EUROPEAN RESPIRATORY journal

FLAGSHIP SCIENTIFIC JOURNAL OF ERS

| Ea | rlv | Vi | iew |
|----|-----|----|-----|
| | J | | |

Research letter

Omalizumab restores the ability of human plasmacytoid dendritic cells to induce Foxp3⁺Tregs

Jacobo López-Abente, Cristina Benito-Villalvilla, Xavier Jaumont, Pascal Pfister, Paolo Tassinari, Oscar Palomares

Please cite this article as: López-Abente J, Benito-Villalvilla C, Jaumont X, *et al*. Omalizumab restores the ability of human plasmacytoid dendritic cells to induce Foxp3⁺Tregs. *Eur Respir J* 2020; in press (https://doi.org/10.1183/13993003.00751-2020).

This manuscript has recently been accepted for publication in the *European Respiratory Journal*. It is published here in its accepted form prior to copyediting and typesetting by our production team. After these production processes are complete and the authors have approved the resulting proofs, the article will move to the latest issue of the ERJ online.

Copyright ©ERS 2020

TITLE PAGE

Foxp3⁺Tregs

Research Letter to the Editor

Omalizumab restores the ability of human plasmacytoid dendritic cells to induce

Jacobo López-Abente, PhD,^a Cristina Benito-Villalvilla, MSc,^a Xavier Jaumont, MD,^b Pascal Pfister, MD,^b Paolo Tassinari, MD,^b and Oscar Palomares, PhD^a

^aDepartment of Biochemistry and Molecular Biology, Chemistry School, Complutense University of Madrid, Spain.

^bNovartis Pharma AG, Basel, Switzerland.

Corresponding Author: Oscar Palomares, PhD

Department of Biochemistry and Molecular Biology, Chemistry School, Complutense University of Madrid,

Ciudad Universitaria s/n, 28040 Madrid, Spain.

Telephone: + 34 913944159

Email: <u>oscar.palomares@quim.ucm.es</u>

"Take home" message:

IgE-FcεR1 cross-linking on human pDCs impairs their capacity to generate regulatory T cells and Omalizumab restores *in vitro* this ability. Our findings might well pave the way for novel biomarkers to assess Omalizumab clinical efficacy and responder patients.

Disclosure of potential conflict of interests: X. J., P. P. and P. T. are employees of Novartis Pharma AG. O.P. has received payment for lectures and/or participation in Advisory Boards from Allergy Therapeutics, Amgen, AstraZeneca, Diater, Inmunotek SL, Novartis Pharma AG, Sanofi-Genezyme and Stallergenes. OP has received research grants from Novartis Pharma AG and Inmunotek SL. The rest of the authors declare no conflict of interest.

To the editor

Allergic sensitization and viral respiratory tract infections represent main risk factors for asthma development and severity. IgE plays a key role in the pathophysiology of allergic asthma and allergic multimorbidities (1, 2). Omalizumab, a recombinant humanized monoclonal antibody against IgE, has been used to treat allergic asthma in children and adults for many years (3-5). Omalizumab restores the capacity of human plasmacytoid dendritic cells (pDCs) to produce IFN-α, increasing their antiviral activity and reducing viral-induced asthma exacerbations (6, 7). pDCs prime Th1 or Th2 responses depending on the encountered antigens. In both cases, they are able to generate functional regulatory T cells (Tregs), suggesting that pDCs display intrinsic tolerogenicity (8, 9). pDCs and Tregs numbers are increased and decreased, respectively, during asthma exacerbations and correlate with the severity of type 2 inflammation (10, 11). Omalizumab treatment increases the frequency of Tregs in asthmatic children, which correlates with asthma control (12). The aim of this work is to study the molecular mechanisms by which IgE-mediated signalling in human pDCs from atopic donors could impair their capacity to generate Tregs and how Omalizumab could restore this ability.

