

## Breathing pattern and gas exchange at peak exercise in COPD patients with and without tidal flow limitation at rest

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**ABSTRACT:** Expiratory flow limitation (FL) at rest is frequently present in chronic obstructive pulmonary disease (COPD) patients. It promotes dynamic hyperinflation with a consequent decrease in inspiratory capacity (IC). Since in COPD resting IC is strongly correlated with exercise tolerance, this study hypothesized that this is due to limitation of the maximal tidal volume ( $V_{T,max}$ ) during exercise by the reduced IC. The present study investigated the role of tidal FL at rest on: 1) the relationship of resting IC to  $V_{T,max}$ ; and 2) on gas exchange during peak exercise in COPD patients.

Fifty-two stable COPD patients were studied at rest, using the negative expiratory pressure technique to assess the presence of FL, and during incremental symptom-limited cycling exercise to evaluate exercise performance.

At rest, FL was present in 29 patients. In the 52 patients, a close relationship of  $V_{T,max}$  to IC was found using non-normalized values ( $r=0.77$ ;  $p<0.0001$ ), and stepwise regression analysis selected IC as the only significant predictor of  $V_{T,max}$ . Subgroup analysis showed that this was also the case for patients both with and without FL ( $r=0.70$  and  $0.76$ , respectively). In addition, in FL patients there was an increase ( $p<0.002$ ) in arterial carbon dioxide partial pressure at peak exercise, mainly due to a relatively low  $V_{T,max}$  and consequent increase in the physiological dead space ( $V_D$ )/ $V_T$  ratio. The arterial oxygen partial pressure also decreased at peak exercise in the FL patients ( $p<0.05$ ).

In conclusion, in chronic obstructive pulmonary disease patients the maximal tidal volume, and hence maximal oxygen consumption, are closely related to the reduced inspiratory capacity. The flow limited patients also exhibit a significant increase in arterial carbon dioxide partial pressure and a decrease in arterial oxygen partial pressure during peak exercise.

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Most normal subjects do not exhibit expiratory flow limitation (FL) during maximal exercise. In contrast, in patients with chronic obstructive pulmonary disease (COPD) tidal expiratory FL is frequently present even at rest [1, 2], as first suggested by HYATT [3]. Tidal FL promotes dynamic hyperinflation (DH) with concurrent decrease of the inspiratory capacity (IC) [2, 4, 5]. Consequently, in COPD patients who exhibit tidal FL at rest, the maximal tidal volume ( $V_{T,max}$ ) during exercise should be limited by their reduced IC, *i.e.* during heavy exercise their end-inspiratory lung volume reaches a ceiling, making the inspiratory reserve volume impossible to be lowered further [4]. This hypothesis has been advanced by DIAZ *et al.* [5] to explain the significant correlation between resting IC and exercise tolerance in COPD patients with FL at rest. In contrast, in COPD patients who had no FL at rest, the resting IC played no significant role in exercise capacity.

Besides  $V_{T,max}$  [2], other components of the breathing cycle, as well as gas exchange, may participate in determining exercise tolerance in COPD patients.

Accordingly, the present study has investigated the role of tidal FL at rest on: 1) the relationship of IC to  $V_{T,max}$ ; and 2) gas exchange at peak exercise in COPD patients. Assessment of FL was made using a simple noninvasive method that involves applying a negative expiratory pressure (NEP) at the mouth during a tidal expiration, and comparing the ensuing expiratory flow/volume curve with that of the previous control expiration [6]. The NEP technique has been extensively applied and validated, and has been used in stable COPD patients both at rest and during exercise [1, 2, 7].

### Methods

#### Patients

The study was performed on 52 consecutive patients with mild-to-severe COPD. In the same subjects, the predictive power of resting respiratory function variables on exercise capacity has previously been assessed [5]. The present results were obtained during

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the same exercise tests. Diagnosis was made according to American Thoracic Society (ATS) guidelines [8]. Patients were receiving chronic care at the Department of Respiratory Diseases, Pontificia Universidad Católica de Chile, Santiago, Chile, and were familiarized with respiratory measurements, dyspnoea evaluation, and the symptom-limited incremental exercise test. Their clinical and functional state was stable at the time of the study, *i.e.* there was absence of exacerbations during the preceding 4 weeks. All patients had stopped smoking for at  $\geq 2$  yrs before the study, and were receiving regular treatment with inhaled bronchodilators, with no systemic or inhaled steroids. Twelve patients were on long-term oxygen therapy. None were participating in a respiratory training programme or receiving home mechanical ventilation. No change in the routine medical and oxygen therapy was made in the 4 weeks before the study. Patients with history of asthma, obstructive sleep apnoeas, other concomitant lung disease, cardiovascular disorders, inability to cooperate, or oxygen desaturation  $< 80\%$  during exercise whilst breathing room air were excluded. All agreed to participate in the study, which was approved by the Ethics Committee of the afore mentioned Institution.

