

# Diagnosis-related deterioration of lung function after extracorporeal membrane oxygenation

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ABSTRACT: The aim of the study was to assess lung function longitudinally after neonatal extracorporeal membrane oxygenation (ECMO), and to identify any effects of diagnosis and perinatal characteristics.

121 neonatal ECMO-treated children (70 with meconium aspiration syndrome, 20 congenital diaphragmatic hernia and 31 with other diagnoses) performed a total of 191 lung function measurements at 5, 8 and/or 12 yrs. We assessed dynamic and static lung volumes, reversibility of airway obstruction and diffusion capacity.

Mean SDS forced expiratory volume in 1 s (FEV1) at 5 yrs before and after bronchodilation (-0.51 and 0.07) was significantly higher than at 8 (-0.79 and -0.4; p<0.04) and 12 yrs (-1.10 and -0.52; p<0.003). Mean SDS for all spirometric parameters before and after bronchodilation were significantly lower in the congenital diaphragmatic hernia group compared with the other diagnostic groups (all p<0.025). A significant volume of trapped air was observed in 86% patients with congenital diaphragmatic hernia, 50% with meconium aspiration syndrome and 58% with other diagnoses. After bronchodilation, mean SDS FEV1 and forced vital capacity were negatively influenced by duration of ventilation (both p<0.001) and duration of ECMO (p=0.003 and p=0.02, respectively).

Long-term pulmonary sequelae after neonatal ECMO-treatment mainly occur in congenital diaphragmatic hernia patients and tend to deteriorate over time.

KEYWORDS: Chronic lung disease, congenital diaphragmatic hernia, follow-up, longitudinal changes in lung function, long-term sequelae of neonatal lung disease, meconium aspiration syndrome

xtracorporeal membrane oxygenation ■ (ECMO) is a cardiopulmonary bypass technique providing life support when conventional treatment for severe respiratory failure is not enough. Underlying diagnoses include meconium aspiration syndrome (MAS), congenital diaphragmatic hernia (CDH), persistent pulmonary hypertension of the newborn (PPHN), sepsis and pneumonia. Ventilator settings are low during ECMO so the lungs can rest. Lung healing is promoted by reducing barotrauma and hyperoxia [1]. The collaborative UK ECMO trial showed improved survival of term infants with severe respiratory failure who were treated with ECMO [2–5]. The long-term pulmonary sequelae of neonatal ECMO have hardly been studied. Crosssectional studies during or shortly after ECMO all reported reduced lung function, perhaps due to severity of the underlying respiratory disease [6–10].

We report a study in which we longitudinally evaluated residual lung function in neonatal ECMO-treated children now between 5 and 12 yrs of age, distinguished by underlying diagnosis. Furthermore, we related perinatal characteristics to lung function.

#### **METHODS**

# **Participants**

A prospective longitudinal follow-up study was conducted in children who received veno-arterial ECMO support within the first week of life between February 1991 and August 2004 at the intensive care unit of the Erasmus MC – Sophia Children's Hospital, Rotterdam, the Netherlands. The cohort was supplemented with five children who received veno-arterial ECMO in two other ECMO centres (Nijmegen, the Netherlands, n=4; and Leuven, Belgium, n=1). Inclusion criteria

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and treatment protocols in those centres were the same as ours. ECMO was initiated in case of reversible severe respiratory failure and an estimated mortality risk of higher than 80% using the entry criteria of STOLAR et al. [11]. We have previously reported our entry criteria and exclusion criteria and these did not change during the study period [10]. The study was embedded in a structured prospective post-ECMO follow-up programme initiated in 2001 that provides for regular assessments of lung function, growth and developmental parameters until 18 yrs of age [12]. Based on the national consensus on neonatal follow-up and the Dutch Ministry of Health's requirement to provide relevant data, the assessment protocol is the standard of care in the Netherlands following ECMO. As a consequence, institutional review board approval was waived. The parents received information about the study and gave written informed consent for analysis of data collected during routine care. Background data were obtained from the charts, including diagnosis, gestational age, birth weight, age at onset of ECMO, duration of ECMO support, duration of mechanical ventilation, highest mean airway pressure (MAP) and highest oxygenation index prior to ECMO, total duration of mechanical ventilation (including ECMO), and duration of oxygen dependency.

