

Effect of pulmonary exacerbations on longterm lung function decline in cystic fibrosis

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ABSTRACT: It is unknown what proportion of long-term lung function decline in cystic fibrosis (CF) is explained by pulmonary exacerbations. The aim of this study was to determine how exacerbations requiring hospitalisation contribute to the course of CF lung disease.

This was a retrospective cohort study. The primary outcome was the rate of decline of forced expiratory volume in 1 s (FEV₁) % predicted.

Out of 851 subjects, 415 (48.8%) subjects had \geqslant 1 exacerbation. After adjustment for confounders, the annual rate of FEV1 decline in those without an exacerbation was 1.2% per yr (95% CI 1.0–1.5), compared with 2.5% per yr (95% CI 2.1–2.8) in those with an exacerbation. The proportion of overall FEV1 decline associated with \geqslant 1 exacerbation was 52% (95% CI 35.0–68.9). For a given number of exacerbations, the annual rate of FEV1 decline was greatest in subjects with \leqslant 6 months between exacerbations.

Half of FEV1 decline seen in CF patients was associated with pulmonary exacerbations. Time between exacerbations, specifically ≤ 6 months between exacerbations, plays an important contribution to overall lung function decline. These findings support using time to next exacerbation as a clinical end-point for CF trials.

KEYWORDS: Forced expiratory volume in 1 s, cystic fibrosis, pulmonary exacerbation

ulmonary exacerbations are significant clinical events in the lives of cystic fibrosis (CF) patients. In 2007, 38% of all CF patients in the USA were treated with intravenous antibiotics for $\geqslant 1$ pulmonary exacerbation [1]. Although there is controversy in how to define a pulmonary exacerbation, an increased number of exacerbations is clearly associated with increased morbidity and mortality in CF [2, 3]. Several studies have shown that more pulmonary exacerbations are associated with a steeper subsequent decline in lung function, specifically forced expiratory volume in 1 s (FEV1), particularly among children [4-6]. Multiple datasets, including those from the Toronto CF Database housed at the Hospital for Sick Children (Toronto, ON, Canada), have examined the effect of pulmonary exacerbations, as discrete events, on lung function and have demonstrated that up to one-third of CF patients will not recover their baseline FEV1, with an ~3% decrease in FEV1 following an exacerbation [7-10]. These studies also identify risk factors for failing to recover lung function following a pulmonary exacerbation, including greater initial drops in FEV1. However, despite this knowledge, we still do not understand how repeated pulmonary exacerbations affect lung function over the lifetime of a CF patient. This knowledge is crucial in determining how important it is to prevent pulmonary exacerbations, which are often measured as study outcomes in clinical trials in CF. Therefore, the aim of this study was to model FEV1 decline in a paediatric and adult CF population over a 12-yr period and determine the role of pulmonary exacerbations (requiring hospital admission for *i.v.* antibiotics) in that decline.

MATERIALS AND METHODS

Study design and patient population

This was a retrospective cohort study of subjects with CF followed at the Hospital for Sick Children and St Michael's Hospital (Toronto, ON, Canada) from 1997 to 2008. The primary outcome was the annual rate of decline in FEV1 % predicted.

Subjects were included in the study if they had a confirmed diagnosis of CF based on: 1) the presence of clinical features consistent with CF; or 2) a positive family history for CF, and either two documented sweat chloride values >60 mEq·L⁻¹ measured by quantitative pilocarpine iontophoresis test, genetic testing showing two CF-causing mutations or a nasal potential difference consistent with CF [11]. Subjects were excluded if they were unable to perform reproducible spirometry. There were no subjects in this

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cohort who were identified by newborn screening. Data were censored at lung transplantation or death.

This study was approved by the Research Ethics Board at the Hospital for Sick Children (1000013759) and St Michael's Hospital (09-087°).

Data collection and definitions

The data for this study was extracted from the Toronto CF Database. This encounter-based database prospectively collects information from paediatric and adult CF subjects from every visit including sputum microbiology, medications and pulmonary function testing [12]. Specific information that was unavailable from the database was retrieved through systematic review of hospital health records by one of the study investigators (A. Lu).

