



**SERIES “COMPREHENSIVE MANAGEMENT OF END-STAGE COPD”**  
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# Complex chronic comorbidities of COPD

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**ABSTRACT:** Chronic obstructive pulmonary disease (COPD) is defined by fixed airflow limitation associated with an abnormal pulmonary and systemic inflammatory response of the lungs to cigarette smoke.

The systemic inflammation induced by smoking may also cause chronic heart failure, metabolic syndrome and other chronic diseases, which may contribute to the clinical manifestations and natural history of COPD. Thus COPD can no longer be considered a disease only of the lungs, as it is often associated with a wide variety of systemic consequences.

A better understanding of the origin and consequences of systemic inflammation, and of potential therapies, will most likely lead to better care of patients with COPD. Medical textbooks and clinical guidelines still largely ignore the fact that COPD seldom occurs in isolation.

As the diagnosis and assessment of severity of COPD may be greatly affected by the presence of comorbid conditions, the current authors believe that lung function measurement, noninvasive assessment of cardiovascular and metabolic functions, and circulating inflammatory markers (e.g. C-reactive protein) might help to better characterise these patients. Similarly, preventive and therapeutic interventions should address the patient in their complexity.

**KEYWORDS:** Bronchitis, chronic diseases, chronic heart failure, emphysema, inflammation, metabolic syndrome

**A**geing is commonly characterised as a progressive, generalised impairment of function resulting in an increasing vulnerability to environmental challenge and a growing risk of disease. Ageing is highly complex, involving multiple mechanisms at different levels. Current theoretical understanding suggests that cells tend to accumulate damage as they age. Such damage is intrinsically random in nature, but its rate of accumulation is regulated by genetic mechanisms for maintenance and repair. As cell defects accumulate, the effects on the body as a whole are eventually revealed as age-related frailty, disability and disease [1, 2].

Therefore, ageing of the population increases the prevalence of chronic diseases, which represent a huge proportion of human illness. They include cardiovascular disease (30% of projected total worldwide deaths in 2005), cancer (13%), diabetes (2%) and chronic respiratory diseases (7%), mainly chronic obstructive pulmonary disease (COPD) [3].

COPD is a disease state characterised by poorly reversible airflow limitation that is usually progressive and associated with an abnormal inflammatory response of the lungs to noxious particles or gases, particularly cigarette smoke [4]. COPD should be considered in any smoker aged >40 yrs with symptoms of cough, sputum production or dyspnoea, and spirometry should be used to evaluate the degree of airflow limitation [4]. However, because there is increasing evidence that COPD is a more complex systemic disease than an airway and lung disease, a comprehensive approach including imaging [5], exercise tolerance and body mass index [6] may be required for an earlier diagnosis and better assessment of the disease.

Cigarette smoking is the major risk factor of COPD and is also one of the major risk factors of all chronic diseases and cancer [7, 8]. Cigarette smoke causes lung and systemic inflammation, systemic oxidative stress, marked changes of vasomotor and endothelial function and

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#### STATEMENT OF INTEREST

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enhanced circulating concentrations of several pro-coagulant factors [9–11]. The systemic effects of smoking may significantly contribute not only to respiratory abnormalities, symptoms and functional impairment (*e.g.* exercise intolerance) associated with COPD [12–15] but also to its chronic comorbidities [12, 16–19]. In particular, cachexia [20], skeletal muscle abnormalities [15, 21, 22], hypertension [23, 24], diabetes [25], coronary artery disease [26–28], heart failure [29], pulmonary infections [30–34], cancer [35, 36] and pulmonary vascular disease [37] are the most common comorbidities responsible for the clinical manifestations and natural history of COPD [17, 18]. In addition to smoking, the other major risk factor for cardiovascular and other chronic comorbid conditions is obesity [38, 39]. Although obesity by itself may profoundly affect lung function [40], its relationship with COPD has been poorly investigated and is still unclear [41]. Smoking and obesity are the major risk factors for the complex chronic comorbidities seen throughout the world [42–44]. Obese individuals who smoke have a markedly reduced life expectancy [38, 45]. The two risk factors may interact synergistically, since both obesity and smoking are associated with insulin resistance, oxidative stress and increased concentrations of various (adipo)cytokines and inflammatory markers, all of which ultimately lead to endothelial dysfunction and cardiovascular diseases [46].

