



Early View

Series

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Please cite this article as: O'Byrne PM, Reddel HK, Beasley R. The Management of Mild Asthma. *Eur Respir J* 2020; in press (<https://doi.org/10.1183/13993003.03051-2020>).

This manuscript has recently been accepted for publication in the *European Respiratory Journal*. It is published here in its accepted form prior to copyediting and typesetting by our production team. After these production processes are complete and the authors have approved the resulting proofs, the article will move to the latest issue of the ERJ online.

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The Management of Mild Asthma

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Word Count: Abstract: 245

Manuscript: 3240

References: 57

Keywords: asthma management, adherence, inhaled corticosteroid/long acting beta 2-agonist

ABSTRACT

Inhaled corticosteroids (ICS) have been recommended as a maintenance treatment, either alone or together with long-acting inhaled β_2 -agonists, for all asthma patients.

Short acting β_2 -agonists (SABA) are rapid onset bronchodilators, which provide symptom relief, but have no anti-inflammatory properties, yet are the most widely used as-needed reliever treatment for asthma, and often the only treatment prescribed.

Asthma patients can find adhering to daily preventative medication with ICS difficult and will often revert to using as-needed SABA as their only treatment, increasing their risk of exacerbations. The purpose of this review was to evaluate the efficacy of reliever medications that contain an ICS when compared to SABA as a reliever, or to maintenance ICS and SABA as reliever, in mild asthma patients.

Nine studies were identified which have evaluated the use of ICS as a component of an as-needed reliever in patients with mild asthma. Four of the most recent studies compared the combination of ICS/formoterol to SABA as reliever.

An ICS containing reliever medication was superior to SABA as reliever alone, and was equivalent to maintenance ICS and SABA as reliever, particularly in reducing risks of severe asthma exacerbations, in studies which compared these reliever options.

SABAs should not be used as a reliever without ICS. The concern about patients with mild asthma not being adherent to maintenance ICS, supports a recommendation that ICS/formoterol should be considered as a treatment option instead of maintenance ICS, to avoid the risk of patients reverting to SABA alone.

Identifying Mild Asthma

Asthma is a common disease with a worldwide prevalence of more than 340 million. It is characterized by airway inflammation and variable airflow obstruction, associated with symptoms of wheeze, cough, shortness of breath, and chest tightness.

As with many chronic diseases, asthma was traditionally classified by severity into mild, moderate or severe disease. This classification was based on symptom frequency, degree of airflow obstruction, and number and frequency of asthma exacerbations, and was used to provide treatment recommendations. The earliest iteration of the Global Initiative for Asthma (GINA) strategy document in 1995 stated that “descriptions of asthma severity are useful because asthma therapy has a stepwise approach in which the level of therapy is increased as the severity of the asthma increases”¹. However, in a seminal paper, published in 1996, Cockcroft² argued that asthma severity and asthma control were inextricably linked. Thus, asthma severity can only be established retrospectively after the minimal treatment requirement to achieve asthma control is known. This approach was adopted in subsequent iterations of the GINA strategy document³ and other national asthma guidelines⁴, and was recommended by an American Thoracic Society/European Respiratory Society Task Force on asthma control, severity and exacerbations^{5,6}. As a consequence of this approach, mild asthma is currently identified for clinical practice as a patient with well controlled asthma, manifest by infrequent symptoms (twice or less per week), no nocturnal awakenings, and normal activities of daily living, while treated with as-needed controller medication alone, or low-dose maintenance inhaled corticosteroids (ICS), or leukotriene receptor antagonists⁷. In the case of clinical trials in mild asthma, most studies have included

patients who would have been eligible for treatment with maintenance ICS or leukotriene receptor antagonists according to then-current guidelines.