#### *Resting pulmonary and respiratory muscle function tests*

Spirometry was performed with a calibrated dry spirometer (Vitalograph®, London, UK) according to ATS standards [9]. Reference values from KNUDSON *et al.* [10] were used. Absolute lung volumes were measured with the nitrogen washout method (Sensor-Medics, Corp., Yorba Linda, CA, USA). For IC, predicted values were calculated as the difference between predicted total lung capacity and predicted functional residual capacity (FRC) [11]. Airflow ( $V'$ ) was measured with a heated Fleisch No. 2 pneumotachograph (Lausanne, Switzerland) and a differential pressure transducer (MP45,  $\pm 2$  cmH<sub>2</sub>O; Validyne Corp., Northridge, CA, USA). Volume was obtained by numerical integration of the flow signal. Maximal inspiratory pressure ( $P_{I,max}$ ) was measured at FRC with a differential pressure transducer (Validyne MP45,  $\pm 100$  cmH<sub>2</sub>O) according to the method described by BLACK and HYATT [12]. Reference values were those of BRUSCHI *et al.* [13]. Tidal expiratory FL was assessed whilst seated at rest with the NEP technique, which has been previously described in detail [1, 2, 6]. Arterial blood gas tensions were measured with a Ciba Corning 238 gas analyser (Ciba Corning Diagnostic Corp., Medfield, MA, USA), and the alveolar-arterial oxygen partial pressure difference ( $P_{A-a,O_2}$ ) was calculated using the alveolar gas equation. Arterial lactate was measured with a Nova Stat Profile® M analyser (Nova Biomedical, Waltham, MA, USA).

#### *Procedure and data analysis*

*Negative expiratory pressure test.* Subjects were studied seated upright in a comfortable chair, breathing

room air through the equipment assembly while wearing a noseclip. After regular breathing had been achieved, minute ventilation ( $V'E$ ), tidal volume ( $V_T$ ), respiratory rate ( $f_R$ ), inspiratory time ( $t_I$ ), expiratory time ( $t_E$ ), total cycle duration ( $t_{tot}$ ), duty cycle ( $t_I/t_{tot}$ ), mean inspiratory flow ( $V_T/t_I$ ), and mean expiratory flow ( $V_T/t_E$ ) were determined as mean values from 1-min records of flow and volume. A series of five NEP tests were then applied using a pressure of  $\sim -5$  cmH<sub>2</sub>O. Subjects in whom application of NEP did not elicit an increase of flow over part or all of the control tidal expiration were considered FL. By contrast, subjects in whom flow increased with NEP over the entire range of the control tidal expiration were considered not to exhibit flow limitation (non-FL). The FL portion of the tidal expiration was expressed as a percentage of the control  $V_T$  (FL, % $V_T$ ) [1]. The latter is presented as the mean of the five NEP tests.

*Exercise test.* An incremental symptom-limited exercise test was performed using an electronically braked cycle ergometer (ER 800®; Erich Jaeger, GmbH, Hoerschberg, Germany). Subjects cycled at 50-revolutions·min<sup>-1</sup> with the external power increased in 1-min steps of 5–10 watts to the limit of their tolerance, either by dyspnoea or leg fatigue. They were familiarized with the Borg Scale [14] and were capable of quantifying their level of dyspnoea or leg discomfort. An arterial catheter (Arteriofix, Braun Medical AG, Melsungen, Germany) was placed into the radial artery for arterial blood gases measurements. Oxygen saturation, cardiac frequency ( $f_C$ ) and arterial pressure were continuously monitored with a Dinamap® Plus vital signs monitor (Critikon, Tampa, FL, USA).  $f_C$  was used to compute maximal O<sub>2</sub> pulse (oxygen consumption/maximal cardiac frequency ( $V'O_2/f_{C,max}$ )). The subjects, wearing a noseclip, breathed through a mouthpiece attached in line to a Fleisch No. 3 pneumotachograph and to a two-way HansRudolph valve (2600, HansRudolph, Kansas City, MO, USA), with a combined dead space of 80 mL, connected to a metabolic chart (Q-Plex®; Quinton, Seattle, WA, USA). The flow signal was processed as previously stated for resting measurements. The inspiratory line was open to room air whereas the expiratory line was connected to the metabolic chart for breath-by-breath measurement of oxygen consumption ( $V'O_2$ ), and carbon dioxide production ( $V'CO_2$ ) with the output averaged for each 30-s interval. The physiological dead space ( $V_D$ ) was computed according to the following equation:

$$P_{a,CO_2} = k V'CO_2 / V'E(1 - V_D/V_T) \quad (1)$$

The predictive values for  $V'E$ ,  $V_T$ , and  $f_R$  during peak exercise were those of JONES [15]. Predictive values for  $V_D$  and minute dead space ventilation ( $V'D$ ) were taken from HARRIS *et al.* [16]. The maximal voluntary ventilation (MVV) was calculated as forced expiratory volume in one second (FEV<sub>1</sub>)  $\times 35$  [17]. The predicted  $f_{C,max}$  was calculated as  $210 - (0.65 \times \text{age})$ . Predicted values for maximal O<sub>2</sub> pulse were those of JONES [15]. The maximal mechanical power output (WR<sub>max</sub>) and O<sub>2</sub> uptake ( $V'O_{2,max}$ ) were determined.

