



CASE STUDY

Lung hyperinflation: foe or friend?

M. Eichinger*, S. Walterspacher[#], T. Scholz[#], K. Tetzlaff[†], K. Röcker⁺, C-M. Muth[§], M. Puderbach*, H-U. Kauczor* and S. Sorichter^f for the breath-hold diving study group of Baden-Württemberg

ABSTRACT: Breath-hold divers employ glossopharyngeal insufflation (GI) in order to prevent the lungs from compressing at great depth and to increase intrapulmonary oxygen stores, thus increasing breath-hold time.

The presented case study shows the physiological data and dynamic magnetic resonance imaging (dMRI) findings of acute hyperinflation, deliberately induced by GI, in a breath-hold diver and discusses the current state of knowledge regarding the associated hazards of this unique competitive sport.

Static and dynamic lung volumes and expiratory flows were within the normal range, with vital capacity and peak expiratory flow being higher than the predicted values. Airway resistance and diffusing capacity of the lung for carbon monoxide were normal. Static compliance was normal and increased five-fold with hyperinflation. dMRI revealed a preserved shape of the thorax and diaphragm with hyperinflation. A herniation of the lung beneath the sternum and enlargement of the costodiaphragmatic angle were additional findings during the GI manoeuvre. After expiration, complete resolution to baseline was demonstrated.

Hyperinflation can be physiological and even protective under abnormal physical conditions in the sense of acute adaptation to deep breath-hold diving. Dynamic magnetic resonance imaging is adequate for visualisation of the sequence of the glossopharyngeal insufflation manoeuvre and the complete reversibility of deliberate hyperinflation.

KEYWORDS: Breath-hold diving, dynamic magnetic resonance imaging, glossopharyngeal insufflation, hyperinflation, lung

Lung hyperinflation is a hallmark of chronic obstructive pulmonary disease. Hyperinflation is caused by inflammatory changes to the lung parenchyma that result in decreased elasticity. Functionally, hyperinflation implies an increased ratio of residual volume (RV) to total lung capacity (TLC), with a shift of tidal breathing at the expense of inspiratory capacity. Flattening of the diaphragm, sternal bowing, chest kyphosis and enlarged intercostal spaces, resulting in a barrel chest, are typical radiological findings [1]. Extremes of lung physiology, however, may radiologically imitate conditions that, in a chronic situation, indicate a pathological status.

CASE REPORT

A 42-yr-old male who presented for a medical check-up had a history of competitive breath-hold diving employing glossopharyngeal insufflation

(GI) breathing, a technique that deliberately causes hyperinflation of the lung. The physiological data and dynamic magnetic resonance imaging (dMRI) findings of this case of acute deliberately induced lung hyperinflation are presented and the current state of knowledge regarding the associated hazards of this unique competitive sport are discussed.

Assessment

Clinical data

Clinical examination revealed a 42-yr-old healthy athletic nonsmoker (height 185 cm and weight 84 kg), with normal cardiopulmonary status. He had been performing breath-hold diving for a number of years and held a national record in a competitive discipline. His personal best static apnoea performance, *i.e.* breath-holding for as long as possible with the respiratory tract immersed, was >6 min.

AFFILIATIONS

*Dept of Radiology, German Cancer Research Centre,

^fDept of Diagnostic and Interventional Radiology, University Hospital Heidelberg, Heidelberg

Depts of [#]Pneumology, and

⁺Sports Medicine, University Hospital of Freiburg, Freiburg,

[†]Dept of Sports Medicine, University Hospital of Tübingen, Tübingen, and

[§]Dept of Anaesthesiology, University Hospital of Ulm, Ulm, Germany.

CORRESPONDENCE

M. Eichinger

Dept of Radiology E010

German Cancer Research Centre (DKFZ) Heidelberg

Im Neuenheimer Feld 280

69120 Heidelberg

Germany

Fax: 49 6221422462

E-mail: m.eichinger@dkfz.de

Received:

September 07 2007

Accepted after revision:

January 30 2008

STATEMENT OF INTEREST

None declared.

