Defining prognostic factors in the elderly with community acquired pneumonia: a case controlled study of patients aged ≥75 yrs

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Defining prognostic factors in the elderly with community acquired pneumonia: a case controlled study of patients aged \geq 75 yrs. W.-S. Lim, J.T. Macfarlane. ©ERS Journals Ltd 2001.

ABSTRACT: Severity assessment in the elderly with community acquired pneumonia (CAP) may be different compared with younger patients. In particular, age *per se* may not be of prognostic significance in older patients. A case-control study in 158 patients aged \geq 75 yrs with CAP was conducted to determine the factors associated with in-hospital mortality.

Cases were drawn from all patients aged \geq 75 yrs with CAP who died in 1997 in five hospitals in the mid-Trent region of the UK (Nottingham City Hospital, University Hospital Nottingham, Derby Royal Infirmary, Derby City General Hospital and Kings Hill Hospital). Controls were randomly selected from survivors also aged \geq 75 yrs. Factors associated with mortality were identified following a review of the medical casenotes and the contribution of these factors to mortality was determined using multivariate analysis.

Absence of fever, tachycardia and chest radiograph features of bilateral involvement or an effusion were independently associated with mortality on multivariate analysis. The British Thoracic Society (BTS) severity rule was 50% sensitive and 64% specific in predicting death while the modified BTS rule displayed 67% sensitivity and 58% specificity.

Age was not significantly associated with mortality in this group of patients aged \geq 75 yrs. Similarly, the clinical features employed in the British Thoracic Society rule, namely respiratory rate, diastolic blood pressure and blood urea, were not of prognostic significance and the rule itself performed poorly. The modified British Thoracic Society rule performed better.

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There are over a quarter of a million episodes of community acquired pneumonia (CAP) annually in the UK, half involving patients >64 yrs of age [1]. Mortality from CAP ranges from 5-18%, with elderly patients having a higher mortality [2]. Over the past 20 yrs, numerous studies have examined the prognostic factors associated with mortality in CAP. The 1982/ 1983 British Thoracic Society (BTS) multicentre study represented the first major effort in this field and identified increased respiratory rate, raised urea and hypotension as important adverse prognostic factors [3]. However, that study did not include patients aged >74 yrs and there is now growing evidence that a different set of prognostic factors may operate in older patients. In particular, recent studies have suggested that increasing age is not associated with mortality in the elderly [4, 5]. Unfortunately, such studies have been small scale and have not examined a substantial number of patients aged \geq 75 yrs with CAP which constitute about 40% of the population of patients with CAP admitted to hospital in the UK (unpublished data).

A case-control study in patients \geq 75 yrs of age with

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CAP was conducted to determine the factors associated with in-hospital mortality and to derive appropriate threshold values for the prognostic variables thus identified.

Method

Adults aged \geq 75 yrs of age admitted 1 January 1997–31 December 1997 to five hospitals in the mid-Trent region of England (Nottingham City Hospital, University Hospital Nottingham, Derby Royal Infirmary, Derby City General Hospital and King's Mill Hospital) with a diagnosis of CAP were identified from hospital records. Cases comprised of patients who died during hospital admission. These cases formed part of a larger study reported elsewhere [6]. For this analysis, an equal number of controls were drawn from a random sample of those patients also aged \geq 75 yrs, who survived. In all instances where patients were admitted to hospital more than once within the study period, only the first episode was taken into consideration. CAP was defined by the presence of shadowing on an admission chest radiograph (CXR) consistent with infection, inpatient treatment for pneumonia and a discharge/death diagnosis of pneumonia. Patients discharged from hospital in the previous 10 days were excluded as were patients with tuberculosis, lung cancer and human immunodeficiency virus (HIV). Immunocompromised patients, those with neutropenia or on chemotherapy, were also excluded. Treatment with oral steroids was not a cause for exclusion. Ethical approval was obtained from the local Ethics Committees of all the hospitals involved.

