

#### Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

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earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Rafaels 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Nicholas	2. Surname (Last Name) Rafaels	3. Date 13-March-2017
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Ma'en Obeidat
5. Manuscript Title Surfactant Protein D is a Causal Risk Fac	tor for Chronic Obstructiv	e Pulmonary Disease: Results of Mendelian Randomization
6. Manuscript Identifying Number (if you kn	ow it)	
Section 2. The Work Under Co	onsideration for Public	ation
Did you or your institution at any time recei any aspect of the submitted work (including statistical analysis, etc.)?	ve payment or services from but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Are there any relevant conflicts of intere	st? Yes ✓ No	
Section 3. Relevant financial	activities outside the s	ubmitted work.
of compensation) with entities as descri	bed in the instructions. Us	ether you have financial relationships (regardless of amount e one line for each entity; add as many lines as you need by e <b>present during the 36 months prior to publication</b> .
Are there any relevant conflicts of intere	st? ☐ Yes ✓ No	
Section 4. Intellectual Proper	ty Patents & Copyrig	hts
Do you have any patents, whether plann	ned, pending or issued, bro	padly relevant to the work? Yes No



Section 5. Relationships not covered above
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No other relationships/conditions/circumstances that present a potential conflict of interest
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Mr. Rafaels has nothing to disclose.

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Section 1. Identifying Inform	nation	
1. Given Name (First Name) Terri	2. Surname (Last Name) Beaty	3. Date 23-February-2017
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Ma'en Obeidat
5. Manuscript Title Surfactant Protein D is a Causal Risk Fac	tor for Chronic Obstructive	e Pulmonary Disease: Results of Mendelian Randomization
6. Manuscript Identifying Number (if you kn	ow it)	
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Section 2. The Work Under Co	onsideration for Public	ation
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere If yes, please fill out the appropriate info	but not limited to grants, datest? Yes No promotion below. If you have	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation, etc.) e more than one entity press the "ADD" button to add a row.
Excess rows can be removed by pressing  Name of Institution/Company	Grant? Personal Non	o-Financial Other? Comments
lational Heart Lung & Blood Institute		COPDGene is supported by NHLBI
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Dr. Beaty reports grants from National Heart Lung & Blood Institute, during the conduct of the study;

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Royalties: Funds are coming in to you or your institution due to your patent

Boezen



Section 1. Identifying Inform	nation				
1. Given Name (First Name) Hendrika Marian	2. Surname (Last Name) Boezen	3. Date 27-February-2017			
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Ma'en Obeidat			
5. Manuscript Title Surfactant Protein D is a Causal Risk Fac	tor for Chronic Obstructiv	e Pulmonary Disease: Results of Mendelian Randomization			
6. Manuscript Identifying Number (if you kr	now it)				
Section 2. The Work Under Co	onsideration for Public	ation			
Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Are there any relevant conflicts of interest?  Yes  No					
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Dr. H.M. Boezen	PhD has nothing to disclose.

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Bossé



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Yohan	2. Surname (Last Name) Bossé	3. Date 24-February-2017
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Ma'en Obeidat
5. Manuscript Title Surfactant Protein D is a Causal Risk Fac	ctor for Chronic Obstructiv	re Pulmonary Disease: Results of Mendelian Randomization
6. Manuscript Identifying Number (if you k	now it)	
Section 2. The Work Under C	onsideration for Public	cation
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Burgess 1



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1. Given Name (First Name) Stephen	2. Surname (Last Name) Burgess	3. Date 24-February-2017				
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Ma'en Obeidat				
5. Manuscript Title Surfactant Protein D is a Causal Risk Fac	ctor for Chronic Obstructiv	e Pulmonary Disease: Results of Mendelian Randomization				
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**Pending:** The patent has been filed but not issued **Issued:** The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Cho



