



Early View

Research Letter

Increased ventilation heterogeneity in asthma can be attributed to proximal bronchioles

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Increased ventilation heterogeneity in asthma can be attributed to proximal bronchioles.

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AUTHOR CONTRIBUTIONS : BF helped conceive of the study, developed the computational model, performed simulations, analysed the data, and co-wrote the manuscript. DK supervised the creation of the computational model, provided a scientific critique of the data and edited the manuscript. SS and CB provided clinical interpretation of the data, oversaw CT segmentation and airway centreline generation and edited the manuscript. MP helped analyse the data, provided a scientific critique of the data, and edited the manuscript. SV conceived of the study, analysed the data, and co-wrote the manuscript.

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TAKE HOME MESSAGE : By combining patient-specific CT based airways with a clinically validated lung model, we could identify the proximal bronchioles as the airways where structural abnormality can explain the increased convective ventilation heterogeneity in asthma patients.

KEYWORDS : Ventilation heterogeneity, multiple-breath washout, asthma, structure-function, computational lung model.

To the Editor:

In recent years, tests of ventilation heterogeneity such as the multiple breath washout (MBW) have gained popularity as potentially sensitive measures of disease in the small airways [1]. The premise of a non-invasive test such as the MBW, is that morphological alterations at differing lung depths can be sampled at the mouth via their effect on ventilation heterogeneity. MBW phase-III slope derived indices of ventilation heterogeneity include the conductive slope index (Scond), a purely convection-dependent contribution generated in the conducting zone of the lungs, and the acinar slope index (Sacin), brought about by diffusion-convection mechanisms operating near acinar entrances in the respiratory zone [2,3].

Sacin and Scond hold promise as clinical monitoring tools in asthma [4,5]. In severe asthma, Sacin has been shown to become abnormally elevated [6], and partly linked to peripheral eosinophilic inflammation [7]. However, across the clinical spectrum of presented asthma cases, Scond stands out as being consistently elevated, and strongly contributing to airway hyperresponsiveness, independent of airway inflammation [8]. This prompts a clinical question: What is the discriminating structural feature that causes elevation of Scond in asthmatic lungs? State-of-art ventilation imaging modalities [9,10] do indicate increased convection-dependent ventilation heterogeneity in asthma, but these do not preclude the possibility that Scond is partially or predominantly generated beyond imaging resolution.

For the conductive portion of phase-III slope (represented by Scond) to be positive at a given branch point in the airway tree, two conditions need to be fulfilled [2]: (1) heterogeneous specific ventilation between the two subtended lung units supplied by convective flow resulting in heterogeneous washout gas concentration, and (2) any expiratory flow pattern where the least ventilated lung unit has a contribution to total expiratory flow at end of expiration which is greater than its contribution at the beginning of expiration (with balance expiratory flow from the other unit). Given these conditions, it is impossible to infer *a priori* whether elevation in Scond is primarily

generated proximally or distally within the conducting lung zone. In healthy adults S_{cond} can be partly accounted for by temporal expansion differences between lobes as observed by dynamic CT [11]. However, in asthmatic patients, computational gas transport modelling on patient-based airway structures has accurately reproduced the experimentally measured S_{cond} increases, based solely on their airway structure [12]. This offers the possibility to scrutinize the specific bronchial generations where airway alterations are responsible for the observed S_{cond} elevation in asthma.

We retrieved inspiratory CT-derived, patient-based lung structures of 24 asthmatic subjects (15 male; 50 ± 13 (SD) years; $FEV_1/FVC = 0.69 \pm 0.08$ (SD); $FEV_1 = 81 \pm 19$ (SD) % predicted; $ACQ-6 = 2.02 \pm 0.87$ (SD)) from a previous study [13] without modifying the geometry. In these structures, airways below CT resolution (typically beyond airway generation 7 which was on average 92% complete), had been grown algorithmically within the identified lobar boundaries, with daughter airways having radii scaled from their parent. In a prior study, we used a fluid flow model dictated by radii and lengths (resistance) of all airways and a fixed compliance of all terminal units (acini), and incorporating a gravity-dependent pleural pressure gradient, to simulate SF_6 -MBW tests in an upright position. This resulted in a strong concordance between experimental and simulated S_{cond} for these 24 asthma patients [12]. By design, the model geometry incorporated simplified compliant acinar units without internal structure, and hence there is no diffusion-convection heterogeneity contribution to the phase III slope. Therefore, studying the mechanisms of convection-dependent ventilation heterogeneity with this model – and quantified by S_{cond} in MBW experiments - reduces to examining the phase III slope of the first exhalation [2].