Treatment Options for Mild Asthma

Inhaled adrenergic agonists were initially used to treat asthma as early as the 1930's⁸. Short acting β_2 -agonists (SABA) were the first inhaled therapy to be developed for common use in asthma⁹. These are rapid onset bronchodilators, selective for the β_2 -receptor, and which provide symptom relief, but have no anti-inflammatory properties. Subsequently, ICS were introduced as maintenance treatment for asthma¹⁰, being very effective in reducing eosinophilic airway inflammation¹¹, improving airway hyperresponsiveness¹², asthma control¹³ and reducing asthma exacerbation risk¹⁴. However, it is reported that, at least initially, general practitioners were reluctant to prescribe ICS because of fear of the severe side-effects that had been seen with systemic corticosteroids¹⁵.

These two classes of drugs remain the most commonly prescribed treatments for asthma. Until recently, the way in which they were prescribed did not closely align with the evidence base for their efficacy and safety¹⁶. Although it was known that asthma is an airway inflammatory disorder, even in the mildest patients^{17,18}, for many years the recommendation in asthma treatment guidelines for first line treatment for mild disease was a SABA, which has no anti-inflammatory properties. This recommendation was based on the belief that if asthma is mild enough to only warrant 'occasional' short acting bronchodilator, the utility of recommending regular ICS seemed disproportionate and unnecessary. Another class of medication approved for the treatment of mild

asthma was leukotriene receptor antagonists (LTRAs)¹⁹. However, studies comparing the efficacy of LTRAs to low dose maintenance ICS have demonstrated the superiority of ICS in mild asthma patients previously taking SABA alone, particularly for reduction in severe exacerbations^{20 21}. From 2014, finding a lack of evidence to support SABA-only treatment, GINA recommended maintenance ICS for patients with symptoms more than twice a month or with any risk factors for asthma exacerbations²², a position that was supported by findings from the START study²³; however, most guidelines continue to limit ICS to patients with symptoms more than twice a week.

From a patient's perspective, the most tangible measure of asthma control is day-to-day symptoms, which vary with time. Fast and effective symptom relief is a priority for patients. In mild asthma, when symptoms are not present, patients can find adhering to daily preventative medication with ICS difficult without any obvious immediate improvement that might provide a rationale for their use, and reluctance due to potential side effects. In contrast, because treatment with SABA is so effective during acute attacks, it may appear logical to patients for this to also be beneficial for the control of chronic asthma.

For several decades, it has been recognized that overuse of SABAs is associated with increased risk of asthma mortality²⁴, a finding unfortunately confirmed by the National Review of Asthma Deaths in the UK which demonstrated increased use of SABA and lack of ICS use associated with increased mortality²⁵. These concerns have been supported by mechanistic studies showing regular use of SABA, for a little as one week, is associated with increased exercise bronchoconstriction²⁶ and allergic airway inflammation²⁷, and by studies showing that dispensing of ≥ 3 SABA canisters a year

(usage \geq 3-4 times/week) is associated with increased asthma exacerbations²⁸ and all-cause mortality²⁹.

Low dose maintenance ICS has been extensively evaluated as a treatment option for mild asthma. These studies have demonstrated that low dose (even once daily) ICS was superior to SABA as needed as the only treatment in reducing asthma exacerbation risk^{30,23}, and this benefit persisted even when patients with very infrequent symptoms (0-1 days/week) were evaluated³¹.

A major challenge with recommending the use of maintenance ICS for patients with mild asthma is adherence to the treatment. There is a very consistent body of evidence which shows that adherence to maintenance treatment in asthma is problematic, with many studies indicating that patients take less than 50% of recommended doses of maintenance treatment, which can be improved with a strategy of providing electronic inhaler reminders³². Adherence to maintenance treatment also decreases with time, and can be as low as 10-15% of patients refilling prescriptions for maintenance inhaled treatments, over a 1 year time frame³³.

ICS/LABA maintenance and reliever therapy

The long acting β_2 agonists (LABA) salmeterol, and the fast acting formoterol were developed in the 1990s. Initial studies were conducted to determine both safety and efficacy, particularly in combination with inhaled steroids (ICS/LABA)^{34 35}. In patients receiving maintenance ICS therapy, clinical effectiveness was demonstrated by reducing severe exacerbations with ICS/LABA compared with ICS alone³⁶. By contrast, in patients considered to have mild asthma not treated with maintenance ICS, adding

formoterol to ICS as part of maintenance treatment did not provide any additional benefit when compared to maintenance ICS alone³⁰. However, formoterol for symptom relief reduced severe exacerbations, both with^{36 37} and without³⁸ maintenance ICS, compared with as-needed SABA.

