



# DLCO: adjust for lung volume, standardised reporting and interpretation

To the Editor:

The American Thoracic Society (ATS) and European Respiratory Society (ERS) should be congratulated on updating standards for diffusing capacity of the lung for carbon monoxide (DLCO) [1]. I agree that “Besides varying with age, sex, height and possible ethnicity, DLCO also changes with Hb, lung volume, COHb,  $PIO_2$  ..., exercise and body position.” and that “adjustments for these factors be made in the predicted rather than the measured DLCO”. Reporting transfer coefficient of the lung for carbon monoxide (KCO) rather than DLCO/alveolar volume ( $V_A$ ) will help get away from the mistaken notion that DLCO/ $V_A$  “corrects” DLCO for lung volume [2]. While the new standards describe how to adjust predicted DLCO for haemoglobin (Hb), COHb and inspired oxygen tension ( $PIO_2$ ), it does not discuss how to adjust predicted DLCO and KCO for lung volume.

The following equations [3] were included in the 2005 ATS/ERS DLCO standards [4], and describe how to adjust DLCO and KCO for lung volume. They were developed studying normal subjects with experimental reductions in inspired volume ( $V_I$ ; and thus  $V_A$ ) and fit the model that DLCO and KCO change in a manner expected from having DLCO reduced proportionate to the surface area for gas exchange with the capillary blood component unchanged. Mathematically, they result in DLCO % predicted for lung volume equaling KCO % predicted for lung volume when using the equation  $KCO(\text{predicted})=DLCO(\text{predicted})/VA(\text{predicted})$ .

$$DLCO[\text{predicted for lung volume}]=DLCO[\text{predicted}]\times(0.58+0.42\times(V_{Am}/V_{Ap}))$$

$$KCO[\text{predicted for lung volume}]=KCO[\text{predicted}]\times(0.42+0.58/(V_{Am}/V_{Ap}))$$

with  $V_{Am}/V_{Ap}$ =measured  $V_A$ /predicted  $V_A$ .

For example, at  $V_A$  50% of predicted, the DLCO predicted for lung volume is 80% and KCO is 160% of that for  $V_A$  100% of predicted.

The standards require reporting DLCO and KCO (adjusted, predicted) with specification of the adjustments. Additional reporting requirements should include DLCO (% of adjusted predicted) and  $V_A$  (% predicted).

Neither the 2005 nor the current standards address how to report DLCO and KCO adjusted for lung volume, or how to interpret DLCO.

In addition to knowing % predicted DLCO and KCO adjusted for all factors except lung volume, it is also very helpful to know % predicted DLCO and KCO when also adjusted for lung volume [2]. Just as adjusting predicted DLCO and KCO for haemoglobin in an anaemic patient yields a better indication of the lung's ability of gas exchange, adjusting DLCO and KCO for lung volume in a patient with low lung volume yields a better indication of the lung's ability of gas exchange.

A shorter nomenclature is needed for DLCO and KCO % predicted also adjusted for lung volume.

I propose *DACO* and *KACO* to refer to DLCO and KCO predicted values that have been adjusted for lung volume (the “A” refers to adjusted for lung volume.)

Reporting requirements should include *DACO* (adjusted, predicted), *KACO* (adjusted, predicted), as well as *DACO* (% of adjusted predicted) and *KACO* (% of adjusted predicted).



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**DLCO reports and interpretation should be standardised and include adjusting predicted DLCO and KCO for lung volume** <http://ow.ly/ywTA30cOh44>

**Cite this article as:** Johnson DC. DLCO: adjust for lung volume, standardised reporting and interpretation. *Eur Respir J* 2017; 50: 1700940 [https://doi.org/10.1183/13993003.00940-2017].

The new standards recommend development of a standardised common report form. I propose the following, one when Hb is not measured (box 1) and a second when Hb is measured (box 2), with both including % of FVC for  $V_I$  if spirometry was done the same day

**BOX 1 Common report form for diffusing capacity when haemoglobin measurements are not taken**

Diffusing capacity	Predicted range		Actual	% pred	
	Mean	95%			
$DL_{CO}$ mL·min <sup>-1</sup> ·mmHg <sup>-1</sup>	xx.xx	xx.xx	dd.dd	xx	Predicted not adjusted for Hb
$V_A$ (BTPS) L	x.xx	x.xx	x.xx	xx	
$K_{CO}$ mL·min <sup>-1</sup> ·mmHg <sup>-1</sup> ·L <sup>-1</sup>	xx.xx	xx.xx	x.xx	xx	Predicted not adjusted for Hb
$V_I$ (BTPS) L	x.xx	x.xx	xx.xx	xx	xx% of FVC
$DA_{CO}$ mL·min <sup>-1</sup> ·mmHg <sup>-1</sup>	xx.xx	xx.xx	dd.dd	yy	Predicted adjusted for lung volume

$DL_{CO}$  and  $K_{CO}$  are yy% predicted, adjusted for lung volume.