A pulmonary exacerbation was defined as a hospitalisation for respiratory symptoms requiring antibiotics (96% of patients were administered i.v. antibiotics), as previously described [10, 13]; hospitalisations for gastrointestinal complications were not included. Consecutive pulmonary exacerbations within 3 weeks of antibiotic treatment were considered as a single event [7]. Exacerbation history was analysed as ever/ never, total number during the study period (grouped as 0, 1, 2-4, ≥ 5) and as the minimum time between two consecutive exacerbations. FEV1 values were corrected for height, age and sex and analysed as % predicted [14]. Body mass index (BMI) was categorised into normal, underweight and overweight. In children (<18 yrs of age), BMI was first converted to centiles and categorised into normal, underweight and overweight based on standard cut-offs (<5th centile, 5-85th centile, >85 centile) [15]. In adults, we used standard cut-offs <18, 18-25, $\geq 25 \text{ kg} \cdot \text{m}^{-2}$.

Statistical analysis

Baseline characteristics for the cohort were defined based on data from the first year of observation. The overall annual rate of FEV1 decline was estimated with all recorded measurements using generalised estimating equation (GEE) models, which adjust for the correlated nature of repeated measures in an individual. In the multivariable models, we adjusted for age (yrs), sex (male or female), genotype (homozygous ΔF508, heterozygous Δ F508, other, unknown), baseline FEV1 (L·s⁻¹), year of measurement, age at diagnosis (<1 yr, <12 yrs, ≤18 yrs, >18 yrs, unknown), BMI (normal, underweight, overweight), any previous Pseudomonas aeruginosa or Burkholderia cepacia complex (BCC) infection, a history of allergic bronchopulmonary aspergillosis (ABPA) (as defined by the treating physician in combination with increased immunoglobulin E levels) [10], pancreatic insufficiency (defined by enzyme usage) and CFrelated diabetes (CFRD) (based on oral glucose tolerance test). Age and BMI were treated as time-varying variables. Socioeconomic status was not available. Furthermore, Medicaid insurance was not investigated since Canada has universal healthcare insurance. Methicillin-resistant Staphylococcus aureus was not included due to its low prevalence in the CF population in Canada [16]. Factors which were marginally significant (p<0.15) using univariable analysis, or those with strong a priori hypothesis (e.g. sex, age) were entered into a multivariable model using a step-wise approach. Variables were maintained in the multivariable linear GEE model if they were independently

associated with FEV1 decline, or if they changed the coefficient for the effect of exacerbation on slope on FEV1. Effect modifications by exacerbations (ever *versus* never) and age (>18 *versus* \leq 18 yrs) was evaluated by testing for interactions in the model and conducting stratified analyses where appropriate. In addition, sensitivity analysis was performed excluding subjects >50 yrs of age, subjects with a history of BCC infection and subjects with ABPA. The extent to which exacerbations contribute to overall lung function decline over time was estimated using the formula $(\beta_0$ - $\beta_1)/\beta_0$, where β_0 is the time coefficient in the model without the exacerbation covariate and β_1 is the slope in the model which included the exacerbation covariate and potential confounding variables [17].

RESULTS

Study population characteristics

From 1997 to 2008, 851 subjects with CF were followed for a median (interquartile range) of 6.7 (2.4–9.7) yrs. There were 1,882 pulmonary exacerbations during the study period. A total of 415 (48.8%) subjects had at least one pulmonary exacerbation requiring hospitalisation and antibiotics. The median number of exacerbations per subject was 3 (range 1–19) and the median number of exacerbations per subject per year was 2 (range 1–5). The average time between two consecutive exacerbations was 1 yr (range 2 months–6 yrs).

Compared with subjects who had no pulmonary exacerbations during the study period, subjects with $\geqslant 1$ pulmonary exacerbation were followed for longer; were younger at baseline; were more likely to be female; were diagnosed at a younger age; were more likely to be homozygous for Δ F508; to be pancreatic insufficient; to be underweight; to have CFRD; to have had *P. aeruginosa* or BCC infection; to have a history of ABPA and to have lower baseline FEV1 (table 1).