The predicted normal values for  $WR_{max}$  and  $V'O_{2,max}$  were taken from JONES [15].

### Statistical analysis

Results are expressed as mean  $\pm$  SEM. Comparisons were performed using paired or unpaired t-test, as required. A Bonferroni-type adjustment was carried out using the procedure of HOMMEL [18]. With this procedure, the significance levels of the comparisons were adjusted for the number of variables of each type measured (anthropometric, breathing pattern, metabolic, etc.) under both conditions studied (rest and peak exercise). Linear regression analysis was performed using the least squares method. When this analysis was carried out using  $V_{T,max}$  as a dependent variable, the independent variables included the resting pulmonary function, blood gases, and  $PI_{max}$  together with the anthropometric characteristics of the subjects. The strongest significant contributors to  $V_{T,max}$  were selected by standard stepwise multiple regression analysis. This analysis was first performed for the entire population and then separately for non-FL and FL patients. A p-value  $<0.05$  was considered significant.

### Results

Twenty-three COPD patients were non-FL while the other 29 exhibited tidal FL at rest, the FL ( $V_T\%$ ) ranging 42–80%. Table 1 shows the anthropometric and lung function characteristics of the 23 non-FL and 29 FL COPD patients. The anthropometric parameters and smoking history did not differ significantly between the two groups of patients. Except for  $PI_{max}$ , all respiratory variables differed significantly between non-FL and FL patients.

The breathing pattern at rest and during maximal exercise of the non-FL and FL patients is given in

Table 1. – Anthropometric, pulmonary, and respiratory muscle data at rest of 23 nonflow limited (non-FL) and 29 flow limited (FL) chronic obstructive pulmonary disease patients

	Non-FL	FL	p-value
Patients n	23	29	
Age yr	67 $\pm$ 2	65 $\pm$ 1	NS
Sex (M:F)	17:6	26:3	NS
Smoking history, pack-years	48 $\pm$ 4	54 $\pm$ 4	NS
Weight kg	65 $\pm$ 2	67 $\pm$ 2	NS
Height cm	161 $\pm$ 2	166 $\pm$ 1	NS
Body mass index kg·m <sup>-2</sup>	25 $\pm$ 0.7	24 $\pm$ 0.9	NS
FEV <sub>1</sub> % pred	58 $\pm$ 3.5	31 $\pm$ 1.7	<0.001
FVC % pred	94 $\pm$ 4	75 $\pm$ 4	<0.01
FEV <sub>1</sub> /FVC % pred	62 $\pm$ 2	44 $\pm$ 2	<0.001
$PI_{max}$ % pred	66 $\pm$ 3	58 $\pm$ 3	NS
MVV L	47 $\pm$ 3	29 $\pm$ 2	<0.001

Values are presented as mean  $\pm$  SEM. FEV<sub>1</sub>: forced expiratory volume in one second; FVC: forced vital capacity;  $PI_{max}$ : maximal inspiratory pressure; MVV: maximal voluntary ventilation.

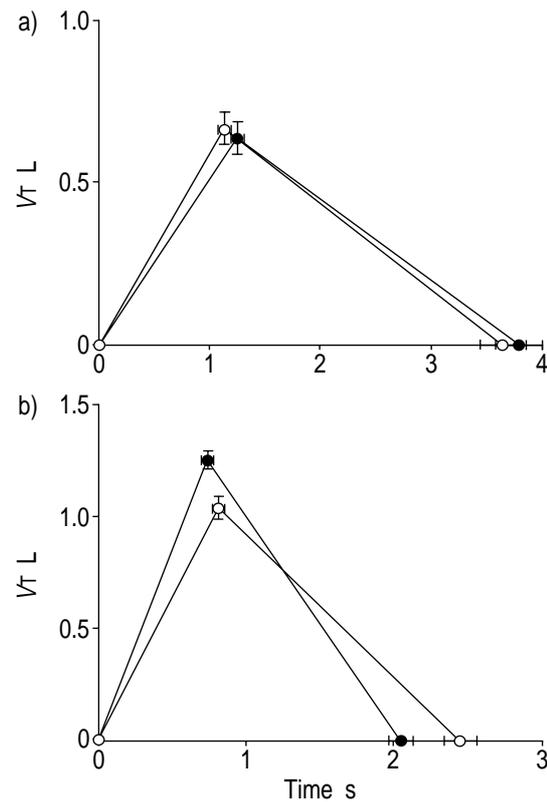


Fig. 1. – Average spiromgrams ( $V_T$ ) in chronic obstructive pulmonary disease patients without (non-FL; ●) and with (FL; ○) tidal expiratory flow limitation a) at rest and b) at maximal exercise.  $V_T$ : tidal volume.