Section 1. Identifying Inform	ation			West 12	
1. Given Name (First Name) Michael	2. Surnar Cho	ne (Last Name)		3. Date 23-February-2017	
4. Are you the corresponding author?	Yes	✓ No	Corresponding Author's Ma'en Obeidat	Name	
5. Manuscript Title Surfactant Protein D is a Causal Risk Fact	tor for Chr	onic Obstructive	e Pulmonary Disease: Re	sults of Mendelian Randomizatio	n
6. Manuscript Identifying Number (if you kn	ow it)				
				а	
Section 2. The Work Under Co	onsidera	tion for Public	ation		
Did you or your institution at any time receivany aspect of the submitted work (including statistical analysis, etc.)?  Are there any relevant conflicts of interesting the state of the st	but not lim	nited to grants, dat			.) for
If yes, please fill out the appropriate info Excess rows can be removed by pressing	rmation b	elow. If you hav	e more than one entity	press the "ADD" button to add a r	ow.
Name of Institution/Company	Grant?	Personal Non	-Financial Other?	Comments	
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SK	<b>✓</b>				
Soction					
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Are there any relevant conflicts of intere	st? ['	Yes ✓ No			
Section 4. Intellectual Proper	ty Pate	ents & Copyrig	hts		
Do you have any patents, whether plant	AND AND	and the state of t		ork? ☐ Yes   ✓ No	PARA STATE

Cho



Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
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Section 6. Disclosure Statement
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Dr. Cho reports grants from NIH, grants from GSK, during the conduct of the study;

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Royalties: Funds are coming in to you or your institution due to your

patent

**Fishbane** 

5.



Section 1. Identifying Inform	nation					
1. Given Name (First Name) Nick	2. Surname (Last Name) Fishbane	3. Date 12-March-2017				
4. Are you the corresponding author?	☐ Yes   ✓ No	Corresponding Author's Name Ma'en Obeidat				
5. Manuscript Title Surfactant Protein D is a Causal Risk Fac	ctor for Chronic Obstructiv	e Pulmonary Disease: Results of Mendelian Randomization				
6. Manuscript Identifying Number (if you k	now it)					
Section 2. The Work Under C	onsideration for Public	cation				
any aspect of the submitted work (including statistical analysis, etc.)?	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,				
Are there any relevant conflicts of inter-	est?					
Section 3. Relevant financial	Hall					
Relevant financial	activities outside the s	submitted work.				
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest? Yes V No						
	لت ليا					
Section 4. Intellectual Proper	rty Patents & Copyric	yhts				
Do you have any patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes Vo				

Fishbane 2



Section 5. Relat	tionships not covered above
	ships or activities that readers could perceive to have influenced, or that give the appearance of what you wrote in the submitted work?
Yes, the following re	elationships/conditions/circumstances are present (explain below):
✓ No other relationship	ps/conditions/circumstances that present a potential conflict of interest
At the time of manuscrip On occasion, journals ma	pt acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. ay ask authors to disclose further information about reported relationships.
Section 6. Disclo	osure Statement
Based on the above disc below.	closures, this form will automatically generate a disclosure statement, which will appear in the box
Mr. Fishbane has nothin	ig to disclose.
At the time of manuscrip On occasion, journals manuscrip Section 6. Disclosured Disclosure	pt acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements.  ay ask authors to disclose further information about reported relationships.   osure Statement  closures, this form will automatically generate a disclosure statement, which will appear in the box

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**ZHOU** 

5.



Section 1. Identifying Inform	nation		
1. Given Name (First Name) GUOHAI	2. Surname (Last Name) ZHOU		3. Date 28-February-2017
4. Are you the corresponding author?	Yes  ✓ No	Corresponding Author's Nar Ma'en Obeidat	me
5. Manuscript Title Surfactant Protein D is a Causal Risk Fac	tor for Chronic Obstructiv	e Pulmonary Disease: Resul	ts of Mendelian Randomization
6. Manuscript Identifying Number (if you kn	now it)		
Section 2. The Work Under Co	onsideration for Public	ation	
Did you or your institution at any time recei any aspect of the submitted work (including statistical analysis, etc.)?	but not limited to grants, da	a third party (government, cor ta monitoring board, study de	mmercial, private foundation, etc.) for sign, manuscript preparation,
Are there any relevant conflicts of intere	est?		
Section 3. Relevant financial	activities outside the s	ubmitted work.	
Place a check in the appropriate boxes i of compensation) with entities as descri clicking the "Add +" box. You should rep	bed in the instructions. Us	e one line for each entity; a	dd as many lines as you need by
Are there any relevant conflicts of intere		- <del>-</del>	
Section 4. Intellectual Proper	ty Patents & Copyrig	hts	
Do you have any patents, whether plant	ned, pending or issued, br	oadly relevant to the work?	Yes Vo



Section 5. Relationships not covered above	
Are there other relationships or activities that readers could percepotentially influencing, what you wrote in the submitted work?	eive to have influenced, or that give the appearance of
Yes, the following relationships/conditions/circumstances are	present (explain below):
✓ No other relationships/conditions/circumstances that present	a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to On occasion, journals may ask authors to disclose further informa	confirm and, if necessary, update their disclosure statements. tion about reported relationships.
Section 6. Disclosure Statement	
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Dr. ZHOU has nothing to disclose.	