Following JOBE and BANCALARI [13], we defined chronic lung disease (CLD) as oxygen dependency at day 28 and classified it as mild, moderate or severe, based on the amount of oxygen needed at day 56 or at discharge, whichever time point was reached first.

#### Lung function

Pulmonary function tests were performed at 5, 8, and 12 yrs if children were in a clinically stable condition.

We obtained flow–volume curves; forced expiratory volume in 1 s (FEV1), forced vital capacity (FVC), and maximum mid-expiratory phase (FEF25–75) were determined from the best of three reproducible manoeuvres. At 8 and 12 yrs, we also determined total lung volume, functional residual capacity and residual volume by helium dilution spirometry (TLCspiro, FRCspiro and RVspiro, respectively), and by body plethysmography (TLCpleth, FRCpleth and RVpleth), and carbon monoxide diffusion capacity (DL,CO), corrected for alveolar volume (KCO) using a single breath method (all equipment: Jaeger Masterlab, Viasys, Hoechberg, Germany). Equipment and procedures were all in accordance with European Respiratory Society criteria [14].

# Respiratory morbidity

At 5, 8 and 12 yrs, a medical history was taken, including information regarding the presence of atopic and respiratory symptoms, and prescription of prophylactic antibiotics, bronchodilators and inhaled corticosteroids medication for pulmonary disease.

## **Analysis**

The oxygenation index was calculated as:  $((MAP \times FI,O_2)/P_a,O_2) \times 100$ , where  $FI,O_2$  is the inspiratory oxygen fraction and  $P_a,O_2$  is the arterial oxygen tension [11]. FEV1, FVC, FEV1/FVC and FEF25–75 were expressed as standard deviation score (SDS) calculated from the reference values of STANOJEVIC *et al.* [15]. Volume of trapped air is considered significant when the ratio of plethysmographic to spirometric FRC is larger than 1.10 [16].

Post-bronchodilator changes of FEV1 were calculated as a simple percentage of the pre-bronchodilator value:  $100 \times (post-pre)/post$ . A >11% bronchodilator change in FEV1 was considered significant, reflecting reversible airflow obstruction [17].

The null hypothesis that the SDS of lung function parameters did not differ from those of the reference population (SDS=0) was tested with the one-sample, unpaired t-test.

Mixed-model ANOVA, which allows for missing data, was applied for the longitudinal evaluation of the spirometric SDS at 5, 8 and 12 yrs and RV/TLC ratios, and the ratio of FRCpleth to FRCspiro and SDS of *DL,CO* at 8 and 12 yrs [18].

Values for the two largest diagnostic subgroups (CDH and MAS) were analysed separately. The other subgroups were small and we grouped these as "other diagnoses". Correlation coefficients between lung function parameters and gestational age, birth weight and duration of ventilation, supplemental oxygen and ECMO were established with Spearman's correlation test.

Possible associations between lung function parameters and CLD were explored by univariate analyses. All results are expressed as mean  $\pm$  SD or median. p-values <0.05 were considered significant. Statistical analysis was performed using SPSS 17.0.

#### **RESULTS**

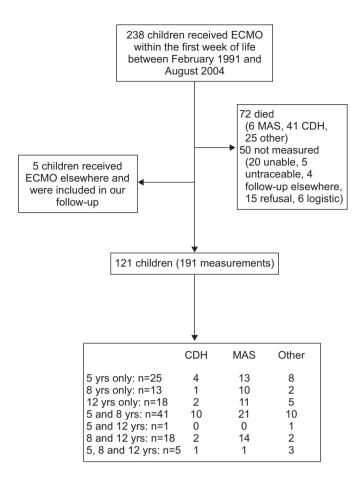
238 children received ECMO support within the first week of life between February 1991 and August 2004 in the Erasmus MC - Sophia Children's Hospital; 166 survived (70%) (fig. 1). 20 children could not perform reproducible lung function tests. Five children could not be traced, four were followed in another ECMO centre, parents of 15 children gave no consent, and six children had not been tested for logistical reasons. Hence, the study population included 121 ECMO survivors (including the aforementioned five from other centres) who altogether performed 191 pulmonary function tests between February 2002 and March 2011 in Erasmus MC Rotterdam. 70 children (58%) had been diagnosed with MAS; 20 (17%) with CDH. Smaller subgroups received ECMO for: PPHN (n=17), sepsis (n=8), pneumonia (n=4) and cardiorespiratory failure (n=2). The characteristics at birth are shown in table 1. The tested children did not differ from the non-tested children in gestational age, birth weight, underlying diagnosis, highest MAP, highest oxygenation index, age at onset of ECMO or duration of ECMO (data not shown). Atopic symptoms were reported in seven (9.7%), nine (11.7%) and nine (21.4%) children at 5, 8 and 12 yrs, respectively. One child at age 5 yrs (1.4%) and one child at age 8 yrs (1.3%) took antibiotic prophylaxis to prevent recurrent airway infections. At 5 yrs, 10 children (13.9%) used bronchodilators (four with additional inhaled steroids). At 8 yrs, eight children (10.4%) used bronchodilators (five with additional inhaled steroids). At 12 yrs, four children (9.8%) used bronchodilators (two with additional inhaled steroids).

