Evaluating the sensitivity and specificity of NEATstik technology compared to an activity-based immunoassay in sputum samples from participants with COPD

To the Editor:

Chronic bacterial infection may play an important role in the progression of COPD [1] with the pulmonary inflammatory response driven by neutrophils [2]. Neutrophil elastase (NE) is a serine protease stored and secreted by neutrophils [3] and is an essential defence against bacterial infection [4]. Proteases are produced and stored in the latent form and are only activated upon appropriate signalling [5]. Active sputum NE is a potential biomarker for infection in several respiratory diseases including, but not limited to, cystic fibrosis, COPD and bronchiectasis [6–10], with levels correlating to bacterial infection [11] and future risk of exacerbations in patients with bronchiectasis [12]. NE has been shown to be active in host defence against bacteria [4], both intracellularly and *via* extracellular traps [13]. NEATstik is a point of care test for NE which gives a qualitative result from sputum in 10 min with minimal processing. This may benefit clinical practice as a rapid indicator of bacterial infection and neutrophil activation.

We investigated the sensitivity of the NEATstik to correctly identify elevated NE levels (measured by the ProteaseTag active NE immunoassay) in participants with COPD at stable state. We also compared NE levels with symptoms, lung function and airway inflammation.

Participants entering an observational study completed lung function tests, health questionnaires using the COPD assessment test [14], symptoms using the (visual analogue score (VAS) [15] and, providing blood and spontaneous (47%) or induced sputum samples (53%) (research ethics committee reference 08/H0406/189). All samples (n=30) were collected at stable state. Sputum plugs were collected and processed immediately and a cell differential and bacterial load assessment (colony forming units) were obtained. An additional sputum plug was used to quantify active NE. The sputum plug was split to test with the NEATstik and ProteaseTag active NE immunoassay. All samples were processed as per manufacturer's instructions, which included a maximum sputum weight and volume of supernatant of 2 g and 10 g respectively. The median sputum weight used was 0.07 g (interquartile range (IQR) 0.03–0.164) for the NEATstik and 0.08 g (0.05–0.164) for the ProteaseTag active NE immunoassay. In brief, one portion of the sputum plug was diluted 10-fold in sample dilution buffer and 70 μL of this solution was then removed and placed in the "sample port" on the NEATstik. The second portion of the sputum plug was diluted five-fold with PBS, mixed thoroughly and then centrifuged (at 4°C, 3000×g for 30 min). The supernatant was removed and NE levels were measured using the ProteaseTag active NE immunoassay, following manufacturer's instructions. All samples were diluted 1 in 100 and run in duplicate. The standards were from 15.63 ng·mL^{-1} to 1000 ng·mL^{-1}. An NE cut-off of 8000 ng·mL^{-1}, using the ProteaseTag assay was assigned positive as per the manufacturer's instruction. The positive predictive value and sensitivity of NEATstik to identify an elevated NE activity was analysed.

30 COPD participant samples were selected (males=20) with a mean age of 68 (range 45–82) years. The majority were ex-smokers (n=21) with a mean±SD smoking history and forced expiratory volume in 1 s (FEV$_1$) of 47±45 pack-years and 49±25% pred, respectively. A positive NEATstik was detected in five sputum samples. The median ProteaseTag NE was 820.8 (IQR 400.0–1354.0) ng·mL^{-1} and three samples had elevated values (above 8000 ng·mL^{-1}) and thus assigned positive; these were also positive using the NEATstik method. Table 1

TABLE 1 Comparison of lung function, participant health and inflammation across positive and negative groups utilising both the ProteaseTag and NeatStik tests