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**Royalties:** Funds are coming in to you or your institution due to your patent

Hansel 1



Section 1. Identifying Inform	nation											
1. Given Name (First Name) Nadia	2. Surname (Last Name) Hansel	3. Date 24-February-2017										
4. Are you the corresponding author?	Yes 🗸 No	Corresponding Author's Name Ma'en Obeidat										
5. Manuscript Title Surfactant Protein D is a Causal Risk Factor for Chronic Obstructive Pulmonary Disease: Results of Mendelian Randomization												
6. Manuscript Identifying Number (if you k	now it)											
Section 2. The Work Under C	onsideration for Public	cation										
Did you or your institution at any time rece any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of inter-	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,										
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of compensation) with entities as descr	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by se present during the 36 months prior to publication.										
If yes, please fill out the appropriate info												
Name of Entity	Grant	n-Financial Other? Comments										
AstraZeneca	<b>V V</b>	Research Grant, Advisory Board										
SSK	<b>V</b>	Research Grant, Advisory Board										
Section 4. Intellectual Proper	rty Patents & Copyrig	ihts										
Do you have any patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes Ves										



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Section 6. Disclosure Statement
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Dr. Hansel reports grants and personal fees from AstraZeneca, grants and personal fees from GSK, outside the submitted work.

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patent

Hobbs 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Brian	2. Surname (Last Name) Hobbs	3. Date 23-February-2017
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Ma'en Obeidat
5. Manuscript Title Surfactant Protein D is a Causal Risk Fac	tor for Chronic Obstructive	e Pulmonary Disease: Results of Mendelian Randomization
6. Manuscript Identifying Number (if you kn	now it)	
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statistical analysis, etc.)?		S
statistical analysis, etc.)? Are there any relevant conflicts of intere		S and a ready and a ready to the personal
Are there any relevant conflicts of intere		
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	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
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Dr. Hobbs has no	othing to disclose.

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Hung 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Rayjean	2. Surname (Last Name) Hung	3. Date 24-February-2017
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Ma'en Obeidat
5. Manuscript Title Surfactant Protein D is a Causal Risk Fac	ctor for Chronic Obstructiv	e Pulmonary Disease: Results of Mendelian Randomization
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Did you or your institution at any time rece any aspect of the submitted work (including statistical analysis, etc.)?	rive payment or services from g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ita monitoring board, study design, manuscript preparation,
Are there any relevant conflicts of interest	est?	
Section 3. Relevant financial	activities outside the s	submitted work.
of compensation) with entities as descr	ibed in the instructions. Us	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Are there any relevant conflicts of interest	est?	
Section 4. Intellectual Proper	rty Patents & Copyric	hts
Do you have any patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes Vo



#### **Evaluation and Feedback**

Please visit <a href="http://www.icmje.org/cgi-bin/feedback">http://www.icmje.org/cgi-bin/feedback</a> to provide feedback on your experience with completing this form.

Hung 3



#### Instructions

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earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your

patent

Joubert



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Philippe	2. Surname (Last Name) Joubert	3. Date 24-February-2017
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Ma'en Obeidat
5. Manuscript Title Surfactant Protein D is a Causal Risk Fac	tor for Chronic Obstructiv	re Pulmonary Disease: Results of Mendelian Randomization
6. Manuscript Identifying Number (if you kr	now it)	
Section 2. The Work Under Co	onsideration for Public	cation
Did you or your institution <b>at any time</b> recei any aspect of the submitted work (including statistical analysis, etc.)?	ive payment or services from but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Are there any relevant conflicts of intere	est? Yes ✓ No	
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Section 3. Relevant financial	activities outside the s	submitted work.
of compensation) with entities as descri	bed in the instructions. Us	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Are there any relevant conflicts of intere		e present daming the 50 months prior to publication.
Section 4. Intellectual Proper	ty Patents & Copyric	phts
Do you have any patents, whether plant	ned, pending or issued, br	oadly relevant to the work? Yes No



Section 5.	Relationships not covered above
Are there other operations are there	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	ationships/conditions/circumstances that present a potential conflict of interest
At the time of m On occasion, jou	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. Irnals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
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Dr. Joubert has i	nothing to disclose.