In a real world setting, where LABAs were being used as the only treatment or not in combination with ICS, asthma related mortality was increased³⁹. This led to the recommendation that LABAs be only used together with an ICS (ideally from the same device) in moderate and severe asthma⁴⁰, but despite these justifiable concerns about the use of LABA as a monotherapy in asthma, SABA monotherapy remained as the first line treatment option for patients with mild asthma.

For patients with moderate to severe asthma, maintenance treatment with ICS/LABA combinations has become the standard of care. In addition, the use of a combination ICS/ rapid onset LABA (formoterol) inhaler as both a maintenance and reliever therapy has been demonstrated to be superior to fixed dose ICS or combination ICS/LABA with SABA as reliever. This approach demonstrated a 25% to 40% relative risk reduction in severe exacerbation risk compared with fixed dose regimens in patients with a history of severe exacerbations⁴¹. This set a precedent of a patient centered approach in moderate to severe asthma, where patients have autonomy and control over escalating and de-escalating additional ICS/formoterol use based on current day-to-day symptoms. The rationale was that the fast-acting bronchodilator formoterol improves symptoms, but at the same time the underlying worsening inflammation is addressed with up-titration of treatment with ICS; however, both the ICS and the formoterol in the reliever inhaler contribute to the reduction in exacerbations⁴².

ICS/SABA therapy as a reliever in mild asthma

The hypothesis that using a reliever that contained both a rapid onset β_2 -agonist and an ICS would be superior to a β_2 -agonist only as a reliever, was initially evaluated in 2007 in patients considered, at that time, to have mild asthma⁴³ (Table1). The BEST study consisted of four treatment arms, after a run-in period on moderate dose ICS: as needed combination ICS (beclometasone) and SABA (salbutamol) from a single inhaler; as needed SABA only; maintenance ICS with SABA as needed; and maintenance combination ICS/SABA with SABA as needed. The study demonstrated that symptom driven use of as needed combination ICS/SABA improved peak flow rates and the forced expired volume in one second (FEV₁) and reduced exacerbations, compared with as needed SABA alone, but was not different to the maintenance ICS and maintenance combination ICS/SABA group. The cumulative dose of ICS was, however, substantially lower in the as needed ICS/SABA group when compared to the other two ICS containing treatment arms.

In the TREXA study, in children aged 5-18 years with mild asthma (Table 1), using similar design and intervention arms, but with the ICS and SABA delivered from separate inhalers, Martinez *et al*⁴⁴ showed that treatment with maintenance low dose ICS reduced asthma exacerbations risk by 50% compared with SABA as needed alone. Treatment with ICS/SABA as needed also reduced the risk of exacerbations by almost 40%, but this did not reach statistical significance. Importantly, the use of maintenance ICS was associated with a 1.1cm decline in linear growth over 1 year, which was not seen with as needed ICS/SABA, because of the lower cumulative dose of ICS in this group.

The Best Adjustment Strategy for Asthma in the Long Term (BASALT) study in adults with well or partly controlled asthma on ICS therapy used a similar model of patients adjusting ICS use according to their requirement for SABA, again with separate inhalers⁴⁵. The symptom-driven approach of instructing patients to take two actuations of their low dose beclomethasone (ICS) inhaler every time they took a SABA was at least as effective in terms of the time to treatment failure, compared with a 'gold standard' physician-based strategy of six-weekly adjustment of maintenance ICS dose, or a novel biomarker ICS-adjusted strategy.

A recent pragmatic study in African-American children and adolescents with well-controlled asthma on low dose ICS, LTRA or ICS/LABA randomised patients to symptom-based treatment with ICS taken whenever SABA was taken, or to guidelines-based adjustment of treatment by primary care providers. Asthma outcomes were similar between groups, with average ICS dose in the symptom-based treatment arm 26% of that with physician-adjusted treatment⁴⁶ (Table 1).