	ProteaseTag NE positive	ProteaseTag NE negative	p-value	NEATStik positive	NEATStik negative	p-value
Subjects n	3	27		5	25	
Male	3 (100)	17 (63)	0.532	5 (100)	15 (60)	0.140
Age years[¶]	73 (69–77)	67 (45–82)	0.306	73 (69–77)	67 (45–82)	0.167
Ex-smokers	3 (100)	18 (67)	0.532	5 (100)	16 (64)	0.286
Smoking history[¶] pack-years	32 (32–32)	47 (19–54)	0.745	46 (32–53)	47 (18–54)	0.976
FEV_1 % predicted	23±2	51±25	0.130	28±7	53±26	0.071
Total VAS mm	271.5±53.0	128.7±98.1	0.055	234.0±66.7	123.0±99.1	0.042*
VAS cough	67.5±6.4	34.5±27.9	0.107	58.8±14.6	33.3±28.0	0.089
VAS breathlessness	60.5±26.2	33.7±27.9	0.200	59.8±17.6	31.5±27.8	0.062
VAS sputum production	75.5±2.1	31.4±29.4	0.047*	64.0±15.9	29.6±29.8	0.035*
VAS sputum discolouration	68.0±18.4	29.1±28.5	0.071	51.5±25.4	28.6±29.3	0.154
CAT units	29.0±5.7	19.6±9.4	0.185	26.0±6.6	19.6±9.7	0.282
Blood neutrophils[#] ×10^9 per L	5.24 (4.21–15.98)	4.97 (3.91–6.30)	0.467	6.29 (4.73–11.39)	4.93 (3.88–5.82)	0.099
Blood eosinophils[#] ×10^9 per L	0.16 (0.02–0.18)	0.18 (0.15–0.27)	0.307	0.18 (0.09–0.39)	0.18 (0.14–0.21)	0.703
Sputum total cell count[#] ×10^6 per g	32.58 (8.02–40.45)	2.55 (0.90–5.50)	0.005*	22.18 (4.24–36.52)	2.55 (1.25–4.79)	0.056
Sputum neutrophil count[#] ×10^6 per g	11.84 (3.95–14.00)	0.49 (0.23–1.32)	0.002*	9.06 (2.02–12.92)	0.49 (0.24–1.25)	0.043*
Sputum neutrophil %	91±10	71±16	0.036*	86±10	70±16	0.039*
Sputum eosinophil[#] %	0.20 (0.00–1.00)	1.00 (0.20–5.00)	0.231	1.00 (0.10–10.00)	1.00 (0.20–3.25)	0.837
Colony forming unit[#] ×10^6 per mL	21.33 (21.00–21.65)	0.38 (0.21–0.67)	0.008*	21.33 (21.00–21.65)	0.38 (0.21–0.67)	0.008*

Data are presented as n (%) or mean±SD, unless otherwise stated. NE: neutrophil elastase; FEV_1: forced expiratory volume in 1 s; VAS: visual analogue scale, performed on 100 mm line from "no symptoms" to "worst symptoms", higher scores represent worse symptoms (total score addition of measured domains: cough, dyspnoea, sputum production and sputum purulence); CAT: COPD assessment questionnaire, eight questions on a 1 to 5 point scale. [#]: median (interquartile range); [¶]: mean (range). *: p<0.05.

shows the clinical and sputum characteristics of samples positive with NeatStik or ProteaseTag compared to those that were negative. Samples with elevated NE levels measured using both the NEATstik and ProteaseTag assay had significantly higher bacterial load and sputum neutrophils. The ProteaseTag assay also had a significantly higher sputum total cell count and the NEATStik had a higher total score on the VAS questionnaire. The individual VAS domains of cough, breathlessness, sputum production and sputum discolouration, demonstrated that symptoms of sputum production was significantly elevated in the samples with elevated NE levels. The sensitivity and specificity of NEATStik® to identify an elevated ProteaseTag® NE was 100% (95% CI 44–100) and 93% (95% CI 77–99), respectively, with a positive and negative predictive value of 60% and 100%, respectively. ProteaseTag NE correlated with airway inflammation (sputum total cell count r=0.390, p=0.048 and absolute sputum neutrophils r=0.435, p=0.026) and bacterial load (r=0.650, p=0.002), with a trend to high ProteaseTag NE correlating with worsened lung function (FEV_1 % predicted r= −0.264, p=0.203) and VAS symptoms (VAS r=0.372, p=0.067). There was no significant difference between NE levels in ex- or current smokers (p=0.555).

NEATstik is sensitive and specific for identifying an elevated active NE level, and this was linked to increased sputum neutrophil counts, bacterial load in the lungs and symptoms of sputum production. The NEATstik has potential to be a rapid method to identify bacterial infection. The NE ProteaseTag cut-off used was first identified in patients with bronchiectasis patients [7] and thus may underestimate infection in patients with COPD. Additional analysis at lower cut-offs may thus be required.

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