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**Royalties:** Funds are coming in to you or your institution due to your patent

Barnes 1



Section 1. Identifying Inform	nation	
Given Name (First Name)	Surname (Last Name)	
Kathleen	Barnes	3. Date 25-February-2017
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Ma'en Obeidat
5. Manuscript Title Surfactant Protein D is a Causal Risk Fac	tor for Chronic Obstructive	e Pulmonary Disease: Results of Mendelian Randomization
6. Manuscript Identifying Number (if you kn	ow it)	
Section 2		
	onsideration for Public	
any aspect of the submitted work (including statistical analysis, etc.)?	but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Are there any relevant conflicts of intere	لبنا لنتا	
Excess rows can be removed by pressing	ormation below. If you have g the "X" button.	e more than one entity press the "ADD" button to add a row.
Name of Institution/Company	Grant? Personal Non	o-Financial Other? Comments
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Section 3. Relevant financial	activities outside the s	ubmitted work.
of compensation) with entities as descri	bed in the instructions. Us	ether you have financial relationships (regardless of amount e one line for each entity; add as many lines as you need by e <b>present during the 36 months prior to publication</b> .
Are there any relevant conflicts of intere	لسا لنا	
If yes, please fill out the appropriate info	rmation below.	
Name of Entity	Giailt	-Financial Other? Comments
p-To-Date		Royalties



Section 4. Intellectual Property Patents & Copyrights
intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo
Section 5. Relationships not covered above
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✓ No other relationships/conditions/circumstances that present a potential conflict of interest
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Dr. Barnes reports grants from NIH, during the conduct of the study; personal fees from Up-To-Date, outside the submitted work; .

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earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Hao 1



Section 1. Identifying Information					
1. Given Name (First Name) Ke	2. Surname (Last Name) Hao	3. Date 13-March-2017			
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Ma'en Obeidat			
5. Manuscript Title Surfactant Protein D is a Causal Risk Fac	ctor for Chronic Obstructiv	e Pulmonary Disease: Results of Mendelian Randomization			
6. Manuscript Identifying Number (if you kr	now it)				
Section 2. The Work Under Co	onsideration for Public	cation			
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Are there any relevant conflicts of interest?  Yes  No					
Section 3. Relevant financial	activities outside the s	ubmitted work			
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Section 4. Intellectual Proper	ty Patents & Copyric				
Do you have any patents, whether plant	LEGICAL TO A SECTION OF THE SECTION				



Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
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#### **Evaluation and Feedback**

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patent

de Jong



Section 1. Identifying Inform	ation				
1. Given Name (First Name) Kim	2. Surname (Last Name) de Jong	3. Date 24-Fel	e oruary-2017		
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Ma'en Obeidat			
5. Manuscript Title Surfactant Protein D is a Causal Risk Fact	tor for Chronic Obstructive	e Pulmonary Disease: Results of Me	endelian Randomization		
6. Manuscript Identifying Number (if you kn	ow it)				
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Do you have any patents, whether plann	ned, pending or issued, bro	oadly relevant to the work? Ye	es 🗸 No		



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Dr. de Jong has nothing to disclose.

#### **Evaluation and Feedback**



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Li



Section 1. Identifying Information					
1. Given Name (First Name) Xuan	2. Surname (Last Name) Li	3. Date 23-February-2017			
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Ma'en Obeidat			
5. Manuscript Title Surfactant Protein D is a Causal Risk Fac	ctor for Chronic Obstructiv	e Pulmonary Disease: Results of Mendelian Randomization			
6. Manuscript Identifying Number (if you kr	now it)				
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Are there any relevant conflicts of interest? Yes V No					
Section 3. Relevant financial	activities outside the s	ubmitted work.			
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a a minut					
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#### Definitions.