ICS/LABA combination therapy as a reliever in mild asthma

Based on the evidence that budesonide/formoterol (Bud/Form) as a reliever treatment reduces severe exacerbation risk compared with a SABA in patients with moderate to severe asthma on maintenance ICS/LABA (later summarized in a meta-analysis)⁴⁷, led to investigation of the use of Bud/Form (Symbicort) as needed in mild asthma (Table 1). The SYmbicort Given as needed in Mild Asthma (SYGMA) 1 Study was a randomized double blind, 52-week, 3 way parallel-group study of 3849 patients. The study evaluated the efficacy and safety of Bud/Form used as needed, compared to the SABA, terbutaline as needed, and to budesonide (200mcg) twice daily plus terbutaline as

needed. Patients were eligible if they needed maintenance low dose ICS treatment (GINA 2012 Step 2, including use of SABA on ≥ 3 days in the week before randomization)⁴⁸.

The primary efficacy results showed that Bud/Form as needed was superior to terbutaline as needed at reducing the number of well-controlled asthma weeks (based on a old definition of asthma control), but was inferior to maintenance budesonide⁴⁹. Secondary outcomes demonstrated that Bud/Form as needed resulted in a 64% lower rate of severe exacerbations, and a 60% lower rate of moderate to severe exacerbations compared with terbutaline as needed, and prolonged the time to first severe exacerbation and the time to first use of additional corticosteroids for asthma. The Bud/Form as needed group also had a small, but significant, improvement in ACQ-5 score and a higher FEV₁ than the terbutaline as needed group. When compared with maintenance budesonide, there was no difference in the exacerbation outcomes, but these were achieved with an 83% lower ICS dose with Bud/Form as needed. However, maintenance budesonide also had a small, but significant, improvement in ACQ-5 score and a higher FEV₁ than the Bud/Form as needed group. These differences did not achieve levels considered to be clinically important. Importantly, with twice-daily inhaler reminders, adherence to the maintenance treatments in all three study arms was almost 80%. The median use of a reliever in this study was about 1 inhalation every 3 days, and while this had a wide distribution, on less than 0.5% of days in the study were >4 inhalations of as needed Bud/Form used.

The SYGMA 2 study (Table 1) randomly assigned 4215 patients who met the same entry criteria as SYGMA 1, but the study did not include electronic diaries or adherence

reminders and had less oversight from clinical research teams at the recruiting centers, to mimic a more real-world clinical setting⁵⁰. Subjects were randomized to receive either 52 weeks of Bud/Form as needed compared to twice-daily maintenance budesonide with terbutaline as needed. The primary outcome in this study was the annual rate of severe exacerbations. For this outcome, Bud/Form as needed was non-inferior to maintenance budesonide, but with a 75% lower median daily ICS dose in the Bud/Form group. There was no difference between groups in the number of severe exacerbations that led to hospitalization or emergency room visits, or in the time to first severe asthma exacerbations. Similar to the SYGMA 1 study, maintenance budesonide had a small, but significant, improvement in ACQ-5 score and a higher FEV₁ than the Bud/Form as needed group. The adherence to maintenance treatment in the two study arms was 64%.

A third, more pragmatic study (Novel START)(Table 1), was a randomized, open label, parallel three-way group trial in 675 patients treated with the SABA salbutamol as needed, maintenance budesonide plus salbutamol as needed, or Bud/Form as needed⁵¹. Patients were eligible if they used SABA as their only asthma therapy in the 3 months prior to their inclusion, and by including patients with baseline SABA use as infrequent as twice a month, extended the evidence of efficacy to patients with infrequent symptoms; overall, 54% of patients had used SABA twice a week or less in the previous 4 weeks. The primary efficacy outcome was the annualized asthma exacerbation rate, which was 51% lower in the Bud/Form as needed group when compared to the salbutamol as needed group, but was not different to the maintenance budesonide group. Interestingly, in contrast to the SYGMA studies, the number of