Comorbidities markedly affect health outcomes in COPD [16]; in fact, patients with COPD mainly die of nonrespiratory diseases [47–50], such as cardiovascular diseases (~25%), cancer (mainly lung cancer, 20–33%) and other causes (30%). Respiratory diseases, mainly respiratory failure due to COPD exacerbations, account for 4–35% of deaths, primarily in patients with severe COPD. The wide range of deaths attributable to respiratory diseases may be due to: different criteria used in different populations; the severity of COPD in the population examined [48, 50, 51]; or to under-reporting of respiratory conditions on the death certificate [51, 52].

Considering that the pharmacological treatment of COPD to date is primarily symptomatic, a more comprehensive approach to comorbidities may provide an opportunity to modify the natural history of patients with COPD and to identify novel targets for treatment. This is particularly relevant for those conditions that appear more preventable and treatable than COPD, such as cardiovascular and metabolic disorders.

### COMPLEX CHRONIC COMORBIDITIES

Chronic diseases, including cardiovascular disease, cancer, chronic respiratory diseases and metabolic syndrome (hypertension, diabetes, dyslipidaemia) [3, 43], are increasing in the developed countries and result in a substantial economic and social burden [3, 42, 43]. The cost of individual chronic diseases increases exponentially in patients with two or more comorbid chronic diseases [53]; almost half of all elderly people ( $\geq 65$  yrs) have at least three chronic medical conditions, and one fifth have five or more [54]. Patients with two or more chronic diseases account for only 26% of the population but for  $>50\%$  of the overall costs [53].

The most frequent chronic diseases often develop together [13, 16, 24, 27, 48, 54–59]. COPD is associated with chronic heart

failure (CHF) in  $\geq 20\%$  of patients [29, 60]; there is overwhelming evidence from large-scale epidemiological studies demonstrating that impaired forced expiratory volume in one second is a powerful marker of morbidity and mortality [61] and, particularly, of cardiovascular mortality [62]. Interestingly, increased arterial stiffness is also related to the severity of airflow obstruction and may be a factor in the excess risk for cardiovascular disease in COPD [63]. Patients with severe COPD have elevated circulating levels of C-reactive protein (CRP) [64]. A working hypothesis to account for the high prevalence of left ventricular systolic dysfunction in patients with COPD is that low-grade systemic inflammation accelerates progression of coronary atherosclerosis, which ultimately results in ischemic cardiomyopathy. Such a hypothesis fits the clinical observation of a high incidence of left ventricular wall motion abnormalities noted in patients with COPD and left ventricular dysfunction [64].

