

Airway resistance variability and response to bronchodilator in children with asthma

C.A. Lall*, N. Cheng*, P. Hernandez*, P.T. Pianosi*, Z. Dali*, A. Abouzied* and G.N. Maksym*

ABSTRACT: Variability of airway function is a feature of asthma, spanning timescales from months to seconds. Short-term variation in airway resistance (*R*_{rs}) is elevated in asthma and is thought to be due to increased variation in the contractile activation of airway smooth muscle. If true, then variation in *R*_{rs} should decrease in response to bronchodilators, but this has not been investigated.

Using the forced oscillation technique, $R_{\rm rs}$ and the variation in $R_{\rm rs}$ from 4–34 Hz were measured in 39 children with well-controlled mild-to-moderate asthma and 31 healthy controls (7–13 yrs) before and after an inhaled bronchodilator (200 μ g salbutamol) or placebo.

In agreement with other findings, baseline $R_{\rm rs}$ at all frequencies and the SD of $R_{\rm rs}$ ($R_{\rm rs}$ SD) below 14 Hz were found to be elevated in asthma while neither forced expiratory volume in one second nor the mean forced expiratory flow between 25 and 75% of forced vital capacity were different compared with controls. The present authors found that $R_{\rm rs}$ SD changed the most of any measurement in asthma, and this was the only measurement that changed significantly more in children with asthma following bronchodilator administration.

The present results show that like airway narrowing, short-term airway variability of resistance may be a characteristic feature of asthma that may be useful for monitoring response to therapy.

KEYWORDS: Airway resistance, asthma severity, bronchodilator, children, forced oscillation technique, variation

he forced oscillation technique (FOT) to measure impedance of the respiratory system is increasingly being used to assess lung function. This is especially the case in young children or in adult populations where spirometry is not feasible, as the FOT does not require a learned manoeuvre [1–5].

Airway resistance (*R*rs) is the most widely reported measurement from the FOT; however, this has been shown to be more variable than forced expiratory volume in one second (FEV1) in terms of measurement repeatability, day-to-day measurement variation and week-to-week measurement variation [6, 7]. The present study examined whether this variability may be functionally important and possibly indicative of airway pathology. Indeed, asthma is an episodic disease leading to variation in symptoms and lung function tests that occur over time scales ranging minutes to hours, days, months and even years [8].

QUE *et al.* [9] recently demonstrated that variation of *R*rs recorded over 15 min was elevated in adult asthma and in healthy individuals following the administration of a contractile agonist. These data suggested that increased variation in asthma was

due to increased activity of the airway smooth muscle [9]. If true, then airway variation should be reduced in response to bronchodilators in asthma and, therefore, could be useful as a measure of the effect of a bronchodilator (BD) in reducing airway diameter variation. To confirm this hypothesis, the present study examined FEV1, $R_{\rm rs}$ and the variability of $R_{\rm rs}$ at baseline and following BD administration in children with asthma and healthy children as controls.

METHODS

Population

Asthmatic subjects were diagnosed with asthma by a physician based on their symptoms at an average age (mean \pm SEM) of diagnosis of 3.39 ± 0.38 yrs. The asthmatic children were recruited and tested at Camp Treasure Chest, a camp for asthmatic children sponsored by the Nova Scotia Lung Association (Canada). Control children had no history of lung disease or respiratory complaints and were tested at the Isaac Walton Killam (IWK) Health Centre, Pulmonary Function Lab (Halifax, NS, Canada). The children's parents signed a written informed consent form prior to the start of any study procedures and the

AFFILIATIONS

*School of Biomedical Engineering, #Faculty of Medicine, and *Division of Respirology, Dept of Medicine, Dalhousie University, Halifax, NS, Canada. *Division of Allergy, Immunology and Pulmonology, Dept of Paediatrics and Adolescent Medicine, Mayo Clinic, Rochester, MN, USA.

CORRESPONDENCE G.N. Maksym School of Biomedical Engineering Dalhousie University 5981 University Ave Room 5241 Halifax B3H 1W2

NS Canada

Fax: 1 9024946621 E-mail: gmaksym@dal.ca

Received:
May 11 2006
Accepted after revision:
February 16 2007

SUPPORT STATEMENT
This study was funded by the Natural
Sciences and Engineering Research
Council of Canada (Ottawa, ON,
Canada), and the Nova Scotia Lung
Association (Halifax, NS, Canada). N.
Cheng was the recipient of the
George Matter Endowment Fund from
Dalhousie University (Halifax).

STATEMENT OF INTEREST None declared.

European Respiratory Journal Print ISSN 0903-1936 Online ISSN 1399-3003

260

study was approved by the research ethics board of the IWK Health Centre.

Study design

Subjects withheld all short- and long-acting β_2 -agonist medications on the day of their participation in the study. The test protocol followed for each child began with three 1-min FOT measurements with 20-40 s breaks after each minute. The breaks allowed the subject to swallow or cough if desired, which occurred occasionally. This established a baseline FOT measurement and was followed by baseline spirometry and by another FOT measurement to assess any changes that may have occurred from the deep inspirations and forced expirations associated with spirometry. A BD (200 µg salbutamol) was then administered by a metered-dose inhaler (MDI). A group of 15 of the control children were given a placebo inhalation (propellant-only MDI) instead of a BD as a control. FOT measurements were made at 4-5 min intervals following BD, and spirometry measurements were made at 12-15 mins, followed by three 1-min post-BD FOT measurements were short breaks in between, as performed during baseline FOT. The final three 1-min FOT and spirometry measures were used to assess changes due to a BD and to compare these results between patient populations.