We purified pDCs to homogeneity (purity higher than 90% in all the cases without basophil contamination) from peripheral blood of adult atopic donors by magnetic cell sorting ("pDC isolation kit II", Miltenyi) in autoMACS Pro. Purified pDCs expressed the high-affinity (FcεR1) but not the low-affinity (CD23) IgE receptor (not shown). *In vitro* treatment of purified pDCs with Omalizumab (Xolair, Novartis-Pharma-AG) but not with unrelated human IgG (Privigen, CSL-Behring) significantly reduced the levels of IgE bound to FcεR1 in a specific, dose-dependent manner, without affecting cell viability. This allowed to mimic *in vitro* the decrease in FcεR1-bound IgE on pDCs demonstrated in Omalizumab-treated patients (13). Next, we assessed whether IgE-FcεR1 cross-linking (IgE-CL) with an anti-human IgE antibody (Bethyl

Laboratories) on human pDCs could influence their ability to prime allogeneic naïve CD4⁺ T cells (purified from adult's blood samples with "Naïve CD4⁺ T cell isolation kit", Miltenyi) into Foxp3⁺ Tregs and how Omalizumab could impact this capacity. Purified pDCs stimulated with the Toll-like receptor 9-ligand type B CpG ODN2006 (TLR9-L, Invitrogen) induced higher numbers of CD4⁺CD127^{low}CD25⁺Foxp3⁺ Tregs than unstimulated pDCs, which was impaired by IgE-CL in TLR9-L-activated pDCs (Figure 1B). Pretreatment of pDCs with Omalizumab restored the capacity of TLR9-L-activated pDCs under IgE-CL to generate CD4⁺CD127^{low}CD25⁺Foxp3⁺ Tregs (Figure 1B). Cell viability was not affected in any of the assayed pDCs or coculture conditions (data not shown). Supporting these data, the T cells generated by TLR9-L-activated pDCs under IgE-CL conditions produced lower IL-10 and IL-2 levels than those T cells generated by TLR9-L-activated pDCs without IgE-CL. The levels of IL-10 and IL-2 produced by the generated T cells were restored by pretreating pDCs with Omalizumab (Figure 1C). Remarkably, the IFN-y/IL-5 ratio associated to T cell secretion was lower when pDCs were activated with TLR9-L in the presence of IgE-CL, which was reversed by Omalizumab (Figure 1C). Our data show that IgE-CL in TLR9-L-activated pDCs not only impairs the generation of Tregs but also favours Th2 allergic profiles, which is restored by pretreatment of pDCs with Omalizumab. The high dose of Omalizumab needed to detach FcER1-bound IgE in our study is likely due to the extremely high local concentration of FcER1-IgE complexes at the single cell level. Omalizumab at the range of clinical concentrations did not detach FcεR1-bound IgE. In real life clinical treatment, Omalizumab traps free IgE, thus gradually reducing FcεR1-bound IgE and FcER1 expression on pDCs (13). The Omalizumab concentrations used in our in vitro experimental setting will not be achieved in treated patients. Herein, our goal is just to mimic in vitro the Omalizumab clinical effect to support the relevance of our main novel fundamental finding: IgE-CL on pDCs breaks Treg induction, which could be restored by Omalizumab.

To gain insights into the molecular mechanisms underlying these effects, we analysed changes in pDCs′ expression levels of molecules involved in T cell polarization and tolerogenicity. TLR9-L-activated pDCs from atopic donors produced higher levels of IFN-α and TNF-α than unstimulated pDCs (*Figure 1D*). Similarly, the mRNA levels of indoleamine-2,3 dioxygenase (IDO), an enzyme involved in the tryptophan catabolism, were also increased in TLR9-L-activated pDCs. IgE-CL in TLR9-L-activated pDCs impaired the production of IFN-α and IDO expression whereas increased the production of TNF-α (*Figure 1D*). Omalizumab partially or completely restored the levels of all these molecules, suggesting they might represent potential candidates involved in the capacity of IgE-CL to impair the generation of Foxp3⁺ Tregs by TLR9-L-activated pDCs.

