHz mechanical radial-type probe (UM-S20-20R; Olympus) with an external diameter of 1.7 mm was inserted through a guide sheath (XB01-836-13; Olympus; external diameter 2.7 mm) in the working channel of the videoscope.

The probe was inserted into the bronchi leading to the area in which the lesion was identified on the radiological image. In contrast to the snowstorm-like whitish image of air-containing lung tissue, a solid lesion appears darker and more homogeneous on an ultrasonographic image. Nodules are usually well differentiated against the lung tissue by a bright border because of the difference in impedance (fig. 1). If a typical ultrasonographic image of a nodule could be seen, the probe was removed, but the guide sheath was left in place. Four to six biopsy specimens were obtained through the guide sheath using regular disposable biopsy forceps.

If a nodule was not detected with ultrasound imaging within 20 min, the procedure was stopped. Procedures that detected no nodules or that resulted in a nonspecific bronchoscopic diagnosis of fibrosis or inflammation were considered to be nondiagnostic. All patients with nondiagnostic results were referred for surgical biopsy procedures.

### Statistical methods

The diagnostic sensitivity, specificity and accuracy of the EBUS-guided transbronchial biopsy were calculated using the standard definitions. The  $\alpha$  was set at 0.05, and the SPSS statistical software package was used for all analyses (SPSS version 11.5; SPSS, Chicago, IL, USA).

## RESULTS

From July 2004 to June 2007, 122 consecutive patients with SPNs were screened for the trial. After complete inspection of the bronchial tree, including the subsegmental bronchi, fluoroscopy was performed using a monoplane C-arm (Suprer 50 CP; Philipps Company, Amsterdam, the Netherlands). If the lesion was visible fluoroscopically, the procedure was continued with transbronchial biopsy in the standard manner, and the patient was excluded from the trial. If the lesion could not be visualised by fluoroscopy, the patient was included in the trial and transbronchial biopsy was

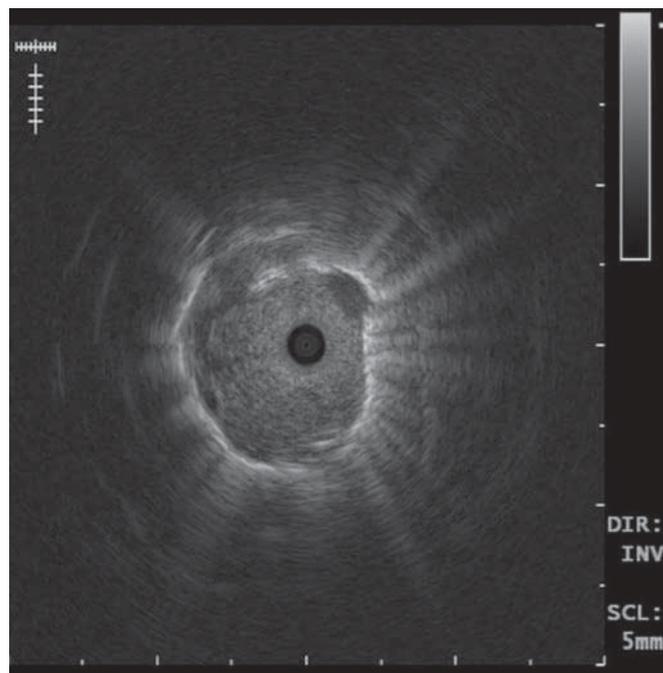
attempted under EBUS guidance. Reasons for non-enrolment in 22 cases were fluoroscopically visible lesions (13 patients), a diameter of >20 mm (five patients), contraindication for diagnostic bronchoscopy (two patients) or patient refusal of consent (two patients).

Of the 122 screened patients, 100 (44 females and 56 males; mean age 51.7 yrs (range 39–73 yrs)) presented with SPNs of <20 mm in diameter. The mean  $\pm$ SD diameter of the lesions was  $15 \pm 4.2$  mm (range 9–20 mm). Mean nodule size did not differ significantly between detected and nondetected nodules, or between detected nodules that did or did not return a diagnosis (table 1). Most nodules were located in the right and left upper lobes (table 1).

Nodules were localised within 20 min in 67 (67%) patients, and a diagnosis was established in 46 out of the 67 (69%). In the other 21 patients, the lesion was detected and biopsy samples taken, but the pathologist was unable to establish a definitive diagnosis (table 1). Nodules in the right lower and middle lobes were significantly more likely to provide a diagnosis than nodules in other locations (table 1).

Among the 46 (46%) patients in whom transbronchial biopsy was diagnostic, 41 had malignant disease (three metastatic renal cancer, three metastatic breast cancer, three metastatic colon cancer and 32 nonsmall cell lung cancer) and five had benign disease (four sarcoidosis and one tuberculoma).

Of the 21 nodules for which transbronchial biopsy was nondiagnostic, surgical biopsy established that 16 had malignant disease (three metastatic colon cancer, two metastatic renal cancer, one metastatic breast cancer and 10 nonsmall cell



**FIGURE 1.** Typical endobronchial ultrasonographic image of a single pulmonary nodule, in this case a nodule of 14 mm in diameter in the left upper lobe of a 53-yr-old male with a suspected diagnosis of lung cancer.