Spirometry

Flow-volume curves were recorded with a portable, pneumotachograph-based spirometer (PrestoFlash; Burdick Inc., Milton, WI, USA) to determine FEV1 and the mean forced expiratory flow between 25 and 75% of forced vital capacity (FEF25–75%). The spirometer was calibrated daily with a calibrated syringe. Acceptance of flow-volume curves was according to the American Thoracic Society criteria for children [10]. Results were expressed as the percentage of predicted values according to KNUDSON *et al.* [11].

FOT

FOT measurements were made using a custom-built FOT device (fig. 1) constructed at Dalhousie University (Halifax). Subjects spontaneously breathed through a mouthpiece (FreeFlow; SensorMedics, Yorba Linda, CA, USA) and bacterial

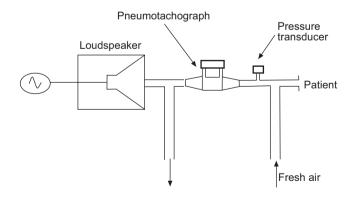


FIGURE 1. The forced oscillation technique device creates oscillatory pressure at the loudspeaker which is transmitted to the patient airway *via* a mouthpiece. Fresh air is supplied by a bias fan to the patient *via* long, high inertance tubes which shunt much of the low frequency breathing prior to the pneumotachograph and pressure transducers.

filter (Collins DC-1; Ferraris Respiratory, Louisville, CO, USA) wearing nose-clips, cheeks clasped in their hands, while comfortably seated with the head in a neutral position. The device used a loudspeaker to produce low-amplitude pressure oscillations (~1 cmH₂0) with a frequency range >50 Hz. A Fleisch pneumotachograph with a differential pressure transducer (TD-05-AS; SCIREQ, Montreal, QC, Canada) and a common mode rejection ratio measured to be >60 dB was used to measure flow, and a pressure transducer (TD-05-AS; SCIREQ) was used to measure pressure at the patient's airway opening. Both signals passed through a signal conditioner (SC-24; SCIREO) for anti-alias filtering with 6-pole Bessel filters (cut-off 100 Hz) and were sampled at 700 Hz. A bias fan provided a constant flow of ~12 L·min⁻¹ of fresh air via long, high inertance, stiff-walled tubes (1.9 cm × 176.5 cm length). Flow and pressure were calibrated weekly and the device was verified with a 5 cmH₂O·L⁻¹·s⁻¹ constant flow resistor (Hans Rudolph, Kansas City, MO, USA).

A signal with low crest factor (peak-to-peak amplitude/SD [12]) was designed that comprised frequency components of 4, 6, 10, 14, 22, 26 and 34 Hz of 1 s duration, which were repeatedly applied at the patient's airway opening during spontaneous breathing. The frequencies were higher than breathing to avoid contamination from the breathing frequencies and are the prime numbers multiplied by two to largely eliminate harmonic distortion from any nonlinearities [13]. The magnitude of pressures at 4, 6 and 10 Hz was increased by 3.6, 2.4 and 1.4 times, respectively, to improve signal-to-noise ratio.

Data analysis

Figure 2 is an example of the pressure and flow data obtained from one subject. For both pre- and post-BD FOT measurements, three 1-min data sets were concatenated to give 3 min of pressure and flow data. The impedance to airflow measured at the transducer (*Zmeas*) was derived at each of the input frequencies from either 1-s nonoverlapping blocks or 4-s 75% overlapping blocks of the pressure and flow signals according to:

$$Z_{meas}(f_i) = \frac{P(f)}{V'(f)} \tag{1}$$

where f_i denotes calculation at one of the 7 frequencies (i=1–7), and P(f) is the Fourier transform of pressure and V'(f) is the Fourier transform of flow. Thus Z_{meas} was a time-series of 180 points. Blocks of 1-s were used for calculation of SD as described below to capture as much variation as possible, while 4-s blocks were used for calculation of median resistance, which provided a small improvement in estimation of median R_{rs} (<5%) particularly at low frequencies.

The impedance of the FOT system proximal to the patient was compensated for by measuring closed system (mouthpiece blocked) and open system (mouthpiece open to the atmosphere) impedances ensuring coherence >0.99 and computing impedance to airflow measured at the airway opening ($Z_{\rm rs}$) [14]. The resistance to airflow, $R_{\rm rs}$, was calculated as the real part of $Z_{\rm rs}$ (fig. 2b); reactance was not analysed in the present study. The SDs of resistance ($R_{\rm rs}$ SD) were evaluated at each oscillation frequency from the time series of $R_{\rm rs}$ as:



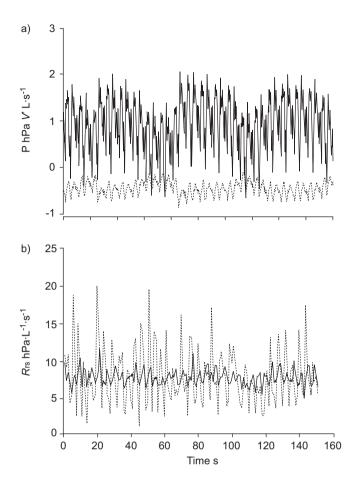


FIGURE 2. Flow (V') and pressure (P) contained seven frequencies repeating every second from 4–34 Hz. a) 10 s of baseline P (—) and V' (······) recorded from one individual. b) 150 s of baseline airway resistance (R_{rs}) from a subject calculated once per second from 4 Hz (—) and 34 Hz oscillations (······).

$$R_{rsSD}(f_i) = SD(R_{rs}(f_i))$$
 (2)

where SD denotes calculation of standard deviation.