The IFN- α /TNF- α axis and IDO expression have been previously associated with pDCs' capacity to polarize Tregs (8). To verify whether the downregulation of IFN- α and IDO or TNF- α upregulation could be involved in the impaired ability of pDCs to generate Tregs after IgE-CL, we performed functional experiments. Blocking IFN- α receptor (anti-IFNAR, Millipore), inhibiting IDO activity with 1-Methyl D-tryptophan (1-MT, Sigma-Aldrich) and exogenous TNF- α (PreproTech) impaired the capacity of TLR9-L-activated pDCs to induce Tregs (*Figure 1E*). Supporting these data, the capacity of TLR9-L-activated pDCs under IgE-CL to generate Tregs was significantly restored after adding exogenous IFN- α (Biolegend) or kynurenine (a metabolite downstream tryptophan catabolism that might bypass IDO downregulation, Sigma-Aldrich) or after blocking TNF- α with anti-TNF- α antibody (Biolegend) (*Figure 1F*). Collectively, our data show that IgE-CL in TLR9-L-activated pDCs reduces the production of IFN- α and IDO expression whereas increases TNF- α production leading to the impairment of pDCs' capacity to polarize Tregs, which is completely restored by Omalizumab.

In conclusion, we show for the first time that IgE-FcɛR1 cross-linking on human pDCs from atopic donors is associated with an impaired capacity of pDCs to polarize Tregs *in vitro*. We provide a molecular mechanism that might well help to explain how Omalizumab treatment increases Tregs frequency in asthmatic children (12). The induction and maintenance of functional Tregs is essential for healthy immune responses to allergens (8), therefore, our findings might have important clinical implications also for other allergic conditions (1). The molecular mechanism described herein might also pave the way for the identification of potential novel biomarkers to assess Omalizumab clinical efficacy and to identify responder patients. Thus, future prospective clinical studies evaluating the capacity of pDCs to induce Tregs and its association with asthma control in Omalizumab treated patients are warranted. In the long run, these studies might well also help to elucidate and monitor whether Omalizumab could display potential long-term disease-modifying capacity for some specific patients.

Jacobo López-Abente, PhDª

Cristina Benito-Villalvilla^a

Xavier Jaumont, MDb

Pascal Pfister, MDb

Paolo Tassinari, MDb

Oscar Palomares, PhD^a

^aDepartment of Biochemistry and Molecular Biology, School of Chemistry, Complutense University of Madrid, Spain.

^bNovartis Pharma AG, Basel, Switzerland.

We thank the Fluorescence microscopy and Flow cytometry core Unit at UCM for excellent assistance with flow cytometry assays. We thank Dr. Silvia Sanchez-Ramón for providing the intravenous IgG (Privigen, CSL Behring).

LEGEND TO FIGURES

Figure 1. Omalizumab removes in vitro membrane-bound IqE from purified pDCs and restores their capacity to induce Tregs. Purified blood pDCs from atopic donors were cultured with different concentrations of Omalizumab (Oma) or intravenous IgG for 18 hours. A) Representative histogram (left) and graph (right) showing the levels of IgE bound to pDCs and the frequency of IgE+ pDCs, respectively (n= 10). Omalizumabtreated or untreated purified pDCs were stimulated with 10 µg/mL rabbit anti-human IgE (IgE-FcεR1-crosslinker, IgE-CL) or isotype control in the presence of 2 μM CpG class B TLR9-ligand ODN 2006 (TLR9-L). After 18 hours, the pDCs were washed and cocultured with purified allogeneic naïve CD4+ T cells (1:5, pDCs:T cell ratio, as previously described)⁹ for 5 days. **B)** Graph showing the percentage of induced CD25⁺CD127^{low}Foxp3⁺ Tregs gated over CD4⁺ T cells under the different assayed conditions (n= 20) and C) Concentration of IL-10 and IL-2 and the IFN-γ/IL-5 cytokine ratio in the coculture supernatants (n= 12). After TLR9-L stimulation, pDCs were washed and the **D)** concentration of IFN- α (n= 6) and TNF- α (n= 9) in culture supernatants and mRNA expression of Indoleamine-2,3 dioxygenase (IDO) under the different assayed conditions (n= 9) was measured. Then, the pDCs were cocultured (1: 5, pDCs: T cell ratio) in the presence of **E)** 5 μ g/mL anti-IFN- α / β receptor blocking antibody (anti-IFNAR), 10 ng/mL TNF- α and 250 μ M 1-Metil-L-Tryptophan (1-MT), (n= 14) or **F)** 5 ng/mL IFN- α , 5 µg/mL anti-TNF- α blocking antibody or 0.5 µM L-kynurenine (kyn), (n= 5) for 5 days and the induced Treg analysed. Values are given as mean ± SEM. *p<0.05, **p<0.01, ***p<0.001, ****p<0.0001 in mixed-effects model with pairwise two-stage Benjamini- Yekutieli's post-hoc comparisons.

