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Early View

State of the art

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Excess Ventilation and Exertional Dyspnoea in Heart Failure and Pulmonary Hypertension

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Take home message (245/256): Understanding why patients with heart failure and pulmonary hypertension ventilate excessively during exercise gives unique insights into the seeds of their shortness of breath, creating a rationale for a clinically-relevant therapeutic target.

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Abstract

Increased ventilation relative to metabolic secondary to alveolar hyperventilation and/or increased physiological dead space (excess ventilation) is a key cause of exertional dyspnoea. Excess ventilation has assumed a prominent role in the functional assessment of patients with heart failure (HF) with reduced (r) or preserved (p) ejection fraction, pulmonary arterial hypertension (PAH), and chronic thromboembolic PH (CTEPH). We herein provide the key pieces of information to the caring physician to a) gain unique insights into the seeds of patients' shortness of breath, and b) develop a rationale for therapeutically lessening excess ventilation to mitigate this distressing symptom. Reduced bulk O₂ transfer induced by cardiac output limitation and/or right ventricle-pulmonary arterial uncoupling increase neurochemical afferent stimulation and (largely chemo-) receptor sensitivity, leading to alveolar hyperventilation in HFrEF, PAH and in small-vessel, distal CTEPH. As such, interventions geared to improve central hemodynamics and/or reduce chemosensitivity have been particularly effective in lessening their excess ventilation. In contrast, high filling pressures in HFpEF (a) and impaired lung perfusion leading to ventilation/perfusion mismatch in proximal CTEPH (b) conspire to increase physiological dead space. Accordingly, decreasing pulmonary capillary pressures (a) and mechanically unclogging larger pulmonary vessels (pulmonary endarterectomy and balloon pulmonary angioplasty) (b) have been associated with larger decrements in excess ventilation. Exercise training has a strong beneficial effect across diseases. Addressing some major unanswered questions on the link of excess ventilation with exertional dyspnoea under the modulating influence of pharmacological and non-pharmacological interventions might prove instrumental to alleviate the devastating consequences of these prevalent diseases.

Word count: 250/250

"It does not seem that all movement is exercise, but only when it is vigorous... The criterion of vigorousness is a change of respiration; those movements which do not alter the respiration are not called exercise. But if anyone is compelled by any movement to breathe more or less faster, that movement becomes an exercise for him."

Galen (129–?199/216) in "On the Preservation of Health" (De sanitate tuenda),

The mechanisms controlling pulmonary ventilation (VE) during exercise have riveted and puzzled scientists and physicians over many centuries.[1] It has long been established that VE increases in tandem with carbon dioxide output (VCO₂) at least before hyperventilation is required to compensate for lactic acidosis on "heavy" exercise (reviewed, for instance, in [2][3]). In many cardiopulmonary diseases, however, VE may increase out of proportion to VCO₂ (excess ventilation)^a [4] even with modest exertion i.e., during daily life activities. Such heightened ventilation is readily translated into exertional dyspnoea,[5] the most troublesome symptom reported by these patients [6]. Recognizing the determinants and consequences of a high VE:VCO₂ is therefore of great clinical value to all involved in the care of patients with lung and/or heart diseases.[7][8][9]

In the present *State-of-the-Art Review* we focus in two prevalent cardiopulmonary diseases in which excess ventilation measured during incremental cardiopulmonary exercise testing (CPET) has assumed a prominent role in the assessment of functional impairment, treatment efficacy, and prognosis: heart failure (HF) [10] and pulmonary hypertension (PH) [11]. Our overarching goal is to provide the key pieces of information to the caring physician to a) gain unique insights into the seeds of patients' shortness of breath, and b) develop a rationale for therapeutically lessening $\dot{V}E:\dot{V}CO_2$ to mitigate this distressing symptom (**Figure 1**). We emphasize pharmacological and non-pharmacological interventions which shed novel light on fundamental mechanisms of disease. Since the sources of ventilatory stimulation may differ depending on mechanisms of hemodynamic impairment and exercise limitation, we contrast HF with reduced (r) versus preserved (p) left ventricular ejection fraction (LVEF) and pulmonary arterial hypertension (PAH) versus chronic thromboembolic PH (CTEPH). We refrain from discussing the prognostic implications of excess ventilation as it has already been reviewed in detail elsewhere. [10][12][13].

^a We prefer the term "excess ventilation" to refer to a high \dot{V} E: $\dot{V}CO_2$ rather than "ventilatory" (or gas exchange) inefficiency since there is no "inefficiency" when it is associated with alveolar hyperventilation.

The \dot{V}_E : $\dot{V}CO_2$ relationship

Physiological foundations

• Alveolar ventilation (VA) changes with admirable precision relative to VCO₂ in such a way that the arterial partial pressure for CO₂ (PaCO₂) is maintained (↔) within ±3 mmHg throughout mild-to-moderate exercise (Figure 2C).[14] Such a tight control of PaCO₂ occurs despite a marked improvement in the efficiency of the lungs as gas exchangers: the dead space (VD)/tidal volume (VT) ratio (physiological dead space (VDphys) decreases (↓) hyperbolically [3] (Figure 2A) because a) VT increases out of proportion (↑↑) to airway "anatomical" VD and b) the higher compliance of the alveoli over that of the airways, i.e., more air goes to the alveoli than the airways:[15]

$$\leftrightarrow PaCO_2 = \frac{1}{\sqrt{\frac{\dot{V}E}{\dot{V}CO_2}} \times \left(1 - \left(\frac{\uparrow V_D}{\uparrow \uparrow V_T}\right)\right)}$$
Eq (1)

• Thus, if $\dot{V}_E/\dot{V}CO_2$ did not decrease in tandem with VD/VT (compare **Figure 2A** and **Figure 2B**), $\dot{V}_A:\dot{V}CO_2$ would increase: too much fresh air relative to the rate of CO_2 transfer from capillary blood to alveoli would lead to alveolar hyperventilation, i.e., a low PaCO₂. Conversely, an out of proportion decrease in $\dot{V}_E/\dot{V}CO_2$ relative to VD/VT would result in a low $\dot{V}_A:\dot{V}CO_2$: too little fresh air relative to the rate of CO_2 transfer flow from capillary blood to alveoli would be alveolar hypoventilation, i.e., a high PaCO₂. [14][15] The corollary is that the lower the PaCO₂ and the higher the wasted ventilation in the VD_{phys}^b, the higher the excess ventilation (**Figure 2**):[16]

$$\uparrow \frac{\dot{V}_E}{\dot{V}CO_2} = \frac{1}{\sqrt{PaCO_2} \times \left(1 - \left(\frac{\uparrow V_D}{\sqrt{V_T}}\right)\right)} \quad (2)$$

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 $[^]b$ A higher wasted ventilation indicates that a larger total dead space is required to explain the observed impairment in CO_2 elimination. The physiological dead space as calculated by Enghoff's modification of the original Bohr's approach (the dead space fraction of the tidal volume (VD/VT)) includes a) apparatus VD, b) anatomical VD, c) alveolar VD (preserved alveolar ventilation ($\dot{V}A$), no capillary perfusion ($\dot{Q}c$), d) VD effect (high VA/Qc, usually due to normal $\dot{V}A$ but low $\dot{Q}c$) and e) any contribution of shunt to increase PaCO₂. In this context, a) and b) are "series" VD whereas c)-e) are "parallel" VD.

