Measurement of bronchial responsiveness by forced oscillation technique in occupational epidemiology

J.C. Pairon*+, Y. Iwatsubo*, C. Hubert*, H. Lorino**, H. Nouaigui*+, R. Gharbi*+, P. Brochard*+

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ABSTRACT: The performance of the forced oscillation technique (FOT) in the assessment of bronchial responsiveness on the methacholine challenge test was compared with that of forced expiratory volume in one second (FEV $_1$) in 119 active workers with normal baseline pulmonary function.

Changes in resistance ($\Delta R_0\%$), frequency dependence of resistance (ΔP) and resonant frequency ($\Delta F\%$) determined by the FOT were compared to the $\Delta FEV_1\%$. Receiver operating characteristic (ROC) curves were established to determine values of the changes in FOT parameters which corresponded to the best sensitivity and specificity for classifying the subjects as hyperresponsive or nonresponsive on the methacholine challenge test.

Significant correlations were observed between $\Delta FEV_1\%$ and $\Delta R_0\%$, ΔP and $\Delta F\%$ respectively. The ROC curves showed the following cut-off values of FOT parameters to be the best values for classifying the subjects according to the presence or absence of 20% fall in FEV₁: a 65% increase in R_0 (sensitivity 75%; specificity: 76%); a decrease of 65×10⁻³ hPa· I^{1-} s² in P (sensitivity 58%; specificity 83%); a 50% increase in F (sensitivity 75%; specificity 62%).

Our results suggest that the FOT is a useful test for assessment of bronchial hyperresponsiveness when compared to spirometry, and can be applied to epidemiological studies of a bronchial challenge test in normal active working populations.

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Exposure to respiratory irritants or mineral particles in the workplace has been reported as a possible risk factor of bronchial hyperresponsiveness (BHR) [1–4]. A decline in respiratory function has been observed among subjects with BHR, suggesting that the latter might be a predisposing factor of bronchopulmonary obstructive disease [5, 6]. In a longitudinal study of iron mine workers, Pham *et al.* [7] observed that the incidence of chronic bronchitis was higher and the decrease in forced expiratory volume in one second/forced vital capacity ratio (FEV₁/FVC) more marked among hyperreactive subjects. These studies suggest the importance of early detection of BHR in groups exposed to respiratory pollutants.

Measurement of BHR is usually based on conventional indices of forced expiratory manoeuvres, such as the dose of methacholine inducing a 20% decline in FEV₁ [8]. However, forced expiratory tests may present some disadvantages in practice. These tests are effort-dependent, thus requiring the active co-operation of the subject. Moreover, forced inspiration may influence bronchial tone and, consequently, affect the bronchial

response, underestimating the effect of bronchoconstrictor agents [8, 9].

An alternative method of measuring pulmonary function is the assessment of respiratory impedance by the forced oscillation technique (FOT), which is increasingly performed in pulmonary function studies. This method has the major advantage of being a simple method, requiring only the passive co-operation of the subject, who breathes quietly at tidal volume during the test [10–12].

As regards the performance of the FOT in the evaluation of BHR, most studies [13–16] have shown a fair correlation between the forced oscillation parameters and the classical forced expiratory test parameters. These validation studies were based mainly on asthmatic patients. As far as we know, no study has been conducted in working populations for which the simplicity of the FOT could constitute a substantial advantage.

The aim of the present study was to assess the performance of the FOT in comparison with spirometry during a bronchial challenge test in a Tunisian working population with normal baseline pulmonary function.

Subjects, materials and methods

Subjects

The present study was based on a cross-sectional survey conducted in Tunisia. One hundred and nineteen male active workers of three occupational groups participated in the study; the first group consisted of 48 blue collar workers at a chemical industrial plant (production of pesticides) in the region of Gabes, who were exposed to low levels of SO₂ and NO₃; the second group consisted of 41 office workers at the same plant, and the third group consisted of 30 subjects working in the hotel trade in the region of Bizerte. The last two groups were free of any known present exposure to respiratory pollutants. The study was conducted over one month in April 1989, and all tests were performed within each workplace. The subjects included were those actually at work during this period who agreed to participate in the present survey. Furthermore, subjects with FEV, less than 80% of the European Community for Coal and Steel reference values [17] were excluded from the study.