This article has a supplementary material video accessible from www.erj.ersjournals.com

Physiological parameters of the diver

The pulmonary function testing before magnetic resonance imaging (MRI) included forced, body plethysmography and measurement of the diffusing capacity of the lung for carbon monoxide at rest. Static and dynamic lung volumes and expiratory flows were within the normal range, with the vital capacity (VC) and peak expiratory flow being higher than the predicted values [2]. Airway resistance and diffusing capacity were normal (table 1). A dMRI imaging sequence showing the diver performing spirometry in comparison to a patient with emphysema is available in the online supplementary material.

For assessment of static compliance, the expiratory pressure/volume curve over the range 60–90% TLC was measured. The regular static compliance of the diver was $3.9 \text{ L}\cdot\text{kPa}^{-1}$, which increased after hyperinflation to $21.2 \text{ L}\cdot\text{kPa}^{-1}$ (fig. 1).

Imaging modalities

The diver underwent morphological MRI and dMRI using a 1.5-T MRI scanner (Avanto; Siemens Medical Solutions, Erlangen, Germany) after giving informed consent and according to the ethical guidelines of the institutional review board. A half-Fourier acquisition single-shot turbo spin-echo sequence was used for morphological evaluation, revealing a normally shaped chest wall with a well-preserved diaphragmatic dome.

For visualisation of the forced expiratory manoeuvre, dMRI was performed using a two-dimensional fast, low angle shot pulse sequence with $10 \text{ images}\cdot\text{s}^{-1}$.

TABLE 1 Pulmonary function data of the breath-hold diver

Spirometry before MRI	
VCI L	7.24 (130)
FEV ₁ L	5.79 (133)
Tiffeneau index %	80
PEF L·s ⁻¹	13.23 (135)
Body plethysmography before MRI	
<i>R</i> _{aw,tot} kPa·s·L ⁻¹	0.07 (35)
ITGV L	4.6 (107)
RV L	1.4 (73)
TLC L	8.6 (104)
RV/TLC %	16.9
Diffusion capacity before MRI	
<i>D</i> _{L,CO,SB} mmol·min ⁻¹ ·kPa ⁻¹	11.15 (93)
<i>D</i> _{L,CO/VA} mmol·min ⁻¹ ·kPa ⁻¹ ·L ⁻¹	1.24 (81)
MRc spirometry during GI	
VCI,MRI L	7.2
SVCE,MRI L	9.79

Data are presented as absolute values (% of predicted). MRI: magnetic resonance imaging; VCI: inspiratory vital capacity; FEV₁: forced expiratory volume in one second; PEF: peak expiratory flow; *R*_{aw,tot}: total airway resistance; ITGV: intrathoracic gas volume; RV: residual volume; TLC: total lung capacity; *D*_{L,CO}: diffusing capacity of the lung for carbon monoxide; *D*_{L,CO,SB}: single-breath *D*_{L,CO}; VA: alveolar volume; MRc: magnetic resonance-compatible; GI: glossopharyngeal insufflation; VCI,MRI: VCI measured during MRI acquisition; SVCE,MRI: expiratory slow vital capacity after GI during MRI acquisition.