REFERENCES

- Humbert M, Bousquet J, Bachert C, Palomares O, Pfister P, Kottakis I, Jaumont X, Thomsen SF, Papadopoulos NG. IgE-Mediated Multimorbidities in Allergic Asthma and the Potential for Omalizumab Therapy. *J Allergy Clin Immunol Pract* 2019; 7: 1418-1429.
- Samitas K, Delimpoura V, Zervas E, Gaga M. Anti-IgE treatment, airway inflammation and remodelling in severe allergic asthma: current knowledge and future perspectives. Eur Respir Rev 2015; 24: 594-601.
- Palomares O, Sanchez-Ramon S, Davila I, Prieto L, Perez de Llano L, Lleonart M,
 Domingo C, Nieto A. dlvergEnt: How IgE Axis Contributes to the Continuum of
 Allergic Asthma and Anti-IgE Therapies. Int J Mol Sci 2017; 18.
- 4. Humbert M, Taille C, Mala L, Le Gros V, Just J, Molimard M, investigators S. Omalizumab effectiveness in patients with severe allergic asthma according to blood eosinophil count: the STELLAIR study. Eur Respir J 2018; 51.
- 5. Deschildre A, Marguet C, Salleron J, Pin I, Rittie JL, Derelle J, Taam RA, Fayon M, Brouard J, Dubus JC, Siret D, Weiss L, Pouessel G, Beghin L, Just J. Add-on omalizumab in children with severe allergic asthma: a 1-year real life survey. Eur Respir J 2013; 42: 1224-1233.
- Busse WW, Morgan WJ, Gergen PJ, Mitchell HE, Gern JE, Liu AH, Gruchalla RS, Kattan M, Teach SJ, Pongracic JA, Chmiel JF, Steinbach SF, Calatroni A, Togias A, Thompson KM, Szefler SJ, Sorkness CA. Randomized trial of omalizumab (anti-IgE) for asthma in inner-city children. *N Engl J Med* 2011; 364: 1005-1015.
- 7. Teach SJ, Gill MA, Togias A, Sorkness CA, Arbes SJ, Jr., Calatroni A, Wildfire JJ, Gergen PJ, Cohen RT, Pongracic JA, Kercsmar CM, Khurana Hershey GK, Gruchalla RS, Liu AH, Zoratti EM, Kattan M, Grindle KA, Gern JE, Busse WW, Szefler SJ. Preseasonal treatment with either omalizumab or an inhaled

- corticosteroid boost to prevent fall asthma exacerbations. *J Allergy Clin Immunol* 2015; 136: 1476-1485.
- Palomares O, Akdis M, Martin-Fontecha M, Akdis CA. Mechanisms of immune regulation in allergic diseases: the role of regulatory T and B cells. *Immunol Rev* 2017; 278: 219-236.
- Palomares O, Ruckert B, Jartti T, Kucuksezer UC, Puhakka T, Gomez E, Fahrner HB, Speiser A, Jung A, Kwok WW, Kalogjera L, Akdis M, Akdis CA. Induction and maintenance of allergen-specific FOXP3+ Treg cells in human tonsils as potential first-line organs of oral tolerance. *J Allergy Clin Immunol* 2012; 129: 510-520, 520 e511-519.
- Chairakaki AD, Saridaki MI, Pyrillou K, Mouratis MA, Koltsida O, Walton RP, Bartlett NW, Stavropoulos A, Boon L, Rovina N, Papadopoulos NG, Johnston SL, Andreakos E. Plasmacytoid dendritic cells drive acute asthma exacerbations. *J Allergy Clin Immunol* 2018; 142: 542-556 e512.
- 11. Wegrzyn AS, Jakiela B, Ruckert B, Jutel M, Akdis M, Sanak M, Akdis CA. T-cell regulation during viral and nonviral asthma exacerbations. *J Allergy Clin Immunol* 2015; 136: 194-197 e199.
- 12. Amat F, Tallon P, Foray AP, Michaud B, Lambert N, Saint-Pierre P, Chatenoud L, Just J. Control of asthma by omalizumab: the role of CD4(+) Foxp3(+) regulatory T cells. Clin Exp Allergy 2016; 46: 1614-1616.
- 13. Schroeder JT, Bieneman AP, Chichester KL, Hamilton RG, Xiao H, Saini SS, Liu MC. Decreases in human dendritic cell-dependent T(H)2-like responses after acute in vivo IgE neutralization. *J Allergy Clin Immunol* 2010; 125: 896-901 e896.