Patient demographic features were recorded according to a standard questionnaire. Comorbid illnesses were defined as the presence of co-existing cardiac failure, ischaemic heart disease, hypertension, atrial fibrillation, chronic lung disease, chronic liver disease, chronic renal disease, cancer, past cerebrovascular disease, cognitive impairment, diabetes mellitus and rheumatological disorders, excluding osteoarthiritis, for which the patient was under active medical supervision or was receiving treatment at the time of hospital admission. Data for pulse, blood pressure, respiratory rate, the presence of confusion and oxygen saturation on hospital admission was taken as the first recorded values within 24 h of admission. Mental status was taken to be normal unless otherwise recorded in the medical notes. Documentation of confusion, a Mental Test Score of ≤ 8 out of 10 or disorientation in person, time or place was accepted as evidence of an altered mental state on admission. The presence of urinary incontinence was based on patient history documented by either medical or nursing staff. Only results of investigations performed within 24 h of admission were studied. These included haemoglobin, white cell count, platelet count, haematocrit, urea, creatinine, sodium, potassium, alkaline phosphatase, liver enzymes, albumin and pulse oximetry.

Statistical analysis

Data were analysed using SPSS Version 8.0 for windows (Chicago, IL, USA). Sixteen variables were selected based on evidence in the literature and results from other studies, and were examined for association with mortality. The majority of these variables were continuously distributed, and to avoid any assumptions regarding the form of the relationship of these variables to mortality, each was initially re-expressed as four level factors using quartile cut-offs. The univariate association of each of the resultant variables to mortality was analysed by logistic regression. Statistical significance was assessed by testing for a difference in odds (binary variables) or a trend in odds across categories (categorical variables).

Variables which were significantly (p < 0.05) related to mortality in univariate analysis were entered simultaneously into a multiple logistic regression. Continuous variables were recategorized into binary factors, if appropriate, using threshold values determined by examination of the univariate odds ratios (OR). Where the threshold value suggested by the analyses differed from those implicit in one or more of the existing severity criteria, the effect of changing the threshold was investigated. All remaining variables were then tested, one at a time, to confirm their contribution to the multivariate model. Results of multivariate analysis are reported as OR with 95% confidence intervals and p-values, taking p < 0.05 as the level of statistical significance.

The sensitivities, specificities, positive predictive values and negative predictive values of the BTS rule [3] and modified BTS rule [7] were calculated and compared.

Results

During 1997, 1,253 patients with a primary discharge diagnosis of pneumonia were recorded at the five study hospitals, 519 (41%) of whom were aged \geq 75 yrs. Of the 114 (22%) patients aged \geq 75 yrs who died, 78 (68%) met the study criteria for CAP. The mean±sD age of cases was 83.8±5.4 yrs compared with a mean±sD age of 84.4±5.4 yrs for the 78 controls. Fifty-six (72%) of the cases and 55 (71%) of the controls had \geq 1 comorbid illnesses. There was no difference in the number of cases and controls who had \geq 3 comorbid illnesses.

More cases were nursing or residential home residents at the time of hospital admission (24 (31%) cases compared to 14 (18%) controls). However, this difference was not statistically significant.

Of the 16 variables examined for an association with mortality, seven were found to be significant on univariate analysis (p<0.05). These were temperature, pulse rate, respiratory rate, blood urea, presence of confusion and CXR changes indicating a pleural effusion or bilateral involvement (tables 1 and 2). Threshold values for those continuous variables significantly associated with mortality based on examination of the univariate OR were temperature $<37^{\circ}$ C, urea $\ge 14 \text{ mmol}\cdot1^{-1}$, respiratory rate $>24 \text{ breaths}\cdot\text{min}^{-1}$ and pulse rate $\ge 95 \text{ beats}\cdot\text{min}^{-1}$.

These variables were entered into a multiple logistic regression model taking the CXR changes as a single variable. Only temperature $<37^{\circ}$ C, pulse $\ge 95 \cdot \text{min}^{-1}$ and CXR features of an effusion or bilateral involvement were identified as independent predictors of mortality (table 3). These three factors were present in 57%, 62% and 22% of cases respectively compared with 27%, 37% and 6% of controls. Using a combination of these factors to predict mortality lacked either sensitivity or specificity depending on the number of factors considered (table 4). Using cut-off values of temperature $\le37^{\circ}$ C and pulse $\ge 100 \cdot \text{min}^{-1}$ produced similar results.

Similarly, the BTS and modified BTS rules did not perform well although sensitivity of the modified BTS rule (sensitivity 67%, specificity 58%) was much better than the BTS rule (sensitivity 50%, specificity 64%) (table 5).