Pressure and flow data that appeared to be associated with glottis closure, swallowing or episodes of irregular breathing were discarded. *R*rs values >5 SDs above the mean were discarded, as well as rare negative *R*rs values probably caused by the subject's breathing occasionally generating flow components which contaminated the signal frequencies. Blocks of 1-s pressure and flow were discarded until coherence calculated over a 16-s window was >0.9 for all data analysed [15]. Furthermore, noise amplitude was estimated at a frequency from the average amplitude of the pressure and flow spectra at neighbouring nonsignal frequencies (*e.g.* for 10 Hz the average of 8, 9, 11 and 12 Hz was used) and cycles rejected with any component having signal-to-noise ratio <10.

Statistical methods

 $R_{\rm rs}$, $R_{\rm rs}$ SD, FEV1 and FEF25–75% were tested for normality in each patient population using Shapiro–Wilk normality tests as well as normality plots. Baseline measurements of FEV1, FEF25–75%, $R_{\rm rs}$ and $R_{\rm rs}$ SD were each compared between the two patient populations using unpaired t-tests. The effect of a

BD was assessed between asthmatics and controls using separate one-way repeated measures ANOVA for each of FEV1, FEF25–75%, *R*rs and *R*rs SD measures. The difference between placebo and BD administration in controls was assessed with another separate, one-way repeated measures ANOVA for each of FEV1, FEF25–75%, *R*rs and *R*rs SD measures. The effect of a BD was also assessed in asthmatics classified by severity according to the Global Initiative for Asthma (GINA) guidelines [16], and in controls with a one-way repeated measures ANOVA and Games–Howell *post hoc* tests. Statistical significance was determined by p<0.05, unless otherwise stated.

RESULTS

The results were organised into baseline comparisons between asthma and control subjects first, followed by comparisons of the effect of a BD between populations as well as subpopulations of asthma classified according to disease severity. Shapiro–Wilk normality tests and normality plots indicated that spirometry and FOT measurements were all approximately normally distributed.

There were no significant differences in age or height between asthmatic and control subjects (table 1), and asthmatic subjects were slightly heavier (p=0.045). The subjects with asthma were taking different medication combinations as follows: six were taking as needed short-acting β_2 -agonist (SABA) alone (salbutamol 200 µg); 13 were taking inhaled corticosteroid (ICS) plus as needed SABA (fluticasone proprionate 125–250 µg b.i.d. and salbutamol 200 µg); four were taking a leukotriene receptor antagonist (LTRA; montelukast 5–10 mg q.d.) plus as needed SABA; 15 were taking combination ICS plus long-acting β_2 -agonist (LABA) with as needed SABA; half were also taking a LTRA (eight out of 15 taking fluticasone proprionate 200–500 µg q.d. or salmeterol 50 µg q.d. and seven out of 16 taking budesonide 200–400 µg q.d. or formoterol 12 µg q.d.).

Baseline comparisons

There was no difference in baseline measurements of per cent predicted FEV1 between asthmatic and control subjects (p=0.509), with mean \pm sem of 98.4 \pm 2.4 and 100.6 \pm 2.1% of predicted values respectively. There was a larger but still not significant (p=0.105) difference between baseline FEF25–75% measurements, 85.5 \pm 3.2 and 94.4 \pm 4.6% of predicted values in asthmatic and control subjects, respectively. Thus, neither FEV1 nor FEF25–75% distinguished between the asthmatic and control subject groups at baseline.

	Asthmatic	Control
Subjects	39	31
Male/female	24/15	13/18
Age yrs	9.90 ± 0.16 (8–12)	9.93 ± 0.32 (7-13)
Height cm	137.35 ± 1.57	141.72 ± 2.34
Weight kg	43.63 ± 2.21	36.55 ± 1.65

At baseline, R_{rs} was higher in asthmatics at all frequencies (p<0.001), and R_{rs} SD was also higher in asthma at 4, 6 and 10 Hz (p<0.001, p=0.035 and p=0.018, respectively, fig. 3). R_{rs} was constant across frequencies in control subjects, but a decreasing dependence with frequency was detected in asthmatics as a significant negative slope. There was no change in R_{rs} or R_{rs} SD before and after the FEV1 manoeuvre.

Effect of a BD

The subjects with asthma were well controlled by spirometry at the time of measurement. Breathing frequency and tidal volume by integrated flow was not changed with BD in any group. In response to BD, only two out of the 39 asthmatic children showed changes in FEV1 >12%, a threshold indicating clinically significant reversibility [17], and only one of these subjects had a >20% increase in FEF25–75%.

The FOT measures of $R_{\rm rs}$ and $R_{\rm rs}$ SD were divided into three frequency ranges to simplify analysis: the average of 4 and 6 Hz measurements was considered low frequency; the average of 10 and 14 Hz was considered middle frequency; and the average of 22, 26 and 34 Hz was considered high frequency. Within asthmatic subjects there was considerable

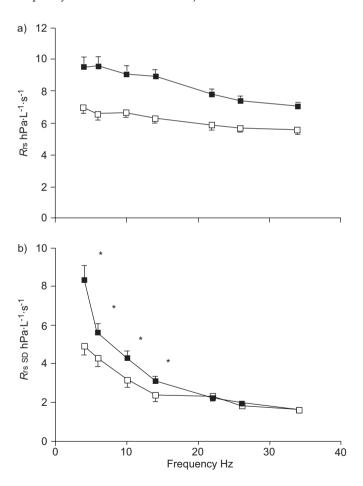


FIGURE 3. a) Median baseline airway resistance (Rrs) and b) Rrs sp in asthmatics (\blacksquare , n=39) and control subjects (\Box , n=31). At baseline, Rrs was elevated in asthma at all frequencies compared with control (p<0.001 (a)), while Rrs sp was elevated in asthma below 14 Hz (*: p<0.06) compared with controls. Error bars indicate SEM.

overlap between pre- and post-BD $R_{\rm rs}$, $R_{\rm rs}$ SD and FEV1 measurements (fig. 4). Comparing pre-BD measures within figure 4 as well as middle frequency values using linear regression, FEV1 showed a weak linear correlation with $R_{\rm rs}$, with r^2 =0.20, 0.16 and 0.21 (p=0.0117, 0.0260, 0.009) for low, middle and high frequencies, respectively, while FEV1 was not correlated with $R_{\rm rs}$ SD at any frequency range. $R_{\rm rs}$ was better correlated with $R_{\rm rs}$ SD with r^2 =0.40, 041, 0.39 (p=0.00016, 0.00013, 0.00022) also at low, middle and high frequencies, respectively. Asthmatic subjects with higher $R_{\rm rs}$ SD values were clustered at lower FEV1 (figs 4c and d) and higher $R_{\rm rs}$ (figs 4e and f).