**BOX 2 Common report form for diffusing capacity when haemoglobin measurements are taken**

Diffusing capacity	Predicted range		Actual	% pred	Hb xx.x from D-MON-YYYY
	Mean	95%			
$DL_{CO}$ mL·min <sup>-1</sup> ·mmHg <sup>-1</sup>	xx.xx	xx.xx	dd.dd	xx	Predicted not adjusted for Hb
$DL_{CO}$ mL·min <sup>-1</sup> ·mmHg <sup>-1</sup>	xx.xx	xx.xx	dd.dd	xx	Predicted adjusted for Hb
$V_A$ (BTPS) L	x.xx	x.xx	x.xx	xx	
$K_{CO}$ mL·min <sup>-1</sup> ·mmHg <sup>-1</sup> ·L <sup>-1</sup>	xx.xx	xx.xx	x.xx	xx	Predicted adjusted for Hb
$V_I$ (BTPS) L	x.xx	x.xx	x.xx	xx	xx% of FVC
$DA_{CO}$ mL·min <sup>-1</sup> ·mmHg <sup>-1</sup>	xx.xx	xx.xx	dd.dd	yy	Predicted adjusted for lung volume and Hb

$DL_{CO}$  and  $K_{CO}$  are yy% predicted, adjusted for lung volume and Hb.

For both reports, if  $DL_{CO}$  and  $K_{CO}$  predicted were also adjusted for COHb and/or  $PIO_2$ , then a line saying “Predicted  $DL_{CO}$  and  $K_{CO}$  also adjusted for ...” should appear at the end, which includes the data used to make the adjustment, such as “COHb of 2.6% and altitude of 2000m.” The 95% values are the lower limit of normal (LLN), with  $DA_{CO}[LLN]=DA_{CO}[\text{adjusted,predicted}]\times DL_{CO}[LLN]/DL_{CO}[\text{predicted}]$ .

There is not a clear consensus on interpretation of  $DL_{CO}$ . I recommend the following algorithm to interpret  $DL_{CO}$ , with  $DL_{CO}$  % predicted, adjusted and LLN the lower limit of normal (box 3).

**BOX 3 Interpretation of diffusing capacity values**

$DL_{CO} \geq 80\%$ and $\geq LLN$	$DL_{CO}$ is normal
$DL_{CO} < 80\%$ but $\geq LLN$	$DL_{CO}$ is near lower limit of normal
$DL_{CO} \geq 60\%$ , $< 80\%$ , and $< LLN$	$DL_{CO}$ is mildly reduced
$DL_{CO} \geq 40\%$ , $< 60\%$ , and $< LLN$	$DL_{CO}$ is moderately reduced
$DL_{CO} < 40\%$	$DL_{CO}$ is severely reduced

If  $DL_{CO}$  is not normal, and  $DL_{CO}$  adjusted for lung volume ( $DA_{CO}$ ) is above the LLN as % predicted, then add phrase “due to low lung volume”.

If  $DL_{CO}$  is not normal, and  $DL_{CO}$  adjusted for lung volume is below the LLN as % predicted but more than 10% predicted greater than  $DL_{CO}$ , then add phrase “in part due to low lung volume”.

As a co-author of the 2005 ERS/ATS  $DL_{CO}$  standards, I believe including adjustments of  $DL_{CO}$  for lung volume and standardised reports and interpretation would improve the clinical value of  $DL_{CO}$ .

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Received: May 08 2017 | Accepted after revision: May 31 2017

Conflict of interest: None declared.

## References

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- 4 MacIntyre N, Crapo R, Viegi G, *et al.* Standardisation of the single-breath determination of carbon monoxide uptake in the lung. *Eur Respir J* 2005; 26: 720–735.

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### From the authors:

D.C. Johnson proposed that an adjustment of the predicted value for the diffusing capacity of the lung for carbon monoxide (*DLCO*) based on the measured and predicted lung volume be included in the report of *DLCO* test results. An interpretation algorithm is also proposed.

The rationale is based on the observation that a decreased inspired volume in normal subjects reduces *DLCO* and that this reduction can be predicted using a linear function of the measured alveolar lung volume (*VA*) divided by the predicted *VA*. While this formula may be applicable for normal, healthy lungs and may potentially adjust for effects of reductions in *VA* due to weakness, chest wall deformities or reduced effort, it is unlikely to be applicable in patients with reduced *VA* due to pathological processes that also impact the distribution of ventilation, the distribution of perfusion and the development of heterogeneous parenchymal changes in the lung. As HUGHES and PRIDE [1] noted, “any ‘correction’ of the *DLCO* for volume must take into account the reason for the volume deficit.” Volume adjustment equations for *DLCO* developed from submaximal inhalation manoeuvres in normal subjects do not consider other mechanisms for a reduced *VA*.

The 2017 European Respiratory Society (ERS)/American Thoracic Society (ATS) standards for single-breath carbon monoxide uptake in the lung [2] describe technical standards for the measurement of *DLCO*. The interpretation of *DLCO* was not included in the mandate of the ERS/ATS task force in the development of these standards. Nevertheless, the reporting of the results must provide all of the necessary information for their interpretation.

The standards address the need for the subject to achieve maximal inhalation of test gas. A failure to reach full inflation to total lung capacity is a reason to repeat the manoeuvre rather than applying a volume correction to the predicted *DLCO*. Reporting the *VA* and its z-score together with the *DLCO* allows the reviewer to interpret the *DLCO* in the context of whether the *VA* is reduced, normal or increased. It continues to be the opinion of the ERS/ATS *DLCO* task force that this information is sufficient for the interpretation of *DLCO*.

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**A correction of the predicted value for *DLCO* based on the measured lung volume is not recommended**  
<http://ow.ly/KFn730cPJ9n>

**Cite this article as:** Graham BL, Brusasco V, Burgos F, *et al.* *DLCO*: adjust for lung volume, standardised reporting and interpretation. *Eur Respir J* 2017; 50: 1701144 [<https://doi.org/10.1183/13993003.01144-2017>].

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Received: June 12 2017 | Accepted after revision: June 13 2017

Conflict of interest: Disclosures can be found alongside this article at [erj.ersjournals.com](http://erj.ersjournals.com)

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