# Lung function

Spirometry

The results of spirometry after bronchodilation are shown in table 2. Significant differences from the norm (SDS=0) are indicated in the table. Significant reversibility of FEV1 was observed in 34 measurements (18%). The median (interquartile range) change in FEV1 after bronchodilation was 5% (1–10%).

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**FIGURE 1.** Flowchart: children included in the follow-up programme. ECMO: extracorporeal membrane oxygenation; MAS: meconium aspiration syndrome; CDH: congenital diaphragmatic hernia.

Mean SDS FEV1 before and after bronchodilation significantly changed over time: at 5 yrs it was significantly higher than at 8 yrs (p=0.039 before and p=0.001 after bronchodilation) and at 12 yrs (p=0.003 before and p=0.001 after bronchodilation). It did not significantly change between 8 and 12 yrs.

Mean SDS FVC before and after bronchodilation did not change significantly over time (p-values not shown).

Mean SDS FEV1/FVC at 5 yrs was higher than at 8 and 12 yrs, both before and after bronchodilation (all p-values <0.001). After bronchodilation, mean SDS FEV1/FVC did not change significantly between 8 and 12 yrs; before bronchodilation it was higher at 8 yrs (p=0.033).

Mean SDS FEF25–75 after bronchodilation was higher at 5 yrs than at 8 yrs (p=0.02); it did not change significantly before bronchodilation or from 8 to 12 yrs before and after bronchodilation.

Figures 2 and 3 show the SDS FEV1 at 5, 8 and 12 yrs for the three subgroups and the whole group, before and after bronchodilation. Initial diagnosis was a significant determinant in the mixed model: all spirometric parameters before bronchodilation in the CDH group were significantly lower than those in the other two subgroups. The parameters did not significantly differ between the MAS group and the other diagnoses group (data not shown). Individual measurements of FEV1, before and after bronchodilation, in CDH patients are shown in figures 4 and 5.

We analysed spirometric values in children with repeated measurements separately. After bronchodilation, SDS FEV1 was significantly higher at 5 yrs compared with 8 and 12 yrs (p=0.002 and p=0.005, respectively). FEV1/FVC was significantly higher at 5 yrs compared with 8 and 12 yrs (both p<0.001). FEF25–75 was significantly higher at 5 yrs compared

TABLE 1 Clinical perinatal character	BLE 1 Clinical perinatal characteristics of neonatal extracorporeal membrane oxygenation (ECMO)-treated patients						
	All participants	MAS	CDH	Other			
Subjects n (males)	121 (65)	70 (33)	20 (15)	31 (17)			
Gestational age weeks	40 (34.7–43.3)	40.6 (36.6-43.3)	39 (36-40.9)	38.5 (34.7-42.3)			
Birth weight g	3380 (2160-4980)	3400 (2300-4980)	3500 (2160-3810)	3280 (2375-4880)			
Age at onset ECMO h	25 (5–168)	23 (6-73)	16 (5–168)	40 (15–152)			
Duration of ECMO h	132 (24–369)	126 (24–345)	192 (68–369)	122 (53–288)			
Duration of ventilation days	10 (1–70)	10 (1–34)	28 (11–70)	9.5 (2-30)			
Highest MAP prior to ECMO cmH <sub>2</sub> O	20 (12–45)	20 (14–30)	19 (12–45)	19 (13–26)			
Highest OI prior to ECMO	43 (15–143)	44 (27-143)	44 (15–130)	40 (21–106)			
NO treated newborns	80 (66)	42 (60)	13 (65)	25 (81)			
CLD	22 (18.2)	12 (17.2)	10 (50.0)				
Mild CLD	11 (9.1)	10 (14.3)	1 (5.0)				
Moderate CLD	1 (0.8)		1 (5.0)				
Severe CLD	10 (8.3)	2 (2.9)	8 (40.0)				

Data are expressed as median (range) or n (%), unless otherwise indicated. Shown are the total group and the subgroups of infants. MAS: meconium aspiration syndrome; CDH: congenital diaphragmatic hernia; MAP: mean airway pressure; OI: oxygenation index; NO: nitric oxide therapy; CLD: chronic lung disease, classified according to JOBE and BANCALARI [13].