severe exacerbations, although small, was significantly lower in the Bud/Form as needed group, when compared with both the salbutamol as needed and the maintenance budesonide groups. However, maintenance budesonide demonstrated the greatest improvements in ACQ-5 scores, albeit the differences were small and again did not meet the minimally clinically important difference. There was no significant difference in FEV₁ across all time points between the 3 groups. Both of the ICS containing arms of the study significantly reduced the fraction of exhaled nitric oxide (F_ENO), when compared to the SABA treatment arm. The geometric mean F_ENO in the Bud/Form treatment arm was slightly higher than in the maintenance budesonide group, but the difference was small and of no clinical importance. These results demonstrate that Bud/Form has anti-inflammatory activity when administered by an as-needed reliever regimen in mild asthma, and do not support any concern that its use in this way will allow eosinophilic airway inflammation to progressively worsen; however, further long term studies need to be done to confirm this. Of interest, in this study, patients with mild asthma with elevated baseline blood eosinophils (>0.3 vs <0.15 x 10⁹/L) had a higher risk of experiencing a severe asthma exacerbation⁵², and the benefits of maintenance inhaled budesonide compared with salbutamol were greater in patients with high blood eosinophil counts. However, importantly, effects of Bud/Form as-needed on exacerbations and symptom control were independent of blood eosinophil or F_ENO biomarker profiles. This indicates that the efficacy of Bud/Form is generalizable to all patients with mild asthma, without need for inflammatory phenotyping. This differs from more severe asthma, where biomarker assessment may be helpful in titrating maintenance ICS dose⁵³.

The Novel START study was followed by another open label study (PRACTICAL)⁵⁴, enrolling 890 patients requiring or eligible for GINA step 2 treatments (Table 1). The study had two treatment arms; Bud/Form as needed or maintenance budesonide with terbutaline as needed. The results were very similar to Novel START, with a 31% reduction in the rate of severe asthma exacerbations with Bud/Form as needed, and an increase in the time to first exacerbation, compared with maintenance budesonide. Also, as in Novel START, the benefit with this regimen for risk reduction and asthma control in PRACTICAL was independent of baseline characteristics, including inflammatory markers such as blood eosinophils and FeNO. Another important clinical finding from the PRACTICAL study was that 90% of patients who were randomised to Bud/Form reported a preference for this regimen rather than maintenance ICS and SABA at the end of the trial.⁵⁵

Finally, a study by Lazarinis et al⁵⁶ provided evidence that as-needed budesonide-formoterol taken for symptom relief and before exercise reduced the risk of exercise-induced bronchoconstriction to the same extent as 6 weeks of maintenance ICS, indicating that patients do not need to be given a SABA inhaler for pre-exercise use.

CONCLUSIONS

The studies comparing reliever medications which contain an ICS to using SABA alone, in patients with mild asthma, have put to rest the question of the optimal reliever treatment for these patients. In studies spanning childhood, adolescence and adults, an ICS containing reliever medication was superior to SABA reliever alone in almost every domain (Figure 1). For this reason, the GINA treatment algorithm now recommends that SABAs should not be used alone as sole therapy without ICS, and that combination