Factors influencing FEV1 decline

Using univariable analysis, the overall rate of FEV1 % predicted decline for the entire study population was 1.6% per yr (95% CI 1.6–1.7). In subjects with $\geqslant 1$ pulmonary exacerbation, the annual rate of decline was 1.8% per yr (95% CI 1.8–1.9) compared with 1.1% per yr (95% CI 1.0–1.1) in subjects without any exacerbations. These rates of FEV1 decline were similar in children and adults; younger subjects had a higher baseline FEV1 (fig. 1).

In the multivariable analysis, the annual rate of decline in FEV1 was modified by exacerbation history (interaction p<0.0001) such that the rate of decline was steeper in the exacerbation group. In addition, the rate of FEV1 decline was proportionally greater in older subjects, those with an earlier study entry, lower baseline FEV1, underweight subjects and those with a history of BCC infection (table 2). Although significant in the univariable analysis, P. aeruginosa infection, pancreatic insufficiency and CFRD did not contribute to the final multivariable model. After adjusting for potential confounders, the rate of FEV1 decline in subjects with ≥1 exacerbation was 2.5% per yr (95% CI 2.1-2.8) compared with 1.2% per yr (95% CI 1.0-1.5) in subjects without an exacerbation. The proportion of the overall FEV1 decline (-1.62% per yr) associated with pulmonary exacerbations (-2.47% per yr) was 52% (95% CI 35.0-68.9).

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Variable	No exacerbations	≥1 exacerbation	Total
Subjects n	436	415	851
Follow-up time yrs	4.5 (1.6–9.1)	8.2 (3.8-9.9)	6.7 (2.4-9.7)
Female	176 (40.4)	205 (49.4)	381 (44.8)
Age yrs	19.6 (9.6–31.1)	16.0 (8.5–25.9)	18.0 (8.7–28.5)
Age at diagnosis yrs			
<1	209 (47.9)	247 (60.0)	456 (53.6)
<12	135 (31.0)	123 (29.6)	258 (30.3)
≤18	22 (5.1)	21 (5.1)	43 (5.1)
>18	69 (15.8)	23 (5.5)	92 (10.8)
Unknown	1 (0.2)	1 (0.2)	2 (0.2)
Genotype			
Homozygous ΔF508	160 (36.7)	189 (45.5)	349 (41.0)
Heterozygous ΔF508	159 (36.5)	137 (33.0)	296 (34.8)
Other/other	40 (9.2)	43 (10.4)	83 (9.8)
Unknown	77 (17.6)	46 (11.1)	123 (14.4)
Pancreatic insufficiency	324 (74.3)	361 (87.0)	685 (80.5)
Body mass index			
Underweight	23 (5.3)	30 (7.2)	53 (6.2)
Normal weight	321 (73.6)	346 (83.4)	667 (78.4)
Overweight	92 (21.1)	39 (9.4)	131 (15.4)
Cystic fibrosis-related diabetes mellitus	30 (6.9)	38 (9.1)	68 (8.0)
Pseudomonas aeruginosa infection	197 (45.2)	270 (65.1)	467 (54.9)
Burkholderia cepacia complex infection	62 (14.2)	71 (17.1)	133 (15.6)
Allergic bronchopulmonary aspergillosis	0 (0)	46 (11.1)	46 (5.4)
Lung Function			
Average number of pulmonary function tests per yr per subject	1 (1–2)	2 (1–3)	1 (1–2)
Baseline FEV1 % pred	74.8 ± 26.7	64.0 ± 24.5	69.5 ± 26.2
Baseline FEV1 z score	-2.1 ± 2.3	-3.0 ± 2.1	-2.5 ± 2.0

Data are presented as median (interquartile range), n (%) or mean±sp, unless otherwise stated. FEV1: forced expiratory volume in 1 s; % pred: % predicted.

