



# Lung function trajectory in progressive fibrosing interstitial lung disease

Justin M. Oldham<sup>1,8</sup>, Cathryn T. Lee<sup>2,8</sup>, Zhe Wu<sup>3,4</sup>, Willis S. Bowman<sup>1</sup>, Janelle Vu Pugashetti<sup>1</sup>, Nam Dao<sup>1</sup>, James Tonkin<sup>3,4</sup>, Hasan Seede<sup>5</sup>, Gabrielle Echt<sup>1</sup>, Ayodeji Adegunsoye<sup>2</sup>, Felix Chua<sup>3,4</sup>, Toby M. Maher<sup>6</sup>, Christine K. Garcia<sup>7</sup>, Mary E. Streck<sup>2</sup>, Chad A. Newton<sup>5,9</sup> and Philip L. Molyneux<sup>3,4,9</sup>

<sup>1</sup>Division of Pulmonary, Critical Care and Sleep Medicine, University of California at Davis, Sacramento, CA, USA. <sup>2</sup>Section of Pulmonary and Critical Care Medicine, University of Chicago, Chicago, IL, USA. <sup>3</sup>National Heart and Lung Institute, Imperial College London, London, UK. <sup>4</sup>Royal Brompton Hospital, London, UK. <sup>5</sup>Division of Pulmonary and Critical Care Medicine, University of Texas Southwestern Medical Center, Dallas, TX, USA. <sup>6</sup>Division of Pulmonary, Critical Care and Sleep Medicine, University of Southern California, Los Angeles, CA, USA. <sup>7</sup>Dept of Internal Medicine, Division of Pulmonary and Critical Care Medicine, Columbia University, New York, NY, USA. <sup>8</sup>These authors contributed equally. <sup>9</sup>These authors contributed equally.

Corresponding author: Justin M. Oldham ([joldham@ucdavis.edu](mailto:joldham@ucdavis.edu))



Shareable abstract (@ERSpublications)

**Lung function trajectory varies considerably following ILD diagnosis and after satisfying proposed PF-ILD criteria according to ILD diagnosis. These findings suggest diagnosis should be taken into consideration when designing ILD clinical trials.** <https://bit.ly/2ZF8C7V>

**Cite this article as:** Oldham JM, Lee CT, Wu Z, *et al.* Lung function trajectory in progressive fibrosing interstitial lung disease. *Eur Respir J* 2022; 59: 2101396 [DOI: 10.1183/13993003.01396-2021].

Copyright ©The authors 2022.  
For reproduction rights and  
permissions contact  
[permissions@ersnet.org](mailto:permissions@ersnet.org)

Received: 18 May 2021  
Accepted: 20 Oct 2021

## Abstract

**Background** Proposed criteria for progressive fibrosing interstitial lung disease (PF-ILD) have been linked to increased mortality risk, but lung function trajectory after satisfying individual criteria remains unknown. Because survival is rarely employed as the primary end-point in therapeutic trials, identifying PF-ILD criteria that best predict subsequent change in forced vital capacity (FVC) could improve clinical trial design.

**Methods** A retrospective, multicentre longitudinal cohort analysis was performed in consecutive patients with fibrotic connective tissue disease-associated ILD (CTD-ILD), chronic hypersensitivity pneumonitis and idiopathic interstitial pneumonia at three US centres (test cohort) and one UK centre (validation cohort). 1-year change in FVC after satisfying proposed PF-ILD criteria was estimated using joint modelling. Subgroup analyses were performed to determine whether results varied across key subgroups.

**Results** 1227 patients were included, with CTD-ILD predominating. Six out of nine PF-ILD criteria were associated with differential 1-year change in FVC, with radiological progression of fibrosis, alone and in combination with other features, associated with the largest subsequent decline in FVC. Findings varied significantly by ILD subtype, with CTD-ILD demonstrating little change in FVC after satisfying most PF-ILD criteria, while other ILDs showed significantly larger changes. Findings did not vary after stratification by radiological pattern or exposure to immunosuppressant therapy. Near-term change in FVC after satisfying proposed PF-ILD criteria was heterogeneous depending on the criterion assessed and was strongly influenced by ILD subtype.

**Conclusions** These findings may inform future clinical trial design and suggest ILD subtype should be taken into consideration when applying PF-ILD criteria.

## Introduction

Progressive fibrosing interstitial lung disease (PF-ILD) is a devastating clinical phenotype characterised by progressive lung function decline despite therapy and confers a high morbidity and mortality [1–4]. While nearly all patients with idiopathic pulmonary fibrosis (IPF) ultimately adopt this behaviour, variable proportions of other ILD subtypes develop a progressive phenotype. Among the most common ILDs to result in PF-ILD are connective tissue disease-associated ILD (CTD-ILD), chronic hypersensitivity pneumonitis (CHP) and non-IPF idiopathic interstitial pneumonia (IIP) [2, 5]. A number of criteria have

been proposed to identify a PF-ILD phenotype, including categorical decline in lung function, increasing fibrotic extent on chest imaging, symptomatic worsening and composite measures of these [5–7].

While most proposed PF-ILD criteria associated with increased mortality risk [8–13], survival is rarely employed as a primary end-point in therapeutic trials due to the follow-up time required. Instead, near-term disease progression, measured by change in forced vital capacity (FVC), is generally utilised as the primary end-point in ILD clinical trials [5, 14] given its association with survival [8, 10]. Whether proposed PF-ILD criteria identify those most likely to experience subsequent FVC decline remains unclear. A recent *post hoc* analysis of the INBUILD (Efficacy and Safety of Nintedanib in Patients with Progressive Fibrosing Interstitial Lung Disease) trial suggested that FVC decline was heterogeneous depending on the PF-ILD criterion satisfied [15]. Such heterogeneity may have implications for clinical trial design, as currently proposed PF-ILD criteria may differentially select patients most likely to experience near-term change in FVC.

In this investigation we conducted a multicentre, retrospective, longitudinal cohort analysis of patients with CTD-ILD, CHP and non-IPF IIP to estimate mean change in FVC following ILD diagnosis and after satisfying potential criteria for PF-ILD. We hypothesised that 1-year change in FVC after satisfying individual PF-ILD criteria would be heterogeneous. A test and validation approach was pursued, with three US centres comprising the test cohort and one UK centre the validation cohort. Heterogeneity analyses were performed to determine whether change in FVC after satisfying proposed PF-ILD criteria varied by key subgroups, including ILD subtype, CTD subtype, IIP subtype, radiological pattern, baseline FVC and treatment exposure.