Some practicalities on $\dot{V}_E:\dot{V}CO_2$ measurement and interpretation

The $\dot{V}_E/\dot{V}CO_2$ ratio in response to incremental CPET decreases down to the estimated lactate threshold, remaining stable at its lowest value (nadir) before increasing after the respiratory compensation point (RCP) for lactic acidosis. [17] Thus, plotting $\dot{V}_E(y)$ as a function of $\dot{V}CO_2$ (x) produces a linear relationship up to the RCP. It should be noted that even at an imaginary "zero" $\dot{V}CO_2$, \dot{V}_E is above the axis intersection (usually 2-3 L/min \dot{V}_E in normal subjects) (**Figure 2C**).[16] It follows that the steeper the \dot{V}_E - $\dot{V}CO_2$ slope and/or the higher the \dot{V}_E intercept the higher the $\dot{V}_E/\dot{V}CO_2$ nadir.[14] Another cause of a high $\dot{V}_E/\dot{V}CO_2$ nadir is an early lactate threshold as this will precociously interrupt the decreasing trajectory of $\dot{V}_E/\dot{V}CO_2$, overestimating excess ventilation (**Figure 2B**). [4] Although the increased \dot{V}_E VCO₂ beyond the RCP reflects the intensity of the hyperventilation required to compensate for acidosis rather than abnormalities in ventilatory control,[2] drawing a single line from the start to peak exercise improves the negative prognostic value of a high \dot{V}_E - $\dot{V}CO_2$ slope in HF [18] and PH [19]. Reference values for $\dot{V}_E/\dot{V}CO_2$ nadir [20][21] and $\dot{V}_E-\dot{V}CO_2$ slope [22][23] are available as well as cut-offs for clinical decision making in HF [24] and PAH [25]. As a rule of thumb, values>34-35 have been associated with negative outcomes in HF [26], chronic obstructive pulmonary disease (COPD) [27] and COPD-HF overlapping [28] though the use of % predicted is physiologically sounder as higher values are seen in women and elderly subjects.[29].

The importance of directly measuring PaCO₂ and VD/VT in dyspneic patients showing excess ventilation cannot be underestimated. Specifically, end-tidal (ET) PCO₂ should not be used to estimate PaCO₂, particularly in patients. For instance, resting PETCO₂ is 3-4 mmHg lower than PaCO₂ with their difference correlating well with wasted ventilation.[30] This is the case because less CO₂ is unloaded from capillary blood to alveoli the higher the VD_{phys}. During exercise, PETCO₂ is greater than PaCO₂ in health (i.e., the P(a-ET)CO₂ difference becomes negative) due to the effects of:[31]

- a) increases in pulmonary blood flow with CO₂-enriched mixed venous blood;
- b) faster and more homogeneous lung emptying; and, importantly,
- c) a larger VT leading to greater sampling of alveolar gas.

Relating PETCO₂ to PaCO₂, therefore, can be particularly informative: whereas impaired perfusion of ventilated areas leading to a high VD_{phys} decreases PETCO₂ out-of-proportion to PaCO₂ (i.e., PETCO₂ fails to surpass PaCO₂) (a), a narrow P(a-ET)CO₂ coupled with a low PaCO₂ implies in alveolar hyperventilation (b).[32] Replacing PaCO₂ by PETCO₂ to estimate VD/VT in scenario (a) is particularly misleading: the worse the wasted ventilation, the lower the PETCO₂ relative to PaCO₂ and, consequently, the larger the VD/VT underestimation.[33] Regardless of the underlying cause(s), a lack of increase in PETCO₂ [34] and/or a low peak value [35] signals more advanced PH [34] [35] and HF [36] since both (a) and (b) are markers of disease severity. High $\dot{V}_E.\dot{V}CO_2$ and low PETCO₂ (and PaCO₂) are also seen in primary hyperventilation:[37] non-cyclical surges in $\dot{V}_E.\dot{V}CO_2$ and an erratic breathing pattern (dysfunctional breathing) [38] are useful to suggest, in the right clinical context, this frequent cause of unexplained dyspnoea [39].

Excess ventilation and exertional dyspnoea

Exertional dyspnoea in cardiopulmonary disease arises from a disparity between the neural drive to breathe from bulbo-pontine and cortical respiratory control centers and the capacity of the respiratory system to respond appropriately.[40] The patient's ability to translate (a) or not (b) a heightened drive into the mechanical act of breathing (VT and $\dot{V}E$) establishes the presence of:[41]

- a) "excessive breathing" wherein VT expansion is not mechanically constrained and the sensation of increased "work/effort" increases as a function of work rate but are relatively proportional to VE; or
- b) "impeded breathing" wherein VT expansion is mechanically constrained and the sensation of unsatisfied inspiration increases as a function of both work rate and VE.

In most circumstances, exertional dyspnoea caused by a high $\dot{V}_E/\dot{V}CO_2$ is caused by "excessive breathing". However, in the presence of associated ventilatory impairment, a heightened $\dot{V}E$ may hasten the development of mechanical constraints, leading to "impeded breathing".[42]

Heart failure with reduced left ventricular ejection fraction (HFrEF)

Mechanisms of excess ventilation in HFrEF

Excess ventilation is observed in most dyspneic patients with moderate to severe HFrEF (LVEF<40%).[10][12][13] A high \dot{V}_E : $\dot{V}CO_2$ is commonly associated with a tachypneic and shallow breathing pattern and, as the disease progresses, with cycles of waxing and waning ventilation, i.e., exertional oscillatory ventilation (EOV).[10] There is solid evidence that VD_{phys} does not decrease as expected in many patients showing a high \dot{V}_E : $\dot{V}CO_2$ (**Figure** 3).[43] [44] Although enlarged areas of high alveolar ventilation (\dot{V}_A)/capillary perfusion (\dot{Q}_C) due to perfusion abnormalities with or without associated PH[45] [46] may increase VD, a low VT seems the dominant factor [47] [48] due to:

- a) changes in breathing pattern induced by heightened chemostimulation [49];
- b) inspiratory constraints secondary to low lung compliance, [50] and,
- c) inspiratory muscle weakness/[51]

The impact of reactive PH (i.e., beyond expected from left heart pressures)[52] on excess ventilation in HFrEF is marked: a steep \dot{V}_E - $\dot{V}CO_2$ slope (>41), a lack of change in PETCO₂ on exercise (<1.2 mm Hg) (*Some practicalities on \dot{V}_E:\dot{V}CO_2 measurement and interpretation*) and EOV were highly predictive of combined pre and post-capillary PH.[53] Although some studies reported that a high VD_{phys} could contribute to up to 40% of the measured \dot{V}_E : $\dot{V}CO_2$,[54] [55] the bulk of the available evidence indicates that excess ventilation is more closely related to alveolar hyperventilation secondary to (**Figure 3**):[8]

- a) increased central command to recruit additional motor units to maintain the workload of fatiguing peripheral muscles with simultaneous increase in \dot{V}_E and sympathetic nerve activity;[56]
- b) heightened afferent stimuli from over sensitized ergorreceptors [57] and excessive metabolite accumulation in the peripheral [58].[59] and respiratory muscles;
- c) carotid body-mediated chemoreceptor hypersensitivity [49] [60] and heightened response of the central chemoreceptors to CO₂ [61];
- d) associated PH [62] [53] [63] and/or increased pulmonary vascular pressures/right ventricular-pulmonary artery uncoupling, [64] [65] particularly when associated with

- mitral regurgitation and atrial fibrillation increasing backward flow and impaired pulsatile and/or resistive loading on the pulmonary circulation [66] [67]; and
- e) other congestive consequences of the disease, such as increased left atrial pressure [68], J reflex due to interstitial edema,[69], right atrial strain,[70], and peripheral venular distension [71].