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Other: Anything not covered under the previous three boxes

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Licensed: The patent has been licensed to an entity, whether

earning royalties or not

Royalties: Funds are coming in to you or your institution due to your

patent

Mathias



Section 1. Identifying Information					
1. Given Name (First Name) Rasika	2. Surname (Last Name) Mathias	3. Date 17-March-2017			
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Ma'en Obeidat			
5. Manuscript Title Surfactant Protein D is a Causal Risk Fac	tor for Chronic Obstructive	e Pulmonary Disease: Results of Mendelian Randomization			
6. Manuscript Identifying Number (if you kn	now it)				
Section 2. The Work Under Co	onsideration for Public	ation			
Did you or your institution at any time recei any aspect of the submitted work (including statistical analysis, etc.)?	ive payment or services from but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,			
Are there any relevant conflicts of interest? Yes Vo					
Section 3. Relevant financial activities outside the submitted work.					
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount					
of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest? Yes V No					
The diere any relevant connects of fillere	est? Yes 🗸 No				
Section 4. Intellectual Proper	ty Patents & Copyrig	hts			
Do you have any patents, whether plant		。在一种,他们也是一种,他们也是一种一种的一种。他们也是一种的一种,他们就是是一种的一种。			



Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
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Dr. Mathias has nothing to disclose.

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**Royalties:** Funds are coming in to you or your institution due to your patent

van den Berge 1



Section 1. Identifying Inform	ation	
1. Given Name (First Name) Maarten	2. Surname (Last Name) van den Berge	3. Date 24-February-2017
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Ma'en Obeidat
5. Manuscript Title Surfactant Protein D is a Causal Risk Fact	tor for Chronic Obstructiv	ve Pulmonary Disease: Results of Mendelian Randomization
6. Manuscript Identifying Number (if you kn	ow it)	
Section 2. The Work Under Co	onsideration for Publi	cation
any aspect of the submitted work (including	ve payment or services from but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for at a monitoring board, study design, manuscript preparation,
statistical analysis, etc.)? Are there any relevant conflicts of intere	st?	
Section 3. Relevant financial a	activities outside the	submitted work.
of compensation) with entities as describ	ped in the instructions. Us	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Are there any relevant conflicts of intered if yes, please fill out the appropriate info		
N. C. C.	? Personal No	n-Financial 2., 7
Name of Entity	Fees? S	upport? Comments
SK, Chiesi, TEVA, Astra Zeneca		
Section 4. Intellectual Propert	y Patents & Copyric	ghts.
Do you have any patents, whether plann	ed, pending or issued, br	roadly relevant to the work? Yes Ves No

van den Berge

Section 5. Relationships not covered above
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Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. van den Berge reports research grants to University from GSK, Chiesi, TEVA, Astra Zeneca, outside the submitted work.

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## 43 Intellectual Property.

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Royalties: Funds are coming in to you or your institution due to your

patent

Nickle 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) David	2. Surname (Last Name) Nickle	3. Date 13-March-2017
4. Are you the corresponding author?	Yes 🗸 No	Corresponding Author's Name Ma'en Obeidat
5. Manuscript Title Surfactant Protein D is a Causal Risk Fac	ctor for Chronic Obstructiv	e Pulmonary Disease: Results of Mendelian Randomization
6. Manuscript Identifying Number (if you k	now it)	
Section 2. The Work Under C	onsideration for Public	cation
Did you or your institution at any time rece any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the s	submitted work.
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Section 4. Intellectual Proper	ty Patents & Copyrig	hts
Do you have any patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No



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Dr. Nickle is employed by for Merck Research Labs.

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**Royalties:** Funds are coming in to you or your institution due to your patent

Obeidat 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Ma'en	2. Surname (Last Name) Obeidat	3. Date 23-Feb	ruary-2017
4. Are you the corresponding author?	✓ Yes No		
5. Manuscript Title Surfactant Protein D is a Causal Risk Fac	tor for Chronic Obstructive Pul	monary Disease: Results of Me	ndelian Randomization
6. Manuscript Identifying Number (if you kr	now it)		
		9	
Section 2. The Work Under Co	onsideration for Publicatio	n .	
Did you or your institution <b>at any time</b> rece any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	g but not limited to grants, data mo	d party (government, commercial, nitoring board, study design, mar	private foundation, etc.) for nuscript preparation,
Section 3. Relevant financial	activities outside the subm	itted work.	
Place a check in the appropriate boxes i of compensation) with entities as descri clicking the "Add +" box. You should rep Are there any relevant conflicts of intere	ibed in the instructions. Use one port relationships that were <b>pre</b>	line for each entity; add as ma	any lines as you need by
and the second s	TES WINO		
Section 4. Intellectual Proper	ty Patents & Copyrights		
Do you have any patents, whether plant	ned, pending or issued, broadly	relevant to the work? Yes	. ✓ No



Section 5. Relationships not covered above
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Dr. Obeidat has nothing to disclose.