## Methods

### Study cohorts

This study was performed at the University of California at Davis (UC-Davis; Sacramento, CA, USA), University of Chicago (UChicago; Chicago, IL, USA), University of Texas Southwestern (UTSW; Dallas, TX, USA) and Royal Brompton Hospital (RBH; London, UK), with US-based centres serving as the test cohort and RBH serving as the validation cohort. All US patients provided informed consent to participate in site-specific ILD registries (UC-Davis 928979, UChicago 13-1180, and UTSW 092017-007 and 082010-127) and UK approval for the study was obtained from the local Research Ethics Committee (19/LO/1879). Consecutive patients aged  $\geq 18$  years with a multidisciplinary diagnosis of CTD-ILD, CHP and non-IPF IIP undergoing evaluation for ILD at UC-Davis (January 2014–June 2018), UChicago (January 2006–June 2018), UTSW (January 2006–June 2018) and RBH (January 2010–December 2014) were identified (supplementary figure E1). Patients with at least 6 months of follow-up and two pulmonary function tests (PFTs) performed following baseline PFT were eligible for inclusion. Patients were excluded when 1) fibrotic extent was determined to be  $< 10\%$  on high-resolution computed tomography (HRCT) performed at the time of presentation, as determined by site investigator, 2) baseline HRCT was unavailable, 3) baseline spirometry and gas transfer measures were unavailable or 4) first follow-up PFT was performed  $> 24$  months after baseline PFT.

The CTD-ILD cohort was comprised of patients with ILD due to systemic sclerosis (SSc), rheumatoid arthritis, idiopathic inflammatory myopathy (IIM), Sjögren's disease, systemic lupus erythematosus, mixed connective tissue disease and vasculitis. The IIP cohort was comprised of patients with idiopathic non-specific interstitial pneumonia, cryptogenic organising pneumonia and unclassifiable ILD. Treatment exposure was determined by review of the medical record with dates of antifibrotic (pirfenidone or nintedanib) and immunosuppressant (mycophenolate mofetil, azathioprine, rituximab or cyclophosphamide) therapy recorded for each patient. Treatment exposure was considered present when exposure time exceeded  $> 50\%$  of the follow-up period after satisfying each proposed PF-ILD criterion.

### PF-ILD criteria

We assessed six previously proposed PF-ILD criteria and their constituent parts. Previously proposed PF-ILD criteria:  $\geq 5\%$  absolute decline in FVC % pred [6, 7]; 5–9% relative decline in FVC % pred with worsening respiratory symptoms [5, 16]; 5–9% relative decline in FVC % pred with CT progression of fibrosis [5, 16]; 5–9% relative decline in FVC % pred with  $\geq 15\%$  relative decline in diffusing capacity of the lung for carbon monoxide ( $D_{LCO}$ ) % pred [16];  $\geq 10\%$  relative decline in FVC % pred [5, 16]; and CT progression of fibrosis with worsening respiratory symptoms [5, 16]. PF-ILD criteria constituent parts:  $\geq 5\%$  relative decline in FVC % pred alone; CT progression of fibrosis alone; and  $\geq 15\%$  relative decline in  $D_{LCO}$  % pred alone.

The PFT performed closest to the date of evaluation at each centre served as the entry date for time-to-event modelling and when determining annual change in FVC following ILD diagnosis. The date of increasing extent of fibrosis and first observed categorical decline in FVC and  $D_{LCO}$  was identified using the medical record and longitudinal PFT databases at each institution, respectively, and served as the entry date for estimating 1-year change in FVC after satisfying proposed PF-ILD criteria. The  $\geq 15\%$  relative decline in  $D_{LCO}$  criterion was considered satisfied when unable to perform this manoeuvre. Date of worsening fibrosis extent on HRCT, defined qualitatively by any mention of fibrotic “worsening” or “progression”, was ascertained by review of the radiology report. Worsening respiratory symptoms were considered present when documented within 180 days of the associated PF-ILD criterion of interest (CT progression or 5–9% relative FVC decline). PF-ILD criteria were applied until 1 July 2019 and follow-up PFTs evaluated through 30 June 2020.

### *Longitudinal FVC analysis*

Mean annual change in FVC following ILD diagnosis and mean 1-year change in FVC after satisfying PF-ILD criteria were estimated using a joint model. Joint modelling includes a mixed effects submodel to account for repeated measures and a survival submodel to account for the effect of informative dropout (due to death or lung transplant) on the repeated measure of interest (FVC) [17, 18]. The longitudinal submodel employed included a random intercept term, a fixed slope term and an independent covariance structure. The survival submodel employed assumed a Weibull distribution. Each submodel was adjusted for cohort, ILD diagnosis, sex, race and HRCT pattern at baseline, age, FVC (% pred) and  $D_{LCO}$  (% pred) at the time a PF-ILD criterion was satisfied, and immunosuppressant exposure after satisfying a PF-ILD criterion. Missing  $D_{LCO}$  values at the time a PF-ILD criterion was satisfied were imputed to the lowest quartile mean (25%) when unable to be performed.

Cohorts were combined and heterogeneity testing performed using a subgroup-by-time interaction term to assess whether the association between PF-ILD criteria and subsequent FVC change varied between key subgroups, including cohorts, US centres, ILD subtype, CTD-ILD subtypes, IIP subtypes, radiological pattern subtypes, baseline FVC subgroups and treatment groups. All statistical analyses were performed using Stata version 16 (StataCorp, College Station, TX, USA). Statistical significance was set to  $p < 0.006$  to correct for multiple testing of nine PF-ILD criteria.

## **Results**

### *Cohort characteristics*

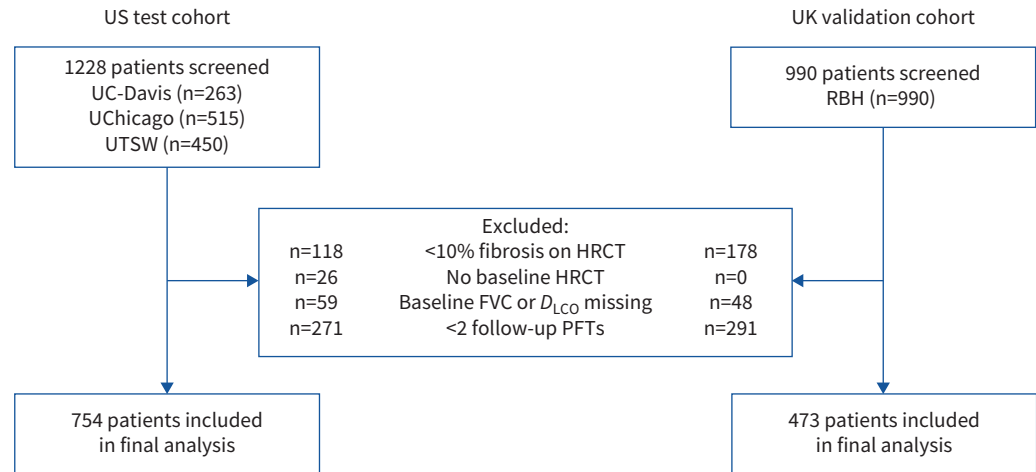
Of 1228 patients screened in the US cohort and 990 patients screened in the UK cohort, 754 and 473 met inclusion and exclusion criteria, respectively (figure 1). CTD-ILD was the most common ILD subtype, comprising  $\sim 40\%$  of each cohort, followed by non-IPF IIP and CHP (table 1). US cohort patients were older than UK cohort patients (61.7 versus 58.7 years), with slightly higher proportions of males and non-White race. Patients had moderate lung function impairment at the time of enrolment, with those in the US cohort having higher mean FVC and  $D_{LCO}$  % pred. A definite or probable usual interstitial pneumonia (UIP) pattern was observed in 26% of US patients and 11% of UK patients. Over half of patients in each cohort received immunosuppressant therapy during the follow-up period, with higher usage in the UK cohort (69% compared with 53% in the US cohort). A small percentage of each cohort received antifibrotic therapy during the follow-up period.