The comparison of the response to BD between control and asthmatic subjects in $R_{\rm rs}$, $R_{\rm rs}$ sd, FEV1 % pred and FEF25–75% % pred is summarised in figure 5. No measurement changed in controls given a placebo. FEV1 and FEF25–75% increased significantly in controls (mean \pm sem 3.1 \pm 1.3 and 12.7 \pm 1.9%, respectively) and asthmatics given a BD (4.8 \pm 0.7 and 7.8 \pm 2.8%, respectively). These increases were small and not clinically significant, and were not significantly different between control and asthmatic children.

Rrs decreased significantly at all frequencies in both asthmatics and controls given a BD but not differently between groups (fig. 5). Similarly, Rrs SD decreased significantly at all frequencies in both asthmatics and controls given a BD, but in this case, Rrs SD changed significantly more in asthmatics than controls at low and middle frequencies but not at high frequencies. Thus the change in Rrs SD due to BD at low and middle frequencies distinguished between control and asthmatic subjects, while the changes in Rrs and FEV1 due to BD did not.

Since the children with asthma differed in their severity and therapy, the difference at low frequencies in response to BD measured by FEV1, Rrs and Rrs SD was also examined, based on asthma severity according to the step in daily medication regimen by the GINA guidelines [16]. In the present study there were 17 subjects with mild persistent asthma, 16 with moderate persistent asthma which were sufficient for analysis, but only six with mild intermittent asthma and none with severe persistent asthma. Repeated measures ANOVA with Games-Howell post hoc tests revealed significant differences amongst asthma severity in both baseline measures and responses to BD. At baseline, mild persistent asthmatics had lower FEV1 and FEF25-75% than both moderate asthmatics and control subjects, in contrast to the lack of difference when all asthma groups were grouped together. For the FOT measurements, although the Rrs of all asthma groups were higher than the control group (fig. 6b), there was no difference in Rrs between mild persistent and moderate asthma. This was in contrast with baseline Rrs SD, where only Rrs SD of mild persistent asthma was higher than control and there was no significant difference between control and moderate persistent asthma (fig. 6b). Furthermore, similar to the grouped data in figure 5, there were no differences in response to BD measured by spirometry or Rrs when subjects were split according to asthma severity, but the change in Rrs SD due to BD was more in mild asthmatics than both moderate asthmatics and controls.

DISCUSSION

The principal findings of the current study were that: 1) variations in R_{rs} were greater in children with asthma;



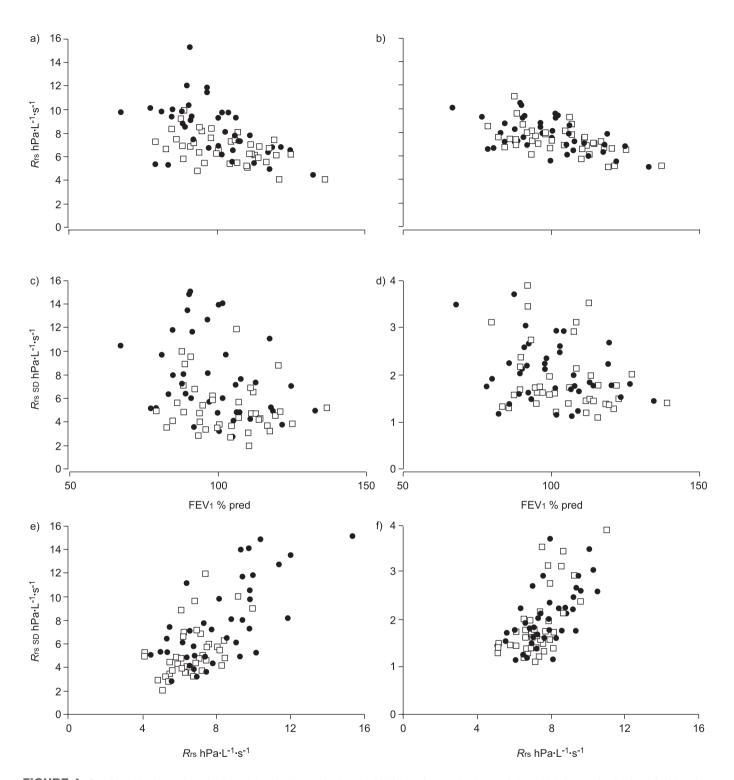


FIGURE 4. Baseline (●) and post-bronchodilator (□) values for each asthmatic child for low frequencies (a, c and e) and high frequencies (b, d and f). Values from different subjects overlap substantially for all parameters. *R*_{rs}: airway resistance; *R*_{rs} sp: standard deviation of airway resistance; FEV1: forced expiratory volume in one second; % pred: percentage predicted.

2) administration of a BD caused a greater decrease in variation of $R_{\rm rs}$ in asthmatics compared with controls; and 3) the reduction in variability of $R_{\rm rs}$ exceeded the change in $R_{\rm rs}$ or FEV1 following BD. These findings have significant implications for airway function in asthma.

