



The effect of *Pseudomonas aeruginosa* on pulmonary function in patients with bronchiectasis

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ABSTRACT: Bronchiectasis patients are susceptible to infection with *Pseudomonas aeruginosa*. Isolation is associated with increased severity of disease, greater airflow obstruction and poorer quality of life. It is not known whether infection by *P. aeruginosa* is a marker of disease severity or contributes to disease progression.

Consecutive non-cystic fibrosis adult bronchiectasis outpatients (n=163) with multiple sputum cultures and follow-up pulmonary function tests were designated, according to isolation of *P. aeruginosa*, as “never infected” (group 1; n=67), “intermittently isolated” (group 2; n=82) and “chronically infected” (group 3; n=14). Based upon change in forced expiratory volume in one second (FEV₁) % predicted levels at ≥2 yrs after presentation, longitudinal behaviour was characterised as “improvement” (≥10% rise), “decline” (≥10% fall) or “stability”. Baseline pulmonary-function tests and longitudinal behaviour were examined in relation to pseudomonas status.

There was no difference between the groups in age, sex, smoking habit or length of follow-up. Baseline FEV₁ levels were highest in group 1 (mean±SD: 77.4±24.3) and higher in group 2 (67.3±25.7) than in group 3 (55.2±18.5). The same significant trends were seen for baseline FEV₁/forced vital capacity ratios and diffusing capacity of the lung for carbon monoxide levels. Subsequent longitudinal behaviour was linked to baseline FEV₁ levels, which were lowest in patients with improvement and lower in association with decline than with stability. However, longitudinal behaviour did not differ between groups 1, 2 and 3, either before or after adjustment for baseline FEV₁ levels.

Infection by *Pseudomonas aeruginosa* occurs in bronchiectasis patients with more severe impairment of pulmonary function but does not influence rate of decline in pulmonary function either before or after adjustment for baseline disease severity. Thus, *Pseudomonas aeruginosa* is a marker of bronchiectasis severity but is not linked to an accelerated decline in pulmonary function.

KEYWORDS: Bronchiectasis, *Pseudomonas aeruginosa*, pulmonary function

Bronchiectasis is defined as chronic dilatation of one or more bronchi. This causes poor mucus clearance and susceptibility to bacterial infection. Once a treatable cause has been excluded, management largely involves physiotherapy and treatment with appropriate antibiotics, for treatment of exacerbations and in some cases prophylaxis. Chronic bacterial infection is common in patients with bronchiectasis, and the bronchial inflammation this stimulates has been implicated in disease progression [1, 2]. *Pseudomonas aeruginosa* is an opportunistic pathogen, affecting only those with impaired lung defences, such as patients with cystic fibrosis, other

forms of bronchiectasis and severe chronic obstructive pulmonary disease [3–6]. In cystic fibrosis, *P. aeruginosa* infection leads to a deterioration of pulmonary function and ultimately respiratory failure and death [1, 7, 8]. Although *P. aeruginosa* can be isolated intermittently in bronchiectasis, once it becomes a chronic infection it is rarely eradicated, despite intensive intravenous antibiotic therapy [1, 9]. Chronic infection is associated with more extensive lung disease and more severe airflow obstruction [10], but it is not known whether *P. aeruginosa* is simply a marker of severe disease that has occurred due to another cause or whether it contributes to disease progression.

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The purpose of the present study was to assess whether *P. aeruginosa* infection in patients with bronchiectasis is associated with a greater rate of decline in pulmonary function.

METHODS

For the present study, consecutive adult patients from out-patient clinics were included during a 6-month period, who satisfied the following criteria. 1) A diagnosis of bronchiectasis made by high-resolution computed tomography (HRCT). Diagnostic criteria used were: lack of tapering or flaring; and "signet ring" sign. 2) Follow-up at the Royal Brompton Hospital for a period >2 yrs. 3) Two or more sputum cultures results available per annum. 4) Two or more pulmonary function tests at a minimum interval of 2 yrs. 5) A negative sweat test to exclude cystic fibrosis. 6) No other major parenchymal lung diseases.

