

## **Roflumilast with long-acting $\beta_2$ agonists for COPD: influence of exacerbation history**

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### **Supplementary information**

**SUPPLEMENTARY TABLE 1** Number and percentage of patients from the various countries involved in the studies

<b>Country pool</b>	<b>Roflumilast</b>	<b>Placebo</b>	<b>Total</b>
Australia/New Zealand/UK	78 (5.1%)	81 (5.2%)	159 (5.1%)
Austria/Germany	92 (6.0%)	97 (6.2%)	189 (6.1%)
Canada	70 (4.6%)	85 (5.5%)	155 (5.0%)
France	122 (7.9%)	121 (7.8%)	243 (7.9%)
Germany	116 (7.5%)	114 (7.3%)	230 (7.4%)
Hungary/Romania	177 (11.5%)	180 (11.6%)	357 (11.5%)
India	168 (10.9%)	170 (10.9%)	338 (10.9%)
Italy/Spain	134 (8.7%)	145 (9.3%)	279 (9.0%)
Poland	75 (4.9%)	80 (5.1%)	155 (5.0%)
Russia	120 (7.8%)	121 (7.8%)	241 (7.8%)
South Africa	55 (3.6%)	59 (3.8%)	114 (3.7%)
USA	330 (21.5%)	301 (19.4%)	631 (20.4%)

**SUPPLEMENTARY TABLE 2** Baseline characteristics stratified by use of short-acting muscarinic antagonist (SAMA) and previous treatment with inhaled corticosteroids (ICS)

Baseline characteristic	Concomitant treatment				Previous ICS			
	With SAMA		Without SAMA		With		Without	
	Roflumilast (n=588)	Placebo (n=616)	Roflumilast (n=949)	Placebo (n=938)	Roflumilast (n=650)	Placebo (n=657)	Roflumilast (n=887)	Placebo (n=897)
<b>Age (years)<sup>a</sup></b>	64.1 (9.1)	64.2 (9.1)	63.5 (9.5)	63.6 (9.1)	64.1 (9.2)	64.5 (8.9)	63.4 (9.4)	63.4 (9.2)
<b>Men, n (%)</b>	444 (75.5)	487 (79.1)	706 (74.4)	699 (74.5)	474 (72.9)	479 (72.9)	676 (76.2)	707 (78.8)
<b>Cigarette pack-yr<sup>a,b</sup></b>	49.6 (25.8)	47.7 (24.4)	47.6 (24.8)	46.3 (22.5)	48.3 (25.3)	46.3 (22.1)	48.4 (25.1)	47.3 (24.1)
<b>Current smoker, n (%)<sup>a</sup></b>	244 (41.5)	257 (41.7)	391 (41.2)	386 (41.2)	244 (37.5)	238 (36.2)	391 (44.1)	405 (45.2)
<b>Pre-bronchodilator FEV<sub>1</sub> (l)<sup>c</sup></b>	0.9 (0.4)	1.0 (0.3)	1.1 (0.4)	1.1 (0.4)	1.0 (0.4)	1.0 (0.3)	1.0 (0.4)	1.0 (0.4)
<b>Post-bronchodilator FEV<sub>1</sub> (l)<sup>c</sup></b>	1.1 (0.4)	1.1 (0.4)	1.1 (0.4)	1.1 (0.4)	1.1 (0.4)	1.1 (0.4)	1.1 (0.4)	1.1 (0.4)
<b>Pre-bronchodilator FEV<sub>1</sub> (% predicted)<sup>c</sup></b>	30.9 (10.2)	31.4 (10.0)	34.3 (10.2)	34.7 (10.7)	33.2 (10.1)	33.4 (9.8)	32.9 (10.5)	33.3 (11.1)
<b>Post-bronchodilator FEV<sub>1</sub> (% predicted)<sup>c</sup></b>	34.6 (10.4)	35.1 (10.5)	37.0 (10.7)	37.2 (10.8)	36.2 (10.5)	36.0 (10.2)	36.0 (10.8)	36.6 (11.1)
<b>FEV<sub>1</sub> reversibility increase (%)<sup>c</sup></b>	13.7 (15.4)	13.9 (19.0)	8.8 (13.9)	8.4 (12.8)	10.3 (13.9)	9.1 (14.0)	11.0 (15.2)	11.6 (16.8)
<b>Post-bronchodilator FEV<sub>1</sub>/FVC (%)<sup>c</sup></b>	40.5 (11.4)	40.5 (10.6)	43.4 (10.9)	43.0 (11.0)	42.1 (11.2)	41.2 (10.7)	42.4 (11.2)	42.6 (11.0)