**TABLE 1** Results of ultrasound-guided transbronchial biopsy of 100 single pulmonary nodules of <20 mm in diameter from 100 patients

|                     | Overall  | Detected |         | Not detected | p-value <sup>#</sup> |
|---------------------|----------|----------|---------|--------------|----------------------|
|                     |          | Diag     | No diag |              |                      |
| <b>Patients n</b>   | 100      | 46       | 21      | 33           |                      |
| <b>Mean size mm</b> | 15.3     | 15.7     | 16.0    | 14.5         | 0.28                 |
| <b>Lobe n (%)</b>   |          |          |         |              |                      |
| Right upper         | 30 (100) | 13 (43)  | 7 (23)  | 10 (33)      | 0.13                 |
| Right middle        | 6 (100)  | 5 (83)   | 1 (17)  | 0            | 0.02                 |
| Right lower         | 17 (100) | 10 (59)  | 2 (12)  | 5 (29)       | 0.047                |
| Left upper          | 35 (100) | 12 (34)  | 7 (20)  | 16 (46)      | 0.43                 |
| Left lower          | 12 (100) | 6 (50)   | 4 (33)  | 2 (17)       | 0.62                 |

All nodules were detected by computed tomography before biopsy. Diag: diagnosis. <sup>#</sup>: Chi-squared test.

lung cancer) and five had benign disease (three chondroid hamartoma, one sarcoidosis and one tuberculoma).

Of 33 patients with SPNs for which transbronchial biopsy could not be performed, surgical biopsy revealed 30 malignant lesions (five metastatic colon cancer, two metastatic renal cancer and 23 nonsmall cell lung cancer) and three benign lesions (two sarcoidosis and one tuberculoma).

The overall diagnostic yield of EBUS-guided transbronchial biopsy was 46% (46 out of 100). The sensitivity, specificity and negative and positive predicted value for malignancy were 47, 100, 22 and 100%. The diagnostic yield for the 67 nodules that were detected with EBUS was 69% (46 out of 67). The sensitivity, specificity and negative and positive predicted value for malignancy in these cases were 72, 100, 38 and 100% (table 1).

The mean examination time (including the biopsy procedure) was 21.3 min (range 6–32 min). The mean number of specimens obtained from each nodule was 4.2 (range four to six). Self-limited bleeding was observed in three patients; severe bleeding did not occur. Two (2%) patients suffered from pneumothorax that was treated with tube thoracostomy. One more was observed, but no intervention was necessary. There were no other complications.

## DISCUSSION

Bronchoscopy has been used to evaluate SPNs and masses for >30 yrs. Patients with such nodules frequently undergo transbronchial biopsy under fluoroscopic guidance [22]. However, endoscopic transbronchial biopsy often fails to successfully sample lesions of <30 mm or lesions that are fluoroscopically invisible [2, 4, 5]. Nodules too small to be visualised by conventional fluoroscopy usually require additional, often surgical, biopsy procedures.

Therefore, new methods, independent of fluoroscopic visualisation, are needed for navigation and localisation in order to enhance the technical skills of the bronchoscopist. Promising new technologies are electromagnetic navigation and EBUS [23, 24].

Several studies [5–12] have reported on the efficacy and safety of EBUS-guided transbronchial biopsy. However, no trial has specifically addressed the yield in patients with nodules of ≤20 mm in diameter. This is important information, as with the advent of increased CT imaging and screening, the number of small abnormalities detected is increasing.

In the present study of 100 consecutive patients, our overall diagnostic success rate was 46% in nodules with a mean diameter of 15 mm. One of the reasons for this low success rate was inability to locate the SPNs with the help of EBUS within 20 min in 33 patients. For those lesions we were able to detect, the diagnostic success rate was 69%, which is similar to that in other studies [5–12]. This rate may not be as high as it could be since the EBUS location system must be withdrawn from the guide catheter and replaced with the biopsy tool. Replacement can displace the tip of the guide catheter, interfering with the biopsy. This problem becomes more important as the target lesion gets smaller.

Certain aspects of the present study should be acknowledged. It is important to recognise that the prevalence of malignant lesions was high due to the inclusion criteria; therefore, the same results might not be applicable in a more general and unscreened population. One of the limitations of this study is that there was no control group diagnosed by the standard approach of fluoroscopy. We did not use fluoroscopy for navigation since fluoroscopy is known to be unreliable for the identification of small lesions and we wanted to ensure that data would be collected that were specific to one intervention only, namely radial EBUS guidance. Positron emission tomography (PET) results were not taken into consideration as PET imaging was not consistently available at the inception of the study. If performed today, PET results would be taken into consideration, but would not change the findings regarding the performance characteristics of EBUS-guided biopsy.

## Conclusions

For SPNs of <20 mm in diameter that can be detected with ultrasound, EBUS-guided transbronchial biopsy is safe and effective. Ultrasound guidance may be more successful than fluoroscopic guidance in sampling small SPNs, increasing the likelihood of a diagnosis and decreasing the need for surgical biopsy. Further studies, potentially combining guided approaches with EBUS, are warranted.

## STATEMENT OF INTEREST

None declared.

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