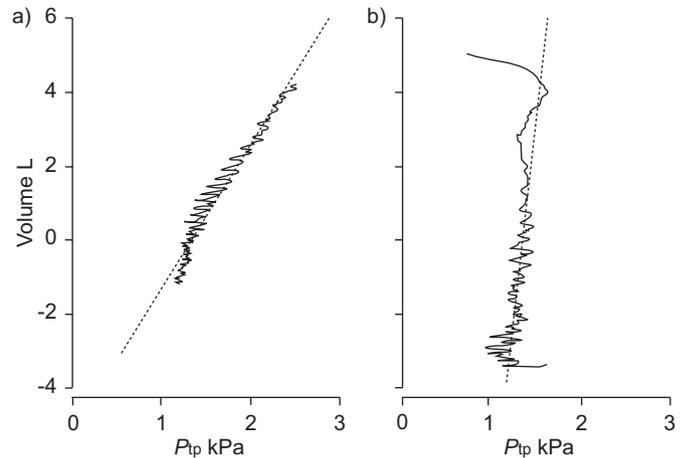


FIGURE 1. Pressure/volume curve of the diver a) at rest and b) after glossopharyngeal insufflation (GI). The increased slope of the curve after the GI manoeuvre is of note (.....: line of best fit). The regular static compliance was $3.9 \text{ L}\cdot\text{kPa}^{-1}$ and $21.2 \text{ L}\cdot\text{kPa}^{-1}$ after hyperinflation. *P*_{tp}: transpulmonary pressure.

Glossopharyngeal inhalation manoeuvre

After deep inhalation to TLC, the diver forced mouthfuls of air into the lungs, opening the glottis just for the GI manoeuvre. The manoeuvre was assessed by dMRI (fig. 2) and simultaneously monitored spirometrically using a magnetic resonance-compatible spirometer (fig. 3) [3]. The measured increase in intrathoracic gas volume amounted to 2.6 L (table 1).

The increase in lung volume shown spirometrically is demonstrated clearly not only by increases in the apicodiaphragmatic, lateral and anteroposterior lengths but also by enlargement of the costodiaphragmatic angle and subxyphoid herniation of the lung. Following expiration, the chest wall and diaphragm returned to the initial level of forced residual capacity.

Management

The diver is continuing to train these extreme manoeuvres without any pulmonary complication at present, or any change in lung function.

DISCUSSION

Glossopharyngeal breathing was first described in 1951 in patients with post-poliomyelitic syndrome [4]. In these patients with pronounced impairment of the respiratory muscles and consequently a very low VC, the technique permitted an increase in VC, thereby prolonging the off-respirator time. Later, this technique was reported to be effective in a larger cohort of patients with post-polio syndrome [5], rapid progressive tetraparesis [6] or Duchenne muscular dystrophy [7]. Only recently has it been reported that breath-hold divers also employ this technique [8]. After filling the lungs to TLC, a mouthful of air is compressed by the oropharyngeal muscles and then forced into the lungs. This insufflation manoeuvre is repeated several times until a sensation of fullness occurs. The glottis is closed after each insufflation. For athletes competing with regard to breath-hold time, depth or distance, this deliberate hyperinflation is helpful in many respects. First, the athletes need to draw air from the lungs into the pharynx in order to equalise middle-ear pressures when going down.