<b>Body mass index (kg/m<sup>2</sup>)<sup>c</sup></b>	25.0 (5.7)	25.4 (5.7)	26.3 (6.0)	25.8 (5.7)	26.6 (5.8)	26.4 (5.4)	25.2 (5.9)	25.1 (5.8)
<b>COPD severity, n (%)<sup>a,d,e</sup></b>								
Severe	350 (59.5)	372 (60.4)	593 (62.5)	617 (65.8)	402 (61.8)	436 (66.4)	541 (61.0)	553 (61.6)
Very severe	201 (34.2)	200 (32.5)	262 (27.6)	240 (25.6)	194 (29.8)	179 (27.2)	269 (30.3)	261 (29.1)
<b>Concomitant LABA, n (%)<sup>f</sup></b>	162 (27.6)	178 (28.9)	587 (61.9)	615 (65.6)	469 (72.2)	476 (72.5)	280 (31.6)	317 (35.3)
<b>Concomitant SAMA, n (%)<sup>f</sup></b>	–	–	–	–	216 (33.2)	219 (33.3)	372 (41.9)	397 (44.3)
<b>Prior ICS, n (%)<sup>g</sup></b>	216 (36.7)	219 (35.6)	434 (45.7)	438 (46.7)	–	–	–	–

Data shown are mean (SD) values (unless otherwise stated).

<sup>a</sup>Measurements were taken at the beginning of run-in period; <sup>b</sup>Pack-yr = duration of smoking history (years) x average number of cigarettes per day/20; <sup>c</sup>Measurements were taken at baseline; <sup>d</sup>Based on the criteria of the Global Initiative for Chronic Obstructive Lung Disease;

<sup>e</sup>Percentages do not add up to 100% as patients with mild or moderate COPD are not shown; <sup>f</sup>Based on whether the patient had used medication at least once within the start and up to the end of the treatment period inclusive; <sup>g</sup>Based on whether the patient had used inhaled corticosteroid therapy at least once within the period starting the day after the first visit until the day before randomization, inclusive.

COPD: chronic obstructive pulmonary disease; FEV<sub>1</sub>: forced expiratory volume in 1 second; FVC, forced vital capacity; LABA: long-acting  $\beta_2$  agonist; SD: standard deviation.

**SUPPLEMENTARY TABLE 3** Mean rate of moderate or severe exacerbations<sup>a</sup> per patient per year in patients who received and did not receive treatment with long-acting  $\beta_2$  agonists (LABAs) before study start. Intention-to-treat analysis

<b>Exacerbations/patient/year</b>	<b>Roflumilast</b>	<b>Placebo</b>	<b>Rate ratio (95% CI)</b>	<b>2-sided p-value</b>
With LABA pre-treatment <sup>b</sup>	1.112 n=811	1.419 n=824	0.784 (0.681, 0.901)	0.0006
Without LABA pre-treatment <sup>b</sup>	1.104 n=726	1.290 n=730	0.856 (0.738, 0.993)	0.0399

Rate ratio, 95% CI and p-values are based on Poisson regression model with the following factors and covariates: treatment, age, sex, smoking status, baseline post-bronchodilator FEV<sub>1</sub> (% predicted) and study.

<sup>a</sup>Exacerbations requiring corticosteroid treatment and/or resulting in hospitalisation or death;

<sup>b</sup>Post-hoc analyses.

CI: confidence interval; FEV<sub>1</sub>: forced expiratory volume in 1 second; LABA: long-acting  $\beta_2$  agonist.

**SUPPLEMENTARY TABLE 4** Mean rate of moderate or severe exacerbations<sup>a</sup> per patient per year by concomitant treatment with a short-acting muscarinic antagonist (SAMA) and treatment with inhaled corticosteroid (ICS) during run-in. Intention-to-treat analysis

<b>Exacerbations/patient/year</b>	<b>Roflumilast</b>	<b>Placebo</b>	<b>Rate ratio (95% CI)</b>	<b>2-sided p-value</b>
All patients	1.142 n=1537	1.374 n=1554	0.831 (0.752, 0.918)	0.0003
<b>SAMA use</b>				
With SAMA	1.670 n=588	1.922 n=616	0.869 (0.757, 0.997)	0.0458
Without SAMA	0.803 n=949	1.002 n=938	0.802 (0.696, 0.924)	0.0023
<b>Use of ICS before the study</b>				
With ICS	1.297 n=650	1.608 n=657	0.807 (0.698, 0.933)	0.0038
Without ICS	0.980 n=887	1.178 n=897	0.832 (0.723, 0.957)	0.0102

Rate ratio, 95% CI and p-values are based on Poisson regression model with the following factors and covariates: treatment, age, sex, smoking status, concomitant treatment with LABA, baseline post-bronchodilator FEV<sub>1</sub> (% predicted), study and country pool (only for the overall population).