figure 1 and table 2. While at rest there were no significant differences between the two groups of patients, at peak exercise  $V'E$ ,  $V_T$ ,  $V_T/t$  and  $V_T/tE$  were significantly higher in non-FL patients, whereas  $tE$  and  $t_{tot}$  were significantly shorter. In both groups of patients maximal  $V'E$  and  $V_T$  were below the normal predicted values, while maximal  $fR$  was within normal limits (table 2). At rest, the  $V'E/MVV$  was significantly higher in FL patients than in the non-FL patients. Although, on average,  $V'E/MVV$  at peak exercise was higher in FL than non-FL patients, the difference was not significant.

The power output and  $O_2$  uptake during peak exercise, together with the corresponding cardiac variables and Borg dyspnoea and leg fatigue scores are given in table 3. The values of  $WR_{max}$  and  $V'O_{2,max}$ , both normalized and non-normalized, were significantly lower in the FL patients. Cardiovascular response was similar in terms of  $fC_{max}$ , but both absolute and normalized values of  $O_2$  pulse were significantly lower in FL than in non-FL patients. While the Borg dyspnoea score did not differ significantly, leg discomfort was significantly higher in the FL patients ( $p < 0.05$ ).

As shown in table 4, the values of  $V'CO_2$ , pH, arterial oxygen partial pressure ( $P_{a,O_2}$ ), alveolar ventilation ( $V'A$ ) and lactate concentration at maximal exercise were significantly lower in the FL patients, whereas carbon dioxide tension in arterial blood ( $P_{a,CO_2}$ ) and  $VD/V_T$  were higher. At rest, only

Table 2. – Breathing pattern at rest and at peak exercise of 23 non-flow limited (non-FL) and 29 flow limited (FL) chronic obstructive pulmonary disease patients

	Rest			Peak exercise		
	non-FL	FL	p-value	non-FL	FL	p-value
$V'E$ L·min <sup>-1</sup>	10.3 ± 0.7	10.9 ± 0.4	NS	37.7 ± 1.7	25.9 ± 1.1	<0.001
$V'E$ % pred				64 ± 4	41 ± 2	<0.001
$V'E$ MVV, %	24 ± 2	41 ± 2	<0.001	87 ± 5	96 ± 5	NS
$V_T$ L	0.64 ± 0.05	0.67 ± 0.05	NS	1.25 ± 0.04	1.04 ± 0.05	<0.02
$V_T$ % pred				62 ± 2	47 ± 3	<0.001
$f_R$ breaths·min <sup>-1</sup>	16 ± 0.8	16 ± 1	NS	30 ± 1	26 ± 1	<0.02
$f_R$ % pred				103 ± 4	88 ± 3	<0.03
$t_I$ s	1.25 ± 0.06	1.14 ± 0.06	NS	0.74 ± 0.04	0.81 ± 0.03	NS
$t_E$ s	2.61 ± 0.2	2.51 ± 0.2	NS	1.31 ± 0.05	1.65 ± 0.09	<0.02
$t_{tot}$ s	3.79 ± 0.21	3.65 ± 0.21	NS	2.05 ± 0.08	2.45 ± 0.11	<0.03
$V_T/t_I$ L·s <sup>-1</sup>	0.53 ± 0.04	0.59 ± 0.03	NS	1.75 ± 0.08	1.32 ± 0.06	<0.001
$V_T/t_E$ L·s <sup>-1</sup>	0.25 ± 0.02	0.27 ± 0.01	NS	0.99 ± 0.05	0.65 ± 0.03	<0.001
$t_I/t_{tot}$	0.34 ± 0.02	0.32 ± 0.02	NS	0.36 ± 0.01	0.33 ± 0.01	NS

Values are presented as mean ± SEM.  $V'E$ : minute volume; MVV: maximal voluntary ventilation;  $V_T$ : tidal volume;  $f_R$ : breathing frequency;  $t_I$ : inspiratory time;  $t_E$ : expiratory time;  $t_{tot}$ : total breathing cycle time;  $V_T/t_I$ : mean inspiratory flow;  $V_T/t_E$ : mean expiratory flow;  $t_I/t_{tot}$ : duty cycle.

$P_{a,O_2}$  was significantly lower, whereas  $P_{a,CO_2}$  showed a trend to be higher in the FL patients. However, at rest there was no significant difference in  $V'CO_2$ , pH and  $V'A$  between FL and non-FL patients. Both at rest and during peak exercise there were no significant differences in  $PA_{-a,O_2}$  and  $V'D$  between the two groups of patients. Both at rest and during peak exercise, however, the  $V'D$  was higher than normal, reflecting alveolar ventilation/perfusion ( $V'A/Q'$ ) inequality [19].