### *FVC trajectory after ILD diagnosis*

Mean annual FVC change following ILD diagnosis was  $-69.9$  mL (95% CI  $-75.5$ – $-64.4$  mL) in the US cohort and  $-50.0$  mL (95% CI  $-55.6$ – $-44.4$  mL) in the UK cohort (figure 2a). After combining cohorts, mean annual FVC change was  $-37.2$  mL (95% CI  $-42.9$ – $-31.6$  mL) in the CTD-ILD cohort ( $n=487$ ),  $-92.0$  mL (95% CI  $-99.6$ – $-84.3$  mL) in the CHP cohort ( $n=342$ ) and  $-69.5$  mL (95% CI  $-77.2$ – $-61.8$  mL) in the IIP cohort ( $n=398$ ) (figure 2b). Among those with CTD-ILD, IIM-ILD patients demonstrated relatively little FVC change and more pronounced, yet similar, rates of FVC decline among other CTD-ILDs (figure 2c). Among those with IIP, unclassifiable ILD patients displayed significantly more annual FVC decline compared with those with idiopathic non-specific interstitial pneumonia (figure 2d). Among radiological subgroups, those with definite/probable UIP experienced more annual FVC decline compared with those with non-UIP patterns (figure 2e). After stratification by baseline FVC, little annual change in FVC was observed among patients with baseline FVC  $< 45\%$  predicted, while larger declines were observed in those with FVC 45–80% and  $> 80\%$  predicted (figure 2f).

### *PF-ILD natural history*

The most common PF-ILD criteria satisfied was  $\geq 5\%$  relative FVC decline, which was observed in 72.3% of patients in the US cohort and 65.8% of patients in the UK cohort (supplementary table E1). Among



**FIGURE 1** STROBE (Strengthening the Reporting of Observational Studies in Epidemiology) diagram. UC-Davis: University of California at Davis; UChicago: University of Chicago; UTSW: University of Texas Southwestern; RBH: Royal Brompton Hospital; HRCT: high-resolution computed tomography; FVC: force vital capacity;  $D_{LCO}$ : diffusing capacity of the lung for carbon monoxide; PFT: pulmonary function test.

those experiencing 5–9% relative decline in FVC, concurrent  $D_{LCO}$  decline of  $\geq 15\%$  was more common than concurrent CT progression of fibrosis or worsening respiratory symptoms. When assessing the time to satisfy key features of the PF-ILD criteria (figure 3 and supplementary table E1), median time was shortest for  $\geq 5\%$  relative FVC decline and longest for CT progression of fibrosis in each cohort. The median time to satisfy each feature was generally longer in the UK cohort compared with the US cohort. Similar PF-ILD natural history was observed when stratifying the combined cohort by ILD diagnosis (supplementary figure E2) and HRCT pattern (supplementary figure E3).

#### *FVC trajectory after satisfying PF-ILD criteria*

Differential 1-year change in FVC was observed after satisfying seven of nine proposed PF-ILD criteria in the US cohort, six of which replicated in the UK validation cohort (table 2). CT progression of fibrosis, alone and in combination with other measures, was associated with the largest subsequent FVC decline in both cohorts, followed by 5–9% FVC decline with worsening respiratory symptoms and 5–9% FVC decline with  $\geq 15\%$   $D_{LCO}$  decline. One-year change in FVC following categorical declines in FVC of  $\geq 5\%$  and  $\geq 10\%$  was roughly half that observed following ILD diagnosis alone. Interaction testing did not detect heterogeneity in FVC trajectory after satisfying individual PF-ILD criterion between US and UK cohorts (table 2) or between individual US centres (supplementary table E2).

Significant heterogeneity in FVC change after satisfying PF-ILD criteria was observed between ILD subgroups and was driven primarily by the CTD-ILD cohort, as no further heterogeneity was observed between the CHP and IIP cohorts (table 3). Stratified analysis showed that four PF-ILD criteria were associated with subsequent change in FVC for those with CTD-ILD (table 3), while all PF-ILD criteria were associated with significant subsequent decline in FVC in those with CHP and non-IPF IIP. CT progression of fibrosis, alone or in combination with other measures, was associated with the largest subsequent FVC decline in those with CHP and IIP (table 3), while 5–9% FVC decline with worsening respiratory symptoms was associated with the largest subsequent FVC decline in patients with CTD-ILD. Those with CTD-ILD had a significant subsequent increase in FVC after experiencing a categorical FVC decline of  $\geq 10\%$ , while those with CHP experienced only modest subsequent FVC decline.

When assessing CTD-ILD subgroups, significant heterogeneity was observed between those with IIM-ILD and other CTD-ILDs for several PF-ILD criteria (supplementary table E3). No further heterogeneity was detected after excluding patients with IIM-ILD (supplementary table E3). No heterogeneity was detected between IIP subgroups (supplementary table E4). Heterogeneity in 1-year FVC change after satisfying several PF-ILD criteria was observed between radiological subgroups (supplementary table E5) and physiological subgroups stratified by baseline FVC (supplementary table E6). When assessing treatment subgroups, no heterogeneity was observed among patients with and without antifibrotic exposure, although antifibrotic-exposed subgroups were small (supplementary table E7). No significant heterogeneity was