Persistent stimulation of the carotid bodies chemoreceptors increases their sensitivity to further stimuli, i.e., they respond to progressively smaller variations in CO₂.[72] As the cardiac output deteriorates with disease progression, there is a longer circulatory time between the lungs and the chemoreceptors.[73] The resulting delay in the ventilatory response to a given variation in PaCO₂ predisposes to over-corrections.[73] This is further amplified by the decrease in lung volumes since the lower the CO₂ reservoir in the lungs the greater the variation in PaCO₂ at a given ventilation.[74] A chronically-low PaCO₂ may also impair the expected cerebral vasoconstriction; consequently, less H⁺ accumulates close to the central chemoreceptors, further de-stabilizing the ventilatory control system.[75] The resulting EOV is a powerful marker of disease severity[76] and poor prognosis in HFrEF[74] Interestingly, EOV is rarely observed in PAH compared to HFrEF with relatively similar reductions in cardiac index.[77] These data provide important supportive evidence in favor of post-capillary PH and sustained J-receptor stimulation [67] causing out-of-proportion vagal reflex activation and breathing instability in HFrEF. From a practical perspective, despite a markedly steep \dot{V}_E - $\dot{V}CO_2$ slope the fluctuations might be missed as \dot{V}_E and $\dot{V}CO_2$ oscillate in phase. Thus, EOV is better appreciated (and quantified) when \dot{V}_E is plotted as a function of time during incremental exercise.[78]

The coexistence of respiratory diseases associated with mechanical constraints to VT expansion, such as chronic obstructive pulmonary disease (COPD), has a blunting effect on the rate of \dot{V}_E increase leading to shallower \dot{V}_E - $\dot{V}CO_2$ slopes.[79][80][81] Interestingly, patients with coexistent HFrEF-COPD characteristically present with high \dot{V}_E intercepts than those with HFrEF alone, potentially reflecting a high resting VD_{phys} .[82] Attainment of critically low inspiratory reserve volumes in HFrEF-COPD led to a sudden cessation of EOV but a sharp increase in dyspnoea as the heightened ventilatory drive could not be translated into higher $\dot{V}E$.[83] Notwithstanding the greater relevance of mechanical factors in combined

cardiorespiratory disease, the highest $\dot{V}_E/\dot{V}CO_2$ nadirs in HFrEF-COPD were associated with lower PaCO₂ rather than higher VD_{phys} [84], confirming the central role for alveolar hyperventilation as a cause of excess ventilation in HFrEF.

Effects of selected interventions on excess ventilation in HFrEF

Seminal studies exploring the effects of interventions on excess ventilation in HFrEF found less chemoreceptor activation in response to hyperoxia [85] and low dose opiates [86] with relatively commensurate decrements in VE:VCO₂ and exertional dyspnoea. In fact, carotid body denervation was associated with lower ventilation, sympathoexcitation, and mortality in animals with HFrEF.[87] After a case description,[88] a small (N= 10) study in humans found that unilateral resection decreased sympathetic activity and ventilation.[88] Unfortunately, however, this was associated with worsening oxygenation at night. Owing to the risks associated with blunted protective responses to hypoxia (e.g., air flight, altitude) [89] and deleterious consequences to co-morbid respiratory disease and obstructive sleep apnea this approach remains largely experimental.[90] A further complicating issue is the current controversies on the optimum methodology for assessing chemoreceptor sensitivity.[91] The strong anti-adrenergic effect of carvedilol (α -, β_1 - and β_2 -blocker) provided another evidence of the relevance of carotid bodies in promoting excess ventilation in HFrEF [92]: despite worsening pulmonary gas exchange (likely increasing VD_{phys})[93], carvedilol was more effective than selective β -blockers in decreasing peripheral chemoreceptor activity [94], lessening hyperventilation [95] and VE:VCO₂ [93]. Interestingly, long-term treatment β-blocker reduced the dyspnoea-VE-slope (less "impeded breathing") [96] suggesting lower lung congestion/higher lung compliance and/or higher inspiratory muscle strength. [92] [97]

Pharmacological interventions geared towards improving pulmonary gas exchange efficiency also showed some positive effects on excess ventilation in HFrEF. For instance, the angiotensin-converting enzyme inhibitor (ACEi) enalapril [98] improved the membrane component of lung diffusing capacity for carbon monoxide (DL_{CO}) [99], decreasing VD/VT and \dot{V} E: \dot{V} CO₂ (*Key unanswered questions on \dot{V}E-\dot{V}CO₂ and exertional dyspnoea;* Table 1).[100] These salutary effects were ascribed to increased local concentration of

prostaglandins readjusting lung vessel tone and membrane conductance [98] since they were virtually abolished by cyclooxygenase inhibition.[100] The angiotensin II receptor blocker (ARB) losartan - which lacks significant pulmonary vascular effects - did not change $\dot{V}E:\dot{V}CO_2.[101][102]$ The modest/absent effect of ARBs on excess ventilation may explain recent findings showing that valsartan-neprilysin inhibition was not superior to enalapril in decreasing $\dot{V}E:\dot{V}CO_2.[103][104]$ Since the protection by ACEi against alveolar edema varies according to insertion/deletion polymorphism genotypes,[105] they may carry a role in explaining the variability in prevalence and severity of excess ventilation in patients with similar hemodynamic impairment (*Key unanswered questions on \dot{V}E-\dot{V}CO_2 and exertional dyspnoea;* Table 1).

The beneficial effects of exercise training in $\dot{V}E:\dot{V}CO_2$ and breathing stability in HFrEF are likely multiple and interconnected:

- a) improved respiratory muscle strength and endurance,[106] particularly when potentiated by inspiratory muscle training [107] [108];
- b) decreased peripheral chemoreceptors sensitivity [109] and, potentially, the beneficial consequences of less hyperventilation (higher PaCO₂) on the former [37];
- c) improved neurovascular control [110] and bulk muscle blood flow and distribution [111] leading to less activation of ergorreceptors [59]; and
- d) enhancing muscle "quality" as intramuscular fat, likely disturbing blood flow distribution, [112] strongly predicted the lessening effects of muscle afferent blockade on $\dot{V}E:\dot{V}CO_2$ [113].