Spirometry and Rrs

In all subjects, baseline measurements of FEV1 and FEF25–75% were within the normal range as commonly found in stable subjects with asthma [18, 19]. Only four asthmatics had an FEV1 <80% pred [20, 21]. $R_{\rm rs}$ was found to be significantly

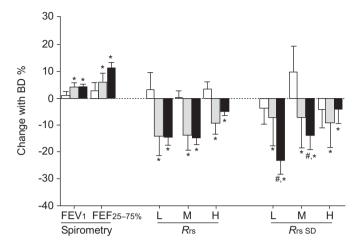


FIGURE 5. Comparison of the effect of a bronchodilator (BD; 200 μg salmeterol) measured by spirometry (% predicted forced expiratory volume in one second (FEV1) and mean forced expiratory flow between 25 and 75% of forced vital capacity (FEF25-75%)) and the forced oscillation technique (airway resistance (R_{rs}) and R_{rs} sp at low (L), medium (M) and high (H) frequencies) in asthmatic subjects (n=40, \blacksquare), control subjects given a BD (n=16, \blacksquare) and control subjects given a placebo (n=15, \square). *: p<0.05, BD significantly increased FEV1 and FEF25-75%, and decreased R_{rs} at all frequencies in both control and asthmatic subjects. #: p<0.05, comparing asthmatic and control subjects administered BD, only R_{rs} sp decreased significantly more in asthmatics. Error bars indicate SEM.

elevated in asthmatics compared with controls as previously reported in adults and children [9, 22, 23] at all frequencies and showed similar frequency dependence (fig. 3) to previous studies [24–26]. Additionally, baseline *R*rs values corresponded well to previous values obtained in asthmatic children [27, 28], but were slightly higher than those previously obtained in healthy children [3, 28, 29].

Healthy children and well-controlled asthmatic children do not show much change with BD in spirometric measures [30], in agreement with the present data (fig. 5). Furthermore, while $R_{\rm rs}$ was found to decrease in asthma in response to a BD, it also

decreased similarly in the healthy children by an amount consistent with previous results [28]. Thus, neither the BD response in FEV1 nor R_{rs} distinguished between children with and without mild-to-moderate asthma. While R_{rs} and FEV1 have been used to assess function BD and have been used to distinguish disease [28, 31], there is no consensus on either the sensitivity or the correlation between methods in BD testing [15].

Variation in Rrs

While it is well established that variation in airway function is a central feature of the disease, this is largely based on long-term variability of airway obstruction from several diurnal measurements of FEV1 and peak expiratory flow rate (PEFR) over several weeks or months of measurement [32, 33]. Indeed, high diurnal variation of PEFR is associated with a higher risk for exacerbation [32]. Long-term variation has also been assessed by FOT showing that within-day and between-day variability in R_{rs} is elevated in asthma [34]. Short-term variability in the time scale of minutes similarly finds R_{rs} to be elevated in both adult and childhood asthma [9, 35], in agreement with the current findings. Despite the similarities, it is unknown whether the mechanisms responsible for long-term variation in lung function are the same as those for short-term variation.

There are several sources of variation in $R_{\rm rs}$ in addition to airway smooth muscle activity [9], including, but not limited to, episodic movement of the glottis, changes in lung volume which act to dilate or narrow airway diameters, and noise at the transducers particularly due to breathing. Unlike mean $R_{\rm rs}$, the effects of noise on $R_{\rm rs}$ SD cannot be reduced by averaging. However, the effects of breathing noise were minimised by shunting most of the breathing through the bias tubes which provided a low resistance at breathing frequencies, thereby decreasing flow and pressure swings due to breathing (fig. 2). In the current study, noise was never >10% of the signal. A perturbation signal and analysis window of 1-s duration was used to estimate $R_{\rm rs}$ which, although if improved signal-to-noise ratio compared with shorter windows, limited the ability to track rapid variation in $R_{\rm rs}$. This is likely to have led

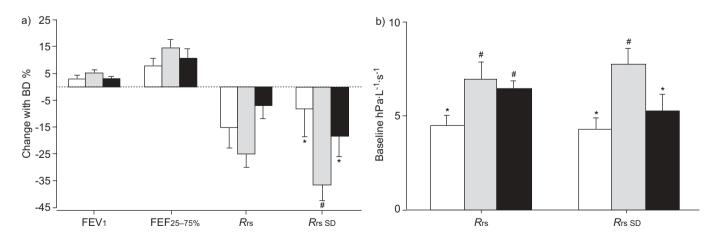


FIGURE 6. a) Percent change with bronchodilator (BD) administration classified according to the Global Initiative for Asthma (GINA) score for forced expiratory volume in one second (FEV1), mean forced expiratory flow between 25 and 75% of forced vital capacity (FEF25–75%), airway resistance (R_{rs}) and the sp of airway resistance (R_{rs} sp) in controls (n=16, \square), mild persistent asthmatics (n=17, \square) and moderate persistent asthmatics (n=16, \square). b) Baseline values. A significant difference between patient groups was found only in baseline R_{rs} , R_{rs} sp and the change in R_{rs} sp with BD, with significant differences between the groups indicated by * and # (p<0.05).



to the decreasing frequency dependence of $R_{\rm rs}$ SD and possibly the lack of sensitivity of $R_{\rm rs}$ SD to differences between groups and to BD-induced changes at high frequencies (figs 3 and 5). Longer windows and the presence of the upper airway shunt tend to underestimate breath-by-breath variation in $R_{\rm rs}$ [36]. Shorter windows would improve the ability to track $R_{\rm rs}$, but lead to overestimates of $R_{\rm rs}$ SD from increased noise contamination. This suggests that fewer or single signal frequencies should be employed to improve signal-to-noise ratio and enable shorter estimation windows.