Discussion

In this study of patients aged ≥ 75 yrs, age *per se* was not identified as an independent predictor of mortality.

Table 1. – Association of clinical features with mortality on univariate analysis

Variable	No. with complete data	Cases	Controls	OR	95% CI
Age yrs	156				
≤80		22	21	Reference	
81-83		16^{-1}	19	0.77	0.31 - 1.88
84-88		25	21	1.18	0.49 - 2.82
≥89		15	17	0.74	0.31 - 1.77
Nursing/residential home	156			2.03	0.96 - 4.31
residence		24	14		
No comorbid illness	156	20	21	0.94	0.46 - 1.91
Urinary incontinence	156	7	4	1.82	0.51 - 6.50
Mental Confusion	156	37	23	2.16	1.12 - 4.17
Temperature, °C	155	51	20	2.10	1.12 1.17
≥37.9	100	12	29	Reference	
37.1-37.8		14	18	1.84	0.70 - 4.9
36.3-37.0		22	18	3.00	1.17-7.66
≤36.2		30	12	6.13	2.32 - 16.17
Pulse, beats min^{-1}	156	50	12	0.15	2.52 10.17
≤ 80	150	17	28	Reference	
81-94		13	20	1.07	0.43 - 2.69
95 - 110		30	17	2.91	1.25 - 6.78
≥111		18	13	2.28	0.90 - 5.80
Systolic blood pressure, mmHg	156	10	15	2.20	0.90 5.00
≥150	150	20	19	Reference	
≥ 150 131–149		18	17	1.00	0.40 - 2.51
131 - 149 121 - 130		16	16	0.95	0.40 - 2.31 0.37 - 2.42
≤120		24	26	0.88	0.37 - 2.42 0.38 - 2.03
^{≤ 120} Diastolic blood pressure, mmHg	156	24	20	0.00	0.38-2.03
≥81	150	15	15	Reference	
<i>≥</i> 01 71-80		19	24	0.79	0.31 - 2.02
61 - 70		22	24 21	1.05	0.31 = 2.02 0.41 = 2.66
≤ 60		22	18	1.03	0.41 = 2.00 0.47 = 3.16
Respiratory rate, \min^{-1}	137	22	10	1.22	0.47 - 5.10
≤ 20	137	17	32	Reference	
≤2021-24		17	52 12		0.71 5.29
		21		1.94	0.71 - 5.28
25 - 30			11	3.70	1.44 - 9.53
\geq 31	99	19	15	2.45	1.00 - 6.08
Oxygen saturation, %	77	7	22	Defense	
≥ 96		7	32	Reference	0.15 2.00
93-95		6	12	0.54	0.15 - 2.00
89-92		14	11	1.60	0.49 - 5.22
≤88		16	15	2.74	0.81 - 9.31

OR: odds ratio; CI: confidence interval.

Most studies investigating severity prognostic factors in adult CAP have involved patient cohorts with a full age range or an upper age limit. These studies provide strong evidence for the association of increasing age with mortality as is reflected in the weighting given to age in the pneumonia severity index (PSI) proposed by FINE *et al.* [8]. For example, when applied to this study, the vast majority of patients were stratified to PSI Risk Classes IV and V (47% and 49% of cases respectively and 44% and 42% of controls), mainly as a consequence of age.

In contrast, studies conducted exclusively in older patients have yielded conflicting results with regards the association of advanced age with mortality. CONTE *et al.* [9] recent large retrospective study conducted in the USA using a Medicare database of patients aged ≥ 65 yrs identified age ≥ 85 yrs as an independent prognostic factor [9]. However, the overall mortality in that cohort of patients was only 9%; which was much lower when compared to other studies where reported mortality rates in elderly patients with CAP have

ranged from 14-33% [5, 10, 11]. Conversely, prospective studies of CAP in the elderly conducted in Europe have tended to find no association between age and mortality [4, 5, 10, 11]. The present study supports this view. One possible explanation for this observation is that within the elderly population, the more frail tend to die at younger ages, hence the older survivors tend to be healthier. Support for this can be found in HORIUCHI and WILMOTH's [12] large longitudinal study of the relationship of mortality with age decades in two large population cohorts, one Swedish and the other Japanese [12]. They showed that all cause mortality increased with increasing age until around the age of 75 yrs. Thereafter, a deceleration in the rate of mortality was noted. This finding remained true when only deaths from pneumonia were considered.