**TABLE 1** Baseline characteristics for the US test and UK validation cohorts

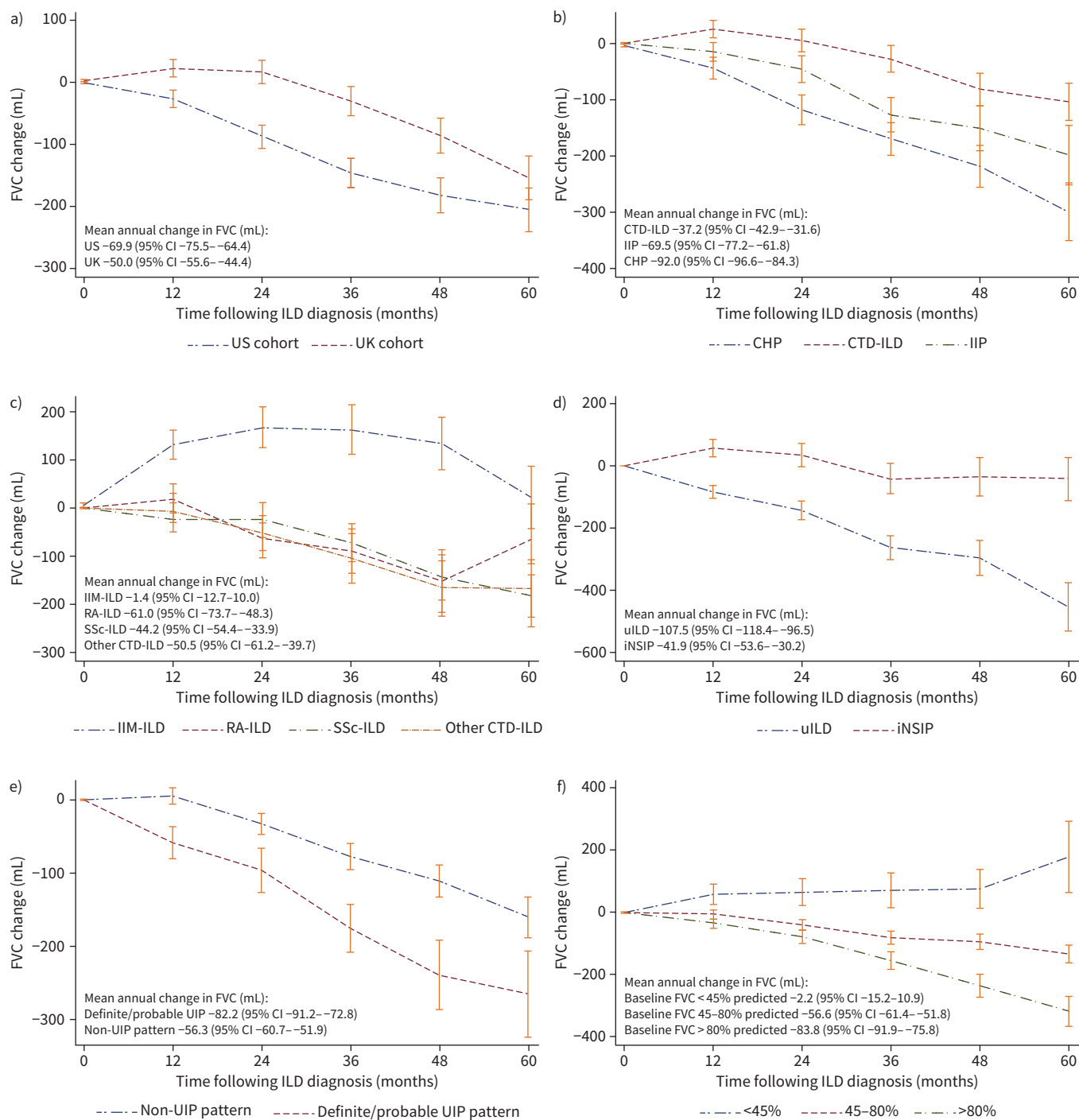
	US test cohort (n=754)	UK validation cohort (n=473)
<b>Centre</b>		
University of California at Davis	179 (23.7)	
University of Chicago	311 (41.3)	
University of Texas Southwestern	264 (35.0)	
Royal Brompton Hospital		473 (100)
<b>Age (years)</b>	61.7±12.3	58.7±12.7
<b>Male</b>	314 (41.6)	182 (38.5)
<b>Race/ethnicity</b>		
White	523 (69.4)	350 (74.0)
Black	141 (18.7)	18 (3.8)
Hispanic	60 (8.0)	0 (0)
Asian	25 (3.3)	105 (22.2)
Other/unknown	5 (0.7)	0 (0)
<b>Ever-smoker</b>	358 (47.5)	174 (36.8)
<b>Body mass index (kg·m<sup>-2</sup>)</b>	30.2±8.7	28.6±5.6
<b>ILD classification</b>		
CTD-ILD	303 (40.2)	184 (38.9)
RA-ILD	81 (10.7)	38 (8.0)
SSc-ILD	78 (10.3)	58 (12.3)
IIM-ILD	66 (8.8)	38 (8.0)
Other CTD-ILD	78 (14.7)	50 (14.8)
CHP	224 (29.7)	118 (25.0)
IIP (non-IPF)	227 (30.1)	171 (36.2)
iNSIP	90 (11.9)	78 (16.5)
uILD	136 (18.0)	72 (15.2)
COP	1 (0.2)	21 (6.5)
<b>Pulmonary function</b>		
FVC (% pred)	67.1±21.8	62.5±17.2
<i>D</i> <sub>LCO</sub> (% pred)	42.6±19.1	37.5±12.4
<b>HRCT pattern</b>		
Definite/probable UIP	193 (25.6)	53 (11.2)
Indeterminate for UIP	96 (12.7)	95 (20.1)
Alternate diagnosis	465 (61.7)	325 (68.7)
<b>Treatment</b>		
Antifibrotic exposure	93 (12.4)	26 (5.5)
Immunosuppressant treatment	406 (53.9)	328 (69.3)

Data are presented as n (%) or mean±sd. ILD: interstitial lung disease; CTD-ILD: connective tissue disease-associated ILD; RA-ILD: rheumatoid arthritis-associated ILD; SSc-ILD: systemic sclerosis-associated ILD; IIM-ILD: idiopathic inflammatory myopathy-related ILD; CHP: chronic hypersensitivity pneumonitis; IIP: idiopathic interstitial pneumonia; iNSIP: idiopathic non-specific interstitial pneumonia; uILD: unclassifiable ILD; COP: cryptogenic organising pneumonia; FVC: forced vital capacity; *D*<sub>LCO</sub>: diffusing capacity of the lung for carbon monoxide; HRCT: high-resolution computed tomography; UIP: usual interstitial pneumonia.

observed among subgroups with and without immunosuppressant exposure, irrespective of ILD diagnosis (supplementary table E8).