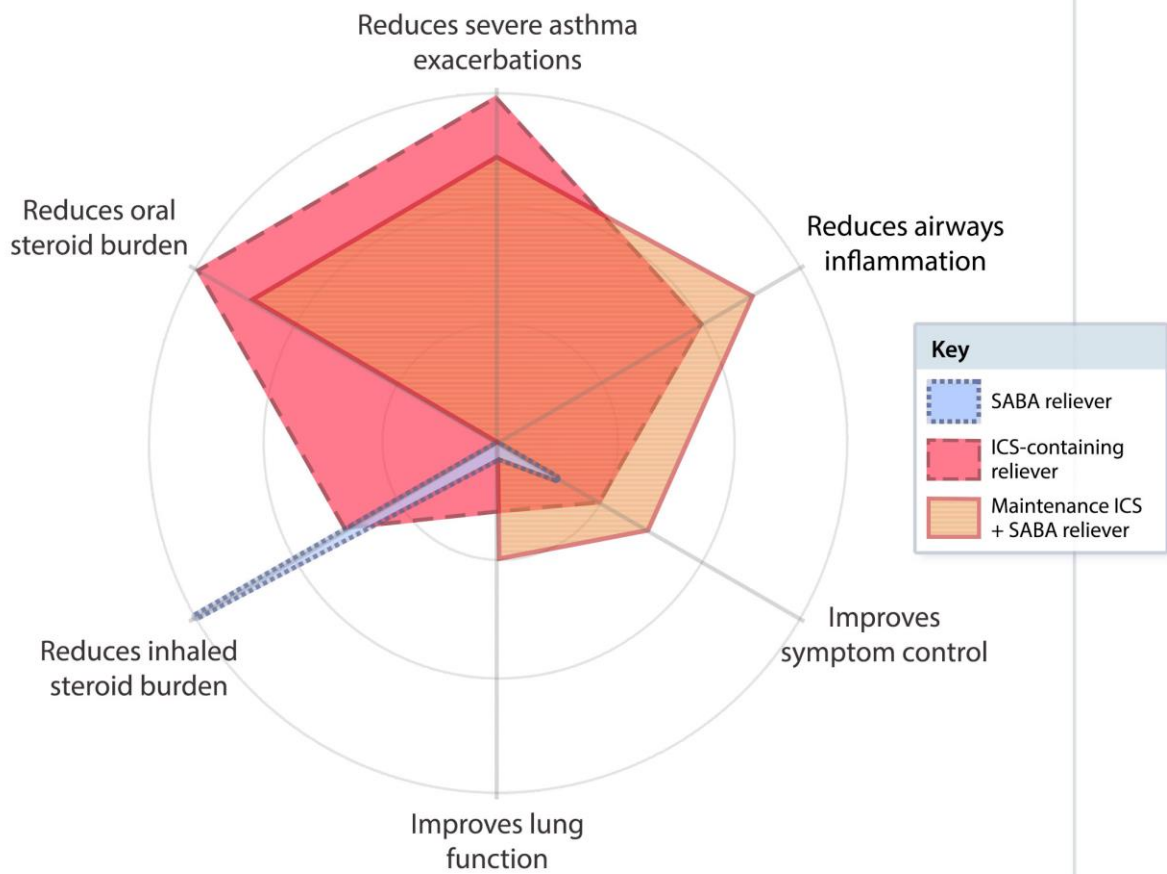
ICS-formoterol is preferred to SABA as reliever therapy in adults and adolescents⁷; however, there is no evidence for the safety of using ICS-formoterol as reliever for patients taking other ICS-LABA combinations. In addition, while maintenance ICS treatment for mild asthma is superior for some clinical outcomes, the concerns about many patients with mild asthma not being adherent to maintenance ICS, resulted in the GINA treatment algorithm recommending ICS-Form as an alternative to maintenance ICS, to avoid the risk of patients reverting to SABA alone.

Acknowledgements: The authors gratefully acknowledge the support of Dr Ciléin Kearns in designing the conceptual figure.

Figure Legend

Conceptual comparison of the relative benefit of the three treatment regimens for asthma: short-acting beta-agonist (SABA) reliever (blue area with dotted line); combination inhaled corticosteroid (ICS)/fast-onset beta-agonist reliever (red area with dashed line); maintenance ICS plus SABA reliever (brown area with solid line). The relative performance of each regimen is presented across six domains: reduction in severe exacerbations; reduction in airways inflammation; improvement in symptom control; improvement in lung function; reduction in ICS burden; reduction in oral corticosteroid burden. The relative performance of each regimen for each domain is based on the literature referenced in this Review. The greater the distance of each point from the axes centre, the better the performance in that domain.

Conceptual comparison of relative asthma treatment performance



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TABLE 1: Studies in mild asthma with inhaled steroids in combination with rapid-onset β_2 -agonists as needed.