We simulated SF_6 concentrations and airflow profiles at every branch point in the lung, over the entire in- and exhalation of the first breath. This allowed us to calculate a single-breath phase-III slope for each branch in each lung structure, instead of just at the mouth. By combining phase-III slopes from all parallel branch points, the average phase-III slope contribution at any airway generation, relative to that obtained at the patient's mouth, could be calculated.

Averaged over 24 patients, the coefficient of variation of washout gas concentration (CoV(conc)) between any parallel pair of lung units (Figure1A) and corresponding phase-III slope (Figure 1B) illustrates several striking features. Firstly, over 80% of the phase-III slope appears to be generated in generations 5-9, with most of this occurring in generations 5-7. Secondly, despite considerable concentration heterogeneity in generations 1-4, there is little effect on phase-III slope. Furthermore, generations 10 onwards only marginally contribute to the phase-III slope. It can thus be concluded that in asthmatic subjects, the elevation in S_{cond} can be primarily attributed to the proximal bronchiole typically within the segmentation range of CT imaging. Finally, irrespective of the relative contribution from different lung depths to phase-III slope at the mouth, the latter is mainly determined by overall level of concentration heterogeneity, with 83% of variability explained, as can be inferred from Figure1C. Finally, we also imposed - in two representative structures - an additional random cross sectional heterogeneity ($SD=0.2$) in generations 6- or 10- onwards, which led to minor increases in CoV(conc) and no consistent phase III slope contributions in these airway generations (data not shown). Note that any such contribution would have come as an add-on to the phase III slopes we obtain here based on contributions from airway generations 4-7 with actual airway dimensions segmented from CT and which suffice to explain experimental observations [12].

In the clinic, the two main physiological tests of small airways function for which ERS guidelines exist, are airway mechanics measurements by forced oscillation and tests of ventilation heterogeneity by multiple breath washout. Both techniques have a similar drawback in that different combinations of airway alterations can potentially lead to the same numeric value of indices measured at the mouth. Via simulations with a realistic lung model (e.g.[13]), it is possible to narrow down the number of combinations inside the lungs that can account for the indices at the mouth. This is what we have achieved with the present study, where we identified the lung depth where airway structure is likely to cause the S_{cond} increases seen in asthma. While from first principles [2], S_{cond} can be generated in all conductive airway generations including the small airways, our data clearly show that in asthma, it is the proximal bronchioles that account for an

elevated Scond. This ties in with an independent modelling study [14] where it is shown that proximal airways may be responsible for an avalanche-type flow redistribution compatible with patterns of ventilation defects observed in asthma [9,10]. Also important for the clinical utility of our findings is that our SF₆-MBW simulations also apply to N₂-MBW [15], because for Scond only diffusion front location matters [2]. The respective diffusion fronts being merely a generation apart, both their location is also far more peripheral than where Scond is now shown to be generated.

We conclude that in asthma, parallel heterogeneity of airway caliber and length within the proximal bronchioles is the discriminating structural feature that can be picked up by non-invasive MBW measurement of conductive ventilation heterogeneity, in the presence of flow sequencing. Bronchial generations 5-7 show the greatest relative contribution to Scond, with the extent of Scond abnormality being dictated by the degree of concentration heterogeneity. While it is possible that the degree of concentration heterogeneity (typically 5%) could also be picked up with quantitative ventilation imaging, our work illustrates that the MBW index Scond is a valid detection alternative.

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FIGURE LEGEND

Figure 1: Concentration heterogeneity and phase-III slope.

Panel A : Generation-specific concentration coefficient of variation (CoV(conc)) as a function of airway generation number; data obtained from all 24 lung structures is presented as means+SD; grey area indicates the generation range responsible for maximal phase-III slope contribution in panel B. Note that the phase-III slope in any generation is driven by CoV(conc) in the next (more distal) generation, resulting in a shift between both plots by one generation.

Panel B : Generation-specific phase-III slope (relative to phase-III slope at the mouth) as a function of airway generation number; data obtained from all 24 lung structures is presented as means+SD; grey area indicates the generation range responsible for maximal phase-III slope contribution.

Panel C : Phase-III slope at the mouth is tightly correlated with overall concentration heterogeneity (Pearson $R^2=0.83$).

Inset : An example of one reconstructed patient-derived lung structure is shown, with CT-extracted airways and lung boundaries shown for the right lung (left side of image), and CT-extracted airways shown for the left lung (algorithmically generated airways have not been included for clarity).

Figure 1