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TABLE 2

Longitudinal results of spirometry after neonatal extracorporeal membrane oxygenation, after bronchodilation

	5 yrs	8 yrs	12 yrs
Subjects n SDS FEV1	72	77	42
All participants MAS CDH	$0.07 \pm 0.14$ $0.49 \pm 0.17**$ $-0.71 + 0.40$	-0.40±0.15* 0.12±0.14 -2.27+0.36 <sup>#</sup>	$-0.52 \pm 0.16$ * $0.01 \pm 0.23$ -2.73 + 0.61*
Other SDS FVC	0.01 ± 0.23	0.08±0.31	-0.49±0.25
All participants MAS CDH	$-0.08 \pm 0.15$ $0.40 \pm 0.18*$ $-0.69 \pm 0.43$	$-0.22 \pm 0.13$ $0.01 \pm 0.15$ $-1.48 \pm 0.35^{\#}$	$-0.29 \pm 0.16$ $0.12 \pm 0.27$ $-1.28 \pm 0.98$
Other SDS FEV1/FVC	-0.01 ± 0.29	0.19±0.25	-0.17 ± 0.33
All participants MAS CDH	$0.32 \pm 0.15$ $0.22 \pm 0.19$ $0.11 \pm 0.35$	$-0.53 \pm 0.12^{\#}$ $-0.19 \pm 0.11$ $-1.47 \pm 0.39^{**}$	$-0.63 \pm 0.15$ # $-0.32 \pm 0.19$ $-2.16 \pm 0.30$ **
Other  SDS FEF25-75  All participants	0.21 ± 0.35 -0.56 + 0.19**	$-0.37 \pm 0.28$ $-1.02 + 0.18$	$-0.61 \pm 0.34$ -0.97 + 0.20 <sup>#</sup>
MAS CDH Other	$-0.12 \pm 0.25$ $-1.76 \pm 0.42**$ -0.82 + 0.38	-0.34±0.14* -3.07±0.46# -0.71+0.42	-0.67 ± 0.20** -0.67 ± 0.21** -3.28 ± 0.54** -0.93 + 0.30*

Mean $\pm$  sE standard deviation scores (SDS) are shown for FEV1 (forced expiratory volume in 1 s), FVC (forced vital capacity), FEV1/FVC, and FEF25-75 (maximum mid-expiratory phase). Number of patients studied in each group: meconium aspiration syndrome (MAS): 35, 46 and 26 at 5, 8 and 12 yrs; congenital diaphragmatic hernia (CDH): 15, 14 and 5 at 5, 8 and 12 yrs; others: 22, 17 and 11 at 5, 8 and 12 yrs. SDS significantly below normal (SDS=0; one-sample t-test), \*: p<0.05; \*\*: p<0.01; \*\*: p<0.001.

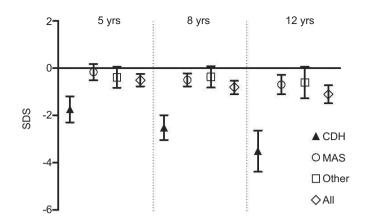
with 8 yrs (p=0.028); there was no significant difference compared with 12 yrs. This is similar to the analysis of the whole group, including children with only one measurement. For all lung function parameters, differences between the different subgroups were independent of age.

# Helium dilution spirometry, body plethysmography and diffusion capacity at 8 and 12 yrs

The mean  $\pm$  sD RV/TLCspiro at 8 yrs was 22.1  $\pm$  8.3%; at 12 yrs it was 21.1  $\pm$  7.0%. The mean  $\pm$  sD RV/TLCpleth at 8 yrs was 29.4  $\pm$  8.2%; at 12 yrs it was 26.5  $\pm$  6.8% (table 3).