In the FL patients, the  $P_{a,CO_2}$  during peak exercise was significantly ( $p < 0.002$ ) higher than at rest (table 4). In contrast, in the non-FL patients, there was no significant change in  $P_{a,CO_2}$  during exercise. While most non-FL patients were normocapnic both at rest and during peak exercise, many FL patients were hypercapnic, especially during maximal exercise (fig. 2). Hypercapnia ( $P_{a,CO_2} > 43$  mmHg) [20] at peak exercise was present in 7/23 of the non-FL patients and in 21/29 of the FL patients ( $p < 0.005$ ). In FL

patients, there was a significant fall in  $P_{a,O_2}$  from rest to peak exercise ( $p < 0.05$ ), whereas in non-FL patients the  $P_{a,O_2}$  did not change significantly. In both FL and non-FL patients, the  $PA_{-a,O_2}$  increased significantly from rest to peak exercise ( $p < 0.002$ , each).

According to linear univariate regression analysis of non-normalized data for the whole patient population,  $V_{T,max}$  was significantly correlated with several of the resting respiratory variables (table 5). However, the strongest correlation ( $r = 0.77$ ) was with IC (fig. 3) and with stepwise regression analysis, resting IC was selected as the only significant predictor. The overall regression was:

$$V_{T,max} = 0.49 + 0.33IC \pm 0.17(\text{SEM}) \quad (2)$$

where  $V_{T,max}$  and IC are expressed in litres. When this analysis was performed separately on the two groups of COPD patients, IC was again selected as the only significant contributor to  $V_{T,max}$  in both non-FL ( $r = 0.76$ ) and FL ( $r = 0.70$ ) patients. When  $V_{T,max}$  and IC were expressed as per cent predicted normal, IC (% pred) was also selected as the only significant ( $r = 0.58$ ;  $p < 0.001$ ) contributor to  $V_{T,max}$  (% pred) in the FL patients, but no variable was selected as predictor for non-FL patients based on stepwise regression analysis.

In line with KOULOURIS *et al.* [2], a significant correlation ( $r = 0.70$ ;  $p < 0.0001$ ) was also found between non-normalized values of  $V'O_{2,max}$  and  $V_{T,max}$  for the whole patient population (fig. 4).

## Discussion

The main findings of the present study are that: 1) among the various resting respiratory variables studied (table 1), only IC was a significant contributor to  $V_{T,max}$ , as assessed by multiple regression analysis; and 2) at peak exercise, most of the FL patients were hypercapnic while most of the non-FL subjects remained normocapnic, the discrepancy being mainly

Table 3. – Work rate,  $O_2$  uptake, Borg dyspnoea and Borg leg fatigue data at peak exercise of 23 non-flow limited (non-FL) and 29 flow limited (FL) chronic obstructive pulmonary disease patients

	non-FL	FL	p-value
$WR_{max}$ W	73 ± 5	49 ± 3	<0.001
$WR_{max}$ % pred	56 ± 35	34 ± 2	<0.001
$V'O_{2,max}$ L·min <sup>-1</sup>	1.11 ± 0.10	0.79 ± 0.04	<0.001
$V'O_{2,max}$ % pred	73 ± 3	47 ± 2	<0.001
$V'O_{2,max}$ ml·min <sup>-1</sup> ·kg <sup>-1</sup>	17 ± 0.9	12 ± 0.5	<0.001
$fC_{max}$ beats·min <sup>-1</sup>	127 ± 2	126 ± 2	NS
$fC_{max}$ % pred	77 ± 2	76 ± 1	NS
$V'O_2/fC_{max}$ , mL	8.8 ± 0.6	6.2 ± 0.3	<0.005
$V'O_2/fC_{max}$ , % pred	81 ± 5	49 ± 2	<0.001
Dyspnoea Borg	7.9 ± 0.4	8.0 ± 0.3	NS
Leg fatigue Borg	6.8 ± 0.4	8.2 ± 0.4	<0.05

Values are presented as mean ± SEM.  $WR_{max}$ : maximum work rate;  $V'O_{2,max}$ : maximal oxygen uptake;  $fC_{max}$ : maximal cardiac frequency;  $V'O_2/fC_{max}$ : maximal oxygen pulse.

Table 4. – Metabolic data at rest and at peak exercise of 23 non-flow limited (non-FL) and 29 flow limited (FL) chronic obstructive pulmonary disease patients