<sup>a</sup>Exacerbations requiring corticosteroid treatment and/or resulting in hospitalisation or death. CI: confidence interval; FEV<sub>1</sub>: forced expiratory volume in 1 second; LABA: long-acting  $\beta_2$  agonist.

**SUPPLEMENTARY TABLE 5** Time to onset of first, second, or third moderate or severe exacerbation<sup>a</sup> in all patients, those receiving concomitant treatment with/without short-acting muscarinic antagonist (SAMA), and with/without treatment with inhaled corticosteroid (ICS) during run-in. Intention-to-treat analysis

	Patients with event, % (n)		Median (68% range) [days]		Hazard ratio (95% CI)	2-sided p-value
	Roflumilast	Placebo	Roflumilast	Placebo		
<b>All patients</b>						
First	717 (46.6)	821 (52.8)	80.0 (18.0–245.0)	71.0 (19.0–200.0)	0.886 (0.802–0.980)	0.0185
Second	329 (21.4)	430 (27.7)	177.0 (72.0–307.0)	148.0 (71.0–267.0)	0.791 (0.685–0.914)	0.0014
Third <sup>b</sup>	152 (9.9)	218 (14.0)	224.5 (123.0–328.0)	197.0 (114.0–308.0)	0.730 (0.593–0.899)	0.0031
<b>With SAMA<sup>b</sup></b>						
First	334 (56.8)	392 (63.6)	68.5 (18.0–227.0)	59.0 (16.0–187.0)	0.901 (0.777–1.044)	0.1643
Second	170 (28.9)	223 (36.2)	173.0 (69.0–301.0)	141.0 (63.0–269.0)	0.797 (0.651–0.976)	0.0279
Third	87 (14.8)	115 (18.7)	226.0 (108.0–330.0)	190.0 (103.0–305.0)	0.828 (0.625–1.099)	0.1914
<b>Without SAMA<sup>b</sup></b>						
First	383 (40.4)	429 (45.7)	87.0 (19.0–253.0)	78.0 (21.0–226.0)	0.893 (0.778–1.026)	0.1093
Second	159 (16.8)	207 (22.1)	183.0 (79.0–308.0)	159.0 (83.0–262.0)	0.780 (0.633–0.960)	0.0189
Third	65 (6.8)	103 (11.0)	221.0 (151.0–327.0)	203.0 (137.0–309.0)	0.633 (0.463–0.867)	0.0044
<b>With ICS before the study<sup>b</sup></b>						
First	341 (52.5)	389 (59.2)	65.0 (14.0–210.0)	57.0 (17.0–186.0)	0.893 (0.771–1.034)	0.1301
Second	167 (25.7)	217 (33.0)	147.0 (62.0–294.0)	141.0 (71.0–258.0)	0.790 (0.645–0.969)	0.0234
Third	80 (12.3)	116 (17.7)	214.5 (121.0–327.0)	188.0 (112.0–299.0)	0.696 (0.522–0.928)	0.0134

**Without ICS before the study<sup>b</sup>**

First	376 (42.4)	432 (48.2)	87.5 (25.0–266.0)	81.5 (21.0–228.0)	0.878 (0.764–1.010)	0.0681
Second	162 (18.3)	213 (23.7)	196.0 (82.0–310.0)	162.0 (75.0–274.0)	0.791 (0.644–0.971)	0.0249
Third	72 (8.1)	102 (11.4)	227.0 (123.0–332.0)	220.0 (117.0–312.0)	0.749 (0.552–1.015)	0.0622

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Hazard ratio, 95% CI and p-values are based on Cox proportional hazards model with the following factors and covariates: treatment, age, sex, smoking status, concomitant treatment with LABA, and strata: study and country pool.

<sup>a</sup>Exacerbations requiring corticosteroid treatment and/or resulting in hospitalisation or death; <sup>b</sup>Post-hoc analyses.

CI: confidence interval.

**SUPPLEMENTARY TABLE 6** Mean change (improvement) in transitional dyspnoea index (TDI) focal score by repeated measurements analysis and also by last observation carried forward (LOCF). Intention-to-treat analysis. TDI is graded from 0 to 4, where 0 is most severe; a change in TDI score of 1.0 unit is considered clinically relevant

	<b>Roflumilast</b>	<b>Placebo</b>	<b>Difference (score, [95% CI])</b>	<b>2-sided p-value</b>
Repeated measurements analysis <sup>a</sup>	0.662 n=1470	0.409 n=1514	0.253 (0.104–0.402)	0.0009
LOCF	0.441 n=1470	0.115 n=1514	0.325 (0.101, 0.550)	0.0046

<sup>a</sup>Least square means, 95% CI and p-values are based on a repeated measurements analysis of covariance model with the following factors and covariates: treatment, baseline value, age, sex, smoking status, time, treatment-by-time interaction, study and country pool (only for the overall population). Concomitant treatment with LABA was also included as a factor.