## Discussion

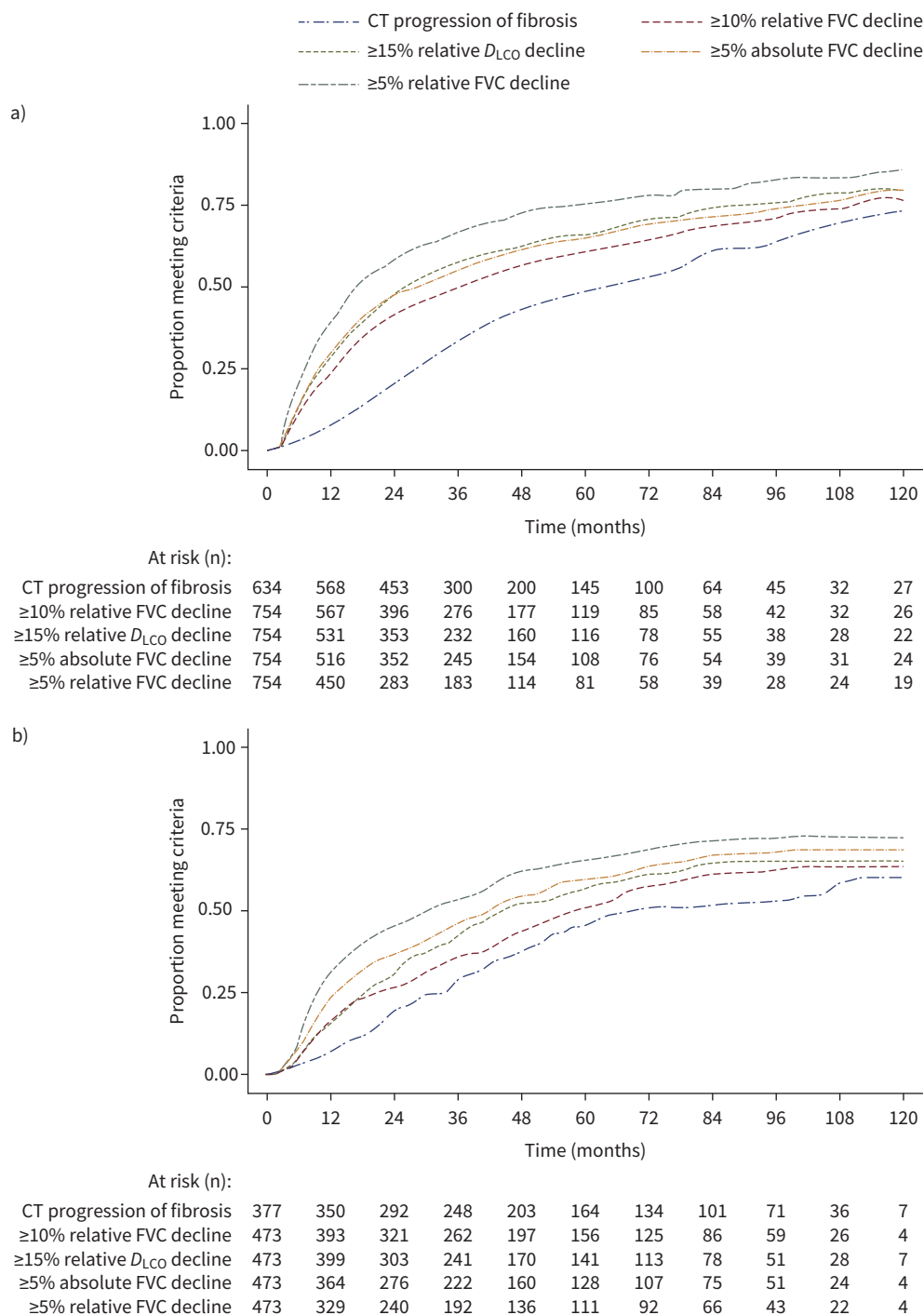
In this investigation we determined mean annual change in FVC following ILD diagnosis and 1-year change in FVC after satisfying previously proposed PF-ILD criteria in two geographically diverse non-IPF ILD cohorts. We demonstrated that mean annual change in FVC following ILD diagnosis was heterogeneous among key ILD strata and that mean 1-year change in FVC after satisfying proposed PF-ILD criteria was highly heterogeneous depending on the criterion satisfied. CT progression of fibrosis, alone and in combination with other features, better predicted subsequent FVC decline than categorical declines in FVC or *D*<sub>LCO</sub>. We also showed that these associations were strongly influenced by ILD subtype, with CTD-ILD patients showing significantly less change in FVC when compared with those with CHP and IIP. To the best of our knowledge, this study is the first to assess proposed PF-ILD criteria as they relate to near-term change in FVC, and sheds important light on the concept of PF-ILD, proposed



**FIGURE 2** Mean annual change in force vital capacity (FVC) following interstitial lung disease (ILD) diagnosis for subgroups stratified by **a)** cohort, **b)** diagnosis, **c)** connective tissue disease-associated ILD (CTD-ILD) diagnosis, **d)** idiopathic interstitial pneumonia (IIP) diagnosis, **e)** baseline high-resolution computed tomography pattern and **f)** baseline FVC. CHP: chronic hypersensitivity pneumonitis; IIM-ILD: idiopathic inflammatory myopathy-related ILD; RA-ILD: rheumatoid arthritis-associated ILD; SSc-ILD: systemic sclerosis-associated ILD; uILD: unclassifiable ILD; iNSIP: idiopathic non-specific interstitial pneumonia; UIP: usual interstitial pneumonia.

criteria underpinning this phenotype and the use of these criteria when designing future PF-ILD clinical trials.

The PF-ILD construct emerged from the observation that a proportion of patients with non-IPF ILD display an IPF-like natural history [1–3, 16]. This observation, and the shared pathobiology it implies,



**FIGURE 3** Time to computed tomography (CT) progression of fibrosis and key measures of lung function decline in the a) US and b) UK cohorts. FVC: force vital capacity;  $D_{LCO}$ : diffusing capacity of the lung for carbon monoxide.

resulted in several clinical trials aimed at determining whether antifibrotic therapy approved for the treatment of IPF also slowed lung function decline in patients with a PF-ILD phenotype [5, 6, 19]. Two trials of pirfenidone suggested therapeutic efficacy but suffered from technical issues [6] and low enrolment [7]. The INBUILD trial demonstrated nintedanib to effectively slow FVC decline in this population [5], leading to its recent approval. While the pirfenidone trials relied largely on an absolute decline in FVC of  $\geq 5\%$ , INBUILD inclusion criteria mandated that patients display  $\geq 10\%$  relative decline

**TABLE 2** One-year mean change in forced vital capacity (FVC) after satisfying proposed progressive fibrosing interstitial lung disease (PF-ILD) criteria

PF-ILD criterion	US test cohort			UK validation cohort			p-value US versus UK
	n	Change (mL (95% CI))	p-value	n	Change (mL (95% CI))	p-value	
≥5% absolute FVC decline	467	-31.9 (-56.5--7.2)	0.011	282	-37.7 (-63.6--11.8)	0.004	0.578
≥5% relative FVC decline	544	-32.0 (-53.9--10.1)	0.004	310	-27.9 (-51.9--51.9)	0.023	0.946
5-9% relative FVC decline with worsening respiratory symptoms <sup>#</sup>	124	-91.8 (-136.7--46.9)	<0.001	81	-92.3 (-138.1--46.6)	<0.001	0.956
5-9% relative FVC decline with CT progression of fibrosis <sup>#</sup>	86	-126.6 (-174.6--78.6)	<0.001	63	-155.5 (-216.6--94.4)	<0.001	0.483
5-9% relative FVC decline with ≥15% relative decline in <i>D</i> <sub>LCO</sub> <sup>#</sup>	179	-91.9 (-126.7--57.1)	<0.001	102	-86.3 (-124.2--48.4)	<0.001	0.920
≥10% relative FVC decline	436	-7.8 (-33.6--18.0)	0.552	249	-42.3 (-71.7--13.1)	0.005	0.066
≥15% relative <i>D</i> <sub>LCO</sub> decline	480	-64.0 (-87.6--40.5)	<0.001	268	-69.0 (-96.4--41.6)	<0.001	0.808
CT progression of fibrosis	291	-122.7 (-153.5--91.8)	<0.001	186	-140.3 (-176.1--104.5)	<0.001	0.491
CT progression of fibrosis with worsening respiratory symptoms	183	-144.1 (-185.1--103.2)	<0.001	168	-152.7 (-191.2--114.2)	<0.001	0.787