Sensitivity analyses

Excluding subjects >50 yrs of age, those with a history of ABPA or those who subsequently had a transplant did not

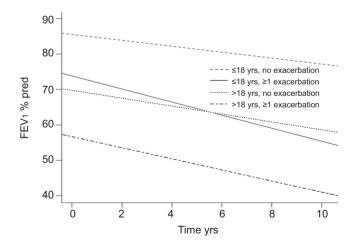


FIGURE 1. Forced expiratory volume in 1 s (FEV1) % predicted decline over time in subjects aged either ≤18 yrs or >18 yrs and either with or without exacerbation.

change the interpretation of the results. When we limited the analysis to those without a history of infection with BCC, the adjusted slope of FEV1 decline was slightly attenuated (-2.0% per yr, 95% CI -2.2--1.7). After adjusting for potential confounders, the annual rate of decline was the same in both children and adults.

The effect of number and timing of pulmonary exacerbations on FEV1 decline

After adjusting for potential confounders, the total number of exacerbations (grouped as 0, 1, 2–4, \geqslant 5 over the study period) was a significant predictor of annual FEV1 decline. The annual rate of FEV1 decline for each exacerbation group was compared with the rate of FEV1 decline in the group with no exacerbations and the relative difference is represented in figure 2. The rate of FEV1 decline for the group with only one exacerbation during the study period did not differ significantly from the group with no exacerbations (0.7%, 95% CI -1.3–2.5). Relative to the group with no exacerbations, the slope for FEV1 decline was -5.3% (95% CI -7.0– -3.5) lower for the group with 2–4 exacerbations and -7.9% (95% CI -9.6– -6.1) lower for those with \geqslant 5 exacerbations over the study period.

Subsequently, we further categorised those patients with >2 exacerbations over the study period based on the minimum



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TABLE 2 Multivariate analysis of variables affecting the rate of FEV1 decline stratified by the presence of exacers	TABLE 2
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	No exacerbations		≥1 exacerbation	
Variable	Slope (95% CI)	p-value	Slope (95% CI)	p-value
Longer duration of follow up yrs	-1.21 (-1.48– -0.95)	<0.0001	-2.43 (-2.78– -2.08)	<0.0001
Increasing age yrs	-0.19 (-0.260.13)	< 0.0001	-0.25 (-0.350.16)	< 0.0001
Earlier study entry calendar yr	-0.33 (-0.580.08)	0.010	-0.92 (-1.24-0.60)	< 0.0001
Lower baseline FEV ₁ % pred	-0.81 (-0.840.78)	< 0.0001	-0.73 (-0.780.69)	< 0.0001
ВМІ				
Normal weight	Ref.	Ref.	Ref.	Ref.
Underweight	-3.56 (-4.852.27)	< 0.0001	-8.00 (-8.737.26)	< 0.0001
Overweight	3.81 (2.90-4.72)	< 0.0001	4.21 (3.35–5.09)	< 0.0001
BCC infection	-6.46 (-8.804.12)	< 0.0001	-2.78 (-5.530.08)	< 0.0001

After adjusting for the other potential confounders, patients in the no exacerbations group with *Burkholderia cepacia* complex (BCC) infection have an annual rate of forced expiratory volume in 1 s (FEV1) decline that is 6.46% greater than the group without BCC infection. % pred: % predicted; BMI: body mass index; Ref.: reference.

time between any two consecutive exacerbations (\leq 6 months, >6 months). Relative to the group with no exacerbations, the greatest FEV1 decline was observed when the time between two consecutive exacerbations was <6 months (fig. 3); this observation was consistent regardless of the total number of exacerbations during the study period. Subjects with the same total number of exacerbations over the 12 yrs (2–4 exacerbations), but <6 months between exacerbations had a greater FEV1 decline (-6.9% (95% CI -9.5– -4.2)) compared with the group with >6 months between exacerbation (-4.4% (95% CI -6.43– -2.40)) (fig. 3a). For subjects with \geq 5 exacerbations and a shorter interval between subsequent exacerbations, the FEV1

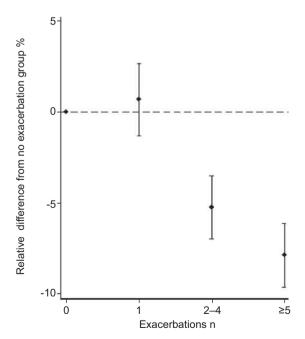


FIGURE 2. The relative per cent difference in the rate of forced expiratory volume in 1 s decline (with 95% confidence intervals) for each group according to the number of pulmonary exacerbations during the study period, compared to the group with no pulmonary exacerbations.

decline was -8.1% (95% CI -9.9– -6.3) lower compared with the group with no exacerbations, compared with -6.4 (95% CI - 10.5– -2.3) in the group with >6 months between exacerbations (fig. 3b).