Of note, the relevance of disturbed peripheral hemodynamics to mitigate excess ventilation has also been highlighted by the positive effects of sildenafil, [114] and respiratory muscle unloading [115] which increased muscle blood flow reducing O_2 extraction at a given O_2 uptake [116]. Part of the beneficial effects of sildenafil on excess ventilation (including EOV) [1] and dyspnoea, however, might be related by lower PH on exertion.[118] Despite all advances in our understanding of the major clinical relevance of excess ventilation in HFrEF, its actual role in guiding pharmacological treatment in individual patients remains elusive [92] (Key unanswered questions on $\dot{V}E-\dot{V}CO_2$ and exertional dyspnoea; Table 1)

Heart failure with preserved left ventricular ejection fraction (HFpEF)

Mechanisms of excess ventilation in HFpEF

Heart failure with preserved (≥50%) EF (HFpEF) is characterized by mild systolic dysfunction but pronounced limitations in systolic reserve capacity during the stress of exercise. Increased LV filling pressure secondary to diastolic dysfunction may cause secondary ("group 2") PH which adds to effects of chronotropic incompetence, left atrial dysfunction, arterial stiffening, autonomic imbalance, endothelial and skeletal muscle dysfunction, to cause severe exercise intolerance.[119] Despite ample variability, \dot{V}_E - $\dot{V}CO_2$ is typically not as elevated or frequent as in HFrEF [119]; nevertheless, the clinical implications of an increased \dot{V}_E : $\dot{V}CO_2$ vis-à-vis morbidity (dyspnoea) and mortality are similar.[120]. In fact, a large recent study (N= 1347) found that all-cause mortality and HF hospitalization were increased across the spectrum of HF, including those with mid-range HF, i.e., 50%<LVEF≥40%.[121] Exercise-induced mitral regurgitation was commonly seen in all HF subtypes, being associated with RV-pulmonary circulation uncoupling. Interestingly, these abnormalities were unexpectedly prevalent in HFpEF: concomitant increases in heart rate and peripheral O₂ extraction may signal adaptive mechanisms to backward flow redistribution.[66] For most patients with HFpEF, a high VD_{phys} seems to overcome alveolar hyperventilation as the primary etiological mechanism for excess exertional ventilation secondary to (**Figure 3**): [55] [122] [123]

- a) impaired RV-pulmonary arterial coupling [66] and right ventricular contractile dysfunction altering pulmonary blood flow, [55] a stiff pulmonary circulation, [124] and impaired gas conductance [125] jointly leading to increased areas of high \dot{V}_A/\dot{Q}_C and alveolar VD [126] [127]; and
- b) a fast and superficial breathing pattern,[128] likely secondary to excessive J receptor stimulation (vagally-mediated reflexes) [69] associated with high pulmonary capillary wedge pressure,[128] left atrial distention/pulmonary venous hypertension [68], reduced lung compliance due to congestion [129] and, occasionally inspiratory muscle weakness [51].

The few studies that measured PaCO₂ during exercise in patients with HFpEF found values slightly lower than expected values but still within the eucapnic range.[55][127] The

sympathetic outflow is characteristically increased in HFpEF from the earlier stages of the disease and the central chemoreflex is enhanced; [130] moreover, acute activation of central chemoreceptors leads to further increases of cardiac sympathetic outflow and impairment in cardiac function in animal models. [131] These data coupled with the known importance of skeletal muscle abnormalities at the limits of exercise tolerance in HFpEF [132] suggest that a role for increased neurochemical input to increase $\dot{V}_E:\dot{V}CO_2$ may have been overlooked (*Key unanswered questions on \dot{V}E-\dot{V}CO_2 and exertional dyspnoea*; Table 1).

Effects of selected interventions on excess ventilation in HFpEF

The increased relevance of a high VD_{phys} in explaining excess ventilation and higher diastolic pulmonary artery pressure for a given pulmonary capillary wedge pressure (suggesting a stiffer pulmonary circulation) in HFpEF than HFrEF might represent a higher frequency of pulmonary vascular disease in the former. Combined pre- and post-capillary PH characteristically leads to higher $\dot{V}_E:\dot{V}CO_2$ compared to post-capillary PH in HFpEF.[133] Newer strategies focused on improving RV function: adding to impaired aerobic capacity unveils a specific HFpEF phenotype characterized by a greater burden of right-sided heart disease and combined pre- and post-capillary PH.[134] Unfortunately, however, the vasodilators praliciguat [135] and sildenafil [136] failed to decrease $\dot{V}_E-\dot{V}CO_2$ slope in these patients. It remains to be tested whether sub-sets of patients would benefit from these medications. Intrinsic pulmonary vasculopathy and micro-vessel remodeling, for example, is more frequently found in the obese/metabolic syndrome phenotype of HFpEF.[137]

Joining echocardiographic measures of RV-pulmonary circulation uncoupling (e.g., low tricuspid annular plane systolic excursion to pulmonary artery systolic pressure ratio)[138] with excess ventilation and other clinical data (e.g., atrial fibrillation, high brain natriuretic peptide, severity of diastolic dysfunction) might prove useful to phenotype HFrEF patients more prone to respond to interventions aimed at improving right side hemodynamics in HFpEF (*Key unanswered questions on Ve-VCO*₂ and exertional dyspnoea; Table 1).[139] A small study found lower $\dot{V}_E:\dot{V}CO_2$ and dyspnoea with exertional O_2 supplementation in a non-hypoxemic group of patients with HFpEF and associated PH:[140] whether this was secondary to lower pulmonary vascular pressures and/or decreased peripheral

chemosensitivity remain unclear. The mechanisms akin to those previously described for HFpEF likely explain the beneficial effects of exercise training in decreasing \dot{V}_E : $\dot{V}CO_2$ in HFpEF,[141] perhaps with an even greater relative contribution of "peripheral" mechanisms, i.e., improved microvascular and/or skeletal muscle function.[142][143]

Pulmonary arterial hypertension (PAH)

Mechanisms of excess ventilation in PAH

In similarity with HF, the hyperpneic response to exercise is characteristically exacerbated in patients with PAH.[144] [145] Recent data indicate that a high VE:VCO₂ can be found even in the early stages of the disease, i.e., patients showing mean pulmonary artery pressure between 20-25 mmHg (Key unanswered questions on $\dot{V}E-\dot{V}CO_2$ and exertional dyspnoea; **Table 1).** [146] The critical relevance of a high $\dot{V}E:\dot{V}CO_2$ in explaining exertional dyspnoea in PAH can be appreciated by the findings of Deboeck et al.[147] These authors reported higher dyspnoea scores in PAH compared to HFrEF at a given work rate; however, these differences disappeared after the higher VE:VCO₂ in PAH was taken into consideration. There is robust evidence supporting increased chemosensitivity and sympathetic overactivation as relevant contributors (**Figure 4**).[148][149] For instance, higher-than-expected ventilation in response to hypoxic and hypercapnic breathing indicates heightened peripheral and central chemosensitivity [150] [151] and microneurographic recordings signal sympathetic hyperactivity with an increase in bursts akin to that observed in HFrEF.[152] Cerebrovascular reactivity to CO₂ might be impaired [153] in tandem with increases in central chemoreceptor sensitivity and exercise $VE-VCO_2$ [154] Hypocapnia may occur at rest and worsens during exercise,[35] indicating that patients ventilate in excess to what is required to overcome an enlarged VD.[150][155] In fact, higher VE-VCO₂ slopes (and lower **VE** intercepts) are usually found in patients with PH compared to those with HFrEF and HFpEF showing more impaired cardiac output [147]; moreover, patients with either type of HF and secondary PH ("group 2") show steeper VE-VCO₂ slopes than those with isolated increases in post-capillary pressures.[66] Additional sources of ventilatory stimuli may arise from:

a) reflexes related to increased filling pressures of the right chambers,[156];

- b) baroreflex dysfunction, particularly during blood pressure fluctuations;[157]
- c) decreased pulmonary vascular distensibility leading to RV-pulmonary arterial uncoupling,[66];
- d) increased pulmonary artery shear stress and/or dilatation,[158];
- e) skeletal muscle dysfunction [159] / disease [160] (including inspiratory muscles) and/or deconditioning leading to metaboreflex overactivation [161] [162] and increased central command in the setting of weak muscles [163]; and
- f) low cardiac output due to RV failure [164] and muscle capillary rarefaction [165] impairing muscle oxygenation [166].