	Rest			Peak exercise		
	non-FL	FL	p-value	non-FL	FL	p-value
$P_{a,O_2}$ mmHg	68 ± 2	60 ± 2	<0.05	71 ± 2.5	57 ± 2.1	<0.001
$P_{a,CO_2}$ mmHg	38.7 ± 1.3	43.8 ± 1.2	0.054	38.8 ± 1.6	48 ± 1.4	<0.001
pH	7.43 ± 0.01	7.41 ± 0.01	NS	7.38 ± 0.01	7.36 ± 0.01	NS
$P_{A-a,O_2}$ mmHg	25.8 ± 1.8	27.3 ± 1.3	NS	34.4 ± 2	38.9 ± 1.6	NS
$V'_{CO_2}$ L·min <sup>-1</sup>	0.211 ± 0.01	0.232 ± 0.01	NS	1.27 ± 0.07	0.88 ± 0.04	<0.01
$V'A$ L·min <sup>-1</sup>	6.1 ± 0.5	5.8 ± 0.3	NS	23.9 ± 1.7	13.1 ± 0.8	<0.001
$V_D$ L	0.269 ± 0.02	0.313 ± 0.03	NS	0.466 ± 0.04	0.508 ± 0.03	NS
$V'D$ L·min <sup>-1</sup>	4.26 ± 0.3	5.2 ± 0.3	NS	13.8 ± 1.1	12.8 ± 0.7	NS
$V_D/V_T$ %	41 ± 2	47 ± 2	NS	37.2 ± 2.6	49.6 ± 1.9	<0.001
Lactate mmol·L <sup>-1</sup>	1.3 ± 0.07	1.1 ± 0.07	NS	5.93 ± 0.3	3.97 ± 0.3	<0.001

Values are presented as mean ± SEM.  $P_{a,O_2}$ : arterial oxygen partial pressure;  $P_{a,CO_2}$ : arterial carbon dioxide partial pressure;  $P_{A-a,O_2}$ : alveolar arterial oxygen partial pressure difference;  $V'_{CO_2}$ : carbon dioxide production;  $V'A$ : alveolar ventilation;  $V_D$ : physiologic dead space;  $V'D$ : dead space ventilation;  $V_D/V_T$ : dead space to tidal volume ratio.

due to the relatively low  $V_{T,max}$  and consequent increase in  $V_D/V_T$  ratio exhibited by the FL patients.

The first observation explains, at least in part, the significant correlation of  $V'_{O_2,max}$  to IC previously described in the same patients of the present study [5]. That study hypothesized that in COPD patients with

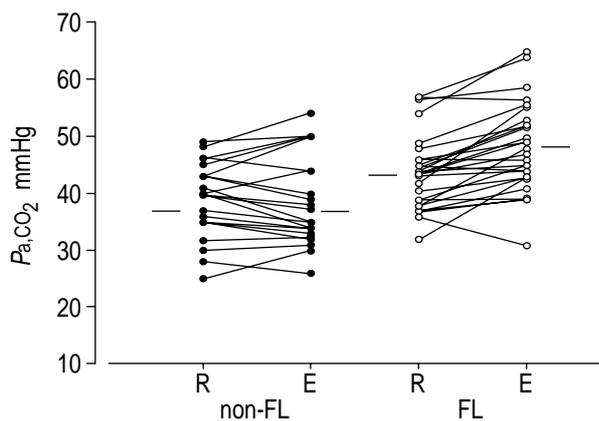


Fig. 2. – Changes in arterial carbon dioxide partial pressure ( $P_{a,CO_2}$ ) from rest (R) to peak exercise (E) in nonflow limited (non-FL) and flow limited (FL) patients. Bars indicate average values.

Table 5. – Correlation ( $r$ ) and determination ( $r^2$ ) coefficients of maximal tidal volume ( $V_{T,max}$ ) to resting respiratory variables in the 52 chronic obstructive pulmonary disease patients

Variable	$r$	$r^2$	p-value
IC L	0.77	0.59	<0.0001
FEV <sub>1</sub> L	0.61	0.37	<0.0001
$P_{a,O_2}$ mmHg	0.58	0.34	<0.0001
FVC L	0.56	0.31	<0.0001
$P_{I,max}$ cmH <sub>2</sub> O	0.43	0.19	<0.01
$P_{a,CO_2}$ mmHg	-0.40	0.16	<0.02

IC: inspiratory capacity; FEV<sub>1</sub>: forced expiratory volume in one second;  $P_{a,O_2}$ : arterial oxygen partial pressure; FVC: forced vital capacity;  $P_{I,max}$ : maximal inspiratory pressure;  $P_{a,CO_2}$ : arterial carbon dioxide partial pressure.

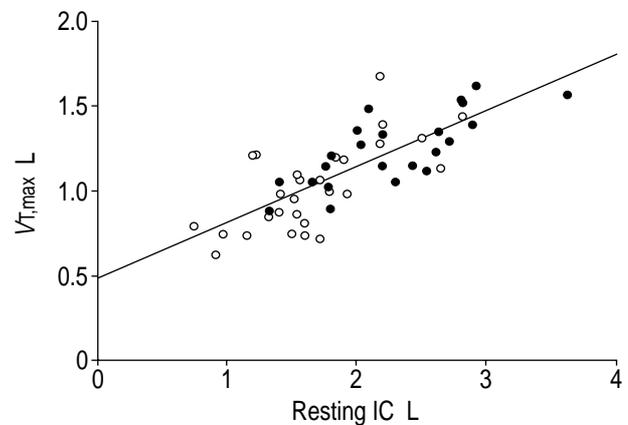


Fig. 3. – Relationship between peak exercise tidal volume ( $V_{T,max}$ ) and resting inspiratory capacity (IC) for chronic obstructive pulmonary disease patients without (non-FL; ●) and with (FL; ○) tidal expiratory flow limitation during resting breathing. Regression line shown ( $V_{T,max} = 0.49 + 0.33 IC \pm 0.17$  (SEM);  $r = 0.77$ ,  $p < 0.0001$ ).