A strong determinant of *R*rs and thus *R*rs SD are the changes in lung volume and flow that occur with breathing. *R*rs changes with dilation and re-narrowing associated with breathing, but also with alterations in upper airway geometry associated with the glottis [9, 36, 37]. *R*rs also changes with flow associated with turbulence and other effects and in anaesthetised children can change two-fold with a three-fold change in flow under isovolumetric conditions [38]. Agonists can modulate the volume dependence of *R*rs [39, 40], which may have contributed to the changes in *R*rs and *R*rs SD in asthma and with BD. However, the effects of a BD on median *R*rs were similar in both groups and no changes were observed in tidal volume with BD or between asthma and controls. Differences in *R*rs could also be attributed to changes in functional residual capacity, which was not measured [9, 39].

If changes in airway smooth muscle activation are reflected in variation of Rrs, this can occur either by modulation of lung volume dependence as described previously, or by directly altering airway diameter and thus Rrs. The latter effects of BD on Rrs are well established and reproduced in the present study (figs 5 and 6), supporting the idea that some of the variation in Rrs reflects variation in airway smooth muscle activity and is potentially useful in understanding airway pathology. However, for the resistance to vary randomly and frequently, from breath-to-breath and even possibly within breaths, temporal variations in airway constriction must be occurring in a spatially heterogeneous fashion through the airway tree. It is now known that airway narrowing during constriction is markedly spatially heterogeneous, particularly in asthma, either inferred from FOT impedance data, or directly by imaging methods [41-44]. It is reasonable to expect that these effects vary temporally as well as spatially, leading to variations in Rrs impedance data as suggested by the present and other studies [9, 35]. However, temporal variation in airway diameter has yet to be examined with imaging methods.

Effect of a BD

In support of the concept that some of the variation in $R_{\rm rs}$ is due to changes in smooth muscle activation, the current authors have previously found that variation in $R_{\rm rs}$ was increased in asthma and could be increased with methacholine administration in subjects with asthma [9]. The authors reasoned that if this were true, then $R_{\rm rs}$ SD should decrease in asthmatic subjects administered a BD as reported for the first time in the present study.

The current authors further found that $R_{\rm rs}$ SD and the response to BD were significantly different amongst subjects with different degrees of asthma severity, based on the current step

in daily therapy prescribed according to GINA classification [16]. However, the present sample is small and it is likely that some subjects were at a higher step in therapy relative to their symptoms. Also, the distinction of severity is problematic once treatment is initiated and some of the differences observed may be due more to therapy than to severity. Indeed, in a 20month cross-over study by TAYLOR et al. [45], asthma symptoms and variation in PEFR were higher in asthmatic subjects receiving SABA compared with subjects receiving a LABA. Similarly, the current study found that asthmatics who were not taking LABA, but were taking ICS and could be classified as mild persistent asthma were the most variable, with highest baseline Rrs SD and greatest decrease in Rrs SD with BD. In contrast, subjects that received LABA with corticosteroids and could be classified as moderate persistent asthmatics had the lowest baseline Rrs SD of the asthma subjects and the smallest decrease with BD, perhaps indicating that LABA may contribute to reduced variability similar to reduced exacerbations reported in some other studies [45, 46]. While a prospective study is needed to confirm a relationship of short-term variation in Rrs to long-term variation in airway function and symptoms, this may be important, especially since it has been recently shown that fluctuation analysis of variation in airway function can predict the risk of asthma exacerbations [8].

Geometric considerations

Due to the nonlinear inverse 4th power law dependence of $R_{\rm rs}$ on diameter, a change in $R_{\rm rs}$ SD can be shown to be due to either a change in the SD of airway diameters, but also due to a change in average airway diameter, with no change in diameter variation. If one assumes the time-averaged diameter (d_0) of an airway is perturbed by some random variation s(t) such that:

$$diameter = d_0 + s(t) \tag{3}$$

then, normalised to the average diameter:

$$R/R_0=1/(1+s/d_0)^4$$
 (4)

where R_0 is the resistance at the average diameter. If there is bronchodilation with no change in the per cent variation of airway diameter, which is the case for constant s/d_0 (constant coefficient of variation), then the change in $R_{\rm rs}$ SD of the airway is equal to the change in $R_{\rm rs}$ (fig. 7). This could conceivably occur with sufficient decreases in airway stiffness with little change in load. Another possibility is dilation without a change in airway diameter variation, which predicts a greater decrease in $R_{\rm rs}$ SD than $R_{\rm rs}$ (demonstrated by numerical simulation; fig. 7). Any additional decrease in variation would lead to even larger decreases in $R_{\rm rs}$ SD. This analysis implies that $R_{\rm rs}$ SD is a more sensitive measurement than $R_{\rm rs}$ to the effects of a BD.

This geometric dependence of $R_{\rm rs}$ SD on $R_{\rm rs}$ predicts that $R_{\rm rs}$ SD should be correlated with $R_{\rm rs}$. Indeed, in figure 4 they are mildly correlated (${\rm r}^2 \sim 0.4$). However, in response to BD different behaviours were found: some subjects decreased $R_{\rm rs}$ more than $R_{\rm rs}$ SD, but most subjects decreased $R_{\rm rs}$ SD more than $R_{\rm rs}$, with a few subjects decreasing $R_{\rm rs}$ SD substantially with very little change in $R_{\rm rs}$ (fig. 7). Also, amongst asthma classifications, a greater proportion of mild persistent and