The present results do not suggest an independent effect of comorbid illnesses on mortality. There was no difference in the presence of or extent of comorbid illnesses in cases compared to controls. Unfortunately, comparison with other studies is hampered by lack of a

Table 2. – Association of initial	investigations with	mortality on	univariate analysis

Variable	No. with complete data	Cases	Controls	OR	95% CI
White cell count, $\times 10^9 \cdot L^{-1}$	152				
≤8.20		17	21	Reference	
8.21-11.90		14	24	0.75	0.30 - 1.89
11.91 - 15.70		19	19	1.10	0.44 - 2.76
≥15.71		24	14	2.47	0.96 - 6.34
Urea, mmol· L^{-1}	152				
≤7.13		14	24	Reference	
7.14-9.6		17	23	1.27	0.51 - 3.15
9.7-13.8		17	20	1.37	0.54 - 3.48
≥13.9		26	11	4.21	1.61 - 11.01
Creatinine, μ mol·L ⁻¹	152				
≤89		14	25	Reference	
89.1-112.5		17	20	1.52	0.61 - 3.81
112.6-151.5		22	16	2.46	0.98 - 6.15
≥151.6		21	17	2.21	0.88 - 5.51
Albumin, $g \cdot L^{-1}$	119				
≥35.1		8	17	Reference	
32.1-35		14	15	1.98	0.65 - 6.03
28.1 - 32.0		21	13	3.43	1.16-10.19
≤28.0		16	15	2.27	0.76 - 6.78
CXR, bilateral involvement	155	12	4	3.42	1.05 - 11.11
CXR, pleural effusion	156	9	1	10.00	1.24 - 80.62

CXR: chest radiograph; OR: odds ratio; CI: confidence interval.

consistent definition of "comorbid illnesses" and difficulties in grading the severity of each of these illnesses. Nevertheless, there are now at least five other studies of CAP in the elderly, two conducted in Intensive Care Units, which have not found any association between comorbid illness and mortality [4, 5, 10, 11, 13].

Respiratory rate, blood urea and confusion were not found to be independently associated with mortality; the key factors that comprise the BTS mortality severity score. Absence of pyrexia was found to be an important prognostic factor confirming the finding of other studies which used only univariate analysis [10, 14, 15] or a small number of patients [11]. Absence of a febrile response to infection may reflect an impaired immune response. Elderly patients have been reported to have a depressed immune response to infection and may be particularly at risk of succumbing to overwhelming infection [16].

The value of pulse rate as an independent predictor of mortality in CAP was first described by FINE *et al.* [17]. In their study of adults of all ages with CAP, a rate of $\ge 125 \cdot \text{min}^{-1}$ was used as the cut-off level whereas the present study identified a rate of $\ge 95 \cdot \text{min}^{-1}$ as indicating a high risk of mortality. The importance of this difference in cut-off value is unclear. Tachycardia is an easily and readily measured clinical sign but is a nonspecific finding influenced by many factors. Hence the clinical implication of tachycardia on its own, whatever the cut-off level, is less obvious though it may be useful in identifying severely ill patients.

It was shown that bilateral CXR changes or presence of an effusion are predictors of mortality. This finding is consistent with reports from other studies [5, 18]. In the elderly where presenting features are often nonspecific, the CXR affords the clinician objective evidence of the extent of infection. Thus although only a small proportion (22%) of cases had CXR signs of bilateral involvement or an effusion, this is likely to be an important and valuable clinical feature in the assessment of disease severity.