The mean age of the subjects was 34 yrs (sp 7 yrs). Fifty eight percent of the subjects were smokers, with a mean cumulative tobacco consumption of 10 pack-years (sp 9 pack-years). The mean duration of employment was 11 yrs (sp 7 yrs). For the baseline FVC and FEV₁, the average ratios of observed to reference values were close to unity, confirming that the subjects were reasonably healthy (percentages of predicted value were 96±10 and 97±10 for FVC and FEV₁, respectively).

Data collection

Questionnaire. Information on demographic data and smoking habits was obtained by means of a standardized questionnaire.

Spirometry. A lung function test was carried out in each workplace using a spirometer Vicatest® No. 5. The parameters measured included FVC and FEV₁. For these two parameters, the reference values of the European Community for Coal and Steel [17] were used to calculate the ratios of measured to reference values.

Three manoeuvres were executed, and the best FEV₁ and FVC values were retained [17].

Forced oscillation method. Respiratory impedance was determined by means of the standard FOT, as described previously [10, 11]. Pressure oscillations, generated by two loudspeakers, were applied to the subject's mouth, while he was comfortably seated with his elbows on the table and his cheeks firmly supported. The excitation was a band-pass filtered random noise with a frequency content ranging from 3–25 Hz. Flow was sensed by a Lilly type pneumotachograph (Jaeger, Wurzburg; resistance 0.35 hPa·s·l·¹) connected to a differential pressure transducer (Sensym SCX OID, ±70 hPa), and pressure was sensed by a similar transducer. Both signals

were low-pass filtered at 25 Hz, and then sampled at 128 Hz for periods of 12 s. Auto and cross-spectra of flow and pressure were estimated every 0.25 Hz for adjacent 4 s blocks and averaged over each 12 s period to yield an estimate of the impedance and coherence function (γ^2). Spectra were then averaged again over three 12 s periods in which more than 80% of the coherence values were higher than 0.8 between 3 and 25 Hz. A single estimate of the real (Zr), and imaginary components (Zi) of impedance and coherence function was, thus, obtained as a function of frequency (f).

A simple description of impedance data corresponding to $\gamma^2 > 0.8$ was obtained as follows. Resistance was described by a linear model: $Zr=R_0+P\times f$, where R_0 is the extrapolated resistance at zero frequency and P accounts for the frequency dependence of resistance; Zi was characterized by the resonant frequency (F), which was evaluated as the frequency at which Zi(f)=0.

Methacholine challenge testing. Bronchial challenge testing was performed using solutions containing 1.25% of methacholine, which was delivered by a Mediprom® dosimeter. Each inhalation delivered 50 µg of methacholine. Pulmonary function tests were performed at cumulative doses of 100, 300, 600 and 1000 µg. The forced expiratory manoeuvres were systematically executed before and at the end of the methacholine challenge test, but not at the intermediate doses. By contrast, the forced oscillation manoeuvres were performed 1 min after the end of each aerosol breathing period. The challenge test was continued until R₀ exhibited a twofold increase. In this case, the FEV₁ was measured. If the subject exhibited a 20% decline in FEV₁ from the baseline value, the challenge test was stopped. Otherwise, the test was continued until the highest concentration of methacholine was reached. The test was also stopped when the subject complained of respiratory symptoms.

Data analysis. The results from the methacholine challenge test for spirometric data were examined using two types of index:

1) Percentage decline in FEV $_1$ as a qualitative measure of BHR, which is usually defined by a 20% decline in FEV $_1$ [18]; nevertheless, some authors have suggested that a lower level of change could indicate the presence of BHR [7, 19, 20]; in the present study, three threshold values were considered: 20, 15 and 10% fall in FEV $_1$ where Δ FEV $_1$ % was defined by the following relation:

$$\Delta FEV_1\% = \frac{\text{baseline } FEV_1 - FEV_1 \text{ at the final dose}}{\text{baseline } FEV_1}$$

2) A dose-response slope as a quantitative measure of BHR calculated for each subject as:

cumulative dose of methacholine inhaled

according to O'Connor et al. [21].

Similar indices were examined for the forced oscillation parameters:

1)
$$\Delta R_0 \% = \frac{R_0 \text{ at final dose - baseline } R_0}{\text{baseline } R_0}$$

 $\Delta P = P$ at final dose - baseline P Where P = frequency dependence of resistance. (ΔP was chosen instead of $\Delta P\%$ because the baseline values of P are distributed around zero in healthy subjects);

$$\Delta F\% = F$$
 at final dose-baseline F baseline F

where F = resonant frequency.