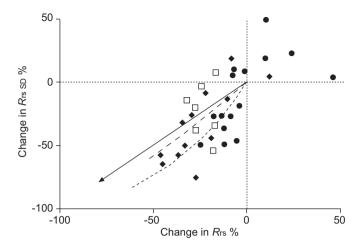


FIGURE 7. Individual percent change in airway resistance so $(R_{\rm rs} \ {\rm sp})$ in all asthmatics plotted *versus* percent change in airway resistance $(R_{\rm rs})$ at low frequencies (\square : intermittent; \blacktriangle : mild persistent; and \bullet : moderate asthma). The arrow indicates the line of identity analytically predicted for a single airway with an increase in mean diameter and no change in percent diameter variation. The dashed lines indicate the numerically predicted relations for simple dilation up to 30% with no change in variation of airway diameters with Gaussian distributed diameters and so fixed at 0.1 (---) and 0.2 (----) of baseline diameter. Most subjects were in the lower left quadrant, with most of these decreasing $R_{\rm rs}$ so more than $R_{\rm rs}$.

moderate persistent asthmatics decreased R_{rs} SD more than R_{rs} . The greater change in R_{rs} SD than R_{rs} with BD implies that, while BD usually dilated the airways, it may also function to calm the airways, reducing the tug-of-war between airway constriction and dilation [9].

Conclusion

In line with recently visualised spatial heterogeneity in asthmatic airways, measurement of the standard deviation of airway resistance by the forced oscillation technique may provide a window onto temporal variation in airway function. It is an easily performed method that is more sensitive than both airway resistance and forced expiratory volume in one second for evaluating the effect of a bronchodilator, but its dependence on changes in lung volume needs further investigation before studies are developed to evaluate its clinical utility.

ACKNOWLEDGEMENTS

The authors would like to thank S. Fulton and the staff at Camp Treasure Chest (Picton, NS, Canada) for their assistance with the study.

REFERENCES

- 1 Grol MH, Gerritsen J, Postma DS. Asthma: from childhood to adulthood. *Allergy* 1996; 51: 855–869.
- 2 Duiverman EJ, Clement J, Van de Woestijne KP, Neijens HJ, van den Bergh AC, Kerrebijn KF. Forced oscillation technique. Reference values for resistance and reactance over a frequency spectrum of 2–26 Hz in healthy children aged 2.3–12.5 years. *Bull Eur Physiopathol Respir* 1985; 21: 171–178.

- **3** Lebecque P, Desmond K, Swartebroeckx Y, Dubois P, Lulling J, Coates A. Measurement of respiratory system resistance by forced oscillation in normal children: a comparison with spirometric values. *Pediatr Pulmonol* 1991; 10: 117–122.
- **4** Desager K, Buhr W, Willemen M, *et al.* Measurement of total respiratory impedance in infants by the forced oscillation technique. *J Appl Physiol* 1991; 71: 770–776.
- **5** Van de Woestijne KP. The forced oscillation technique in intubated, mechanically-ventilated patients. *Eur Respir J* 1993; 6: 767–769.
- **6** Gimeno F, van der Weele LT, Koeter GH, de Monchy JG, van AR. Variability of forced oscillation (Siemens Siregnost FD 5) measurements of total respiratory resistance in patients and healthy subjects. *Ann Allergy* 1993; 71: 56–60.
- **7** Timonen KL, Randell JT, Salonen RO, Pekkanen J. Short-term variations in oscillatory and spirometric lung function indices among school children. *Eur Respir J* 1997; 10: 82–87.
- **8** Frey U, Brodbeck T, Majumdar A, *et al*. Risk of severe asthma episodes predicted from fluctuation analysis of airway function. *Nature* 2005: 438: 667–670.
- **9** Que CL, Kenyon CM, Olivenstein R, Macklem PT, Maksym GN. Homeokinesis and short-term variability of human airway caliber. *J Appl Physiol* 2001; 91: 1131–1141.
- **10** Miller MR, Hankinson J, Brusasco V, *et al.* Standardisation of spirometry. *Eur Respir J* 2005; 26: 319–338.
- **11** Knudson RJ, Slatin RC, Lebowitz MD, Burrows B. The maximal expiratory flow–volume curve. Normal standards, variability, and effects of age. *Am Rev Respir Dis* 1976; 113: 587–600.
- **12** Habib RH, Suki B, Bates JH, Jackson AC. Serial distribution of airway mechanical properties in dogs: effects of histamine. *J Appl Physiol* 1994; 77: 554–566.
- **13** Suki B, Lutchen KR. Pseudorandom signals to estimate apparent transfer and coherence functions of nonlinear systems: applications to respiratory mechanics. *IEEE Trans Biomed Eng* 1992; 39: 1142–1151.
- **14** Davey BL, Bates JH. Regional lung impedance from forced oscillations through alveolar capsules. *Respir Physiol* 1993; 91: 165–182.
- **15** Oostveen E, MacLeod D, Lorino H, *et al.* The forced oscillation technique in clinical practice: methodology, recommendations and future developments. *Eur Respir J* 2003; 22: 1026–1041.
- **16** Global Initiative for Asthma. Global Strategy for Asthma Management and Prevention. NHLBI/WHO workshop report. Publication No. 02–3659. Bethseda, MD, National Institutes of Health, National Heart, Lung and Blood Institute, 2002.
- **17** Boulet LP, Becker A, Berube D, Beveridge R, Ernst P. Canadian asthma consensus report. *Can Med Assoc J* 1999; 161: Suppl. 11, S1–S62.
- **18** Dupont C, Antonini MT, Denoyer M, Lemaire F, Menier R, Chassain AP. [The value of respiratory function tests in the surveillance of asthmatic children.] *Allerg Immunol (Paris)* 1987; 19: 135–141.
- **19** Wagner EM, Liu MC, Weinmann GG, Permutt S, Bleecker ER. Peripheral lung resistance in normal and asthmatic subjects. *Am Rev Respir Dis* 1990; 141: 584–588.