Increased VD/VT related to extensive vascular remodeling and obliteration has been traditionally thought to decrease $\dot{Q}c$ relative to \dot{V}_A ,[167] contributing to a high $\dot{\mathbf{V}}E-\dot{\mathbf{V}}CO_2$ in patients with PAH[168]. An upward displacement of $\dot{\mathbf{V}}E-\dot{\mathbf{V}}CO_2$ as a function of PaCO₂ [169] [7] is also consistent with increased "wasted" ventilation [11] . Studies using the multiple inert gas exchange technique reported that VD/VT is consistently increased, being associated with a shift in mean $\dot{V}_A/\dot{Q}c$ to higher-than-normal values.[170] It should be noted, however, that hyperventilation may increase the overall \dot{V}/\dot{Q} relationship inequalities which predictably increases VD/VT calculated by the Enghoff-Bohr equation.[171] Thus, the relative contribution of a high VD/VT to increasing $\dot{\mathbf{V}}E-\dot{\mathbf{V}}CO_2$ might be overestimated in individual patients.[7]

Inspiratory capacity (IC) may decrease during exercise, indicating dynamic hyperinflation [172] and/or exercise-induced inspiratory muscle weakness [161]: preserved inspiratory muscle function regardless of changes in dynamic IC does suggest the former.[173] Of note, those who showed decreasing IC during exercise have a lower $\nabla E \cdot \nabla CO_2$ slope, developed a plateau in VT, and described their respiratory sensations as "unsatisfied inspiration",[174] all findings previously reported in respiratory patients who reach critical inspiratory constraints [175]. Progression of diaphragm dysfunction follows hemodynamic worsening as PH progresses; interestingly, when the severity of RV failure was considered, inspiratory muscle weakness did not independently contribute to exercise intolerance [176]

Effects of interventions on excess ventilation in PAH

The pulmonary arteries and the right atrium are richly innervated with sympathetic fibers. In fact, two interventional studies in severe PAH (pulmonary artery denervation [177] and atrial septostomy [178]) were associated with lower sympathetic outflow and better exercise tolerance. Given the close interconnection between chemoreceptor sensitivity, sympathoexcitation and ventilation, there is renewed interest in exploring the potential beneficial effects of adrenergic modulation [179] to lessen excess ventilation in the early stages of PAH, i.e., before the heightened adrenergic activity is required to compensate for a low cardiac output. In this specific context, selected β₁ stimulation may promote beneficial effects on heart failure gene expression and RV remodeling, ultimately lessening excess ventilation (*Key unanswered questions on VE-VCO*₂ *and exertional dyspnoea*; Table 1).[180] Interestingly, O₂ supplementation during exercise significantly reduced VE-VCO₂ and increased PaCO₂ while VD/VT remained unchanged, suggesting a reduction in ventilatory drive despite only modest hypoxemia.[181] Whether this is an expression of lessened carotid body chemoreception [151] remains unclear.

In keeping with the notion that improving central hemodynamics is instrumental to mitigate excess ventilation in PAH, pulmonary vasodilators variably lessened excess ventilation, including the phosphodiesterase inhibitor sildenafil [182] and the prostacyclin analogues iloprost [183] and beraprost [184] In fact, greater decrements in \mathbf{V} E: \mathbf{V} CO₂ were found in the subset of patients showing greater hemodynamic improvement in response to calcium-channel blockers,[185] Moreover, failure to normalize central hemodynamics, PaO₂, and \mathbf{V} E: \mathbf{V} CO₂ after "treatment optimization" with combination therapy largely explained residual exertional dyspnoea in PAH.[186] Although altered lung mechanics might not be critical to peak exercise capacity [147] their sensory consequences may have a relevant contributory role in the decision of patients to stop exercising (**Figure 4**) [187]. Whether enhanced lung emptying with inhaled bronchodilators and/or improved inspiratory muscle strength may decrease exertional dyspnoea in these patients remain to be demonstrated (*Key unanswered questions on VE-VCO₂ and exertional dyspnoea*; **Table 1**).

Chronic thromboembolic pulmonary hypertension (CTEPH)

Mechanisms of excess ventilation in CTEPH

It is somewhat axiomatic that the obstruction of larger pulmonary vessels by venous thrombi tends to increase VD/VT and VE: VCO2 to a more significant extent in these patients than PAH.[188] In fact, depicting $\nabla E / \nabla CO_2$ (v) against PaCO₂ [169] [7] showed CTEPH patients lying above (higher VD_{phys}) and to the right (higher PaCO₂) relative to those with PAH. [189] The classical explanation for increased VD_{phys} and VE:VCO₂ in CTEPH is based on the consequences of reduced capillary blood volume, i.e., "true" alveolar VD (ventilated but nonperfused gas exchange units) and increased heterogeneity of \dot{V}_A/\dot{Q}_C relationships (under or overperfused pulmonary zones).[189] Variable hypoxemia, further increasing VE:VCO₂, is ascribed to enlarged areas of low \dot{V}_A/\dot{O}_C and, in particular, a lowered mixed venous O_2 pressure.[190] (Figure 4) It is noteworthy that patients with chronic thromboembolic disease without resting PH also show high VD_{phys} and $\dot{V}E:\dot{V}CO_2$, albeit to a lesser extent than patients with overt CTEPH.[191] In similarity with PAH, some patients with CTEPH may present with dynamic decreases in IC which may constrain the limits for VT expansion.[192] Although it remains unclear whether this represents true dynamic hyperinflation or a timedependent decrease in inspiratory muscle strength, a low VT may contribute to a high VD/VT in these patients. A role for impaired lung mechanics cannot be ruled out: CTEPH patients with inspiratory muscle weakness did show lower VT and higher dyspnoea-VE relationship.[192] As discussed below, the distinct effect of some interventions shed relevant light on the relative role of high VD_{phys} versus chemostimulation in increasing VE:VCO₂ in patients with proximal/larger-vessel versus distal/smaller-vessel disease.

Effects of interventions on excess ventilation in CTEPH

The advent of treatment approaches for central (mechanical unclogging via pulmonary endarterectomy (PEA)[193] and balloon pulmonary angioplasty (BPA))[194] versus peripheral (e.g., pharmacological treatment with the soluble guanylate cyclase stimulator, riociguat)[195] vascular disease allowed a better understanding of the complex pathophysiology of CTEPH. Marked improvement in $\dot{V}E:\dot{V}CO_2$ with dramatic reductions in VD_{phys} and arterial-mixed expired CO_2 difference are usually observed after successful PEA

[193] and, to a lesser extent, BPA[194]. Lower heterogeneity in $\dot{V}_A/\dot{Q}c$ and improved cardiac output may jointly contribute to reducing VD/VT after these procedures [196]; for instance, ventilation/perfusion imaging successfully predicted the CTEPH patients who benefited the most from BPA.[197] BPA improved VD_{phys} [194] and reduced the respiratory neural drive [198] – a key correlate of exertional dyspnoea across disease states - [40] in tandem with a lower $\dot{V}E:\dot{V}CO_2$ in these patients. Despite normalization of pulmonary hemodynamics, however, dyspnoea and exercise intolerance may persist, a finding related to residual impairment in the O_2 pathway [199] and, in some patients, exertional hypoxemia [200] (**Figure 4**).