Case notes were reviewed to identify *P. aeruginosa* isolation in sputum cultures. Sputum samples from clinics and hospital stays were processed using selective and non-selective techniques [11]. Patients were designated as: group 1 ("never infected" with *P. aeruginosa*, n=67); group 2 (*P. aeruginosa* isolated at least once, but not on all occasions, "intermittently isolated", n=82); group 3 (*P. aeruginosa* in all cultures, "chronically infected", n=14). Group 2 included a subgroup of patients developing chronic isolation of *P. aeruginosa* during follow-up (n=16). It is the current authors' practice to perform pulmonary function tests during a period of clinical stability and this was verified in the case notes. This is defined as lack of change in symptoms and no change in treatment, including a requirement for additional antibiotics, for 4 weeks prior to the lung function test. Patients are followed up at least annually in these clinics, whatever their disease severity, so all patients who had appointments in this time were considered. Serial changes between the first and last pulmonary function tests were evaluated.

Forced expiratory volume in one second (FEV₁) % predicted, residual volume (RV) % pred, diffusing capacity of the lung for carbon monoxide (DL_{CO}) % pred, corrected for haemoglobin and FEV₁/forced vital capacity (FVC) ratio were noted. FEV₁ levels have the strongest correlation with the severity of morphologic abnormalities on HRCT [11, 12] and were designated as the primary end-point. Normal values for pulmonary function testing were validated in the study by CRAPO [13]. Since it is not known whether the rate of decline in bronchiectasis is continuous or whether discrete events, such as infections, lead to irregular periods of decline on a background of general stability, pulmonary function trends were analysed to cover both possibilities. For the primary analysis, based upon clinical experience that deterioration is usually discrete and not continuous: serial change in FEV₁ % pred from baseline to last measurement was characterised as "improvement" (>10% improvement from baseline value), "decline" (>10% decline from baseline value) and "stability" (final value within 10% of baseline value) [12]. A secondary *post hoc* analysis of serial change in FEV₁ expressed as mL·yr⁻¹ (over the total follow-up time) was also performed.

Patients were managed in the authors' unit by a set protocol, which did not change during the study. Infective exacerbations were treated with oral antibiotics guided by sputum

microbiology, antibiotic sensitivities and patient antibiotic history. Failure led to a clinic appointment and admission for *i.v.* antibiotics if required. Antibiotic prophylaxis was introduced if patients had more than six infective exacerbations per year despite optimal (*e.g.* physiotherapy) management. Oral antibiotics during the winter months (amoxicillin or doxycycline) for *Pseudomonas*-negative patients, and nebulised colomycin throughout the year for *Pseudomonas* patients, were first-line treatments. Asthma and acid reflux were treated in the usual way if present. Inhaled steroids were assessed in an objective manner (lung function and sputum characteristics) and only continued if benefits were demonstrated; oral corticosteroids were only used in severe exacerbations. At the time of the study, long-term macrolide antibiotics were not used as antibiotic prophylaxis.

All routine pulmonary function tests were performed in the authors' dept using the Jaeger Compact Masterlab pulmonary function equipment (Jaeger Ltd, Viasys Healthcare, Hochberg, Germany). Inhaled treatment was not taken on the day of the test. Spirometry was performed in a conventional way by carrying out maximal flow-volume loops in a graphical form of flow *versus* volume, recorded from a maximal forced expiration, starting from full inspiration, immediately followed by a maximal inspiration; this was performed as one manoeuvre. Several manoeuvres were performed and the results reported are the greatest FEV₁ and FVC from at least three technically acceptable manoeuvres, irrespective of the manoeuvre in which they occur, as per recommendations published by the British Thoracic Society and the Association of Respiratory Technicians and Physiologists in 1994 [14]. Jaeger equipment has been used for about 13 yrs; although some has been replaced the results obtained have always remained comparable. Biological control tests are performed on a daily basis on normal staff members and no trend regarding a change in pulmonary function test results obtained has been observed for more than a decade. The same predicted values have been used by the authors' dept over the last 12 yrs [13, 15].