The mean $\pm$ SD FRCpleth/spiro was  $1.22\pm0.22$  at 8 yrs and  $1.09\pm0.11$  at 12 yrs. A significant volume trapped air (defined as FRCpleth/spiro >1.10) [16] was observed in 32 (64%) and 13 (46%) children at 8 and 12 yrs, respectively. This concerned 12 out of 14 measurements in the CDH group (86%), 26 out of 52 in the MAS group (50%), and seven out of 12 in the other diagnoses group (58%).

Total diffusion capacity did not differ from the normal population at 8 and 12 yrs (p=0.286 and p=0.392, respectively). However, after correction for alveolar volume, the diffusion

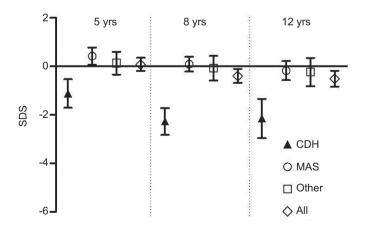


**FIGURE 2.** Standard deviation scores (SDS) forced expiratory volume in 1 s (mean and 95% confidence interval) before bronchodilation at 5, 8 and 12 yrs for the different subgroups. Triangles represent congenital diaphragmatic hernia (CDH) patients (n=14, 13 and 5 at 5, 8 and 12 yrs, respectively); circles represent children with meconium aspiration syndrome (MAS) (n=34, 46, and 24 at 5, 8 and 12 yrs, respectively), squares represent children who underwent neonatal extracorporeal membrane oxygenation for other diagnoses (n=22, 16 and 9 at 5, 8 and 12 yrs, respectively). A summary of all cases is shown represented by the diamond symbols.

capacity was significantly below the norm at the age of 8 (p<0.001) but not at 12 yrs (p=0.172).

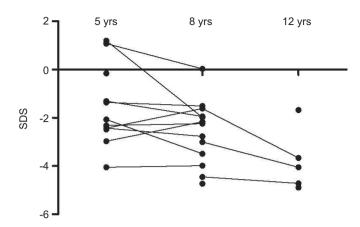
## Other determinants of lung function parameters

Beside the effects of age and initial diagnosis we evaluated the influence of other determinants on spirometric parameters. Before bronchodilation, mean SDS FEV1 and SDS FVC were negatively influenced by duration of ventilation (both parameters p<0.001), duration of ECMO support (p  $\leq$  0.001 for both parameters), and the presence of CLD (both p  $\leq$  0.001). Both parameters were positively correlated to birth weight (both



**FIGURE 3.** Standard deviation scores (SDS) of forced expiratory volume in 1 s (mean and 95% confidence interval) after bronchodilation at 5, 8 and 12 yrs for the different subgroups. Triangles represent congenital diaphragmatic hernia (CDH) patients (n=10, 14 and 5 at 5, 8 and 12 yrs, respectively); circles represent children with meconium aspiration syndrome (MAS) (n=26, 46 and 23 at 5, 8 and 12 yrs, respectively), squares represent children who underwent neonatal extracorporeal membrane oxygenation for other diagnoses (n=16, 15 and 11 at 5, 8 and 12 yrs, respectively). A summary of all cases is shown represented by the diamond symbols.

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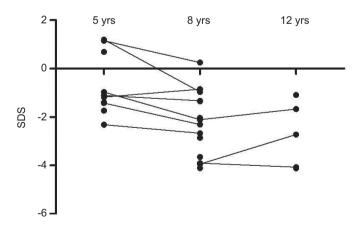


**FIGURE 4.** Change of standard deviation scores (SDS) of forced expiratory volume in 1 s before bronchodilation in congenital diaphragmatic hernia patients from 5 to 12 yrs. Each dot represents a measurement of an individual patient. n=14 at 5 yrs, n=13 at 8 yrs and n=5 at 12 yrs.

 $p \le 0.001$ ); SDS FEV1 only was positively correlated to gestational age (p=0.001).

After bronchodilation, mean SDS FEV1, SDS FVC and SDS FEF25–75 were negatively influenced by duration of ventilation (all parameters p<0.001), duration of ECMO support (all p<0.03), and the presence of CLD (all p<0.001). Mean SDS FEV1, SDS FVC, SDS FEV1/FVC and SDS FEF25–75 were positively influenced by birth weight (all p<0.05); mean SDS FEV1 and SDS FEF25–75 were also positively influenced by gestational age (both p<0.01). In univariate analysis, doubling of the logarithm ventilation time resulted in a mean decrease of -0.415 SDS FEV1 at 5 yrs, -0.782 SDS FEV1 at 8 yrs, and -1.35 SDS FEV1 at 12 yrs (all p<0.001).