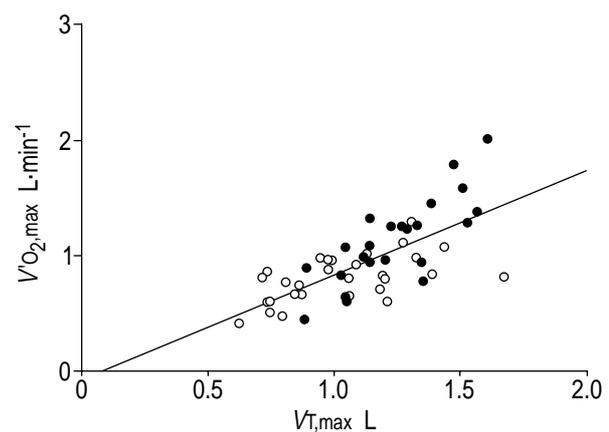


Fig. 4. – Relationship between maximal oxygen uptake ( $V'_{O_2,max}$ ) and maximal tidal volume ( $V_{T,max}$ ) for chronic obstructive pulmonary disease patients without (non-FL; ●) and with (FL; ○) tidal expiratory flow limitation during resting breathing. Regression line shown ( $V'_{O_2,max} = -0.07 + 0.88 V_{T,max} \pm 0.23$  (SEM);  $r = 0.70$ ,  $p < 0.0001$ ).

FL at rest,  $V_{T,max}$  should be limited by their reduced IC, a postulate which has been confirmed by the present data.

Several studies have shown that during exercise, COPD patients can exhibit almost any pattern of arterial blood gas changes from resting values [21–23]. The present study showed that in FL patients, there was a significant increase in  $P_{a,CO_2}$  from rest to peak exercise, which was associated with a significant drop in  $P_{a,O_2}$ . In contrast, in non-FL patients there were no significant changes in either  $P_{a,CO_2}$  or  $P_{a,O_2}$ .

The determinants of the hypercapnia exhibited by the FL patients at peak exercise were analysed according to Equation 1. Although at peak exercise, both  $V'CO_2$  and  $V'E$  were significantly lower in the FL patients, the  $V'CO_2/V'E$  ratio was exactly the same in both groups of patients ( $0.034 \pm 0.002$ ), indicating that the increase in ventilation relative to the metabolic demand was similar. Since, in FL patients, hypercapnia was not associated with an increase in the  $V'CO_2/V'E$  ratio as compared to non-FL patients, it follows that the increase in  $P_{a,CO_2}$  should reflect the higher  $V_D/V_T$  ratio (table 4). As the values of  $V_D$  at peak exercise did not differ between FL and non-FL patients, the higher  $V_D/V_T$  ratio of the FL patients should essentially reflect the significantly lower  $V_{T,max}$  (table 2).

As shown in figure 1, the lower  $V_{T,max}$  of the FL patients was due entirely to decreased  $V_T/tI$ , since  $tI$  did not differ significantly from that of non-FL patients (table 2). The lower  $V_T/tI$  in the FL patients could be due to smaller neuromuscular inspiratory drive and/or greater inspiratory impedance. Data from CLOOSTERMAN *et al.* [23] suggest that inspiratory impedance limits  $V_T/tI$ . They measured the neuromuscular inspiratory drive in terms of the inspiratory swing in pleural pressure divided by the time in which it was generated ( $PI/tI$ ) in 50 COPD patients during an incremental exercise test. Their patients were grouped by those who, at peak exercise, exhibited an increase in  $P_{a,CO_2}$  relative to rest and those who did not. In patients with  $CO_2$  retention, the changes in  $V_T/tI$  from rest to peak exercise were smaller than in the subjects without  $CO_2$  retention, but their concurrent increase in neuromuscular inspiratory drive was significantly greater. According to the present results, the COPD patients of CLOOSTERMAN *et al.* [23] who exhibited  $CO_2$  retention during peak exercise, should mainly represent individuals who exhibited FL at rest, supporting the notion that increased inspiratory load, rather than neuromuscular inspiratory drive, limits  $V_{T,max}$  (and hence ventilation) in COPD patients who exhibited FL at rest. In fact, it is likely that during heavy exercise a ceiling effect occurs in patients with severe COPD, making the inspiratory reserve volume impossible to be lowered further. This was the basic postulate prompting the present study and a previous study [5], and is supported by the results of O'DONNELL and WEBB [4].