Study Name (Ref #)	Patient Number	Age	Design	Duration	Treatment groups	Primary Outcome	Secondary Outcomes
SYGMA 1 (49)	3836	≥12 years	Phase 3, placebo controlled, double blind, randomized parallel group	52 weeks	1. Placebo bid with Bud/Form as needed 2. Placebo bid with terbutaline as needed 3. Bud bid with terbutaline as needed	Number of well-controlled asthma weeks.	Rates and time to first severe and moderate exacerbation, ACQ-5, FEV ₁ , AQLQ, medication use
SYGMA 2 (50)	4215	≥12 years	Phase 3, double blind, randomized parallel group	52 weeks	1. Placebo bid with Bud/form as needed 2. Bud bid with terbutaline as needed	Annual rate of severe exacerbations	Time to first severe exacerbation, steroid use, FEV ₁ , ACQ-5, AQLQ, medication use
Novel START (51)	675	18-75 years	Phase 3, open label, randomized parallel group	52 weeks	1. Salbutamol as needed 2. Bud bid with salbutamol as needed 3. Bud/Form as needed	Annual rate of exacerbations	Number of severe exacerbations, time to first exacerbation, ACQ-5, FeNO, medication use
PRACTICAL (54)	890	18-75 years	Phase 3, open label, randomized parallel group	52 weeks	1. Bud/Form as needed 2. Bud bid with terbutaline as needed	Number of severe exacerbations	Time to first severe exacerbation, FEV ₁ , FeNO, ACQ-5.

Study Name (Ref #)	Patient Number	Age	Design	Duration	Treatment groups	Primary Outcome	Secondary Outcomes
BEST (43)	455	18-65 years	Phase 3, double blind, randomized parallel group	26 weeks	1. Salbutamol as needed 2. BDP/salbutamol as needed 3. BDP bid with salbutamol as needed 4. BDP/salbutamol bid with salbutamol as needed	Peak expiratory flow rates (PEFR)	Exacerbation rate, daytime and nighttime symptoms, rescue medication use,
TREXA (44)	843	5-18 years	Phase 3, double-blind randomized parallel group	44 weeks	1. Salbutamol as needed 2. BDP/salbutamol as needed 3. BDP bid with BDP/salbutamol as needed 4. BDP bid with salbutamol as needed	Time to first severe exacerbation	Linear growth, FEV ₁ , FeNO, symptoms, asthma control, medication use
BASALT (45)	342	>18 years	Phase 3, double-blind randomized parallel group	38 weeks	1. physician assessment-based adjustment 2. biomarker- based adjustment 3. symptom-based adjustment, ICS taken with each albuterol rescue.	Time to treatment failure	Treatment failure rates, mean monthly ICS use, asthma exacerbations, lung function, symptoms, sputum eosinophils.

Study Name (Ref #)	Patient Number	Age	Design	Duration	Treatment groups	Primary Outcome	Secondary Outcomes
ASIST (46)	206	6–17 years	Phase 4, open-label randomized parallel group	12 months	1. Symptom-based adjustment, BDP taken with each albuterol rescue use 2. Guideline-based adjustment by primary care providers	Change in symptom control (ACT or cACT) at 12 months	Average monthly BDP dose; proportion with ≥1 exacerbation; change in quality of life; change in pre-bronchodilator FEV ₁ % predicted; number of missed school days for asthma; change in ACT or cACT at 6 months
Lazarinis et al (56)	66	>12 years	Phase 2, double-blind randomized placebo-controlled, parallel group	6 weeks	1. Placebo once daily and BUD/FORM as-needed 2. Placebo once daily and terbutaline as needed 3. BUD once daily and terbutaline as needed	Change in maximal post-exercise decrease in FEV ₁ after 6 weeks	Change in maximal post-exercise FEV ₁ fall after 3 wks, ACQ-5, symptoms, use of as-needed medications before exercise and for symptom relief

ACT: Asthma Control Test; c-ACT: childhood Asthma Control Test; GINA: Global Initiative for Asthma; BUD/FORM: budesonide/formoterol; BDP: beclomethasone dipropionate; bid: twice daily dosing; ACQ-5: asthma control questionnaire-5; AQLQ: asthma quality of life questionnaire; FEV₁: forced expired volume in 1 second; FeNO: fraction of exhaled nitric oxide.