The BTS and modified BTS rules performed poorly in the elderly. Similarly, the large retrospective study by CONTE *et al.* [9] and more recently a prospective study by EWIG *et al.* [19] of 168 patients \geq 65 yrs old admitted to a primary care hospital in Germany, found the BTS rule to have a sensitivity of only 50.3% and 65% respectively [9, 19]. As the BTS rule was derived from the 1987 BTS multicentre CAP study which only included adults aged 18–74 yrs [3, 20], it is perhaps not surprising that this rule has not been shown to perform as well in older patients. In a derivation study by NEILL

Table 3. – Association of clinical variables with mortality on multivariate analysis

Clinical feature	OR	95% CI	p-value
Temperature $< 37^{\circ}C$	3.7	1.6 - 8.7	< 0.01*
Temperature $< 37^{\circ}$ C Pulse $\ge 95 \cdot \text{min}^{-1}$	3.1	1.3 - 7.2	< 0.01*
Respiratory rate $> 24 \text{ min}^{-1}$	1.8	0.8 - 4.2	0.18
Confusion	1.8	0.8 - 4.1	0.16
Urea $\geq 14 \text{ mmol} \cdot \text{L}^{-1}$	1.8	0.7 - 4.8	0.26
CXR, bilateral changes or pleural effusion	4.1	1.3-13.2	0.02*

OR: odds ratio; CI: confidence interval. *: p<0.05.

Table 4. – Using	temperature,	pulse	rate	and	chest
radiograph chang	ges to predict n	nortality			

No. of factors	Cases	Controls	Sensitivity	Specificity	PPV	NPV
≥1	68	49	88	36	58	74
≥2	37	7	48	91	82	63
3	3	0	4	100	100	50

Data are presented as %. PPV: positive predictive value; NPV: negative predictive value.

et al. [7], the modified BTS rule had a sensitivity of 95% and specificity of 71%. That study did not have an upper age limit (range 18–97 yrs) but the mean age of the study cohort was only 58 yrs. Hence the modified BTS rule cannot be considered to have been adequately assessed in elderly patients in that study. Validation and retrospective studies are recognized as describing poorer performances for prediction rules and would partly explain the results obtained compared to the prospective derivation studies for the BTS and modified BTS rules. However, the present results, together with the evidence from other studies, also suggest that these prediction rules perform less well in patients aged ≥ 75 yrs.

Although apyrexia, tachycardia and the presence of adverse CXR changes at the time of hospital admission was found to be independently associated with mortality, the frequency of these features in survivors and nonsurvivors was not sufficiently different to enable their use as discriminating factors. Predicting prognosis in these patients is therefore difficult. In view of the wide spectrum of pre-existing health in this population, ranging from full independence to complete dependence, some measure of health status is likely to be an important element in any prognostic model [21]. Lessons learnt from the speciality of oncology, where functional status plays a key role in risk assessment, should possibly be tested in and applied to older patients with CAP [22, 23].

The main weakness of this study is its retrospective design. Collection of some data was therefore, incomplete. In some cases, comorbid illnesses such as unrecognized chronic obstructive airways disease may have remained undiagnosed. However there is no reason to expect this to have a large impact on the findings as any comorbid illness that remained undiagnosed during hospital admission is unlikely to have been severe. The authors were unable to examine the contribution of blood gases results to mortality as

Table 5. – Performance of the British Thoracic Society (BTS) and modified BTS severity prediction rules*

Prediction rule	Sensitivity	Specificity	PPV	NPV
BTS rule Modified	32/64 (50)	44/69 (64)	32/57 (56)	44/76 (58)
	43/64 (67)	40/69 (58)	43/72 (60)	40/61 (66)

*: Data are presented as n (%). Based on 64 cases and 69 controls with complete data for assessment of the respective rules.

only 35 cases and 36 controls had blood gas measurements recorded. This reflects the practice in many UK based hospitals, of only testing blood gases if felt to be clinically indicated. Based on the data available, no association between oxygen tension in arterial blood and mortality was noted (p=0.2). As the proportion of cases and controls with missing data was similar, the authors believe this weakness does not invalidate the results. Conversely, the case-control design allowed for the study of a large number of cases, thus reducing the problem of spurious associations arising from the overfitting of data during multivariate analysis [24].

Conclusion

To conclude, in patients aged \geq 75 yrs, mortality from community acquired pneumonia was found to be independently associated with an absence of fever, a tachycardia and chest radiograph features of bilateral involvement or a pleural effusion. None of the clinical features employed in the British Thoracic Society rule were of prognostic significance and the rule itself performed poorly. Age was not significantly associated with mortality. Management decisions in this group of patients should be determined by clinical judgement and not, therefore, be governed by age or use of the British Thoracic Society criteria alone.

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