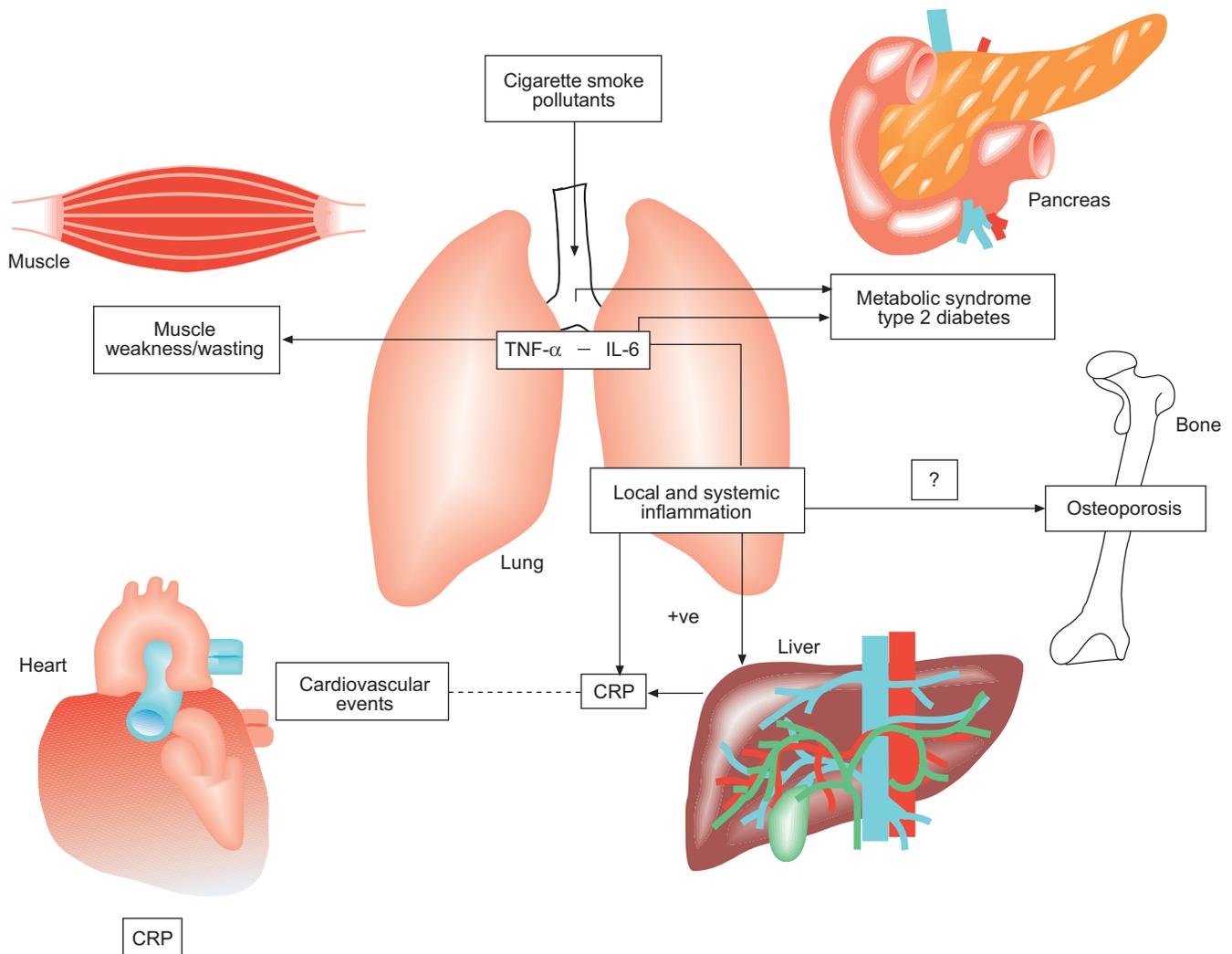
Metabolic syndrome is a complex disorder and an emerging clinical challenge, recognised clinically by the findings of abdominal obesity, elevated triglycerides, atherogenic dyslipidaemia, elevated blood pressure, high blood glucose and/or insulin resistance [65]. Metabolic syndrome is also associated with a pro-thrombotic state and a pro-inflammatory state. Central pathophysiological features of metabolic syndrome include: 1) insulin resistance; 2) atherogenic dyslipidaemia; 3) arterial hypertension, which occurs frequently in individuals with insulin resistance; 4) a pro-inflammatory state, with increases in acute-phase reactants (*e.g.* CRP); and 5) a pro-thrombotic state, with increases in plasminogen activator inhibitor and fibrinogen [65]. Patients with COPD often have one or more component of the metabolic syndrome [66] and osteoporosis ( $\leq 70\%$  of patients) which are at least, in part, independent of treatment with steroids and/or decreased physical activity [63, 67]. Even when a specific single chronic comorbidity cannot be diagnosed according to the current criteria, COPD is often associated with a marker of chronic diseases, *e.g.* decreased tolerance to glucose, hypertension or decreased bone density [63, 68].

Type 2 diabetes is associated with hypertension in  $>70\%$  of patients, and with cardiovascular diseases and obesity in  $>80\%$  [69]. Diabetes is independently associated with reduced lung function [70, 71], which, together with obesity, may further worsen the severity of COPD [41].

