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Natural history of sleep disordered breathing in prepubertal children transitioning to adolescence

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ABSTRACT Because there is a lack of agreed upon diagnostic criteria, it is critical to understand the natural history of obstructive sleep apnoea (OSA) in children in order to establish treatment strategies based on objective data.

The Penn State Child Cohort is a representative, general-population sample of 700 elementary school children at baseline, of whom 421 were reassessed 8 years later, during adolescence.

The remission of childhood apnoea-hypopnoea index (AHI) ≥ 2 events per h in adolescence was 52.9%. Using the higher threshold of AHI ≥ 5 events per h, remission was 100.0%, with 50.0% partially remitting to AHI 2–<5 events per h and the other half remitting to AHI <2 events per h. The incidence of adolescent AHI ≥ 2 events per h in those with childhood AHI <2 events per h was 36.5%, while the incidence of AHI ≥ 5 events per h in those with childhood AHI <5 events per h was 10.6%. This longitudinal study confirms that prepubertal OSA tends to resolve naturally during the transition to adolescence, and that primary snoring and mild sleep disordered breathing (SDB) do not appear to be strongly associated with progression to more severe SDB.

The key risk factors for SDB in adolescence are similar to those found in middle-aged adults (*i.e.* male sex, older age and obesity). Moreover, consistent with recent studies in adults, this study includes the novel cross-sectional finding that visceral fat is associated with SDB as early as adolescence.



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Introduction

The natural history of sleep disordered breathing (SDB) in school-aged prepubertal children is not well understood. Previous natural history studies using polysomnography (PSG) have been largely focused on primary snoring [1–4]. Two of these studies were based on clinical samples [1, 2] and two were based on population samples [3, 4]. These studies reported a strong tendency to remit or persist and a limited tendency to progress to more severe SDB. There have been only two studies that have assessed the natural history of SDB in children without focusing on primary snoring and both of these studies were based on population samples [5, 6]. A significant problem exists in that there is no consensus for diagnostic criteria for prepubertal or pubertal children, which creates a challenge in establishing these values. The threshold used to estimate SDB in the two studies not focused on primary snoring ranged from respiratory disturbance index ≥ 1 event per h [5] to apnoea–hypopnoea index (AHI) ≥ 5 events per h or apnoea index ≥ 1 event per h [6]. Neither of these studies included a wide range of potential risk factors including assessment of visceral obesity. Thus, in order to establish the natural history in adolescents, including incidence, persistence, remission and risk factors, the estimate needs to be based on a PSG assessment and include a wide range of potential risk factors.

The Penn State Child Cohort (PSCC) is a representative population sample of 700 young children (aged 5–12 years) with a reassessment established approximately 8 years after the baseline evaluation during adolescence (12–23 years). A very large set of potential risk factors including demographics, PSG findings, and anatomical and metabolic were factors assessed at baseline and at follow-up. Thus, the purpose of this study was to assess the natural history and potential risk factors for SDB in adolescent children drawn from a representative population sample.

Methods

Population

The baseline portion of the PSCC has been previously described [7, 8]. In brief, it was designed as a two-phase study with the first phase collecting general information from the parent about their child's sleep and behavioural patterns. In order to establish a representative sample, we assessed all 18 public elementary schools (from kindergarten to fifth grade of the US education system) within three school districts within Dauphin County, PA, USA. We sent a questionnaire home with every child ($n=7312$) [9] to be completed by the parent and 5740 were returned for a response rate of 79% for phase I. Phase II of the baseline study randomly selected 1000 children based on stratification for grade, sex and risk of SDB from the parent's returned questionnaires, and 700 children completed this phase for a response rate of 70%. Each child spent one night in our Clinical Research Center (CRC) (Penn State University, Hershey, PA, USA) with a parent. During this time, the child also completed a detailed evaluation including a physical and psychometric examination.