- **20** Lebecque P, Kiakulanda P, Coates AL. Spirometry in the asthmatic child: is FEF25-75% a more sensitive test than FEV1/FVC? *Pediatr Pulmonol* 1993; 16: 19–22.
- **21** McFadden ER Jr, Linden DA. A reduction in maximum mid-expiratory flow rate. A spirographic manifestation of small airway disease. *Am J Med* 1972; 52: 725–737.
- **22** Nielsen KG, Bisgaard H. Discriminative capacity of bronchodilator response measured with three different lung function techniques in asthmatic and healthy children aged 2 to 5 years. *Am J Respir Crit Care Med* 2001; 164: 554–559.
- **23** Pasker HG, Schepers R, Clement J, Van de Woestijne KP. Total respiratory impedance measured by means of the forced oscillation technique in subjects with and without respiratory complaints. *Eur Respir J* 1996; 9: 131–139.
- **24** Mazurek H, Willim G, Marchal F, Haluszka J, Tomalak W. Input respiratory impedance measured by head generator in preschool children. *Pediatr Pulmonol* 2000; 30: 47–55.
- **25** Van Noord JA, Clement J, Van de Woestijne KP, Demedts M. Total respiratory resistance and reactance as a measurement of response to bronchial challenge with histamine. *Am Rev Respir Dis* 1989; 139: 921–926.
- **26** Hellinckx J, Cauberghs M, De BK, Demedts M. Evaluation of impulse oscillation system: comparison with forced oscillation technique and body plethysmography. *Eur Respir J* 2001; 18: 564–570.
- **27** Delacourt C, Lorino H, Fuhrman C, *et al.* Comparison of the forced oscillation technique and the interrupter technique for assessing airway obstruction and its reversibility in children. *Am J Respir Crit Care Med* 2001; 164: 965–972.
- **28** Mazurek HK, Marchal F, Derelle J, Hatahet R, Moneret-Vautrin D, Monin P. Specificity and sensitivity of respiratory impedance in assessing reversibility of airway obstruction in children. *Chest* 1995; 107: 996–1002.
- **29** Ducharme FM, Davis GM, Ducharme GR. Pediatric reference values for respiratory resistance measured by forced oscillation. *Chest* 1998; 113: 1322–1328.
- **30** Ferguson AC. Persisting airway obstruction in asymptomatic children with asthma with normal peak expiratory flow rates. *J Allergy Clin Immunol* 1988; 82: 19–22.
- **31** Zerah F, Lorino AM, Lorino H, Harf A, Macquin-Mavier I. Forced oscillation technique *versus* spirometry to assess bronchodilatation in patients with asthma and COPD. *Chest* 1995; 108: 41–47.
- **32** Bellia V, Cibella F, Coppola P, et al. Variability of peak expiratory flow rate as a prognostic index in asymptomatic asthma. *Respiration* 1984; 46: 328–333.

- **33** Troyanov S, Ghezzo H, Cartier A, Malo JL. Comparison of circadian variations using FEV1 and peak expiratory flow rates among normal and asthmatic subjects. *Thorax* 1994; 49: 775–780.
- **34** Goldman MD, Carter R, Klein R, Fritz G, Carter B, Pachucki P. Within- and between-day variability of respiratory impedance, using impulse oscillometry in adolescent asthmatics. *Pediatr Pulmonol* 2002; 34: 312–319.
- **35** Trubel H, Banikol WK. Variability analysis of oscillatory airway resistance in children. *Eur J Appl Physiol* 2005; 94: 364–370.
- **36** Cauberghs M, van de Woestijne KP. Changes of respiratory input impedance during breathing in humans. *J Appl Physiol* 1992; 73: 2355–2362.
- **37** Irvin CG. Lung volume: a principle determinant of airway smooth muscle function. *Eur Respir J* 2003; 22: 3–5.
- **38** Kaditis AG, Motoyama EK, Seki I, *et al.* Flow and volume dependence of respiratory mechanics in anesthetized children. *Pediatr Res* 1999; 46: 419–428.
- **39** Ding DJ, Martin JG, Macklem PT. Effects of lung volume on maximal methacholine-induced bronchoconstriction in normal humans. *J Appl Physiol* 1987; 62: 1324–1330.
- **40** Marchal F, Loos N, Monin P, Peslin R. Methacholine-induced volume dependence of respiratory resistance in preschool children. *Eur Respir J* 1999; 14: 1167–1174.
- **41** Tgavalekos NT, Tawhai M, Harris RS, Venegas JG, Lutchen KR. Identifying airways responsible for heterogeneous ventilation and mechanical dysfunction in asthma: an image-functional modeling approach. *J Appl Physiol* 2005; 99: 2388–2397.
- **42** Gillis HL, Lutchen KR. Airway remodeling in asthma amplifies heterogeneities in smooth muscle shortening causing hyperresponsiveness. *J Appl Physiol* 1999; 86: 2001–2012.
- **43** Venegas JG, Schroeder T, Harris S, Winkler RT, Melo MF. The distribution of ventilation during bronchoconstriction is patchy and bimodal: a PET imaging study. *Respir Physiol Neurobiol* 2005; 148: 57–64.
- **44** Venegas JG, Winkler T, Musch G, *et al.* Self-organized patchiness in asthma as a prelude to catastrophic shifts. *Nature* 2005; 434: 777–782.
- **45** Taylor DR, Town GI, Herbison GP, *et al.* Asthma control during long-term treatment with regular inhaled salbutamol and salmeterol. *Thorax* 1998; 53: 744–752.
- **46** Bisgaard H, Le RP, Bjamer D, Dymek A, Vermeulen JH, Hultquist C. Budesonide/formoterol maintenance plus reliever therapy: a new strategy in pediatric asthma. *Chest* 2006; 130: 1733–1743.

268 VOLUME 30 NUMBER 2 EUROPEAN RESPIRATORY JOURNAL