Population data are expressed as mean ± SD for normally distributed variables (age, time between pulmonary function tests, FEV₁ % pred, DL_{CO} % pred, FEV₁/FVC ratio) and as median values with ranges for abnormally distributed variables (RV % pred and serial changes in FEV₁, expressed as mL·yr⁻¹). Group comparisons were made using non-paired t-testing or ANOVA for normally distributed variables. Paired t-tests were in group comparisons of serial lung function trends. Wilcoxon's rank sum test or the Kruskal-Wallis test for abnormally distributed variables and Chi-squared statistics for all comparisons of proportion. A p-value of <0.05 was taken to indicate statistical significance. Logistic regression models were constructed to determine whether 1) improvement and 2) decline were linked to *P. aeruginosa* status after adjustment for baseline FEV₁ levels. This was an important factor, as those who had never isolated *P. aeruginosa* started with a higher FEV₁.

RESULTS

Table 1 compares clinical data between groups 1, 2 and 3; no significant or marginal subgroup differences were identified.

TABLE 1 Patient characteristics

	Group 1 (never isolated)	Group 2 (intermittent isolation)	Group 3 (chronic isolation)
Subjects n	67	82	14
Age at first pulmonary function test yrs	43.6±14.6	45.1±12.2	49.4±14.91
Sex M:F	21:46	25:57	6:8
Smoking habit yes:no:ex	2:54:11	0:66:16	0:11:3
Time between pulmonary function tests yrs	9.9±4.9	11.0±5.5	8.8±5.3

Data are presented as n or mean±sd, unless otherwise stated. M: male; F: female; Ex: ex-smoker.

Baseline pulmonary function tests

As shown in figure 1 and table 2, baseline FEV₁ levels were highest in group 1 and higher in group 2 than in group 3 ($p<0.005$). Similar trends emerged for FEV₁/FVC ($p=0.02$) and DLCO ($p=0.02$), but not for RV (table 2).

Group 2 patients developing chronic isolation of *P. aeruginosa* during follow-up ($n=16$) were seen for a mean time of 6.34 ± 3.74 yrs (range 2.1–21.1 yrs) before *P. aeruginosa* acquisition and for a mean time of 8.75 ± 5.3 yrs (range 1.9–11.1 yrs) afterwards. They had lower FEV₁ levels at baseline (58.6 ± 20.5 ; $p<0.01$) and higher RV levels (160.5 ± 65.8 ; $p<0.05$) than group 1 patients. However, FEV₁ % pred levels did not differ significantly (paired t-test) before and after the acquisition of chronic *P. aeruginosa* isolation (58.6 ± 20.5 versus 59.4 ± 25.3).

Longitudinal behaviour in relation to baseline FEV₁ levels

Analysis of changes in FEV₁ during follow-up (fig. 2) showed that patients with improvement had the lowest baseline FEV₁ levels (53.7 ± 20.9); FEV₁ levels were lower in association with subsequent decline (71.6 ± 20.6) than with stability (77.9 ± 28.7). Significance was shown in all comparisons, improvement versus decline ($p<0.005$), improvement versus stability ($p<0.00005$) and decline versus stability ($p<0.01$).