### UNDERLYING MECHANISMS AND CONSEQUENCES FOR TREATMENT OF COMPLEX CHRONIC COMORBIDITIES

COPD can no longer be considered a disease only of the lungs [17, 18, 72]. It is associated with a wide variety of systemic consequences, most notably systemic inflammation (fig. 1). A better understanding of its origin, consequences and potential therapy will most likely prove to be of great relevance and lead to better care of patients with COPD. The origin of systemic inflammation in COPD is unresolved, although several potential mechanisms have been proposed [12].

Since smoking remains the major risk factor for the development of COPD and of the associated systemic inflammation [72], the study of the effects of smoking represents the best model for unravelling the underlying mechanisms of COPD



**FIGURE 1.** The central role of inflammation in comorbidity is associated with chronic obstructive pulmonary disease (COPD). Inflammation appears to play a central role in the pathogenesis of COPD and other conditions that are increasingly being recognised as systemic inflammatory diseases. As part of the chronic inflammatory process, tumour necrosis factor (TNF)- $\alpha$  receptor polymorphisms are associated with increased severity of disease, possibly due to enhanced TNF- $\alpha$  effects. Also, C-reactive protein (CRP) levels can be increased directly by TNF- $\alpha$  and other cytokines. Elevated CRP and fibrinogen may be crucial in the pathogenesis of cardiovascular disease. Reactive oxygen species released as a result of COPD may enhance the likelihood of a patient developing cardiovascular disease, diabetes and osteoporosis. IL: interleukin; ?: unknown; +ve: positive.

and the consequences of systemic inflammation induced by smoking. In fact, cigarette smoke may cause systemic inflammation irrespective of COPD [73], and systemic inflammation in smokers may contribute significantly to the development of cardiovascular diseases, particularly atherosclerosis [74].

Smoking and acute exacerbations of COPD have a marked influence on redox status [75] by increasing levels of lipid peroxidation products and other markers [76]. The increase in oxidative stress results in the inactivation of antiproteases, airspace epithelial damage, mucus hypersecretion, increased influx of neutrophils into lung tissue and the expression of pro-inflammatory mediators [77, 78]. Inflammatory cells are also increased in peripheral blood, including neutrophils and lymphocytes [79]. Furthermore, patients with COPD have increased numbers of neutrophils in the lungs, increased activation of neutrophils in peripheral blood and an increase in

tumour necrosis factor (TNF)- $\alpha$  and soluble TNF receptor. Activated T-cells in emphysematous lungs predominantly express a T-helper cell type-1 phenotype and control the release of matrix metalloproteases *via* chemokines. Recent evidence, obtained through *ex vivo* experiments with T-cells from COPD patients, suggests that exposure to cigarette smoke induces secretion of proteolytic enzymes from cells of the innate immune system, which in turn liberate lung elastin fragments [80]. In susceptible individuals, T- and B-cell-mediated immunity against elastin is initiated. Elastin is also abundant in tissues other than the lung, especially in arteries, arterioles and the skin; its fragments are chemotactic and cause pathology in mouse models of emphysema. These novel findings of anti-elastin autoimmunity in emphysema, together with earlier observations [81–84], suggest a broader, systemic autoimmune process involving the major elastin-bearing organs, such as the (coronary) vasculature and the skin.

To understand the relationship between pulmonary inflammation and systemic disease, common inflammatory pathways have been proposed [19]. It is unclear why some patients with COPD have higher baseline concentrations of circulating inflammatory markers [85]; whether this systemic inflammation is a primary or secondary phenomenon is a matter of debate. Some patients with COPD who exhibit increased resting energy expenditure and decreased fat-free mass have marked elevation of CRP and lipopolysaccharide-binding protein [86]. Systemic inflammation may lead to a lack of response to nutritional supplementation [87], further contributing to the development of cachexia.