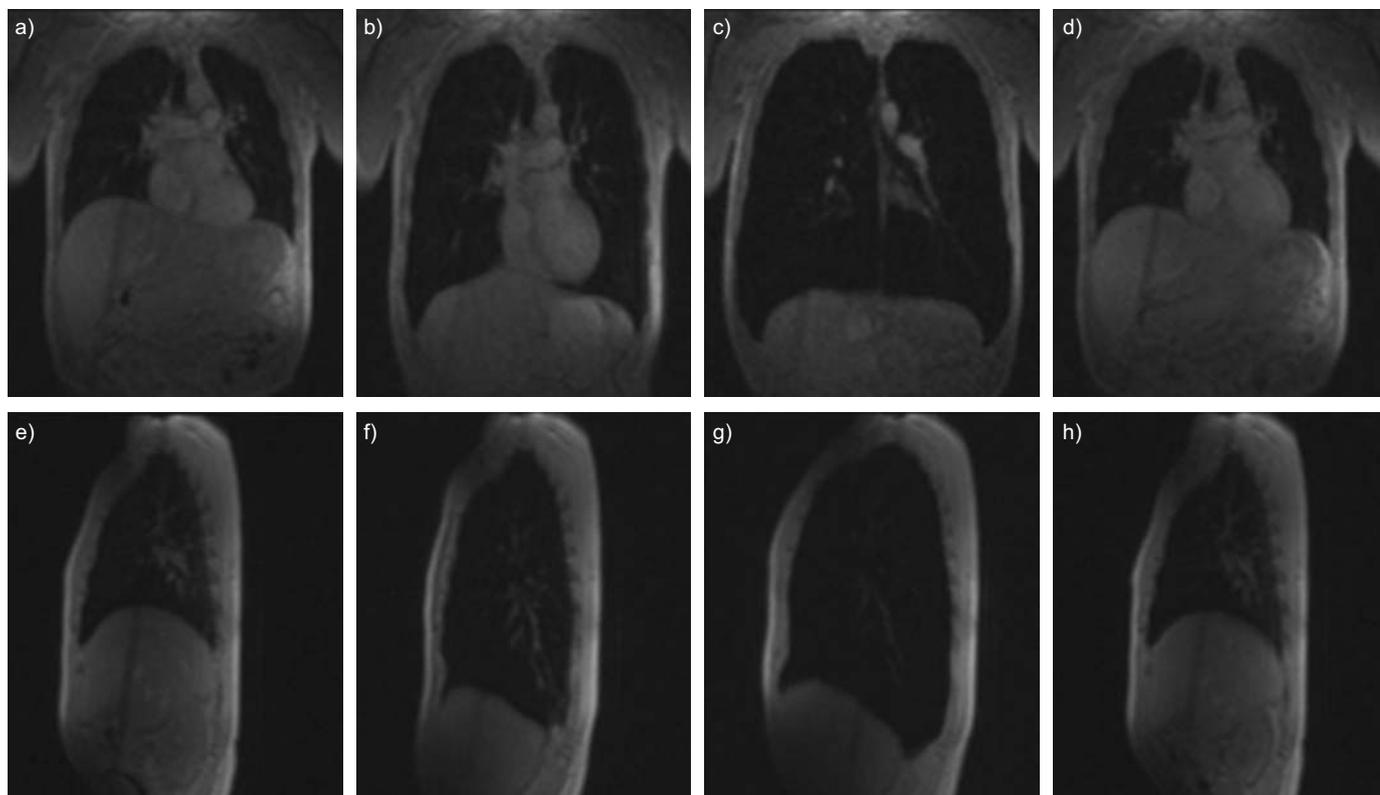


FIGURE 2. Dynamic magnetic resonance imaging of the diver performing the glossopharyngeal insufflation (GI) manoeuvre: a–d) coronal view and e–h) sagittal view, starting from forced residual capacity (FRC; a and e). Of note is the bilateral symmetrical hyperinflation of the thorax from total lung capacity (b and f) to deliberate maximal hyperinflation due to GI (c and g), with maximal dorsoventral extension of the chest wall, downward movement of the diaphragm with a well-preserved dome shape (c), herniation of the lung beneath the xyphoid and enlargement of the dorsal costodiaphragmatic recessus (g). This all resolved to FRC level at baseline after expiration (d and h).

Secondly, by filling the lungs with additional air, the available pulmonary oxygen store is increased. In the particular example of the present diver, the additional 2.59 L contain ~543 mL oxygen, thus enabling him to extend his breath-hold duration