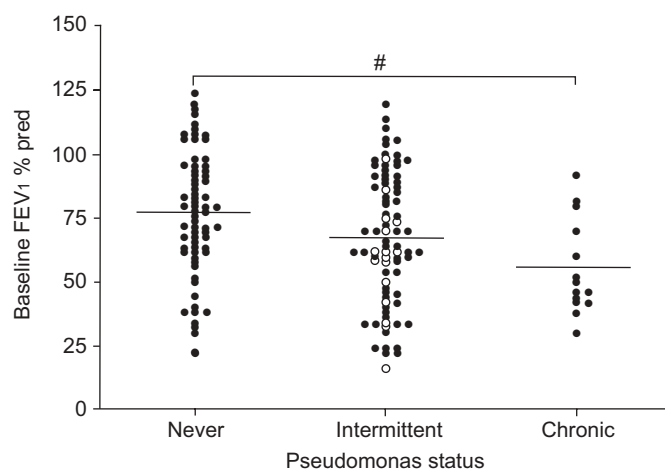


FIGURE 1. Comparison of baseline forced expiratory volume in one second (FEV₁) with pseudomonas status. ○: developed chronic *Pseudomonas aeruginosa* infection. % pred: % predicted. #: $p<0.005$.

Longitudinal behaviour in relation to pseudomonas status

P. aeruginosa status was not linked to longitudinal behaviour. Neither decline (group 1 (21 out of 67, 31%), group 2 (25 out of 82, 30%), group 3 (5 out of 14, 36%)) nor improvement (group 1 (13 out of 67, 19%), group 2 (26 out of 82, 32%), group 3 (3 out of 14, 21%)) differed significantly between groups. These findings were not altered by analysis of absolute changes in FEV₁ per yr (median values: group 1=24 mL; group 2=17 mL; group 3=23 mL), with no significant or marginal group differences on non-parametric analysis (Wilcoxon's rank sum test). The p-values for groups 1 versus 2, 1 versus 3 and 2 versus 3 are 0.43, 0.68 and 0.92, respectively. When rate of decline in FEV₁ (mL·yr⁻¹) was compared before and after acquisition of chronic *P. aeruginosa* there was no significant difference (-1.3 ± 4.3 versus 0.2 ± 3.8), using Wilcoxon's rank sum test ($p=0.35$).

Examination of separate logistic regression models showed that neither decline nor improvement in FEV₁ was linked to *P. aeruginosa* status after adjustment for baseline FEV₁ status. An improvement of >10% in FEV₁ was less frequent with a higher baseline FEV₁ (odds ratio (OR)=0.96; 95% confidence interval (CI) 0.95–0.98; $p<0.0005$), with no independent relationship with *P. aeruginosa* status (OR=0.93; 95% CI 0.51–1.72; $p=0.83$). A decline of >10% in FEV₁ was related to neither baseline FEV₁ nor *P. aeruginosa* status.

DISCUSSION

A "vicious circle" of bacteria-stimulated, host-mediated lung damage caused by chronic inflammation has been proposed in bronchiectasis [16, 17]. Systemic markers of inflammation are elevated in stable disease and are increased, together with sputum markers, during exacerbations. The level of chronic inflammation is thought to be responsible for disease progression and many of the symptoms that patients experience [18, 19]. Therefore, chronic bacterial infection might be expected to accelerate decline in pulmonary function.

P. aeruginosa is an opportunistic pathogen, affecting only those with an impaired host defence. In cystic fibrosis, infection can occur at an early age, before severe bronchiectasis has developed, and various explanations have been put forward for this unique host-bacterial interaction [20–22]. There is an exuberant inflammatory response to the chronic bacterial infection [23] and a large number of exotoxins are produced by *P. aeruginosa* [24]. There is a strong antibody response to *P. aeruginosa* in pulmonary secretions, saliva and serum, and

TABLE 2 Pulmonary function test results at baseline

	FEV ₁ % pred	FEV ₁ /FVC	RV % pred	DL _{CO} % pred
Group 1	77.4 ± 24.3	69.1 ± 13.7	120 (74–258)	83.4 ± 13.9
Group 2	67.3 ± 25.7	63.1 ± 17.7	126 (68–349)	78.8 ± 20.9
Group 3	55.2 ± 18.5	58.5 ± 12.6	141 (86–264)	68.9 ± 22.5
p-value	<0.005	0.02	NS	0.02

Data are presented as mean ± SD, with the exception of predicted residual volume (RV) levels, which were positively skewed and are stated as median values with ranges. FEV₁: forced expiratory volume in one second; FVC: forced vital capacity; DL_{CO}: diffusing capacity of the lung for carbon monoxide; NS: nonsignificant.

immune complexes are thought to contribute to the inflammatory process [25].