DISCUSSION

To our knowledge, this is the first study to quantify the effect of pulmonary exacerbations on long-term lung function decline in CF and to show that half of FEV1 decline in CF patients is associated with severe pulmonary exacerbations requiring hospitalisation and *i.v.* antibiotics. In addition, we confirm that more exacerbations are associated with greater FEV1 decline, and for the first time demonstrate that time between consecutive exacerbations also plays an important part in long-term lung function decline.

Within the spectrum of illness that can be considered a pulmonary exacerbation, severe pulmonary exacerbations requiring i.v. antibiotics has clearly been shown to be associated with lung function decline [4, 6], such that a quarter of adult and paediatric CF patients do not recover their baseline FEV1 [7, 8]. The annual rate of decline observed in our CF population is consistent with other studies [18]. However, few studies actually quantify what proportion of FEV1 decline in CF was associated with such exacerbations over time. AMADORI et al. [19] followed the FEV1 decline of 51 adult CF patients over 5 vrs and reported an FEV1 loss of ~30 mL for each exacerbation episode. The study was limited, however, by a small sample size and FEV1 decline reported in mL, which is difficult to interpret since lung function is strongly related to body size and, therefore, commonly interpreted as % predicted in clinical settings. In comparison, our study followed almost 1,000 CF patients over a 12-yr period, the longest reported follow up for FEV1 decline, and we report that half of FEV1 decline in CF was associated with pulmonary exacerbations. Although we compared differences in rates of FEV1 decline, these findings are still clinically relevant as the most severely affected subjects (≥5 exacerbations, ≤ 6 months between exacerbations) had double the rate of FEV1 decline (~2% pred per yr) compared with subjects without any exacerbations during the study period (~1% pred per yr). These results

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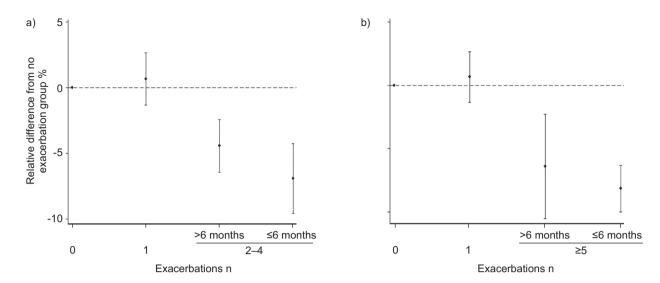


FIGURE 3. The relative per cent difference in the rate of forced expiratory volume in 1 s decline (with 95% confidence intervals) compared with the group with no pulmonary exacerbations according to time between exacerbations (>6 months or \leq 6 months) for subjects with a) 2–4 exacerbations during the study period and b) \geq 5 exacerbations during the study period.

further emphasise the important contribution exacerbations have on disease progression in patients with CF.

This study confirmed that an increasing number of pulmonary exacerbations were associated with greater FEV1 decline. SANDERS and co-workers [4, 7] showed that any exacerbation in children with CF and ≥ 3 exacerbations in a single year in adults with CF were associated with a significantly increased rate of FEV1 decline and that those who failed to recover to baseline lung function following an exacerbation were more likely to have a subsequent pulmonary exacerbation in the 3, 6, and 12 months following treatment. DE BOER et al. [20] also found that adult CF patients with ≥3 exacerbations per year were at increased risk of a 5% decline in baseline FEV1, although their definition of an exacerbation included patients who were treated with either oral or i.v. antibiotics. These studies suggest that it is not only the frequency of exacerbations but it is also repetitive, consecutive exacerbations within a short time period that contribute to lung function decline in CF. These findings further support that patients with CF would benefit from close monitoring following an exacerbation. While our study agrees with these observations, in contrast, we do not treat exacerbations as discrete events and therefore describe the contribution of exacerbations to longterm lung function decline over a period of 12 yrs.