2) Dose-response slopes were established for each parameter by dividing the previous forced oscillation indices by the cumulative dose of methacholine.

The relationships between FOT and spirometry were examined considering the latter as the reference. Firstly, forced oscillation parameters were compared at each cumulative dose of methacholine between responsive ($\Delta FEV_1\%$ greater than 20%) and normal subjects (ΔFEV₁% less than 20%) using the Wilcoxon nonparametric test. Secondly, Pearson correlation coefficients between spirometric and forced oscillation indices were calculated. Finally, sensitivity and specificity of the variations in forced oscillation parameters during bronchial challenge test were examined by receiver operating characteristic (ROC) curves [22] according to the presence of BHR, defined by 10, 15 and 20% Δ FEV₁. ROC curves made it possible to show the true positive rate (sensitivity) versus the false positive rate (1 - specificity) at various levels of change in FOT parameters, and to determine the cut-off value corresponding to the greatest number of well-classified subjects.

All statistical analyses were performed using the SAS software package.

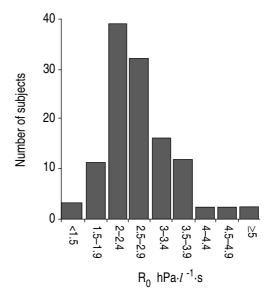
Results

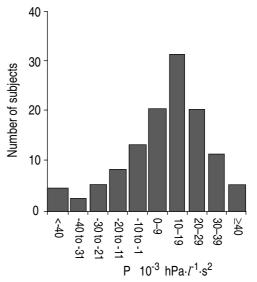
The baseline forced oscillation parameters were not normally distributed (fig 1). Table 1 shows the baseline value and the variation of these parameters at each cumulative dose.

Table 1. – Changes in forced oscillation parameters during bronchial challenge test

Treatment	Subjects n	R_0 $hPa \cdot l^{-1} \cdot s$	P ×10 ⁻³ hPa· <i>l</i> ⁻¹ ·s ²	F Hz
Baseline Methacholi	119	2.7±0.8	9.2±20.3	10.1±2.9
100 μ 300 μ 600 μ 1000 μ	g 119 g 118	3.0±1.0 3.3±1.2 3.6±1.3 3.9±1.4	2.2±29.7 -9.8±39.4 -17.7±43.1 -26.8±49.6	11.1±4.2 12.6±5.5 14.5±6.3 15.7±6.9

Data are presented as mean \pm standard deviation. R_0 : resistance; P: frequency dependence of resistance; F: resonant frequency.





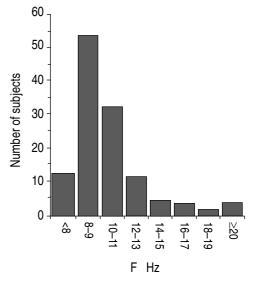


Fig. 1. – Distribution of baseline forced oscillation parameters. R_0 : resistance. P: frequency dependence of resistance; F: resonant frequency.

Table 2. – Comparison of function	nal parameters duri	ng bronchial challeng	e between h	nyperreactive(∆FEV₁%
≥20%) and normal subjects (△FEV ₁ %	<20%)			

Treatment Baseline	Functional parameter R ₀	Hyperreactive subjects n=12		Normal subjects n=107		p value [†]
		2.7	(1.8–4.9)	2.6	(1.3–5.4)	NS
	P	3.5	(-44–32)	13	(-51–48)	NS
	F	10	(8–20)	9	(6–23)	NS
Methacholine 100 μg	R_0	3	(1.8-6.4)	2.7	(1.4–5.8)	NS
	P	-7.5	(-117–29)	11	(-83–50)	*
	F	13	(8–29)	9	(6–25)	**
Methacholine 300 μg	R_0	3.7	(1.4–7.5)	3	(1.4–6.5)	NS
	m P	-37.5	(-203–23)	3	(-123–44)	**
	F	17	(10-30)	10	(6–28)	***
Methacholine 600 μg*	R_0	4.3	(1.8-7.5)	3.4	(1.5-7.7)	*
	P	-64	(-157–30)	-5	(-132–53)	**
	F	21	(11-30)	12	(6–32)	***
Methacholine 1000 μg^{**}	R_0	4.9	(3–8)	3.6	(1.6–9.9)	*
	$\overset{\circ}{\mathrm{P}}$	-83	(-188–18)	-8.5	(-207–53)	**
	F	25.5	(14–34)	13	(7–33)	***