CT: computed tomography; *D*<sub>LCO</sub>: diffusing capacity of the lung for carbon monoxide. Model adjusted for cohort, ILD diagnosis, sex, race and high-resolution CT pattern at baseline, age, FVC (% pred) and *D*<sub>LCO</sub> (% pred) at the time a PF-ILD criterion was satisfied, and immunosuppressant exposure in the 12 months after a PF-ILD criterion was satisfied. <sup>#</sup>: excluded patients with ≥10% relative FVC decline.

in FVC or a combination of 5-9% FVC decline, increasing extent of fibrosis on HRCT or worsening respiratory symptoms in the 2 years prior to trial enrolment.

While those with CHP and IIP experienced similar rates of FVC decline after satisfying proposed PF-ILD criteria when compared with placebo-treated patients in the INBUILD trial, the same was not true for patients with CTD-ILD. This group experienced relatively little FVC decline after satisfying proposed PF-ILD criteria in both US and UK cohorts. Some heterogeneity in FVC trajectories was also likely

**TABLE 3** One-year mean change in forced vital capacity (FVC) after satisfying proposed progressive fibrosing interstitial lung disease (PF-ILD) criterion stratified by ILD subgroup

PF-ILD criterion	CTD cohort (n=487)		CHP cohort (n=342)		IIP cohort (n=398)		p-value CTD versus CHP/IIP	p-value CHP versus IIP
	n	Change (mL (95% CI))	n	Change (mL (95% CI))	n	Change (mL (95% CI))		
≥5% absolute FVC decline	279	21.7 (-7.5-50.9)	236	-58.6 (-90.1--27.1)	233	-79.1 (-112.8--45.3)	<0.001	0.319
≥5% relative FVC decline	321	18.8 (-6.7-44.2)	264	-60.9 (-90.3--31.4)	269	-63.1 (-94.4--31.9)	<0.001	0.770
5-9% relative FVC decline with worsening respiratory symptoms <sup>#</sup>	73	-84.5 (-142.0--27.1)	59	-97.7 (-164.0--31.4)	73	-95.8 (-143.7--48.0)	0.684	0.851
5-9% relative FVC decline with CT progression of fibrosis <sup>#</sup>	55	-41.2 (-105.4-23.0)	46	-200.8 (-277.8--123.8)	48	-188.6 (-238.4--138.7)	<0.001	0.771
5-9% relative FVC decline with ≥15% relative decline in <i>D</i> <sub>LCO</sub> <sup>#</sup>	96	-49.7 (-94.7--4.6)	78	-136.3 (-187.4--85.1)	107	-87.8 (-128.7--47.0)	0.028	0.108
≥10% relative FVC decline	251	48.6 (18.3-78.8)	221	-55.3 (-88.9--21.7)	213	-74.4 (-112.1--36.6)	<0.001	0.400
≥15% relative <i>D</i> <sub>LCO</sub> decline	280	-25.3 (-52.3-1.7)	217	-103.6 (-135.5--71.7)	251	-81.7 (-117.1--46.3)	0.001	0.363
CT progression of fibrosis	191	-51.8 (-87.7--16.0)	131	-187.2 (-237.2--137.2)	155	-179.1 (-216.5--141.7)	<0.001	0.929
CT progression of fibrosis with worsening respiratory symptoms	131	-65.8 (-113.4--18.2)	101	-222.3 (-281.3--163.4)	119	-180.8 (-223.1--138.6)	<0.001	0.328

CT: computed tomography; *D*<sub>LCO</sub>: diffusing capacity of the lung for carbon monoxide. Model adjusted for cohort, sex, race and high-resolution CT pattern at baseline, age, FVC (% pred) and *D*<sub>LCO</sub> (% pred) at the time a PF-ILD criterion was satisfied, and immunosuppressant exposure in the 12 months after a PF-ILD criterion was satisfied. <sup>#</sup>: excluded patients with ≥10% relative FVC decline



present in the INBUILD cohort, as a recent *post hoc* analysis by WELLS *et al.* [20] showed variable nintedanib treatment effect across individual ILDs, although without reporting ILD-specific FVC trajectories. The reason for variable progression among those with CTD-ILD and other fibrosing ILDs remains unclear, but likely stems in part from extrapulmonary manifestations of CTD and widespread use of immunosuppression.

As opposed to most ILDs, which are lung predominant, CTD leads to a wide array of systemic manifestations. Several of these manifestations, including muscle weakness, sclerodactyly and pulmonary hypertension, may lead to declines in FVC or  $D_{LCO}$  [21–23]. Treatment also likely affects disease course, as this class of therapy is widely used to treat CTD-ILD [2, 24, 25]. Although clinical trials have only been performed in patients with SSc-ILD [26, 27], this approach is supported by retrospective studies suggesting that immunosuppressant therapy can stabilise or improve lung function [28, 29]. Large majorities of our CTD-ILD patients were treated with immunosuppressant therapy, which parallels the observations of NASSER *et al.* [12] when characterising outcomes in a French PF-ILD cohort. While we did not detect heterogeneity in FVC trajectory after satisfying PF-ILD criteria among those with and without exposure to immunosuppressant therapy, indication bias may have influenced these findings. Studies are needed to assess the effects of combined immunosuppressant and antifibrotic therapy.

While we found that CT progression of fibrosis was the strongest predictor of subsequent FVC decline, we also observed that FVC decline itself was a poor predictor of subsequent FVC decline. These findings parallel those reported in patients with IPF [30, 31] but stand in stark contrast to those reported in a recent *post hoc* analysis of the INBUILD trial, in which annual FVC decline was highest among patients who experienced an FVC decline of  $\geq 10\%$  as part of trial inclusion criteria [15]. The reason for this discordance may stem from the fact that background immunosuppression was not allowed among INBUILD participants. By requiring objective evidence of progression despite standard management, the INBUILD cohort was likely enriched with patients with immunosuppressant refractory PF-ILD. These findings, and ours, suggest that investigation aimed at determining the prevalence of PF-ILD among those with CTD-ILD, along with identifying reliable clinical and molecular biomarkers of progressive CTD-ILD, is warranted. These data also highlight the importance of ensuring optimal immunosuppression is achieved when indicated.