The host–bacterial relationship in non-cystic fibrosis bronchiectasis is less clear. In most cases, the severity of abnormality in the airways is not as great as in cystic fibrosis, and there has been no suggestion of any unique host–bacterial interaction. Intermittent isolation is more common than reported in cystic fibrosis. The change from non-mucoid to mucoid phenotype does occur with chronic infection but is found predominately in patients with severe disease [2]. The isolation of *P. aeruginosa* in bronchiectasis might be more analogous to chronic obstructive pulmonary disease (COPD) patients, where it has also been recognised as a pathogen in patients with very severe airflow obstruction [26–28]. EVANS *et al.* [4] showed an association between *P. aeruginosa* and disease severity in bronchiectasis patients. This study showed a significant reduction in FEV₁ and FVC in those chronically infected with *P. aeruginosa* compared with those who had never isolated *P. aeruginosa*. An accelerated decline in FEV₁ and FVC was also observed in patients with chronic *P. aeruginosa* infection, but the possibility of deterioration prior to *P. aeruginosa* isolation could not be excluded. WELLS *et al.* [10] also found an

association between colonisation and more severe disease but provided no longitudinal data.

Another study, by MISZKIEL *et al.* [29], comparing severity of bronchiectasis on thin section HRCT scans with *P. aeruginosa* isolation from concurrent sputum samples, showed a strong relationship between *P. aeruginosa* infection of concurrent sputum samples and increased severity and extensiveness of disease on HRCT. The *P. aeruginosa* group had more extensive HRCT features of bronchiectasis, a greater degree of bronchial wall thickening and dilatation, as well as evidence of a greater degree of small-airways disease indicated by a more extensive decrease in attenuation.

In a study of 87 patients with non-cystic fibrosis bronchiectasis, in a stable phase of illness, the quality of life of patients infected by *P. aeruginosa* was significantly worse than non-*P. aeruginosa* patients [30]. This paper also showed that the *P. aeruginosa* group had worse pulmonary function, but no significant differences were found between the groups for FEV₁ and peak expiratory flow rate unless the length of *P. aeruginosa* infection was considered. Patients infected by *P. aeruginosa* for >3 yrs had significantly worse FEV₁ (p<0.03) and bronchiectasis scores (p<0.05) than those infected with *P. aeruginosa* for less time [30].

An interesting observation in the present study concerns links between baseline FEV₁ and longitudinal behaviour. Patients exhibiting improvement or decline had lower baseline FEV₁ levels than those with stable disease, who had minor reductions in baseline FEV₁ (mean of 77%). Subsequent improvement was associated with the lowest mean baseline FEV₁ (performed in a stable phase of their disease). The present authors believe that this apparent anomaly is probably due to the previous observation [12] that improvement in FEV₁ is largely due to clearance of mucus plugging. Therefore, treatment of an underlying condition, *e.g.* hypogammaglobulinaemia, regular physiotherapy and antibiotic treatment probably led to this improvement in a group of patients in whom major management improvements were attainable. It is important to consider that the lower baseline FEV₁ levels in the improvement group provide a larger abnormal pulmonary function signal and therefore a greater opportunity to observe improvement.