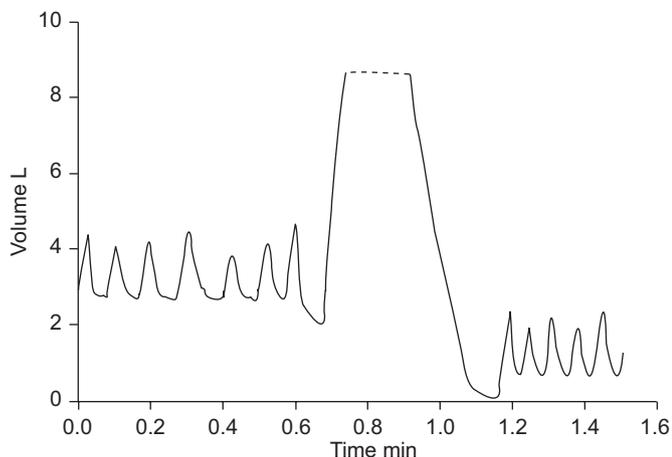


FIGURE 3. Magnetic resonance-compatible spirometric curve showing the glossopharyngeal insufflation manoeuvre. After a deep expiration, the diver inhaled to vital capacity (VC) and performed buccal pumping, which is not recorded spirometrically (-----). Owing to the increased intrathoracic volume, expiratory VC exceeds inspiratory VC by 2.6 L.

by up to 2 min beyond normal, depending on his metabolic rate. Thirdly, lung squeeze may occur in circumstances under which the total lung volume is compressed with increasing depth, *i.e.* increasing water pressure, beyond the RV [8]. Predictions of the maximal achievable depth are based on the theory of Boyle's Law ($P_1V_1=P_2V_2$ assuming constant temperature). For example, without consideration of blood redistribution, the present diver's depth limit is 6.1 atmospheres ($TLC/RV=8.6\text{ L}/1.4\text{ L}$), *i.e.* ~50 m of seawater. He was able to reach a personal depth record of 50 m. Thus the use of GI permits breath-hold divers to reach, and in some situations to exceed, the depth limits set by their individual TLC/RV ratio. Indeed, the more recent depth records have well surpassed previous predictions based on theory not taking GI into account [9, 10].

GI exerts considerable mechanical stress on lung elastic properties. Increases in intrapulmonary and transpulmonary pressures up to 109 and 80 cmH₂O, respectively, have recently been measured following GI [11]. Obviously, the lungs of elite breath-hold divers withstand transpulmonary pressures and volumes far greater than those to which lungs would normally be exposed.

The current MRI investigation concurs with the evidence from the functional assessment of extreme overdistension of the respiratory system during GI. To the present authors' knowledge, this is the first report of MRI being performed while simultaneously controlling for the increase in total lung volume using spirometry. The shape of the thorax was

primarily preserved in the diver, although total lung volume, as measured by magnetic resonance-compatible spirometry, increased markedly. Furthermore, the herniation of the lung beneath the sternum and enlargement of the costodiaphragmatic angle demonstrate the distensibility and high performance of trained lungs (fig. 2). In contrast to static MRI [12], dMRI can visualise the sequence of the diaphragm and chest wall during the course of the manoeuvre and demonstrate the resolution to baseline following expiration. Whether the unusual distensibility of breath-hold divers' lungs can be explained as an effect of structural adaptation to repeated lung extension or by an unusual genetic background remains to be elucidated. However, one case of asymptomatic pneumomediastinum has been reported from computed tomographic assessment of a breath-hold diver who increased the volume of gas in his lungs by ~1 L beyond his TLC [13]. Thus this complication may occur more frequently than reported.

There are serious risks associated with breath-hold diving. The oxygen stores of the lungs and blood are depleted until the partial pressure of oxygen in the brain may become so low that the diver risks loss of consciousness, *i.e.* drowning. Loss of motor control (defined as the presence of hypoxic signs first appearing after surfacing without complete loss of consciousness) is reported to occur in up to 10% of divers during breath-hold competitions [14]. In addition, cases of haemoptysis following breath-hold dives have been reported [15–17]. Rupture of the alveolocapillary membrane may be caused by elevation of the pulmonary transcapillary wall pressure due to decreases in intrathoracic pressure when total lung volume at depth approaches RV [16]. Apart from these acute hazards, there is scanty information regarding possible long-term risks. One investigation postulated from ECG measurements that competitive breath-hold diving may carry an increased cardiopulmonary risk [18]. However, these findings need to be confirmed by longitudinal studies of the cardiopulmonary system of competitive breath-hold divers.