Systemic inflammation may also explain why patients with COPD have an increased risk of developing type 2 diabetes [88]. Some aspects of inflammation can predict the development of diabetes and glucose disorders [89, 90], while fibrinogen, circulating white blood cell count and lower serum albumin predict the development of type 2 diabetes [89]. Furthermore, patients with noninsulin-dependent diabetes mellitus have increased circulating levels of TNF- $\alpha$ , interleukin (IL)-6 and CRP [91], which are also risk factors for cardiovascular events in males and females [92, 93]. Diabetes is independently associated with reduced lung function, which together with obesity could further worsen the severity of COPD [41]. The complex interaction between smoking and obesity in the development of chronic comorbidities has been recently reviewed (fig. 2) [46].

Patients with COPD have an increased risk of developing osteoporosis even in the absence of steroid use; vertebral fractures are present in  $\leq 50\%$  of steroid-naïve males with COPD [94]. Post-menopausal osteoporosis is related to high serum levels of TNF- $\alpha$  and IL-6 [95], and osteopenia found in COPD is also associated with an increase in circulating TNF- $\alpha$  [96]. Increased levels of TNF- $\alpha$  (and IL-1) stimulate the differentiation of macrophages into osteoclasts *via* mesenchymal cells releasing receptor activator of nuclear factor- $\kappa$ B ligand, a member of the TNF- $\alpha$  superfamily [97].

The development of inflammatory processes associated with COPD is commonly believed to be initiated and maintained in the lung (parenchyma) [98] and to affect peripheral organs as the disease progresses. This concept is not proven and is probably rather naïvely related to the fact that the major risk factors for COPD enter the body by inhalation. To date, the limited data published on the contribution of the systemic circulation to the priming and activation of inflammatory cells in their transit through the pulmonary circulation [99, 100] have been negative, because the concentrations of inflammatory markers in induced sputum (presumably reflecting local inflammation) and plasma (reflecting systemic inflammation) in patients with moderate COPD are not correlated.

Alternatively, some of the nonpulmonary manifestations of COPD may occur early in the course of the disease and affect pulmonary inflammation. For example, inhibition of vascular endothelial growth factor (VEGF) receptors causes lung cell apoptosis and emphysema [101, 102]. Furthermore, endothelial cell death and decreased expression of VEGF and the VEGF receptor KDR/FLK-1 occur in patients with smoking-induced emphysema [103]. In summary, systemic oxidative stress [75]

and the increased levels of pro-inflammatory cytokines could contribute to the pathogenesis of lung damage in COPD early in the course of the disease.

Tissue hypoxia is another mechanism that can contribute to systemic inflammation in COPD. In a recent clinical study [104] it was shown that TNF- $\alpha$  and receptor levels were significantly higher in patients with COPD, but were significantly correlated with the severity of arterial hypoxaemia. These results suggest that arterial hypoxaemia in COPD is associated with activation of the TNF- $\alpha$  system *in vivo*. If confirmed, this might indeed change the whole view on long-term oxygen therapy. Prolonged survival in patients receiving domiciliary oxygen therapy, as described many years ago [105, 106], might be attributable to an effect on systemic inflammation. This hypothesis could be tested in randomised trials.