Data are presented as median, and range in parenthesis. R_0 : hPa· l^{-1} ·s; P: 10^{-3} hPa· l^{-1} ·s²; F: Hz; †: Wilcoxon nonparametric test; *: values of R_0 , P and F were calculated from only 11 hyperreactive subjects; **: values of R_0 , P and F were calculated from only 9 hyperreactive subjects and 106 normal subjects. ΔFEV_1 : change in forced expiratory volume in one second; NS: nonsignificant. For further abbreviations see legend to table 1.

In the study sample, 10% of the subjects were considered to be hyperreactive ($\Delta FEV_1\% \ge 20\%$). The three forced oscillation parameters were significantly different according to the presence of 20% ΔFEV, during the methacholine challenge test (table 2) except for R_0 at the doses of 100 and 300 µg. Higher R₀, more negative frequency dependence of resistance and higher resonant frequency were observed among the hyperreactive subjects compared to normal subjects ($\Delta FEV_1\% < 20\%$). The difference in dose-response slope was highly significant for R₀ between hyperreactive and normal groups, the former exhibiting a higher value than the latter (data not shown). As expected, none of the baseline respiratory parameters of either method were able to distinguish between subjects according to BHR. Spirometric and forced oscillation indices were significantly correlated, as correlation coefficients of $\Delta R_0\%$, ΔP , and $\Delta F\%$ with $\Delta FEV_1\%$ were 0.37 (p<0.001), -0.42 (p<0.001) and 0.39 (p<0.001), respectively. Moreover, correlation coefficients of the dose-response slope of R₀, P and F with the dose-response slope of FEV₁ were even higher (0.58 (p<0.001); -0.60 (p<0.001); and 0.56 (p<0.001), respectively).

Figure 2 shows ROC curves corresponding to the sensitivity and specificity of change in FOT parameters compared to $\Delta FEV_1\%$. The cut-off point values of $\Delta R_0\%$, ΔP , and $\Delta F\%$ with the largest number of well-classified subjects according to the 20% ΔFEV_1 were as follows; 1) for $\Delta R_0\%$, an increase of 65% corresponded to 75% sensitivity and 76% specificity; 2) for ΔP , a decrease of 65×10^{-3} hPa· l^{-1} ·s² corresponded to a sensitivity of 58% and a specificity of 83%; and 3) for $\Delta F\%$, an increase of 50% corresponded to a sensitivity of 75% and a specificity of 62%.

Overall the choice of 15 and 10% ΔFEV_1 gave no additional information for the determination of the best sensitivity and specificity of change in FOT parameters.

Discussion

For clinical purposes, the FEV₁ derived indices during challenge tests have demonstrated their sensitivity and reproducibility in distinguishing between asthmatic and nonasthmatic subjects [8, 18, 23]. However, deep inspiration before recording FEV, could affect bronchial tone [9]. Moreover, repetitive spirometry manoeuvres during bronchial challenge are exhausting for the subjects [18]. These two points underlined the advantage of the FOT, which can be easily performed at the workplace with only the passive co-operation of the subjects [15, 24-26]. This method has been used in epidemiological surveys, and has provided complementary information to forced expiration tests in the detection of early airway abnormalities [12, 27, 28], although it has been criticized because of the influence of the upper airway artefact on the sensitivity of the FOT [12]. The twoparameters model used in our study constitutes a simple method of describing the mean level of resistance and its degree of frequency dependence. The change in frequency dependence of resistance at increasing doses of methacholine is known to reflect increasing airway obstruction, as described by previous authors [13, 29, 30].

The FOT has been used by several investigators for bronchial challenge tests in children [13, 14] and in asthmatic subjects with different degrees of airway obstruction [15, 16, 25, 29–32]. To our knowledge, this study is the first attempt to evaluate the usefulness of this method to measure bronchial hyperresponsiveness in active workers.