We also demonstrate that the time to satisfy key CT and PFT features of the PF-ILD criteria was highly variable. These findings are in line with those published by NASSER *et al.* [12] and mimic real-world experience, in which patients progress at variable intervals and by different measures. While roughly half of patients satisfied key PF-ILD features within 5 years of ILD centre evaluation, a substantial number progressed after 5 years. This suggests that vigilance is needed when choosing to observe patients for PF-ILD evolution, as this may manifest at any point during their care. The frequency at which PFTs and chest CT scans should be performed in patients with ILD remains unclear, but our data suggest that regular intervals should be considered for each.

This study had several limitations. There were several notable differences in baseline characteristics, and between the US and UK cohorts, and a modestly higher mean annual rate of FVC decline in the US cohort. This may reflect differences in referral patterns, PFT testing and management strategies between countries. Next, the retrospective approach resulted in variable follow-up time for each patient, which precluded assessing individual PF-ILD criterion over a standardised time frame. Prospective validation of these results using protocolised CT and PFT acquisition is needed. Another limitation stems from potential heterogeneity in ILD diagnosis and radiological interpretation across centres. While all four centres in this study are experienced ILD centres, diagnostic agreement for specific ILD subtypes is variable [32] and likely resulted in differential classification across centres. Except for CTD-ILD, the consistency of results across ILD subtypes suggests that ILD classification matters less than physiological measures when assessing PF-ILD. In accordance with INBUILD criteria [5], we excluded patients with  $<10\%$  fibrosis on HRCT to ensure our study focused on fibrotic ILD. As such, it remains unclear how these findings apply to patients with more subtle fibrotic changes. We also excluded patients without follow-up PFTs, along with those who were unable to perform a  $D_{LCO}$  at baseline, which likely selected for patients with less severe disease. However, this approach is also in line with INBUILD inclusion criteria and ultimately necessary to identify patients with categorical FVC and  $D_{LCO}$  decline in this retrospective cohort. We also relied on the medical record to ascertain symptomatic worsening and increasing extent of fibrosis on HRCT. This is similar to INBUILD trial methodology but may have introduced ascertainment bias, especially with CT worsening defined qualitatively. With the emergence of quantitative CT analysis [33], efforts to establish quantitative thresholds for determining fibrotic progression are warranted. Finally, while our stratified analysis did not suggest heterogeneity in the rate of FVC change after satisfying PF-ILD

criteria between patients with and without immunosuppressant exposure, this analysis likely suffered from indication bias. These findings should be interpreted in this context and prospective testing of antifibrotic therapies in combination with immunosuppression is warranted. We focused our analysis of immunosuppressant drugs on steroid-sparing agents, ignoring prednisone use; however, prednisone monotherapy is rarely used at our institutions and is instead used in conjunction with steroid-sparing immunosuppressant agents.

### Conclusions

Lung function trajectory varies considerably after ILD diagnosis and is influenced by several factors, including ILD diagnosis, HRCT pattern and baseline FVC. Near-term change in FVC after satisfying proposed PF-ILD criteria is also heterogeneous depending on the criterion of interest and is strongly influenced by ILD diagnosis. These findings may inform future clinical trial design and suggest ILD subtype should be taken into consideration when applying PF-ILD criteria.

Author contributions: Study design: J.M. Oldham, C.T. Lee, C.A. Newton and P.L. Molyneaux. Data collection: J.M. Oldham, C.T. Lee, Z. Wu, W.S. Bowman, J.V. Pugashetti, N. Dao, J. Tonkin, H. Seede, G. Echt, A. Adegunsoye, C.K. Garcia, C.A. Newton and P.L. Molyneaux. Data analysis: J.M. Oldham and P.L. Molyneaux. Interpretation of results: J.M. Oldham, C.T. Lee, W.S. Bowman, J.V. Pugashetti, A. Adegunsoye, F. Chua, T.M. Maher, C.K. Garcia, M.E. Streck, C.A. Newton and P.L. Molyneaux. Manuscript preparation: J.M. Oldham, C.T. Lee, J.V. Pugashetti, T.M. Maher, M.E. Streck, C.A. Newton and P.L. Molyneaux.

Conflict of interest: J.M. Oldham reports grants from the National Institutes of Health, during the conduct of the study; personal fees from Boehringer Ingelheim, Genentech, Lupin, Gatehouse Bio and AmMax Bio, outside the submitted work. C.T. Lee has nothing to disclose. Z. Wu has nothing to disclose. W.S. Bowman has nothing to disclose. J.V. Pugashetti has nothing to disclose. N. Dao has nothing to disclose. J. Tonkin has nothing to disclose. H. Seede has nothing to disclose. G. Echt has nothing to disclose. A. Adegunsoye reports grants from the National Institutes of Health, personal fees for lectures and consultancy from Boehringer Ingelheim, personal fees for consultancy from Genentech, outside the submitted work. F. Chua has nothing to disclose. T.M. Maher has, *via* his institution, received industry-academic funding from AstraZeneca and GlaxoSmithKline R&D, and has received consultancy or lecture fees from AstraZeneca, Bayer, Blade Therapeutics, Boehringer Ingelheim, Bristol-Myers Squibb, Galapagos, Galecto, GlaxoSmithKline R&D, IQVIA, Pliant, Respivant, Roche and Theravance. C.K. Garcia has received institutional funding for research from the National Institutes of Health, Dept of Defense and Boehringer Ingelheim, as well as prior compensation from Pliant Therapeutics Inc. for advisory board service. M.E. Streck reports grants, personal fees and non-financial support from Boehringer Ingelheim, grants from Novartis, outside the submitted work. C.A. Newton reports grants from the National Institutes of Health (NIH) National Heart, Lung, and Blood Institute (K23HL148498) and NIH National Centre for Advancing Translational Sciences (UL1TR001105), during the conduct of the study; personal fees for consultancy from Boehringer Ingelheim, outside the submitted work. P.L. Molyneaux, *via* his institution, received industry-academic funding from AstraZeneca, and has received speaker and consultancy fees from Boehringer Ingelheim and Hoffman-La Roche, outside the submitted work.

Support statement: This work was supported by the National Heart, Lung, and Blood Institute (K23HL138190 (J.M. Oldham); T32HL007605 (C.T. Lee); K23HL146942 (A. Adegunsoye); R01HL093096 (C.K. Garcia); T32HL007013 (W.S. Bowman and J.V. Pugashetti); K23HL148498 (C.A. Newton)) and National Center for Advancing Translational Sciences (UL1TR001105 (C.A. Newton)). P.L. Molyneaux is supported by an Action for Pulmonary Fibrosis Mike Bray fellowship. Funding information for this article has been deposited with the Crossref Funder Registry.