Considering the greater dynamic hyperinflation and concomitant intrinsic positive end-expiratory pressure (PEEPi) exhibited by FL patients [1–4], their inspiratory load should be increased because of

PEEPi. High PEEPi values during exercise in the FL patients would also be expected to impair the cardiac output [24], contributing to exercise limitation. The present results suggest that this may be true, as  $V'O_{2,max}/fC_{,max}$  was significantly lower in the FL patients. It should be noted, however, that the latter could also be due to the lower  $V'O_{2,max}$  in the FL patients. In fact,  $fC_{,max}$  was lower than predicted in all patients.

While the above analysis suggests that in COPD patients,  $CO_2$  retention during peak exercise is due to increased inspiratory load and not decreased inspiratory drive, it has also been suggested that  $CO_2$  retention during exercise may limit  $V_{T,max}$  *per se*, through a dyspnoegenic effect [23, 25]. However, this notion is controversial [26] and is not supported by the present results because at peak exercise no significant correlation between the Borg dyspnoea score and  $P_{a,CO_2}$  was found either in the whole patient population ( $r = -0.01$ ) or in the subgroup of patients who exhibited FL at rest ( $r = -0.10$ ). Furthermore, like CLOOSTERMAN *et al.* [23], this study also divided patients into those who did ( $n = 33$ ) and did not ( $n = 19$ ) increase their  $P_{a,CO_2}$  during exercise, and found no significant correlations between the Borg dyspnoea score and the increase in  $P_{a,CO_2}$  from rest to peak exercise, both in the whole population and in the subgroup of patients who exhibited FL at rest.

In 10 eucapnic COPD patients, SCANO *et al.* [27] assessed the breathing pattern during  $CO_2$  rebreathing and progressive exercise on a cycle-ergometer. At a fixed  $V'E$  ( $28 \text{ L} \cdot \text{min}^{-1}$ ), they found a greater  $V_T$  during  $CO_2$  rebreathing than exercise, which was due entirely to prolonged  $tI$  while  $V_T/tI$  remained unchanged, and they attributed this difference to a change in the inspiratory off-switch mechanism. This, also, does not explain the present study's results. In fact, in the present FL COPD patients who were more hypercapnic during peak exercise,  $V_{T,max}$  was smaller than in the non-FL subjects, and was associated with a similar  $tI$  but reduced  $V_T/tI$  (fig. 1).

In the COPD patients who exhibited FL at rest, the increase in  $P_{a,CO_2}$  at peak exercise was associated with a significant ( $p < 0.05$ ) drop in  $P_{a,O_2}$  while in the non-FL patients the  $P_{a,O_2}$  did not change (table 4). An important question that is asked from exercise testing is whether exercise-induced hypoxaemia develops to the point that supplemental  $O_2$  is indicated [21]. The present results suggest that in general, a drop in  $P_{a,O_2}$  during exercise mainly occurs in COPD patients who exhibit FL at rest.

In the present study, the COPD patients were stratified into two groups, *i.e.* patients with and without FL at rest. According to KOULOURIS *et al.* [2], in the latter group of patients, expiratory FL may occur at different levels of exercise. This may partly explain the heterogeneous changes in  $P_{a,CO_2}$  exhibited by the non-FL patients from rest to peak exercise (fig. 2).

The intensity of dyspnoea at peak exercise was essentially the same in FL and non-FL patients (table 3). This unexpected finding could be explained by the higher intensity of exercise in the non-FL patients (table 3) and, consequently, the different

ventilatory demand at which the two groups were compared (table 2). These data are also consistent with the results of KILLIAN *et al.* [28] and CLOOSTERMAN *et al.* [23] who found that many patients with very severe COPD stopped exercise primarily because of leg fatigue rather than dyspnoea. The higher leg fatigue Borg scores at peak exercise in the present FL patients probably reflect muscle weakness due to deconditioning and/or muscle dysfunction [29, 30]. However, CLOOSTERMAN *et al.* [23] found a higher leg fatigue Borg score in the COPD patients without than with CO<sub>2</sub> retention during peak exercise. This discrepancy probably reflects the different criteria used to stratify patients in the present study (presence or absence of FL at rest) and in the study of CLOOSTERMAN *et al.* [23] (increase in PaCO<sub>2</sub> or not, at peak exercise, relative to rest). In fact, when the present study's patients were stratified into the two groups of CLOOSTERMAN *et al.* [23] (hypercapnic and nonhypercapnic responders), the leg fatigue scores were not significantly different ( $7.79 \pm 0.3$  versus  $7.26 \pm 0.3$ ).

In conclusion, the present study shows that in chronic obstructive pulmonary disease patients who are flow limited at rest with a consequent decrease of inspiratory capacity, the maximal tidal volume, and hence maximal oxygen consumption, are reduced. These patients also exhibit a significant increase in arterial carbon dioxide partial pressure and reduction in arterial oxygen partial pressure during peak exercise.

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