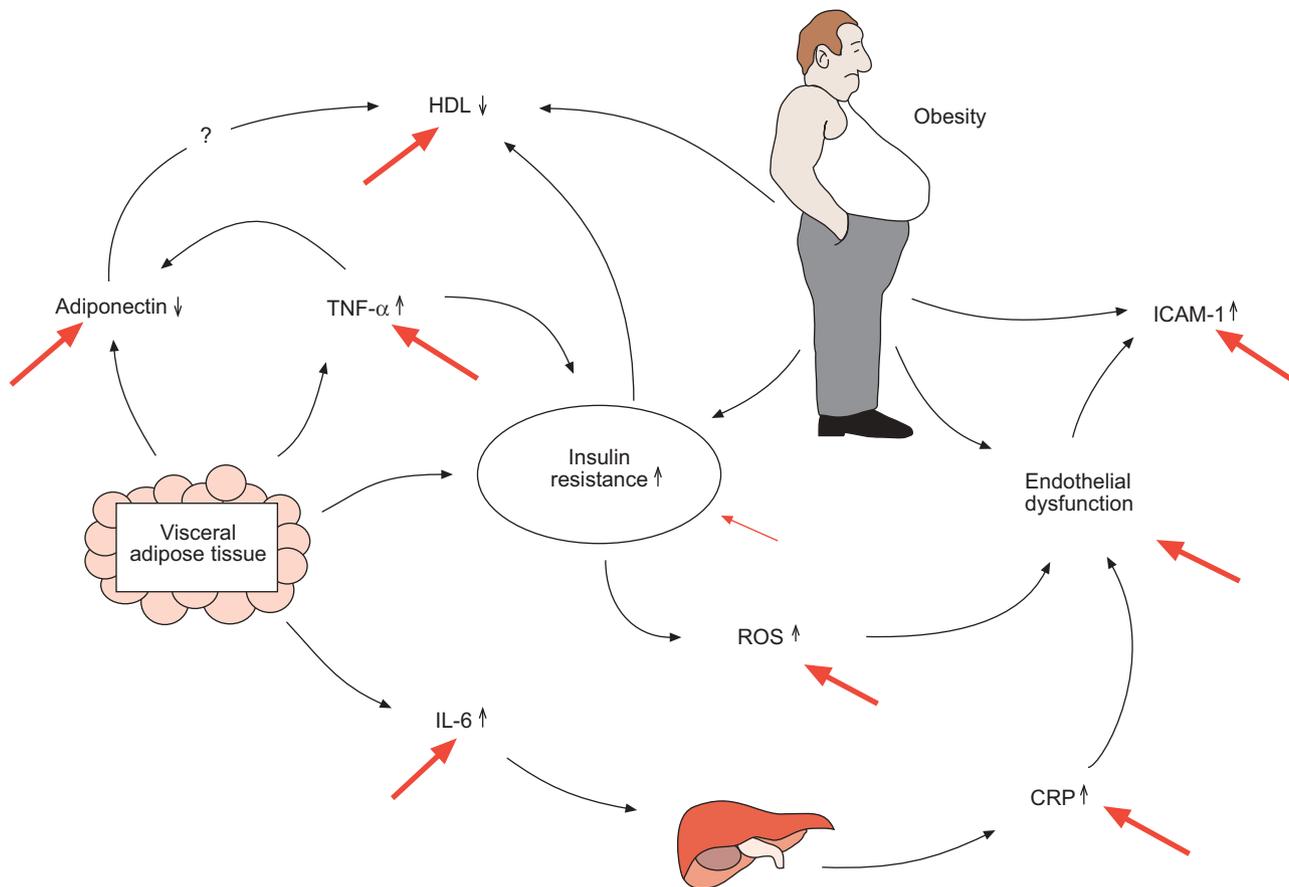
Skeletal muscle itself can contribute to systemic inflammation. In particular, this has been demonstrated in patients with COPD during exercise [107, 108]. Physical exercise specifically increases plasma TNF- $\alpha$  levels in COPD [109]. TNF- $\alpha$  has a variety of effects that could lead to muscle wasting [110]. TNF- $\alpha$  effects are mediated by the transcription factor nuclear factor (NF)- $\kappa$ B, which is normally inactive but can be activated by inflammatory cytokines such as TNF- $\alpha$  [96]. Different mechanisms by which TNF- $\alpha$  could induce muscle loss have been described previously, including direct stimulation of protein loss, apoptosis of muscle cells and oxidative stress-induced alteration in TNF- $\alpha$ /NF- $\kappa$ B signalling [111]. Inflammation and oxidative stress, characteristic of COPD [112], have synergistic effects on muscle breakdown [113].

Under conditions of nutritional imbalance, resting energy requirements are normally reduced [114]. This is in contrast to the increased resting energy expenditure that is characteristic of some patients with COPD; the discrepancy is believed to be linked to systemic inflammation [86, 115]. Increased oxygen consumption by respiratory muscles seems an incomplete explanation on its own [100], and as nutritional intake in stable disease is, on the whole, sufficient, generally accelerated loss of skeletal muscle in the context of a chronic inflammatory response is the most likely explanation [116]. This loss of muscle is not specific to COPD but is generally found in states of cachexia with enhanced protein degradation [117] and poor responsiveness to nutritional interventions [118, 119]; it also displays similarities to CHF, renal failure, AIDS and cancer.