Figure 1

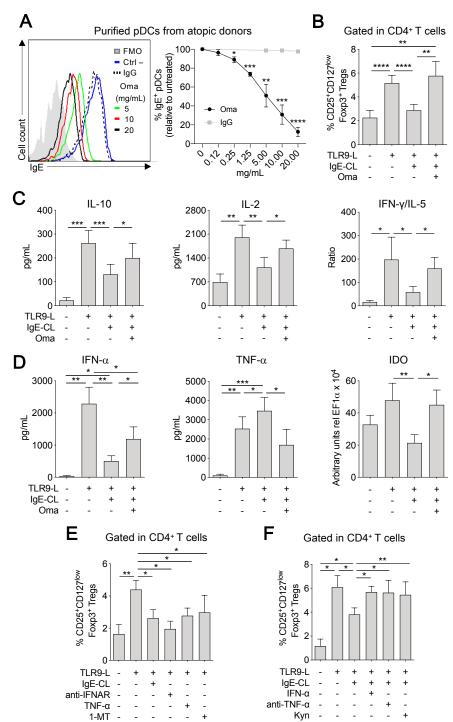


Figure 1. Omalizumab removes *in vitro* **membrane-bound IgE from purified pDCs and restores their capacity to induce Tregs.** Purified blood pDCs from atopic donors were cultured with different concentrations of Omalizumab (Oma) or intravenous IgG for 18 hours. **A)** Representative histogram (Ieft) and graph (right) showing the levels of IgE bound to pDCs and the frequency of IgE+ pDCs, respectively (n= 10). Omalizumab-treated or untreated purified pDCs were stimulated with 10 μg/mL rabbit anti-human IgE (IgE-FcεR1-crosslinker, IgE-CL) or isotype control in the presence of 2 μM CpG class B TLR9-ligand ODN 2006 (TLR9-L). After 18 hours, the pDCs were washed and cocultured with purified allogeneic naïve CD4+ T cells (1:5, pDCs:T cell ratio) for 5 days. **B)** Graph showing the percentage of induced CD25+CD127^{low}Foxp3+ Tregs gated over CD4+ T cells under the different assayed conditions (n= 20) and **C)** Concentration of IL-10 and IL-2 and the IFN-γ/IL-5 cytokine ratio in the coculture supernatants (n= 12). After TLR9-L stimulation, pDCs were washed and the **D)** concentration of IFN-α (n= 6) and TNF-α (n= 9) in culture supernatants and mRNA expression of Indoleamine-2,3 dioxygenase (IDO) under the different assayed conditions (n= 9) was measured. Then, the pDCs were cocultured (1: 5, pDCs: T cell ratio) in the presence of **E)** 5 μg/mL anti-IFN-α/β receptor blocking antibody (anti-IFNAR), 10 ng/mL TNF-α and 250 μM 1-Metil-L-Tryptophan (1-MT), (n= 14) or **F)** 5 ng/mL IFN-α, 5 μg/mL anti-TNF-α blocking antibody or 0.5 μM L-kynurenine (kyn), (n= 5) for 5 days and the induced Treg analysed. Values are given as mean ± SEM. *p<0.05, **p<0.01, ***p<0.001, ****p<0.001 in mixed-effects model with pairwise two-stage Benjamini- Yekutielí's post-hoc comparisons.