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Pare



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Peter	2. Surname (Last Name) Pare	3. Date 24-February-2017
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Ma'en Obeidat
5. Manuscript Title Surfactant Protein D is a Causal Risk Fac	tor for Chronic Obstructiv	ve Pulmonary Disease: Results of Mendelian Randomization
6. Manuscript Identifying Number (if you kn	ow it)	
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Are there any relevant conflicts of intere	st? Yes ✓ No	
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Section 3. Relevant financial a	activities outside the s	submitted work.
of compensation) with entities as describ	bed in the instructions. Us	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by the present during the 36 months prior to publication.
Are there any relevant conflicts of interes		- pro-section and the mention prior to publication.
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Do you have any patents, whether plann	ned, pending or issued, br	oadly relevant to the work? Yes V No



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#### **Evaluation and Feedback**



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**Royalties:** Funds are coming in to you or your institution due to your patent

Ruczinski 1



Section 1. Identifying Inform	ation					
1. Given Name (First Name) Ingo	Surname (Last Name)     Ruczinski	3. Date 27-February-2017				
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Ma'en Obeidat				
5. Manuscript Title Surfactant Protein D is a Causal Risk Fact	tor for Chronic Obstructiv	e Pulmonary Disease: Results of Mendelian Randomization	n			
6. Manuscript Identifying Number (if you kno	ow it)					
Section 2. The Work Under Co	onsideration for Public	ation				
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Are there any relevant conflicts of interest?						
Section 3. Relevant financial a	activities outside the s	ubmitted work.				
of compensation) with entities as describ	ped in the instructions. Us	ether you have financial relationships (regardless of amou e one line for each entity; add as many lines as you need b e <b>present during the 36 months prior to publication</b> .	nt oy			
Are there any relevant conflicts of interes		provide daming the so months prior to publication.				
Section 4. Intellectual Propert	y Patents & Copyrig	hts				
Do you have any patents, whether plann	ed, pending or issued, bro	padly relevant to the work? Yes V No				



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Dr. Ruczinski has nothing to disclose.

#### **Evaluation and Feedback**

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## Identifying information.

## The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party — that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

#### Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

## 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

## Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

#### Definitions.

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** A grant from an entity, generally [but not always] paid to your organization

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued **Issued:** The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether

earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Timens 1



Section 1. Identifying Infor	mation	
Given Name (First Name)  Wim	2. Surname (Last Name) Timens	3. Date 24-February-2017
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Ma'en Obeidat
5. Manuscript Title Surfactant Protein D is a Causal Risk Fa	actor for Chronic Obstructiv	ve Pulmonary Disease: Results of Mendelian Randomization
6. Manuscript Identifying Number (if you l	know it)	
Section 2. The Work Under (	Consideration for Publi	cation
any aspect of the submitted work (includin statistical analysis, etc.)?	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Are there any relevant conflicts of inte If yes, please fill out the appropriate in		ve more than one entity press the "ADD" button to add a row.
Excess rows can be removed by pressi	ng the "X" button.	
Name of Institution/Company	Grunt.	oupport? Comments
Merck		non-restricted grant to Institution
Section 3. Relevant financia	activities outside the s	submitted work.
of compensation) with entities as desc	ribed in the instructions. Us port relationships that we	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
If yes, please fill out the appropriate inf	الله النا	
Name of Entity	Grant.	n-Financial Other? Comments
fizer		consultancy, fee to institution
SK		lecture, fee to institution
hiesi		lecture, fee to institution



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Roche Diagnostics / Ventana		<b>V</b>			lecture, consultancy, fee to institution, travel costs
Dutch Asthma Fund	<b>V</b>				grant
Biotest		<b>V</b>			fee to institution, travel costs
Merck Sharp Dohme		<b>4</b>			consultancy, course, fee to institution
Novartis		<b>V</b>			lecture/course, consultancy, fee to institution
illy Oncology		1			lecture/course, fee to institution
Boehringer Ingelheim		<b>V</b>			lecture, fee to institution
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes V No					
Section 5. Relationships not covered above					
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?					
Yes, the following relationships/conditions/circumstances are present (explain below):  No other relationships/conditions/circumstances that present a potential conflict of interest					
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statement On occasion, journals may ask authors to disclose further information about reported relationships.					