Finally, bone marrow is an obvious site of production of systemic inflammation, although knowledge of its role in patients with COPD is sparse. Smoking causes leukocytosis with increased numbers of band cells, a higher content of myeloperoxidase and enhanced surface expression of L-selectin [73]. Furthermore, these sequestered polymorphonuclear leukocytes can be found in lung microvessels [120], supporting the concept that the bone marrow may directly contribute to smoking-induced lung inflammation.

#### MANAGEMENT OF COPD AS A COMPLEX DISEASE

Although textbooks [121] and guidelines have increasingly recognised the frequency and importance of comorbidities, particularly in the elderly, the fact that chronic diseases seldom occur in isolation is still largely ignored [4, 122, 123]. Thus, clinicians treating chronic diseases lack definitions and a



**FIGURE 2.** Schematic representation of how smoking might add to several mechanisms linking obesity to cardiovascular disease. Red arrows indicate an effect of smoking. HDL: high-density lipoprotein; TNF: tumour necrosis factor; ICAM: intercellular adhesion molecule; ROS: reactive oxygen species; IL: interleukin; CRP: C-reactive protein. Reproduced with permission from [46].

vocabulary to describe a syndrome that reflects real-life bedside medicine, not the medicines that have been taught [121]. Recently, FABBRI and RABE [124] suggested adding the term “chronic systemic inflammatory syndrome” to the diagnosis of COPD to reflect the complexity of the problem.

The diagnosis and assessment of severity of COPD may be greatly affected by the presence of a comorbid condition; therefore, lung function measurement, noninvasive assessment of left ventricular function (*e.g.* echocardiography and brain natriuretic peptide) and/or glycaemia, such as CRP serum levels, should be performed in these patients.

Considerations almost identical to those mentioned previously can obviously be applied to preventive and therapeutic interventions. Smoking prevention and cessation, weight control and diet, and exercise and rehabilitation all have the potential to beneficially affect all components of chronic disease.

Pharmacological treatment is more complex, as drugs are usually developed for single diseases or organs. However, glucose control with insulin or oral antidiabetic agents not only controls diabetes but also prevents systemic effects and comorbidities [123]. Likewise, antihypertensive agents not only help control blood pressure but are also associated with dramatic prevention of coronary and cerebrovascular disease, with marked reduction of mortality [125]. More recently, these

agents have been found to have unexpected beneficial effects on COPD. Statins, which are used primarily as lipid-lowering agents in the treatment of metabolic syndrome, have potent anti-inflammatory properties that might positively affect comorbidities of metabolic syndrome, *e.g.* COPD, CHF and vascular diseases [126–128]. However, on the negative side,  $\beta$ -blockers, which are considered to be life-saving drugs in CHF, might have some risks in COPD patients who have an asthmatic component [129]. Furthermore, systemic steroids, which are required to treat COPD exacerbations [130], might negatively affect glucose control and cause osteoporosis and hypertension [131]. Even drugs specifically developed and used to treat respiratory diseases, such as inhaled bronchodilators and steroids, may have significant beneficial effects on cardiovascular diseases [132, 133].

#### SINGLE-DISEASE VERSUS PATIENT-ORIENTED GUIDELINES FOR CHRONIC DISEASES

Clinical practice guidelines are being increasingly used as performance indicators, and have been shown to substantially improve the quality of clinical care. However, most guidelines ignore the fact that the majority of individuals with a chronic disease have one or more comorbidities.

COPD, CHF, peripheral artery disease, diabetes or nonlife-threatening cancer can have a major impact on individuals

with a chronic disease, particularly the elderly [14]. Therefore, it is evident that clinical practice guidelines, designed largely by specialty-dominated committees for managing single diseases, provide clinicians with little guidance in caring for patients with multiple chronic diseases. This lack of guidance frequently results in polypharmacia in these patients.

It is not only clinicians who will have to change their approach to treating chronic diseases; it is also the healthcare system in general that must rise to this major challenge.

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