RV/TLCpleth and FRCpleth/spiro were positively correlated to duration of ventilation (p<0.001), duration of ECMO support (p<0.02), and birth weight (p<0.04). Highest MAP and oxygenation index before ECMO did not correlate with any of the lung function parameters.



**FIGURE 5.** Change of standard deviation scores (SDS) of forced expiratory volume in 1 s after bronchodilation in congenital diaphragmatic hernia patients from 5 to 12 yrs. Each dot represents a measurement of an individual patient. n=10 at 5 yrs, n=14 at 8 yrs and n=5 at 12 yrs.

IABLE 3	and 12 yrs					
	8 yrs	Subjects n	12 yrs	Subjects n		
RV/TLCspiro %	6 22.1 ± 8.3	56	$21.1 \pm 7.0$	30		
RV/TLCpleth %	6 29.4 ± 8.2	40	$26.5 \pm 6.8$	25		
FRCpleth/spiro	$1.22 \pm 0.22$	50	$1.09 \pm 0.11$	28		
VTA	32 (64)		13 (46)			

29

 $-0.28 \pm 1.5$ 

-0.41 + 1.2

22

 $0.32 \pm 1.6$ 

-0.95 + 1.1\*\*\*

TABLE 2 Chatia hunguyahungan and diffusion consoity at 0

Data are presented as mean±sp or n (%), unless otherwise stated. RV: residual volume; TLC: total lung capacity; spiro: determined by helium dilution spirometry; pleth: determined by body plethysmography; FRC: functional residual capacity; VTA: volume trapped air; DL,CO<sub>c</sub>: diffusing capacity of the lung for carbon monoxide, corrected for haemoglobin; KCO<sub>c</sub>: diffusion capacity corrected for alveolar volume and haemoglobin. For VTA, the number (%) of patients with significant VTA (defined as FRCpleth/spiro >1.10) is shown.

\*\*\*: p<0.001, significantly below normal (SDS=0) at 8 yrs.

#### **DISCUSSION**

DL,COc SDS

Kco<sub>c</sub> SDS

Residual lung function of the studied 121 children, in terms of mean SDS FEV1 and SDS FEF25–75 before bronchodilation, had significantly decreased between 5 and 12 yrs of age. CDH was associated with significantly lower spirometric values and higher frequency of a significant volume of trapped air compared to other diagnoses. Mean SDS FEV1, FVC and FEF25–75 were negatively influenced by duration of ventilation, and the presence of CLD.

Long-term pulmonary function abnormalities, such as hyperinflation and airway obstruction, are well recognised after neonatal respiratory failure secondary to lung injury from MAS, CDH and neonatal pneumonia [10, 19–20]. Treatment modalities such as supplemental oxygen and mechanical ventilation contribute to the pathogenesis of CLD [21]. Avoiding continued exposure to high inspired oxygen concentration and barotrauma during the course of ECMO has reduced mortality and encouraged lung healing and recovery. To our knowledge this is the first longitudinal study on the impact of neonatal ECMO on lung function in children between 5 and 12 yrs of age.

In 2000, Beardsmore et al. [6] cross-sectionally studied 51 ECMO patients at age 1 yr and found few differences in lung function compared with conventionally ventilated controls. This provided reassurance that in addition to decreased mortality respiratory function following ECMO was no worse. In addition, another study by BEARDSMORE et al. [22] showed that, when children were categorised according to the underlying reason for ECMO, those treated for respiratory distress syndrome and those treated beyond the first 3 weeks for bronchiolitis or pneumonia had poorer pulmonary function 12 months later. However, few CDH patients were included in this studied population of 106 subjects [22]. HOFHUIS et al. [10] found below-average but normal lung volumes and stable forced expiratory flows during the first year of life in 64 infants following ECMO. At 12 months, only the CDH patients showed signs of hyperinflation with plethysmographic FRC significantly above normal. In an earlier study we found significantly LUNG FUNCTION M. SPOEL ET AL.