### References

- 1 Raghu G, Remy-Jardin M, Myers J, *et al.* Diagnosis of idiopathic pulmonary fibrosis guidelines: surgical lung biopsy for radiological pattern of probable usual interstitial pneumonia is not mandatory. *Am J Respir Crit Care Med* 2019; 200: 1089–1092.
- 2 Wijsenbeek M, Cottin V. Spectrum of fibrotic lung diseases. *N Engl J Med* 2020; 383: 958–968.
- 3 Kolb M, Vasakova M. The natural history of progressive fibrosing interstitial lung diseases. *Respir Res* 2019; 20: 57.
- 4 Simpson T, Barratt SL, Beirne P, *et al.* The burden of progressive fibrotic interstitial lung disease across the UK. *Eur Respir J* 2021; 58: 2100221.
- 5 Flaherty KR, Wells AU, Cottin V, *et al.* Nintedanib in progressive fibrosing interstitial lung diseases. *N Engl J Med* 2019; 381: 1718–1727.
- 6 Maher TM, Corte TJ, Fischer A, *et al.* Pirfenidone in patients with unclassifiable progressive fibrosing interstitial lung disease: a double-blind, randomised, placebo-controlled, phase 2 trial. *Lancet Respir Med* 2020; 8: 147–157.

- 7 Behr J, Prasse A, Kreuter M, *et al.* Pirfenidone in patients with progressive fibrotic interstitial lung diseases other than idiopathic pulmonary fibrosis (RELIEF): a double-blind, randomised, placebo-controlled, phase 2b trial. *Lancet Respir Med* 2021; 9: 476–486.
- 8 Collard HR, King TE Jr, Bartelson BB, *et al.* Changes in clinical and physiologic variables predict survival in idiopathic pulmonary fibrosis. *Am J Respir Crit Care Med* 2003; 168: 538–542.
- 9 Zappala CJ, Latsi PI, Nicholson AG, *et al.* Marginal decline in forced vital capacity is associated with a poor outcome in idiopathic pulmonary fibrosis. *Eur Respir J* 2010; 35: 830–836.
- 10 Goh NS, Hoyles RK, Denton CP, *et al.* Short-term pulmonary function trends are predictive of mortality in interstitial lung disease associated with systemic sclerosis. *Arthritis Rheumatol* 2017; 69: 1670–1678.
- 11 Gimenez A, Storrer K, Kuranishi L, *et al.* Change in FVC and survival in chronic fibrotic hypersensitivity pneumonitis. *Thorax* 2018; 73: 391–392.
- 12 Nasser M, Larrieu S, Si-Mohamed S, *et al.* Progressive fibrosing interstitial lung disease: a clinical cohort (the PROGRESS study). *Eur Respir J* 2020; 55: 2002718.
- 13 Hwang JH, Misumi S, Curran-Everett D, *et al.* Longitudinal follow-up of fibrosing interstitial pneumonia: relationship between physiologic testing, computed tomography changes, and survival rate. *J Thorac Imaging* 2011; 26: 209–217.
- 14 Distler O, Gahlemann M, Maher TM. Nintedanib for systemic sclerosis-associated interstitial lung disease. Reply. *N Engl J Med* 2019; 381: 1596–1597.
- 15 Maher TM, Brown KK, Kreuter M, *et al.* Effects of nintedanib by inclusion criteria for progression of interstitial lung disease. *Eur Respir J* 2022; 59: 2004587.
- 16 George PM, Spagnolo P, Kreuter M, *et al.* Progressive fibrosing interstitial lung disease: clinical uncertainties, consensus recommendations, and research priorities. *Lancet Respir Med* 2020; 8: 925–934.
- 17 Crowther MJ, Abrams KR, Lambert PC. Flexible parametric joint modelling of longitudinal and survival data. *Stat Med* 2012; 31: 4456–4471.
- 18 Hogan JW, Laird NM. Model-based approaches to analysing incomplete longitudinal and failure time data. *Stat Med* 1997; 16: 259–272.
- 19 Behr J, Neuser P, Prasse A, *et al.* Exploring efficacy and safety of oral pirfenidone for progressive, non-IPF lung fibrosis (RELIEF) – a randomized, double-blind, placebo-controlled, parallel group, multi-center, phase II trial. *BMC Pulm Med* 2017; 17: 122.
- 20 Wells AU, Flaherty KR, Brown KK, *et al.* Nintedanib in patients with progressive fibrosing interstitial lung diseases – subgroup analyses by interstitial lung disease diagnosis in the INBUILD trial: a randomised, double-blind, placebo-controlled, parallel-group trial. *Lancet Respir Med* 2020; 8: 453–460.
- 21 Low AT, Medford AR, Millar AB, *et al.* Lung function in pulmonary hypertension. *Respir Med* 2015; 109: 1244–1249.
- 22 Lega JC, Reynaud Q, Belot A, *et al.* Idiopathic inflammatory myopathies and the lung. *Eur Respir Rev* 2015; 24: 216–238.
- 23 Solomon JJ, Olson AL, Fischer A, *et al.* Scleroderma lung disease. *Eur Respir Rev* 2013; 22: 6–19.
- 24 Fischer A, du Bois R. Interstitial lung disease in connective tissue disorders. *Lancet* 2012; 380: 689–698.
- 25 Saunders P, Tsiopouri V, Keir GJ, *et al.* Rituximab versus cyclophosphamide for the treatment of connective tissue disease-associated interstitial lung disease (RECITAL): study protocol for a randomised controlled trial. *Trials* 2017; 18: 275.
- 26 Tashkin DP, Elashoff R, Clements PJ, *et al.* Cyclophosphamide versus placebo in scleroderma lung disease. *N Engl J Med* 2006; 354: 2655–2666.
- 27 Tashkin DP, Roth MD, Clements PJ, *et al.* Mycophenolate mofetil versus oral cyclophosphamide in scleroderma-related interstitial lung disease (SLS II): a randomised controlled, double-blind, parallel group trial. *Lancet Respir Med* 2016; 4: 708–719.
- 28 Fischer A, Brown KK, Du Bois RM, *et al.* Mycophenolate mofetil improves lung function in connective tissue disease-associated interstitial lung disease. *J Rheumatol* 2013; 40: 640–646.
- 29 Oldham JM, Lee C, Valenzi E, *et al.* Azathioprine response in patients with fibrotic connective tissue disease-associated interstitial lung disease. *Respir Med* 2016; 121: 117–122.
- 30 Nathan SD, Yang M, Morgenthien EA, *et al.* FVC variability in patients with idiopathic pulmonary fibrosis and role of 6-min walk test to predict further change. *Eur Respir J* 2020; 55: 1902151.
- 31 Schmidt SL, Tayob N, Han MK, *et al.* Predicting pulmonary fibrosis disease course from past trends in pulmonary function. *Chest* 2014; 145: 579–585.
- 32 Walsh SLF, Wells AU, Desai SR, *et al.* Multicentre evaluation of multidisciplinary team meeting agreement on diagnosis in diffuse parenchymal lung disease: a case-cohort study. *Lancet Respir Med* 2016; 4: 557–565.
- 33 Jacob J, Bartholmai BJ, Rajagopalan S, *et al.* Mortality prediction in idiopathic pulmonary fibrosis: evaluation of computer-based CT analysis with conventional severity measures. *Eur Respir J* 2017; 49: 1601011.