In our study we examined the effect of time between exacerbations and show for the first time that two exacerbations within 6 months contribute towards a faster rate of lung function decline. This is consistent with previous studies that found 3 exacerbations per year represent a crucial tipping point in terms of CF lung function decline, as the minimum time between exacerbations in this instance has to be within 6 months [4, 20]. Although rate of pulmonary exacerbations and time between pulmonary exacerbations are clearly correlated, they are not necessarily equivalent. These data contribute to our understanding of the relevance of consecutive exacerbations and have important implications for clinical research.

Pulmonary exacerbations are frequently used as a study outcome measure in CF trials, and are usually measured as the risk of a pulmonary exacerbation during the study period [21-23]. Our findings suggest that it is not a pulmonary exacerbation per se, but consecutive exacerbations within a narrow time period, measured in this case by minimum time between exacerbations, which strongly impact the rate of lung function decline. This has two important implications for CF intervention trials. First, preventing an exacerbation is more important in patients who have recently had an exacerbation than in patients whose prior exacerbation is more remote. Thus, history of exacerbation and, more specifically, the time since last exacerbation, may be an important inclusion criterion for trials. Secondly, when comparing a treatment and a placebo group in an interventional study, the two groups should be balanced with respect to pulmonary exacerbation history as this may influence the response to therapy and could potentially bias interpretation. For example, in the randomised, double-blind, placebo-controlled trial of high-dose ibuprofen in patients with CF, the treatment group had a significantly slower annual decline in FEV1 than the placebo groups despite the fact that there was no significant difference between the groups in the number of hospitalisations for pulmonary exacerbations during the study period [24]. If there were more subjects with recent exacerbations prior to study entry in the treatment group than in the placebo group, preventing a pulmonary exacerbation during the study period may have had a more significant impact on lung function decline in the treated subjects than in the untreated subjects. Thus, although the number of exacerbations was not different between the two groups, the time between exacerbations may have been extended by high-dose ibuprofen therapy. Our findings have implications for future clinical trials that evaluate lung function decline as the primary outcome. Enrolling patients with a history of recent exacerbation may result in a more powerful study design, requiring shorter follow-up time and smaller sample sizes to show therapeutic benefit.



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Strengths and limitations

To our knowledge, this study reports the longest follow-up of lung function decline in both paediatric and adult patients with CF, with over 20,323 observations in 851 subjects over a 12-yr period. While this study does not examine the factors associated with lung function decline for each discrete exacerbation as previously performed [7, 10], it is the first to detail the overall effect of exacerbations on CF lung function decline over time. Another potential limitation is our definition of pulmonary exacerbation. In this study, we defined a pulmonary exacerbation as a hospitalisation for respiratory symptoms requiring antibiotics (96% of patients received i.v. antibiotics). By definition, this includes only the more severe pulmonary exacerbations in the analysis; it is unclear what the role of milder exacerbations is in CF pulmonary deterioration. However, it is a clinically relevant definition. Previous publications have demonstrated that pulmonary exacerbations requiring i.v. antibiotics are associated with significant pulmonary inflammation and it is thus likely that the greatest effect on CF lung function decline would be seen in this patient population [25]. Finally, this study was retrospective which may limit the amount of information that can be obtained about respiratory symptoms at the time of an exacerbation. Even with a prospective study design it is difficult to infer causality and whether FEV1 decline occurred prior to the identification of the exacerbation or was a direct result of the exacerbation [4, 21, 22, 26]. Unlike previous studies where exacerbations were treated as discrete events, we examined the contribution of exacerbations on overall lung function decline.

Conclusions

In conclusion, one half of the FEV1 decline seen in CF patients was associated with severe pulmonary exacerbations requiring hospitalisation and antibiotics. In addition, we demonstrated that the time between exacerbations, specifically ≤ 6 months between exacerbations, is an important predictor of overall lung function decline. These findings support using time to next exacerbation as a clinical end-point for CF trials.

SUPPORT STATEMENT

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STATEMENT OF INTEREST

None declared.

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