CI: confidence interval.

**SUPPLEMENTARY TABLE 7** Mean change in pre- and post-bronchodilator FEV<sub>1</sub> (least square means) according to concomitant treatment with a long-acting  $\beta_2$  agonist (LABA), frequency of previous exacerbations<sup>a</sup>, concomitant treatment with a short-acting muscarinic antagonist (SAMA) and previous treatment with inhaled corticosteroid (ICS). Intention-to-treat analysis

	<b>Roflumilast (mL)</b>	<b>Placebo (mL)</b>	<b>Difference (mL, [95% CI])</b>	<b>2-sided p-value</b>
<b>Pre-bronchodilator</b>				
All patients	40 n=1475	-9 n=1511	48 (35, 62)	<0.0001
<b>LABA use</b>				
With LABA	28 n=722	-18 n=773	46 (29, 64)	<0.0001
Without LABA	51 n=753	1 n=738	50 (29, 71)	<0.0001
<b>By historical exacerbations<sup>a, b</sup></b>				
Frequent	36 n=400	-6 n=406	42 (14, 69)	0.0032
Infrequent	40 n=1075	-11 n=1105	51 (35, 66)	<0.0001
<b>SAMA use</b>				
With SAMA	30 n=560	-23 n=604	52 (30, 75)	<0.0001
Without SAMA	43 n=915	-2 n=907	46 (28, 63)	<0.0001
<b>Use of ICS before the study</b>				
With ICS	37 n=621	-10 n=644	47 (28, 67)	<0.0001
Without ICS	44 n=854	-5 n=867	49 (30, 68)	<0.0001
<b>Post-bronchodilator</b>				
All patients	50 n=1453	-4 n=1500	55 (41, 69)	<0.0001

<b>LABA use</b>				
With LABA	36 n=706	-10 n=766	46 (28, 64)	<0.0001
Without LABA	62 n=747	-2 n=734	64 (42, 86)	<0.0001
<b>By historical exacerbations<sup>a, b</sup></b>				
Frequent	47 n=392	-3 n=401	49 (20, 78)	0.0009
Infrequent	51 n=1061	-6 n=1099	57 (41, 73)	<0.0001
<b>SAMA use</b>				
WithSAMA	31 n=551	-26 n=599	57 (34, 80)	<0.0001
Without SAMA	58 n=902	6 n=901	52 (34, 70)	<0.0001
<b>Use of ICS before the study</b>				
With ICS	44 n=609	0 n=636	44 (23, 66)	<0.0001
Without ICS	55 n=844	-8 n=864	63 (44, 82)	<0.0001

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Least square means, 95% CI and p-values are based on a repeated measurements analysis of covariance model with the following factors and covariates: treatment, baseline value, age, sex, smoking status, time, treatment by time interaction, study and country pool (only for the overall population). For all groups apart from LABA usage, concomitant treatment with LABA was also included as a factor.

<sup>a</sup>COPD exacerbations in the previous year (based on patient recall). Defined as infrequent if <2 and frequent if ≥2 exacerbations per year; <sup>b</sup>Post-hoc analyses.

CI: confidence interval; COPD: chronic obstructive pulmonary disease.

### **Interaction testing**

Interaction testing was performed for the different subgroups: treatment by LABA (yes/no) interaction:  $p=0.5382$ ; treatment by pre-ICS (yes/no) interaction:  $p=0.6874$ ; treatment by exacerbators (frequent/infrequent) interaction:  $p=0.6397$ .

**SUPPLEMENTARY TABLE 8** Mean change in pre- and post bronchodilator FEV<sub>1</sub> by repeated measurements analysis and also by last observation carried forward (LOCF)

	<b>Roflumilast (mL)</b>	<b>Placebo (mL)</b>	<b>Difference (mL, [95% CI])</b>	<b>2-sided p-value</b>
<b>Pre-bronchodilator</b>				
Repeated measurements <sup>a</sup>	40 n=1475	-9 n=1511	48 (35, 62)	<0.0001
LOCF	21 n=1475	-14 n=1511	34 (17, 52)	<0.0001
<b>Post-bronchodilator</b>				
Repeated measurements <sup>a</sup>	50 n=1453	-4 n=1500	55 (41, 69)	<0.0001
LOCF	22 n=1453	-18 n=1500	40 (23, 58)	<0.0001

<sup>a</sup>Least square means, 95% CI and p-values are based on a repeated measurements analysis of covariance model with the following factors and covariates: treatment, baseline value, age, sex, smoking status, concomitant treatment with LABA, time, treatment by time interaction, study and country pool (only for the overall population).

CI: confidence interval.