In the follow-up, we invited the 700 subjects to return for another visit to the CRC after an average of 8.4 years. Among the 700 subjects, 421 completed the follow-up examination during 2010–2015, yielding a response rate of 60%. The loss to follow-up was mainly due to subjects moving out of the central Pennsylvania area. However, no differences in the baseline demographic characteristics were observed between subjects who participated in the follow-up study examination and those who did not, with only slightly fewer ethnic-minority children (table 1). Based on our protocol, in both assessments, all subjects with an AHI ≥ 5 events per h, as well as those with any abnormal values in psychometric screening, physical examination or blood samples, had a report sent to their parents and primary care provider, who

TABLE 1 Baseline characteristics of children who were and were not included in the follow-up study as adolescents

	Not included	Included	p-value
Children n	279	421	
Age years	9.1 \pm 0.1	9.2 \pm 0.8	0.525
Females %	50.2	46.1	0.288
Ethnic minority %	26.5	21.9	0.155
BMI percentile	63.0 \pm 1.8	63.3 \pm 1.4	0.894
Waist cm	65.0 \pm 0.6	65.7 \pm 0.5	0.399
Neck cm	28.9 \pm 0.3	28.9 \pm 0.2	0.918
AHI events per h	0.9 \pm 0.1	0.8 \pm 0.1	0.491

Data are presented as mean \pm SD unless otherwise stated. BMI: body mass index; AHI: apnoea–hypopnoea index.

recommended any treatment. The study protocol was approved by Penn State University College of Medicine Institutional Review Board. Written informed consent was obtained from participants, and their parents or legal guardians if younger than 18 years.

Sleep laboratory

Both at baseline and follow-up, the child's sleep was continuously monitored for 9 h with seven-channel electroencephalography, electro-oculography and electromyography. Respiration was monitored with nasal pressure, a thermocouple, and thoracic and abdominal strain gauges. Snoring sounds were monitored by a microphone attached to the throat and were defined as the presence or absence of any snoring sounds. Haemoglobin oxygen saturation (SpO_2) was obtained from the finger. The sleep records were subsequently scored independently according to standardised criteria [10]. An apnoea was defined as a cessation of airflow with a minimum duration of 5 s for those aged <16 years and 10 s for those aged ≥ 16 years with an associated out-of-phase strain gauge movement. A hypopnea was defined as a reduction of airflow of approximately 50% with an associated decrease in SpO_2 of $\geq 3\%$ or an associated arousal. AHI was calculated as the number of apnoeas and hypopnoeas summed per hour of sleep. Objectively monitored snoring was used in this study. At follow-up, we categorised SDB into four groups: AHI ≥ 5 events per h, AHI 2–<5 events per h (*i.e.* an AHI ≥ 2 and <5 events per h), primary snoring (*i.e.* AHI <2 events per h and the presence of snoring detected during the sleep period) and no SDB (*i.e.* AHI <2 events per h without snoring). When assessing risk factors for incident SDB, children with an AHI ≥ 5 events per h at baseline were excluded from the analyses and mild levels of SDB at baseline (*i.e.* baseline snore or AHI 2–<5 events per h) were included as a risk factor for incident SDB.

Key measurements

We completed a similar evaluation to the baseline assessment, which included a full physical examination, psychometric assessment, parent-reported assessment of their child's medical and sleep history, and spending one night in the sleep laboratory. We expanded the follow-up evaluation by including a Holter monitor of the ECG, seven nights of actigraphic recording of sleep and physical exercise in the home environment, and a whole-body dual-energy X-ray absorptiometry (DXA) scan. Participants were examined in the CRC; after undergoing a DXA scan in the afternoon, a detailed physical examination and psychometric assessment protocol was performed. Participants then stayed overnight in the sleep laboratory to complete a standardised PSG recording. The physical examination was completed in the evening prior to the PSG and included measurements of: height; weight; hip, waist and neck circumference; and blood pressure. At baseline, this examination also included a visual evaluation of the nose and throat by an ear, nose and throat (ENT) specialist and an evaluation of the respiratory function by a paediatric pulmonologist. Blood pressure was measured using an automated system (Vital Signs Monitor; Welch Allyn, Skaneateles Falls, NY, USA). Height was measured in centimetres using a stadiometer (SECA Corp., Hanover, MD, USA) and weight was assessed in kilograms (Cardinal Scale Manufacturing, Webb City, MO, USA); age- and sex-adjusted body mass index (BMI) percentile was calculated based on the formula and data from the 2002 US Centers for Disease Control and Prevention growth charts [11]. The waist was measured in centimetres at the top of the iliac crest and the neck at the cricothyroid membrane. Whole-body DXA scan was used to measure the adipose tissue distribution in abdominal region at the follow-up examination. DXA scan was performed by using Hologic Discovery W scanner (Hologic Inc., Waltham, MA, USA). Subjects were required to remove all metal, plastic and rubber materials to avoid any impact on X-ray beams. Android region (waist), gynoid region (hips), visceral adipose tissue (VAT) and subcutaneous adipose tissue (SAT) were selected as regions of interest (ROIs) to assess abdominal obesity. Detailed ROI defining methods have been described elsewhere [12, 13]. All ROIs were identified by Hologic APEX 4.0 software (Hologic Inc., Bedford, MA, USA) and visually verified by an experienced investigator. Android/gynoid fat mass ratio, android/whole-body fat-mass proportion (AWP), gynoid/whole-body fat-mass proportion (GWP), VAT and SAT areas were used in this report.