The persistence of exercise-related PH after successful normalization of resting pulmonary arterial pressure with BPA or PEA is associated with high $\dot{V}E:\dot{V}CO_2$ and residual dyspnoea which has been interpreted as evidence of non-detected distal disease.[201] Pharmacological treatment (i.e. pulmonary vasodilators) of small vessel vasculopathy was associated with lower $\dot{V}E:\dot{V}CO_2$ despite higher VD_{phys} , suggesting a decrease in chemostimulation.[201] In fact, a meta-analysis showed that treatment with riociguat did improve exertional dyspnoea [195] but it remains unknown whether this is consequence of lower $\dot{V}E:\dot{V}CO_2$. Interestingly, pulmonary vasodilators increased PaCO₂, again in keeping with less chemostimulation; thus, this positive effect outweighs any simultaneous increase in VD_{phys} and $\dot{V}_A/\dot{Q}c$ mismatch after treatment. [201] In contrast, others found that patients showing distal CTEPH had higher VD_{phys} and $\dot{V}E-\dot{V}CO_2$ slope than their counterparts with PAH.[202] In any case, worsening in VD_{phys} with oral vasodilators has a considerable potential to counterbalance potential decreases in chemostimulation (*Key unanswered questions on \dot{V}E-\dot{V}CO_2 and exertional dyspnoea*; Table 1).[202]

Key unanswered questions on $\dot{V}E-\dot{V}CO_2$ and exertional dyspnoea Mechanistic features

It is rather embarrassing that despite the remarkable advances in our knowledge regarding the cellular and sub-cellular determinants of disease, we remain oblivious to the mechanisms responsible for the tight $\dot{V}A:\dot{V}CO_2$ control during exercise both in health and disease.[3] [8] [14] Without clarification of this fundamental issue, we will persist limited in our ability to

fully understand how HF and PH disturb the control of exercise hyperpnoea. For instance, are there hitherto unidentified sensor(s) of CO₂ flow from the periphery to the lungs? If so, where? In the venous circulation, right heart chambers, pulmonary vasculature, airways, or alveoli? How does the respiratory controller "fine-tune" ventilation (via VT and respiratory frequency) to precisely control PaCO₂ close to its resting value in the face of a changing VD_{phys}? Is this achieved via intrinsic estimation of the alveolar VD (i.e., regions with high $\dot{V}_{\rm A}/\dot{O}_{\rm C}$)? For instance, would a lower rate of CO₂ unloading from the capillary blood to the alveoli in areas with high \dot{V}_A/\dot{Q}_C induce sufficiently large intra- or between-breaths fluctuations in PaCO₂ of the arterial blood leaving the lungs [203] with subsequent stimulation of the peripheral and/or central chemoreceptors?[204] Is there also a role for central integration [205] in the "fine-tuning" process? Alternatively (or complementarily), neural plasticity and adaptive models might be involved: a process of "associative learning" beginning with errors in respiratory control and coincident blood gas fluctuations would be followed by more refined adjustments which ultimately lead to the typical eucapnic response.[206] The emergence of HF or PH (particularly HFrEF and PAH) and the consequent additional afferent stimuli would disturb this long-learned associative process, leading to alveolar hyperventilation (**Table 1**).

Implications for exertional dyspnoea

It is rather surprising that dyspnoea remains a "soft end-point" in cardiovascular research since almost every study on the clinical relevance of $\dot{V}E:\dot{V}CO_2$ in HF or PH is justified based on its putative link with exertional dyspnoea (in addition to mortality). Despite the mounting evidence that the presence and severity of peak dyspnoea is an important predictor of poor patient-related outcomes in HF,[207] [208] no study to date has prospectively established exertional dyspnoea as the primary outcome. Unfortunately, careful quantification of the intensity and quality of dyspnoea is rare: no published study *simultaneously* related serial exertional dyspnoea readings with their putative neurochemical, and hemodynamic determinants. Multimodality exercise assessment in evaluating exertional dyspnoea has been cogently advocated[209]: coupling technologically advanced techniques (including invasive hemodynamics and imaging)[13] with a parallel quantitative and qualitative characterization

of the symptom is paramount.[210] Detailed studies relating more accurate indexes of inspiratory neural drive, such as diaphragm electromyography, to \dot{V} E- \dot{V} CO₂ and dyspnoea in HF and PH are lacking. Does the language of dyspnoea (dyspnoea descriptors) [211] differ across these diseases, e.g. "air hunger or unsatisfied inspiration" instead of "work/effort" signaling heightened chemostimulation?[212] If so, do they have a distinct impact on patient's decision to stop exercising (affective domain)? Are the sensory consequences of a given decrease in \dot{V} E: \dot{V} CO₂ similar when reached via less chemosensitivity versus lower VD_{phys}? If not, we may need to consider that there are some therapeutic strategies that might be more beneficial to improving exercise tolerance than others depending on the dominant mechanism of excess ventilation. Finally, does EOV cause worse dyspnoea at a given \dot{V} E: \dot{V} CO₂? If so, lessening frequency or amplitude of ventilatory oscillations may have relevant effects on HFrEF morbidity, even if mortality is not substantially altered (**Table 1**).

Effects of interventions

There is little controversy on the clinical importance of excess ventilation in HFrEF [10][12][13]: much work, however, is needed to include VE:VCO₂ in the pharmacological treatment of high-risk patients.[92] Adding other pieces of information to excess ventilation might prove valuable, e.g., non-selective anti-adrenergics rather than β-selectives showing unordinary high sympathetic tonus, [94] ACEi rather than ARBs in those with low DL_{CO}, [99] sildenafil for patients showing PH [118] in association with impaired muscle extraction [114]. Despite the associative evidence herein presented, we should recognize that it remains unknown whether VE: VCO2 decrease to a larger extent in response to interventions aimed at reducing alveolar hyperventilation compared to those which primarily improve VD_{phys} in HFrEF, PAH, and small-vessel CTEPH. Similarly, it is unclear whether VE:VCO2 decrease to a larger extent in response to interventions aimed at decreasing V_{Dphys} compared to those which primarily reduced alveolar hyperventilation in HFpEF and large-vessel CTEPH. Studies with specific HFpEF phenotypes are lacking: do intrinsic pulmonary vasculopathy and micro-vessel remodeling predispose obese patients with metabolic syndrome to derive greater benefit from pulmonary vasodilators? [137] The large variability on the effects of vasodilators in PAH and PH secondary to HF is puzzling: can the patient response (or lack

thereof) to pulmonary vasodilation help illuminate the pathophysiological underpinning of excess ventilation? Is the greater $\dot{V}E:\dot{V}CO_2$ in HF patients with combined pre- and post-capillary PH reflective of the 'superimposed' hemodynamic consequences of high pulmonary arterial pressures or a mere consequence of more advanced HF? Given the growing evidence that some patients with PAH and excess ventilation present with expiratory flow limitation and dynamic hyperinflation, [172] is there a role for bronchodilators (particularly antimuscarinics) in lessening their dyspnoea? Conversely, patients in the early stages of PAH showing marked excess ventilation may benefit from a parsimonious use of anti-adrenergics. More studies are required to test the effects of PAH medications in the sub-set of dyspneic patients with post-pulmonary embolism syndrome or overt CTEPH showing excess ventilation but apparently no residual thromboembolic disease (**Table 1**).[213]