#### Section 6.

#### **Disclosure Statement**

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Timens reports grants from Merck, related to the study; fees to Institution from Pfizer, from GSK, from Chiesi, from Roche Diagnostics / Ventana, grants from Dutch Asthma Fund, from Biotest, fees from Merck Sharp Dohme, from Novartis, from Lilly Oncology, from Boehringer Ingelheim, outside the submitted work;

#### **Evaluation and Feedback**

Please visit <a href="http://www.icmje.org/cgi-bin/feedback">http://www.icmje.org/cgi-bin/feedback</a> to provide feedback on your experience with completing this form.

**Timens** 



#### **Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

## Identifying information.

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earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Sin

1



Section 1. Identifying Inform	ation				
1. Given Name (First Name) Don	2. Surna Sin	me (Last Name	)		3. Date 17-March-2017
4. Are you the corresponding author?	Yes	✓ No	Correspon Dr. Maen	_	or's Name
5. Manuscript Title Surfactant Protein D is a Causal Risk Fac	tor for Chi	onic Obstruc	tive Pulmonar	y Disease	e: Results of Mendelian Randomization
6. Manuscript Identifying Number (if you kn	ow it)				
Section 2. The Work Under Co	onsidera	tion for Pub	lication	a Contract	
Did you or your institution at any time recei any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not lim	t or services frontited to grants,	data monitoring	(governm g board, s	ent, commercial, private foundation, etc.) for tudy design, manuscript preparation,
Section 3. Relevant financial	activities	outside the	e submitted	work.	
Place a check in the appropriate boxes in of compensation) with entities as describlicking the "Add +" box. You should rep	bed in the	instructions.	Use one line fo	or each e	ntity; add as many lines as you need by
Are there any relevant conflicts of intere lf yes, please fill out the appropriate info	st? 🚺 \	′es 🗌 No		uning ur	e 30 months prior to publication.
y sypromition of the syproprior of the system of the syste	THE CONTR	ciow.			
Name of Entity	Grant?	Personal N Fees?	on-Financial Support?	Other?	Comments
straZeneca		<b>✓</b>			Honorarium for speaking engagements in 2013-17
akeda		<b>✓</b>			Honorarium for speaking engagements n 2012
oehringer Ingelheim	<b>V</b>				funding for telehealth initiative in COPD
ovartis	<b>V</b>				funding for telehealth initiative in COPD
lmirall		<b>V</b>			Honorarium for attending an international advisory board on COPD in 2013 and 2014



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Amgen		<b>/</b>			Honorarium for consultancy on COPD program in 2014
Regeneron		<b>V</b>			Honorarium in 2017 for consultancy
Sanofi		1			Honorarium in 2017 for consultancy
AstraZeneca	<b>✓</b>				Investigator-initiated study on evaluation of lung microbiome in COPD
Merck	<b>✓</b>				Investigator-initiated study on using genomics to identify novel targets for drug discovery in COPD
ВІ	<b>✓</b>				Investigator-initiated study to understand the potential anti- inflammatory effects of olodaterol
ВІ	<b>V</b>				honoraria for speaking engagements
Do you have any patents, whether plant  Section 5. Relationships not of	ned, pend	ing or issue		nt to the	work? Yes V No
Are there other relationships or activities potentially influencing, what you wrote				nfluence	d, or that give the appearance of
Yes, the following relationships/conditions/ci					
At the time of manuscript acceptance, jo On occasion, journals may ask authors to					



#### Section 6.

#### **Disclosure Statement**

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Dr. Sin reports personal fees from AstraZeneca, personal fees from Takeda, grants from Boehringer Ingelheim, grants from Novartis, personal fees from Almirall, personal fees from Amgen, personal fees from Regeneron, personal fees from Sanofi, grants from AstraZeneca, grants from Merck, grants from Bl, grants from Bl, outside the submitted work;

#### **Evaluation and Feedback**