impaired expiratory flows and increased FRC levels in 12 ECMO and 31 non-ECMO treated CDH patients during the first year of life. The ECMO-treated CDH patients had significantly higher FRC levels, thus reflecting more hyperinflation [20]. In a cross-sectional study in 54 8-yr-old patients after severe neonatal respiratory failure, MAJAESIC et al. [23] found a poorer pulmonary outcome in the subgroup of ECMO treated CDH patients. In 2004, HAMUTCU et al. [19] cross-sectionally studied 50 children after neonatal ECMO treatment. At a mean age of 11 yrs they had significantly lower FEV1 and FEF25-75 and hyperinflation with higher RV compared to healthy matched controls. Single breath diffusion capacity for carbon monoxide was within the normal range. When children with congenital heart disease (8%) and CDH (12%) were excluded from analysis, no significant differences in lung function were observed. BOYKIN et al. [7] reported signs of air trapping and mild lower airway obstruction in 17 ECMO-treated MAS patients.

The strength of the present study is the longitudinal aspect, as most other studies were performed cross-sectionally. A potential weakness is the lack of appropriate reference values for longitudinal spirometric measurements and the lack of a healthy control group in our study. Up-to-date reference data are needed to reflect evolving measurement techniques and equipment and changes in population characteristics. In 2008, QUANJER et al. [24] compared five commonly used reference equation sets to serial measurements and found that Stanojevic's equations performed best and are suitable for longitudinal data analysis as they cover a wide age range and account for a gradual transition from childhood into adulthood. In another study they compared 30 spirometry datasets and concluded that the use of local controls to validate reference equations will rarely be practical due to the numbers required and that the use of reference equations derived from large or collected datasets is recommended [25]. Therefore we computed SDS of spirometric values using those equations, which distinguish between the effects of disease and those of growth and development [24]. As treatment protocols improve over time, results from earlier studies may not just be applicable to patients treated with ECMO today. This is, albeit to a lower extent, also a limitation of our study. Not all patients were tested at all three time points, which created an unfavourable but unavoidable heterogeneity in the age distribution. Our ventilation strategies and ECMO treatment protocols have indeed been adjusted over the past decade, resulting in better survival in CDH patients [26]. Recent protocols based on meta-analysis of retrospective studies provide guidelines for the use of ECMO in CDH patients [27]. These could well lead to better long-term pulmonary outcome and lung function.

In our study, the SDS for all spirometric parameters in the CDH group were significantly lower than those in patients with MAS or other diagnoses. This finding is in line with findings from other studies. While infants with MAS have normal lung development, CDH is associated with lung hypoplasia and PPHN. The severity of lung hypoplasia and PPHN in CDH patients covers a wide range. ECMO-treated CDH patients are regarded as the most severe cases [20, 26]. Their improved survival might be counterbalanced by increased morbidity. Maldevelopment of the alveoli and pulmonary vessels with disturbed lung growth may be responsible for the deterioration of lung function. Also, prolonged ventilatory support and

supplemental oxygen after ECMO treatment may result in CLD. Recurrent respiratory tract infections can further compromise lung function over time.

Our group has recently reported a significant decline of exercise capacity in a cohort of ECMO survivors which overlaps with the population described in the present study [28]. Interestingly, this decline was irrespective of the underlying diagnosis and we were unable to show an association between maximal exercise capacity and SDS of FEV1 and FEV1/FVC. For all patients, we advocate an active lifestyle and healthy eating pattern, as sports participation interacts positively and BMI negatively with exercise capacity [29].

Adequate treatment of recurrent respiratory tract infections, close monitoring and treatment of asthma could perhaps halt deterioration of exercise capacity and lung function, especially in CDH patients. The use of prophylactic bronchodilators, *e.g.* during exercise, should not be advised routinely.

From our results it can be concluded that lung function is compromised after neonatal ECMO treatment and seems to deteriorate over time in CDH patients only. Airway patency in patients with all other diagnoses was within the normal range and remained stable over time. Therefore we assume that the underlying condition and not ECMO itself is responsible for the compromised lung function post-ECMO. ECMO may even reduce the harmful effects of high pressure ventilation and high doses of oxygen. Although the severity of pulmonary hypertension diminishes during ECMO and later in CDH patients, sequelae of abnormal lung development will still be present as reflected by compromised lung function in this patient group.

We recommend prolonged follow-up, especially of patients with CDH, to further elucidate the increased respiratory morbidity that occurs with better survival and changing treatment protocols.

# **SUPPORT STATEMENT**

The Swart-van Essen foundation financially supported this study.

#### STATEMENT OF INTEREST

None declared.

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