Conclusions

Excess ventilation (high VE:VCO2), signaling alveolar hyperventilation and/or increased "wasted" ventilation (high VD_{phys}), has become an important physiological biomarker (Figure 1) across the spectrum of HF and PH severity. Evidence accrued to date indicates that reduced bulk O2 transfer induced by cardiac output limitation (a) and/or RV-PA uncoupling and RV failure (b) increases neurochemical afferent stimulation and (largely chemo-) receptor sensitivity, causing alveolar hyperventilation in HFrEF, PAH and in most patients with small-vessel, distal CTEPH. Approaches to improving central hemodynamics and/or reduce chemosensitivity have shown the largest beneficial effects to excess ventilation in these patient populations. In contrast, high filling pressures (a), impaired lung perfusion leading to \dot{V}_A/\dot{Q}_C mismatch (b) and a low $V_T(c)$ conspire to increase VD_{phys} in HFpEF and in most patients with proximal CTEPH. Treatment strategies focused on decreasing pulmonary capillary pressures in HFpEF and mechanically unclogging larger pulmonary vessels (pulmonary endarterectomy and balloon pulmonary angioplasty) in CTEPH have been associated with larger decrements in excess ventilation and dyspnoea. Advancing the knowledge on the complex relationship between excess exertional ventilation and dyspnoea would then set the stage for large randomized controlled trials on pharmacological and nonpharmacological interventions aimed at improving these key patient-centered outcomes.

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Table 1. Key unanswered questions on the determinants, respiratory-sensory consequences, and effects of interventions on excess exertional ventilation in heart failure (HF) and pulmonary hypertension (PH).

Ventilatory control during exercise

- Are there hitherto unknown CO₂ receptors in the right chambers, pulmonary vasculature, and airways that can precisely match VA to VCO₂?
- If such receptors do not exist, how does the respiratory controller "know" the instantaneous VD_{phys} to control PaCO₂ close to the resting values?
- Is there are a role for neural/behavioral mechanisms of ventilatory control in "fine-tuning" exercise hyperpnea in health and disease?
- Does alveolar hyperventilation in HFrEF and PAH follow a downward shift in PaCO₂ set-point or a low PaCO₂ is a "passive" consequence of increased neurochemical stimulation/receptor sensitivity?
- What are the exact sources of increased afferent stimulation in the presence of central hemodynamic abnormalities signaling RV-pulmonary arterial uncoupling and/or PH across diseases?
- Do specific insertion/deletion polymorphisms of ACE genotypes carry a role in explaining the variability in prevalence and severity of excess ventilation in HFrEF?
- \bullet What are the relative contributions of low lung compliance versus increased reflex stimulation in decreasing V_T , and consequently, increasing $V_{D_{phys}}$ in patients with HFpEF?
- How is the severity of excess exertional ventilation modulated by the different hemodynamic phenotypes of HFpEF?
- Does "stagnant hypoxia" (as seen in HFrEF) play a role in chronic carotid body sensitization in HFpEF and, potentially, PAH?

Exertional dyspnoea

- Does dyspnoea increase in tandem with, or out of proportion to, respiratory neural drive and VE:VCO₂?
- How are dyspnoea intensity and quality influenced by their putative neurochemical, gas exchange, and hemodynamic determinants?
- Is periodic breathing associated with worsening dyspnoea at a given VE:VCO₂?
- Is there a discernible relationship between changes in dyspnoea intensity and quality with decrements in neurochemical afference versus VD_{phys}?
- Is dyspnoea perception increased by coexistent prevalent symptoms on exertion, such as heightened leg discomfort?
- Do submaximal dyspnoea readings (versus work rate and/or VE) add to peak dyspnoea scores to predict negative clinical outcomes?
- What is the influence of common co-morbidities (e.g., obesity, hypertension, diabetes mellitus, atrial fibrillation) on dyspnoea perception?
- What is the minimal clinically important difference for dyspnoea reduction during submaximal exercise (according to different scales)?
- How best use exertional dyspnoea as a main outcome in clinical trials involving pharmacological and non-pharmacological interventions?

Effects of interventions

- Does VE: VCO₂ decrease to a larger extent in response to interventions aimed at reducing alveolar hyperventilation compared to those which primarily improve VD_{phys} in HFrEF, PAH, and small-vessel CTEPH?
- \bullet Does $\dot{V}E:\dot{V}CO_2$ decrease to a larger extent in response to interventions aimed at decreasing V_{Dphys} compared to those which primarily reduced alveolar hyperventilation in HFpEF and large-vessel CTEPH?
- Is there an independent (or auxiliary) role for excess ventilation in helping establish the best pharmacological treatment for dyspneic patients with HFrEF?
- Are HFrEF patients showing low DL_{CO} (signaling impaired pulmonary gas exchange) particularly prone to respond to ACE inhibitors rather than angiotensin receptor blockers?
- Is the unduly high $\dot{V}E:\dot{V}CO_2$ in combined pre- and post-capillary PH a reflection of the added negative hemodynamics effects of the former or only a mere reflect of more advanced HF?
- Is sildenafil particularly effective in lessening excess ventilation in HFrEF showing PH and impaired muscle O₂ extraction?
- Is $\dot{V}E:\dot{V}CO_2$ consistently increased in patients in the early stages of PH i.e., those showing mPAP between 20-25 mmhg?
- Is there a role for pharmacological or non-pharmacological modulation of the adrenergic tonus in the early stages of PAH?
- What does explain the marked variability of the effects of pulmonary vasodilators on excess ventilation in patients with PH?
- Does the beneficial effect of pulmonary vasodilation (or lack thereof) on excess ventilation and dyspnoea differ between treatment target pathways (e.g., nitric oxide, endothelin, and prostacyclin) and between cardiocirculatory disease entities?
- Can a high $\dot{V}E:\dot{V}CO_2$ (alone or in association with a low PETCO₂) help in selecting patients with small vessel, distal CTEPH or even dyspneic post-pulmonary embolism patients showing persistent perfusion deficits to receive pulmonary vasodilators?

Abbreviations and symbols: ACE: angiotensin-converting enzyme; CTEPH: chronic thromboembolic pulmonary hypertension; DL_{CO} : lung diffusing capacity for carbon monoxide; EMGdi: diaphragm electromyography; HFrEF: heart failure with reduced ejection fraction; HFpEF: heart failure with preserved ejection fraction;; mPAP: mean pulmonary arterial pressure; Pa: arterial partial pressure; PAH: pulmonary arterial hypertension; RV: right ventricle; \dot{V} A: alveolar ventilation; \dot{V} E: minute ventilation; \dot{V} CO₂: carbon dioxide output; \dot{V} Dphys: physiological dead space.