Statistical analysis

Univariate comparisons were made using ANOVA or Chi-squared analysis. In order to establish the relative independent contribution of all potential risk factors, we further analysed the data from a multivariate perspective using multinomial logistic regression with no SDB as the reference. In this study, we report risk factors for incident SDB based on those with AHI <5 events per h at baseline. In order to further assess these models, we completed another analysis for risk factors excluding those at baseline with an AHI ≥ 2 events per h (not reported) and observed the same risk pattern.

The initial model of this analysis included all variables with a univariate association of $p < 0.40$. We assessed the three levels of SDB, each compared to those with no SDB. The initial model included change

in BMI percentile while controlling for baseline BMI percentile. Further models addressed more precise measures of obesity, including waist and neck circumference and DXA measures. These systematic models enabled us to identify changing patterns of association at various thresholds of severity of SDB. All analyses were performed using SPSS Statistics version 22 (IBM, Armonk, NY, USA).

Results

Characteristics of the sample

The final sample of 421 adolescents and young adults consisted of 45.8% girls compared to 47.7% at baseline. The age range was 12–23 years, with an average age of 16.9 ± 2.3 years; while the age range at baseline was 5–12 years. Approximately one quarter (22.2%) of our sample was ethnic minority compared to 23.7% at baseline. Specifically, the ethnic-minority distribution was 12.8% and 13.7% for non-Hispanic black and 6.5% and 6.3% for Hispanic at follow-up and baseline, respectively. Our sample had an average BMI percentile of 65.3 ± 1.4 and 15.2% were obese. The average AHI was 2.7 ± 0.3 events per h of sleep with a maximum value of 91.9 events per h, and an interquartile range of 0.6, 1.5 and 2.9 events per h of sleep. The prevalence of primary snoring and AHI 2–<5 events per h was 25.3%, and 27.0%, respectively.

Natural history and incidence

The remission of AHI ≥ 5 events per h was 100%, with half of the subjects (50.0%) partially remitting into AHI 2–<5 events per h (table 2). The overall incidence of AHI ≥ 5 events per h was 10.6%. For those subjects with primary snoring at baseline, the persistence rate was 30.3%, while 31.5% remitted, and 25.8% and 12.4% evolved into AHI 2–<5 and ≥ 5 events per h, respectively. For subjects with AHI 2–<5 events per h at baseline, the persistence rate was 33.3%, while 53.3% remitted and 13.3% evolved into AHI ≥ 5 events per h.

Risk factors for SDB

The distribution of demographic factors and potential risk factors for SDB measured at baseline and at follow-up is presented in table 3. Childhood factors that were potentially associated with SDB, defined as $p < 0.40$, included age, sex, ethnic minority, BMI percentile, waist and neck circumference, chronic sinusitis, palate length, and wheeze. In adolescence, age, BMI percentile or change in BMI percentile, minimum SpO_2 , history of tonsillectomy or adenoidectomy, Tanner stage, chronic sinusitis/rhinitis, and thyroid and respiratory night-time symptoms were potentially associated with SDB.

A multivariate stepwise logistic regression identified the relative contribution of all potential risk factors by assessing the three levels of SDB, each compared to no SDB (table 4). At the lowest threshold (*i.e.* primary snoring), a marginal association with male sex was observed. Significant risk factors for AHI 2–<5 and ≥ 5 events per h were male sex, older age, ethnic minority and greater change in BMI percentile while controlling for baseline BMI percentile. When follow-up BMI percentile replaced change in BMI percentile, similar results were obtained.