Concerning the comparison between hyperresponsive (hyperreactive) and nonresponsive (normal) subjects, we found that the dose-response slope in particular, was more pronounced for R_0 , P and F for the hyperreactive group of subjects with a 20% fall in FEV₁. This

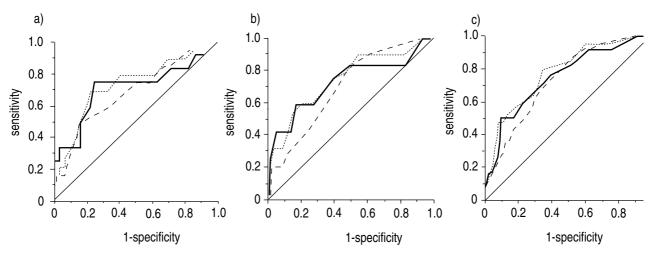


Fig. 2. — Receiver operating characteristics (ROC) curves of : a) $\Delta R_0\%$; b) ΔP ; and c) $\Delta F\%$ according to 20, 15 and 10% fall in FEV₁. —— : $\Delta R_0\%$, ΔP and $\Delta F\%$ vs 20% ΔFEV_1 ; ——— : $\Delta R_0\%$, ΔP and $\Delta F\%$ vs 15% ΔFEV_1 ; ——— : $\Delta R_0\%$, ΔP and $\Delta F\%$ vs 10% ΔFEV_1 . FEV₁: forced expiratory volume in one second; R_0 : resistance; P: frequency dependence of resistance; F: resonant frequency.

was in agreement with Begin *et al.* [15] who observed a similar pattern in a histamine challenge test. Wouters *et al.* [30] could not distinguish normal subjects from asthmatics using baseline values of resistance. However, at the end of the histamine test, differences in mean resistance and resistance at low frequencies were highly significant between both groups.

In our study, we observed significant correlations between % fall in FEV_1 and % increase in R_0 , and between %fall in FEV_1 and decrease in P, with, however, lower coefficients than those reported in previously published studies. Most investigators found significant correlations between changes in FEV_1 and changes in resistance at low frequency using various indices (13–16), in asthmatic patients or in subjects with various degrees of bronchial obstruction.

The ROC curves [20, 22], which simultaneously present the sensitivity and specificity of a diagnostic procedure, can be used to determine the cut-off points corresponding to the best discrimination of subjects according to the reference method. The thresholds in variation of forced oscillation parameters corresponding to the greatest number of subjects correctly classified according to the 20% ΔFEV_1 , showed sensitivities ranging between 58-75% and specificities between 62-83%. These values could be considered fairly good, although higher values have been observed. To distinguish between asthmatic and nonasthmatic subjects, Wouters et al. [30] found a sensitivity of 92% and a specificity of 61% with the so-called conductance parameter $(R_{8HZ}/(R_{8HZ}^2+X_{8HZ}^2)$, where X is the reactance) at low frequencies, during a histamine test. VAN NOORD et al. [29] examined three methods of measurement of BHR: plethysmography, forced expiratory manoeuvres and FOT, in 53 asthmatic subjects. Using a multivariate analysis with the 40% decrease in specific conductance or 15% decrease in FEV₁ as dependent variable, the authors showed that the most relevant parameters were the specific conductance, FEV_1 and the reciprocal of R_{6Hz} and that of mean resistance. The sensitivity of the technique of forced oscillation used in the present study was compared to plethysmography by Chinet *et al.* [31] in normal and asthmatic subjects. According to the authors, the two techniques provided comparable information for the measurement of bronchial responsiveness.

Some factors may have contributed to a low accuracy of the sensitivity and specificity of FOT in our study. In particular, the prevalence of hyperreactivity was rather low (10%). In the studies conducted in the general population, the prevalence of hyperreactivity varied from 10-25% [33–36]. With the maximal dose of 1,000 μ g, which was lower than the usual dose of 2,000 μ g to 5,000 μ g [2, 21], we certainly underestimated the percentage of responders.

In conclusion, this study is the first reported application of the measurement of bronchial reactivity by the FOT in an active working population. Comparison with forced expiratory manoeuvres showed a significant correlation between the FEV₁ variation during the methacholine challenge test and variation of the resistance, frequency dependence and resonant frequency, allowing the determination of the corresponding values of the FOT indices of hyperreactive subjects. The differences between hyperreactive and normal subjects were highly significant for these parameters. These preliminary results demonstrate the usefulness of the FOT for assessment of bronchial reactivity in occupational epidemiology. However, further studies are needed in subjects with different occupational exposures.

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