In conclusion, the present investigation demonstrates that lung hyperinflation induced by deliberate glossopharyngeal insufflation may grossly imitate the hyperinflation seen in chronic obstructive pulmonary disease patients. This hyperinflation, however, is fully reversible and even protective in the sense of an acute adaptation to an environmental challenge such as deep breath-hold diving.

REFERENCES

- Gibson GJ, MacNee W. Chronic obstructive pulmonary disease investigations and assessment of severity. *In: Sifakas NM, ed. Management of Chronic Obstructive Pulmonary Disease. Eur Respir Mon* 2006; 38: 24–40.
- Pellegrino R, Viegi G, Brusasco V, *et al.* Interpretative strategies for lung function tests. *Eur Respir J* 2005; 26: 948–968.
- Eichinger M, Puderbach M, Smith HJ, *et al.* Magnetic resonance-compatible-spirometry: principle, technical evaluation and application. *Eur Respir J* 2007; 30: 972–979.
- Dail CW. "Glossopharyngeal breathing" by paralyzed patients – a preliminary report. *Calif Med* 1951; 75: 217–218.
- Dail CW, Affeldt JE, Collier CR. Clinical aspects of glossopharyngeal breathing; report of use by one hundred postpoliomyelitic patients. *J Am Med Assoc* 1955; 158: 445–449.
- Bianchi C, Grandi M, Felisari G. Efficacy of glossopharyngeal breathing for a ventilator-dependent, high-level tetraplegic patient after cervical cord tumor resection and tracheotomy. *Am J Phys Med Rehabil* 2004; 83: 216–219.
- Bach JR, Bianchi C, Vidigal-Lopes M, Turi S, Felisari G. Lung inflation by glossopharyngeal breathing and "air stacking" in Duchenne muscular dystrophy. *Am J Phys Med Rehabil* 2007; 86: 295–300.
- Ferrigno M, Lundgren CEG. *The Lung at Depth. Lung Biology in Health and Disease.* New York, Marcel Dekker, 1999.
- International Association for the Development of Freediving. AIDA International. Records. World records. www.aida-international.org Date last updated: June 12, 2008. Date last accessed: June 13, 2008.
- Craig AB Jr. Depth limits of breath hold diving (an example of Fennology). *Respir Physiol* 1968; 5: 14–22.
- Loring SH, O'Donnell CR, Butler JP, *et al.* Transpulmonary pressures and lung mechanics with glossopharyngeal insufflation and exsufflation beyond normal lung volumes in competitive breath-hold divers. *J Appl Physiol* 2007; 102: 841–846.
- Lindholm P, Nyren S. Studies on inspiratory and expiratory glossopharyngeal breathing in breath-hold divers employing magnetic resonance imaging and spirometry. *Eur J Appl Physiol* 2005; 94: 646–651.
- Jacobson FL, Loring SH, Ferrigno M. Pneumomediastinum after lung packing. *Undersea Hyperb Med* 2006; 33: 313–316.
- Lindholm P. Loss of motor control and/or loss of consciousness during breath-hold competitions. *Int J Sports Med* 2007; 28: 295–299.
- Fitz-Clarke JR. Adverse events in competitive breath-hold diving. *Undersea Hyperb Med* 2006; 33: 55–62.
- Boussuges A, Pinet C, Thomas P, *et al.* Haemoptysis after breath-hold diving. *Eur Respir J* 1999; 13: 697–699.
- Kiyan E, Aktas S, Toklu AS. Hemoptysis provoked by voluntary diaphragmatic contractions in breath-hold divers. *Chest* 2001; 120: 2098–2100.
- Scherhag A, Pflieger S, Grosselfinger R, Borggreffe M. Does competitive apnea diving have a long-term risk? Cardiopulmonary findings in breath-hold divers. *Clin J Sport Med* 2005; 15: 95–97.