Systematic assessment of detailed measures of body fat composition

Given the strong association of follow-up whole-body obesity measures (*i.e.* change in BMI percentile or follow-up BMI percentile) with SDB, we systematically assessed the association with more detailed measures of body fat composition. As shown in model 1 of table 5, neck circumference was not associated with any level of SDB, while waist circumference was associated with AHI ≥ 5 events per h (OR 1.89). We

TABLE 2 Persistence, remission and incidence rates of sleep disordered breathing (SDB)

	Baseline	Follow-up				Overall
		No SDB	Snoring	AHI 2–<5 events per h	AHI ≥ 5 events per h	
Remission	Snoring	28/89 [31.5]				28/89 [31.5]
	AHI 2–<5 events per h	16/45 [35.6]	8/45 [17.8]			24/45 [53.3]
	AHI ≥ 5 events per h	1/6 [16.7]	2/6 [33.3]	3/6 [50.0]		6/6 [100.0]
Persistence		110/281 [39.1]	27/89 [30.3]	15/45 [33.3]	0/6 [0.0]	152/421 [36.1]
Incidence	None		70/281 [24.9]	74/281 [26.3]	27/281 [9.6]	
	Snoring			23/89 [25.8]	11/89 [12.4]	
	AHI 2–<5 events per h				6/45 [13.3]	
	Overall		70/281 [24.9]	97/370 [26.2]	44/415 [10.6]	

Data are presented as n/N (%). AHI: apnoea–hypopnoea index.

TABLE 3 Potential risk factors for incident sleep disordered breathing (SDB) in adolescence

	No SDB	Snoring	AHI 2- <5 events per h	AHI ≥5 events per h	p-value
Children n	154	105	112	44	
Males %	44.2	51.4	67.0	70.5	<0.001
Ethnic minority %	16.9	18.1	32.1	25.0	0.017
Black	9.7	10.5	20.5	9.1	0.022
Hispanic	4.5	5.7	8.9	9.1	
Other	2.6	1.9	2.7	6.8	
Baseline					
Age years	8.3±1.6	8.7±1.7	8.8±1.7	9.6±1.5	<0.001
BMI percentile	59.9±28.6	61.4±29.2	67.1±27.2	70.0±30.3	0.072
Waist cm	63.9±9.7	65.2±9.6	66.7±10.7	70.3±14.1	0.003
Neck cm	28.2±0.3	29.2±0.6	29.2±0.3	30.4±0.6	0.017
Tonsil size %					0.945
Tonsil removed	7.9	7.1	6.7	7.0	
Normal	52.5	57.1	53.3	45.6	
Abnormal	39.6	35.7	40.0	46.5	
Mild SDB %	28.6	33.3	33.9	38.6	0.578
Tonsil inflammation %	5.0	2.1	4.8	7.1	0.987
Palate length %	5.7	7.3	13.7	9.5	0.344
Chronic sinusitis %	17.0	13.3	15.5	6.8	0.385
Wheeze %	8.6	13.5	17.3	13.6	0.210
Allergies %	40.1	38.1	41.8	29.5	0.544
Follow-up					
Age years	16.4±2.1	16.6±2.2	17.3±2.2	18.4±2.1	<0.001
History of tonsillectomy or adenoidectomy %	7.8	9.5	14.3	18.2	0.147
Tanner %					0.148
Prepubertal	0.7	1.0	1.9	0.0	
Early pubertal	3.4	0.0	0.0	0.0	
Mid puberty	14.3	18.3	11.1	7.3	
Late puberty	45.6	44.2	52.8	41.5	
Adulthood	36.1	36.5	34.3	51.2	
BMI percentile	60.0±28.5	61.5±28.8	70.8±26.5	79.0±25.6	<0.001
Change in BMI percentile %	0.1±22.2	0.2±23.0	3.8±23.0	9.0±24.1	0.090
Minimum SpO ₂ %	92.0±5.6	91.7±4.2	91.0±5.0	90.1±6.6	0.122
Neck cm	34.1±2.9	35.0±3.3	37.1±7.2	38.6±4.7	<0.001
Waist cm	76.3±10.5	78.7±12.3	83.3±13.0	90.8±18.3	<0.001
Waist/whole body fat ratio	0.06±0.01	0.06±0.01	0.07±0.02	0.07±0.02	<0.001
Hip/whole body fat ratio	0.18±0.02	0.18±0.03	0.18±0.02	0.17±0.02	0.055
Waist/hip fat ratio	0.33±0.09	0.34±0.09	0.39±0.11	0.42±0.14	<0.001
Visceral adipose tissue cm ²	49.5±29.6	54.7±35.0	67.9±41.5	87.3±53.9	<0.001
Subcutaneous adipose tissue cm ²	197.3±127.2	210.9±167.9	228.3±167.4	261.8±180.4	0.095
Deviated septum %	2.6	3.8	4.5	2.3	0.829
Chronic sinusitis/rhinitis %	6.5	6.7	6.3	13.6	0.391
Heartburn %	12.4	12.5	17.0	18.2	0.592
Abdominal pain %	9.2	7.6	9.8	2.3	0.445
Regurgitation %	3.9	0.0	6.3	0.0	0.034
Vomiting %	2.0	2.9	2.7	0.0	0.713
Chronic cough %	3.3	3.8	7.1	2.3	0.382
Chronic cough with exercise %	8.5	10.5	10.7	6.8	0.835
Wheezing/dyspnoea %	13.1	19.0	19.6	6.8	0.131
Respiratory night-time symptoms %	2.0	3.8	5.4	6.8	0.362
Asthma %	5.8	11.2	8.7	4.5	0.340
Headaches %	26.1	25.0	23.2	31.8	0.737
Confusional episodes %	1.3	1.9	2.7	0.0	0.664
Seizures %	3.9	3.8	1.8	0.0	0.443
CNS trauma %	15.7	12.5	15.2	18.2	0.821
Thyroid disease %	0.7	1.0	1.8	4.5	0.272
Joint pain %	13.9	21.0	17.0	11.4	0.372
Allergies %	60.1	57.1	62.5	36.4	0.022
Toxic environmental exposure %	3.9	1.0	3.6	4.5	0.517