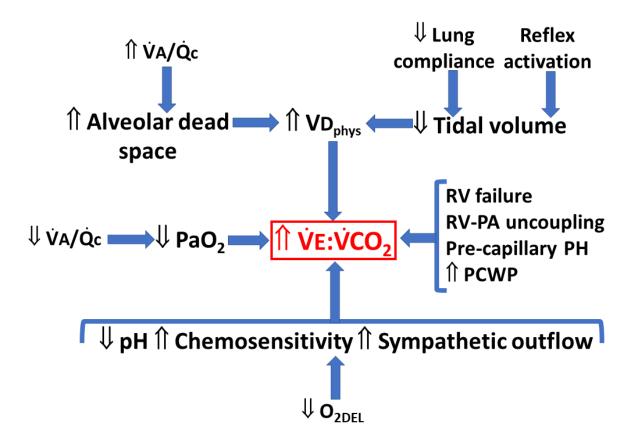


Figure 1. Main abnormalities in cardiopulmonary interactions that may interfere with the ventilatory control system during exercise, leading to excess exertional ventilation (increased ventilation (\mathbf{VE}):pulmonary carbon dioxide output ($\mathbf{VCO_2}$) relationship) in patients with cardiac and/or pulmonary vascular disease See text for elaboration. Symbols and Abbreviations: $||\cdot|$: increased; $||\cdot|$: decreased; $||\cdot|$ delivery; PA: pulmonary artery; Pa: arterial partial pressure; PCWP: pulmonary capillary wedge pressure; PH: pulmonary hypertension; $||\dot{Q}$ c: capillary perfusion; RV: right ventricle; $|\dot{V}$ A: alveolar ventilation; $|\dot{V}$ E: minute ventilation; $|\dot{V}$ CO₂: carbon dioxide output; VD_{phys}: physiological dead space.

^{*} We avoid the term "ventilatory inefficiency" to refer to an increased \dot{V}_E : $\dot{V}CO_2$ since a) there is no "inefficiency" (in fact, quite the opposite) when a high \dot{V}_E : $\dot{V}CO_2$ is associated with hypocapnia, and b) a high VD_{phys} exposes gas exchange, not "ventilatory", inefficiency.

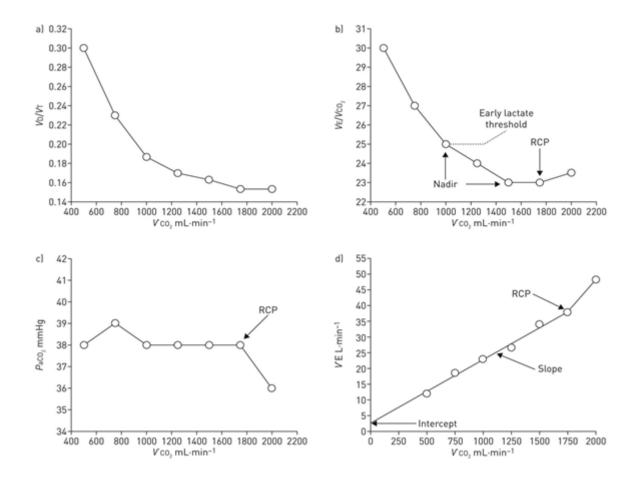


Figure 2. Selected ventilatory and gas exchange responses to incremental CPET in a young healthy male. Proportional decreases in dead space (V_D) /tidal volume (V_T) (a) and ventilation (\dot{V}_E) /carbon dioxide output $(\dot{V}CO_2)$ (b) ratios maintain arterial carbon dioxide partial pressure $(PaCO_2)$ close to resting value during mild-to-moderate exercise (c). The $\dot{V}_E/\dot{V}CO_2$ response contour is established by both slope and intercept of the linear $\dot{V}_E/\dot{V}CO_2$ relationship (d). Thus, the lowest (nadir) $\dot{V}_E/\dot{V}CO_2$ closely approximates slope plus intercept. $\dot{V}_E.\dot{V}CO_2$ increases out of proportion to $\dot{V}CO_2$ after the respiratory compensation point (RCP) (b–d) leading to respiratory alkalosis (c) to compensate for progressive lactic acidemia. Note the increases in nadir when the lactate threshold is reached at a low exercise intensity, i.e., before the stabilization of $\dot{V}_E/\dot{V}CO_2$. See text for further elaboration.

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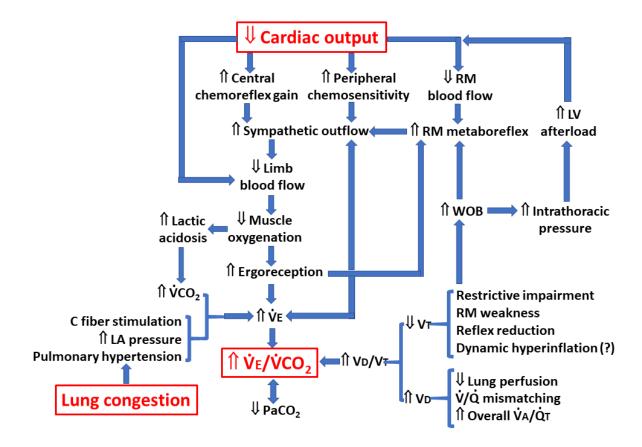


Figure 3. The main mechanisms linking the fundamental pathophysiological features of heart failure (low cardiac output and lung congestion) with excess exertional ventilation, i.e., high ventilation ($\dot{V}E$):carbon dioxide output ($\dot{V}CO_2$) relationship. The relative importance of individual mechanisms varies according to disease phenotype, i.e., heart failure with reduced versus preserved ejection fraction. See text for elaboration.

Symbols and Abbreviations: $\hat{\parallel}$: increased; $\hat{\lor}$: decreased; LA: left atrium; LV: left ventricle; RM: respiratory muscles; Pa: arterial partial pressure; $\hat{Q}T$: cardiac output; $\hat{V}A$: alveolar ventilation; VD: dead space; VT: tidal volume; WOB: work of breathing

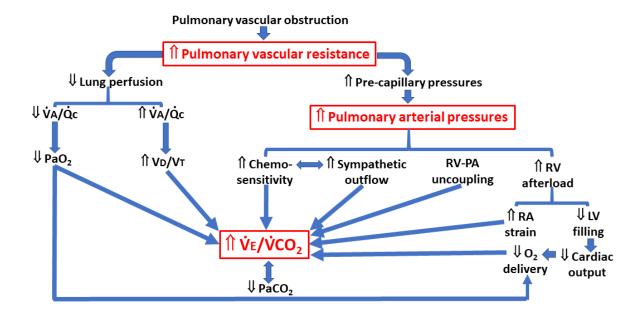


Figure 4. The main mechanisms linking the fundamental pathophysiological features of pulmonary hypertension (increased pulmonary vascular resistance and pulmonary arterial pressures) with excess exertional ventilation, i.e., high ventilation (\mathring{V} E):pulmonary carbon dioxide output ($\mathring{V}CO_2$) relationship. The relative importance of individual mechanisms varies in pulmonary arterial hypertension (PAH) and chronic thromboembolic pulmonary hypertension (CTEPH). See text for elaboration.

Symbols and Abbreviations: $\hat{\parallel}$: increased; $\hat{\parallel}$: decreased; LV: left ventricle; RA: right atrium; RV: right ventricle; Pa: arterial partial pressure; PA: pulmonary artery; $\hat{Q}c$: capillary perfusion; $\hat{V}A$: alveolar ventilation; VD: dead space volume; VT: tidal volume.