In the present study, findings of previous studies that *P. aeruginosa* isolation occurs in some patients intermittently have been confirmed, and since some of these patients were

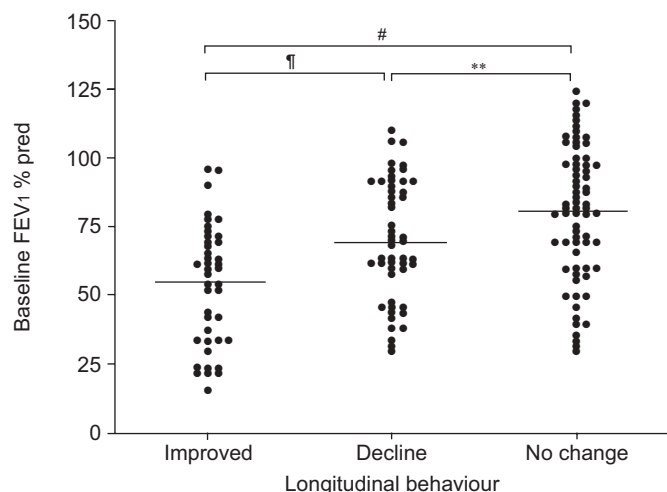


FIGURE 2. Comparison of baseline forced expiratory volume in one second (FEV₁) with longitudinal behaviour, when analysed as decline or improvement of >10% over time. % pred: % predicted. #: p<0.00005; ¶: p<0.005; **: p<0.01.

followed up for >10 yrs it has also been shown that the inevitable progression to chronic infection which occurs in cystic fibrosis does not necessarily occur in non-cystic fibrosis bronchiectasis. There is an association of chronic *P. aeruginosa* infection with more severe airflow obstruction, which is present in patients who acquired *P. aeruginosa* during the study period and those with chronic infection, but not in the group with intermittent isolation. The association with more severe disease is also true for DL_{CO} % pred. However, in bronchiectasis, the severity of the airflow obstruction is not as severe as seen in COPD, where cases usually have FEV₁ <30% pred. *P. aeruginosa* has a high affinity for mucus, and it is possible that impairment of mucociliary clearance and cough clearance, which occurs in bronchiectatic airways due to mucus hypersecretion, increased mucus viscosity and loss of cilia, predisposes to the colonisation [2]. Another possible factor is antibiotic treatment, which may be given more frequently in bronchiectasis and drive the airway bacterial flora towards the more antibiotic-resistant *P. aeruginosa* [4, 10, 30].

The present study has not shown any difference in rate of pulmonary function decline between patients with and without *P. aeruginosa* infection. A cohort of patients has also been studied as part of the current research before and after *P. aeruginosa* acquisition, and shows no change in rate of decline in FEV₁. These results suggest that *P. aeruginosa* is a marker of disease severity but does not account for the impairment in pulmonary function nor accelerate the decline. Patients were not recruited prospectively with this specific study in mind, and there was therefore no strict protocol for the timing of sputum examination and lung function measurement. However, a protocol for culturing at least once each year and performing lung function every 3 yrs is currently in use. Attempts were made to avoid unrecognised bias by enrolling consecutive patients from the clinic who all had bronchiectasis at the outset. Changes in pulmonary function over time were, in most cases, small and yet there was a high prevalence of both decline and improvement. *P. aeruginosa* does not appear any worse than other species in causing decline. The current authors have previously hypothesised that patients with chronic *P. aeruginosa* infection have a poorer quality of life, in part because they have more severe disease but also because they are given more medication (e.g. nebulised antibiotics) and require more admissions to hospital (because ciprofloxacin is the only available oral antibiotic). It is possible that without this extra treatment patients might have an accelerated decline after *P. aeruginosa* infection. However, the present results show that any accelerated decline after *P. aeruginosa* colonisation can be prevented. Another concern is that progressive lung damage might occur due to *P. aeruginosa* infection without any change in pulmonary function. However, this is unlikely to be clinically important if there has been no change in pulmonary function. This has recently been reported in cystic fibrosis [31]. The current practice of the authors is to only repeat HRCT scans if there is a change in clinical status, because of concerns about the radiation involved.

In conclusion, the present study shows that *Pseudomonas aeruginosa* status in bronchiectasis is a marker of more severe airflow obstruction but is not associated with an accelerated decline in pulmonary function parameters, even after adjustment for baseline disease severity.

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