Data are presented as mean±SD unless otherwise stated. This table is based on subjects who were included in both the baseline and follow-up assessment excluding those that had an apnoea-hypopnoea index (AHI) ≥5 events per h at baseline. The statistical analysis of the detailed obesity variables was based on normalised values. The descriptive data reported in this table represent the recorded data. These variables include: neck and waist circumference; waist/whole body fat ratio; hip/whole body fat ratio; visceral adipose tissue; and subcutaneous adipose tissue. BMI: body mass index; SpO₂: arterial oxygen saturation measured by pulse oximetry; CNS: central nervous system.

TABLE 4 Multivariate model of risk factors independently associated with incident sleep disordered breathing in adolescence

	Snoring	AHI 2–<5 events per h	AHI ≥5 events per h
Age	1.06 [0.91–1.22]	1.31 [1.13–1.53]	1.58 [1.28–1.97]
Change in BMI percentile %	1.00 [0.99–1.01]	1.02 [1.01–1.03]	1.05 [1.02–1.07]
BMI percentile[#] %	1.00 [0.99–1.01]	1.01 [1.00–1.03]	1.03 [1.01–1.04]
Ethnic minority	1.05 [0.54–2.07]	2.25 [1.18–4.29]	1.61 [0.64–4.10]
Female sex	0.65 [0.37–1.16]	0.28 [0.15–0.53]	0.14 [0.06–0.36]

Data are presented as OR [95% CI]. A multinomial logistic regression model was used to identify those risk factors out of a larger subset of potential risk factors that were independently associated with incident sleep disordered breathing. This model was the result of entering all potential variables with $p < 0.40$, excluding those variables assessing body fat composition in detail, and waist and neck circumference. AHI: apnoea-hypopnoea index; BMI: body mass index. #: baseline BMI percentile.

further examined the association of body fat composition at follow-up (*i.e.* DXA). In model 2, we observed that AWP was significantly associated with AHI 2–<5 events per h (OR 1.70) and AHI ≥5 events per h (OR 1.88) but not primary snoring. In contrast, GWP was not associated with any level of SDB. In model 3, we observed that only VAT was significantly associated with an AHI ≥5 events per h (OR 2.78).

Discussion

This is the first representative, population-based study that has addressed the natural history of SDB, including incidence, persistence, remission and risk factors, in an adolescent sample based on a very large set of potential variables assessed at both baseline and follow-up. The remission of AHI ≥5 events per h was 100%, while its incidence peaked to about 10% during adolescence. Furthermore, for those subjects categorised as primary snorers at baseline, the incidence of AHI ≥5 events per h at follow-up was only 12.4%, which was similar to those without any history of SDB. A Chinese cohort evaluating a 4-year follow-up of children aged 6–13 years with primary snoring reported a slightly lower incidence of AHI ≥5 events per h at follow-up of 7.1% [4]. Moreover, within our cohort, for those with AHI 2–<5 events per h at baseline, we observed a 53.3% remission at follow-up, with only 13.3% evolving into AHI ≥5 events per h, suggesting that milder forms of childhood SDB do not appear to be on a continuum with adolescent

TABLE 5 Multivariate models systematically assessing detailed body fat composition

	Snoring	AHI 2–<5 events per h	AHI ≥5 events per h
Model 1			
Age	1.03 [0.91–1.16]	1.18 [1.04–1.34]	1.43 [1.20–1.70]
Female	0.76 [0.41–1.41]	0.46 [0.24–0.88]	0.38 [0.15–0.94]
Ethnic minority	1.08 [0.56–2.10]	2.50 [1.35–4.64]	1.71 [0.69–4.26]
zNeck	1.17 [0.56–2.42]	1.69 [0.84–3.39]	1.50 [0.71–3.15]
zWaist	1.17 [0.75–1.83]	1.24 [0.80–1.91]	1.89 [1.15–3.10]
Model 2			
Age	1.05 [0.92–1.19]	1.20 [1.05–1.37]	1.43 [1.19–1.71]
Female	0.61 [0.33–1.15]	0.23 [0.12–0.45]	0.22 [0.09–0.54]
Ethnic minority	1.34 [0.68–2.64]	3.28 [1.71–6.31]	2.15 [0.85–5.47]
zAWP	1.11 [0.83–1.50]	1.70 [1.27–2.28]	1.88 [1.29–2.74]
zGWP	1.13 [0.83–1.55]	1.19 [0.85–1.67]	1.05 [0.65–1.69]
Model 3			
Age	1.06 [0.94–1.20]	1.25 [1.10–1.42]	1.41 [1.18–1.67]
Female	0.49 [0.19–1.27]	0.19 [0.07–0.50]	0.34 [0.09–1.31]
Ethnic minority	1.23 [0.62–2.43]	2.91 [1.51–5.61]	1.79 [0.67–4.75]
zVAT	0.83 [0.39–1.76]	1.10 [0.53–2.28]	2.78 [1.11–6.96]
zSAT	1.49 [0.68–3.30]	1.47 [0.67–3.23]	0.66 [0.23–1.92]

Data are presented as OR [95% CI]. These multinomial logistic regression models are based on those variables that were included in preliminary analysis (table 4) plus a systematic assessment of the detailed obesity variables. Each of these models includes all variables forced in. AHI: apnoea-hypopnoea index; z: z-transformed; AWP: android/whole-body fat-mass proportion; GWP: gynoid/whole-body fat-mass proportion; VAT: visceral adipose tissue; SAT: subcutaneous adipose tissue.

moderate-to-severe SDB. Finally, beyond the known risk factors for SDB (*i.e.* male sex, older age and obesity), this study showed, for the first time in a population sample, that visceral fat, as measured by DXA, is associated with SDB as early as adolescence.

We observed a complete remission of AHI ≥ 5 events per h over an 8-year follow-up period. A strong remission rate based on PSG data in prepubertal children has also been reported in the Cleveland Sleep and Health Clinic (CSHC) (Cleveland, OH, USA) [6], in which a remission rate of 91.3% based on an AHI ≥ 5 events per h or apnoea index ≥ 1 event per h after ~ 8 years was reported. In the TuCASA (Tucson Children's Assessment of Sleep Apnea) study, a remission rate of 70.8% was reported based on a lower threshold of RDI ≥ 1 event per h after 5 years of follow-up [5]. Furthermore, in the recent CHAT (Childhood Adenotonsillectomy Trial) study, a remission of 46% based on an AHI ≥ 2 event per h in the watchful waiting arm was observed after only 7 months of follow-up [14]. We observed a similar remission rate of 53.3% for AHI 2–<5 events per h after an 8-year follow-up. Consistent with the CSHC findings we observed a trend for “history of tonsillectomy or adenoidectomy” to predict SDB. This latter finding, together with the high remission rates observed, raises concerns regarding early surgical treatment for SDB in prepubertal children, suggesting that prior surgical treatment may not only be ineffective but may also be associated with some risk. In addition, the failure of adenotonsillectomy is also associated with the severity of SDB [14]; thus, guidelines need to be established based on clear clinical outcomes. In fact, the ENT field has previously demonstrated that watchful waiting and adenotonsillectomy had similar long-term outcomes for milder ENT cases [15] and, therefore, they have dramatically reduced the use of adenotonsillectomy [16]. It would appear that a similar strategy would be warranted in the treatment of paediatric SDB, particularly given the role of the key risk factors discussed below. Based on the multivariate analysis of a large set of variables, the significant risk factors for SDB were older age, male sex and obesity. Male sex, ethnic minority and obesity have been previously reported as risk factors for incident SDB within this age group [7, 17, 18]. The data that we collected in this follow-up sample of adolescents also allowed a more detailed assessment of body fat composition. First, we assessed the relative multivariate association of neck and waist circumference. That model indicated that waist circumference was significantly associated with SDB while neck circumference was not. This finding was also reported in our baseline sample [7]. Within the follow-up assessment, we included a full-body DXA evaluation, which allowed us the ability to assess local fat distribution. We first assessed the fat proportion in the waist *versus* hip areas. The waist area was significantly associated with SDB while the hip area was not. Finally, we assessed subcutaneous *versus* visceral fat distributions. The final model indicated that only the visceral fat distribution was significantly associated with AHI ≥ 5 events per h, which is similar to previous studies in clinical and volunteer samples of middle-aged adults [19, 20] and adolescents [21–24]; however, these previous studies in adolescents included small samples of prepubertal and pubertal children [21–22], did not account for waist circumference [21–24] and focused on obese subjects [21–24], and only one study included lean controls [24]. Together, these data further support the role of metabolic aberrations in the development and natural progression of SDB as early as adolescence.

Our study has some potential limitations. First, about 60% of the population-based random sample of the PSCC cohort participated in the follow-up examination, which may introduce a slight selection bias. It remains possible that some of the subjects lost to follow-up completed treatment that we have not accounted for. Nevertheless, no significant differences in demographic characteristics were found between subjects who participated in the follow-up study and those lost to follow-up (table 1), and the PSCC has the best initial baseline response rates among all sleep cohorts in North American children [5, 6]. In addition, the distribution of all levels of baseline SDB was very similar in both samples (snore 20.0% *versus* 21.1%, AHI 2–<5 events per h 10.3% *versus* 10.7% and AHI ≥ 5 events per h 1.2% *versus* 1.4% in the baseline and follow-up samples, respectively). Second, the AHI for older adolescents (age ≥ 16 years), the adult scoring criterion (duration >10 s) was used, which would have reduced the incidence estimate. However, this would have strengthened older age as a risk factor in our multivariate models. Thus, our incidence estimates are conservative and we believe that they more closely reflect current clinical practice. Finally, although this study included strong long-term, longitudinal analyses, some associations were based on a cross-sectional analysis of the data (*e.g.* DXA), where causal inference cannot be drawn.

In conclusion, this longitudinal, population-based study indicates that cases of prepubertal SDB tend to resolve naturally during the transition to adolescence, while the incidence of moderate-to-severe SDB peaks during this developmental period up to 10%. This study also confirms that primary snoring and mild SDB at childhood do not appear to be strongly associated with progression to more severe SDB in adolescence [1–6]. Furthermore, the key risk factors for SDB in adolescence are similar to those found in middle-aged adults (*i.e.* male sex, older age and obesity). Importantly and consistent with recent studies in adults, this study indicates in a cross-sectional manner that a detailed assessment of body fat composition such as visceral fat is associated with SDB as early as adolescence.

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