The anti-IgE antibody omalizumab reduces exacerbations and steroid requirement in allergic asthmatics


ABSTRACT: The clinical benefit and steroid-sparing effect of treatment with the anti-immunoglobulin-E (IgE) antibody, omalizumab, was assessed in patients with moderate-to-severe allergic asthma.

After a run-in period, 546 allergic asthmatics (aged 12–76 yrs), symptomatic despite inhaled corticosteroids (500–1,200 μg daily of beclomethasone dipropionate), were randomized to receive double-blind either placebo or omalizumab every 2 or 4 weeks (depending on body weight and serum total IgE) subcutaneously for 7 months. A constant beclomethasone dose was maintained during a 16-week stable-steroid phase and progressively reduced to the lowest dose required for asthma control over the following 8 weeks. The latter dose was maintained for the next 4 weeks. Asthma exacerbations represented the primary variable.

Compared to the placebo group, the omalizumab group showed 58% fewer exacerbations per patient during the stable-steroid phase (p<0.001). During the steroid-reduction phase, there were 52% fewer exacerbations in the omalizumab group versus the placebo group (p<0.001) despite the greater reduction of the beclomethasone dosage on omalizumab (p<0.001). Treatment with omalizumab was well tolerated. The incidence of adverse events was similar in both groups.

These results indicate that omalizumab therapy safely improves asthma control in allergic asthmatics who remain symptomatic despite regular use of inhaled corticosteroids and simultaneous reduction in corticosteroid requirement.


Keywords: Airway inflammation allergic asthma anti-allergic drugs anti-immunoglobulin-E antibody immunoglobulin-E omalizumab

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Patients with mild and moderate asthma are usually well controlled by inhaled corticosteroids and β2-adrenoceptor agonists [1–5] but some patients are more difficult to manage and remain symptomatic despite high dose inhaled corticosteroids and suffer from frequent exacerbations [4, 5]. This indicates persistent airway inflammation due to insufficient response or poor compliance to the prescribed corticosteroid regimen.

A central pathophysiological mechanism of allergic inflammation is the release of a number of pro-inflammatory mediators, including histamine, prostaglandins, leukotrienes, chemokines and cytokines, when the allergen binds to the immunoglobulin-E (IgE) located on the surface of effector cells [6–10]. Patients with allergic asthma of all ages have higher than normal serum IgE levels and these correlate with the heightened bronchoconstrictor response to a variety of stimuli and the occurrence of symptoms [11–14]. An IgE-mediated inflammatory response may therefore, contribute to the persistence of airway hyperresponsiveness and symptoms in allergic asthmatics.

In this context, the recent development of an anti-IgE antibody has opened a promising approach to the management of allergic asthma and other allergic inflammatory disorders [15–20]. The use of this agent dramatically reduces the serum concentrations of free IgE immediately after the first injection [17] and IgE blockade results in the attenuation of both early and late asthmatic responses to allergen inhalation, reduced eosinophil count in the sputum, decreased airway hyperresponsiveness, and improved symptom control in patients with allergic asthma [15–17]. The validity of this approach is supported by the results of studies in patients with seasonal allergic rhinitis, where the clinical efficacy of the anti-IgE antibody was related to the reduction in serum free IgE concentrations [19, 20].
Omalizumab (Xolair™), also referred to as rhuMAb-E25 in the literature [15–20], is a recombinant humanized monoclonal antibody [21] that recognizes IgE at the same site as the high-affinity receptor for IgE (FcεRI) [22]. It forms complexes with circulating free IgE and prevents the binding of IgE to the high- and low-affinity receptors on cell membranes. It, therefore, impedes the recognition of the allergen by the effector cells such as mast cells, eosinophils, macrophages and dendritic cells, and inhibits their allergen-induced activation [23–25]. The antibody does not bind to cell-bound IgE and, therefore, does not trigger cell activation by cross-linking of the IgE molecules on cell membranes.

When administered by intravenous injection in patients with moderate-to-severe allergic asthma [17], this agent was well tolerated. It improved asthma control and quality of life compared to placebo, and allowed a significant reduction in the dose of both inhaled and oral corticosteroids [17].

The aim of this study was to evaluate the efficacy, safety and corticosteroid-sparing effect of omalizumab administered subcutaneously in allergic asthma.

Materials and methods

Patients

Eligible subjects were patients aged 12–75 yrs with a diagnosis of asthma of at ≥ 1 yr duration who met the standard criteria of the American Thoracic Society (ATS) [26] and the following additional criteria: a positive skin-prick test to at least one of the allergens Dermatophagoides farinae, D. pteronyssinus, dog or cat; serum total IgE level ≥30 and ≤700 International Units (IU)-mL⁻¹ and body weight ≤150 kg to allow optimal dosing of omalizumab; baseline forced expiratory volume in one second (FEV₁) off bronchodilators ≥40% and ≤80% of predicted increasing by ≥12% within 30 min of taking inhaled salbutamol; a mean total daily symptom score of ≥3.0 (maximum 9) during the 14 days prior to randomization; treatment with inhaled corticosteroids in doses equivalent to 500–1,200 μg of beclometasone dipropionate (BDP) per day for ≥3 months prior to randomization and use of β₂-adrenoceptor agonists on an as-needed or regular basis. Asthma had to be stable, with no significant change in regular medication and no acute exacerbation requiring additional corticosteroid treatment for ≥1 month prior to the screening visit. Patients regularly taking oral corticosteroids were not included.

The study protocol was approved by the institutional review board at each site, and all patients or their parents or guardians, when appropriate, gave written informed consent.

Study design

This was a multicentre, randomized, double-blind, placebo-controlled, parallel-group study. After a run-in period of 4–6 weeks, patients were randomized to receive either placebo or omalizumab subcutaneously for 7 months. A table incorporating body weight and total IgE at screening was used to ensure omalizumab administration of ≥0.016 mg·kg⁻¹ body weight⁻¹ per International Units of total serum IgE·mL⁻¹ every 4 weeks (table 1). For patients requiring 150–300 mg omalizumab, administration was at 4-week intervals. For patients requiring 450–750 mg omalizumab, the monthly dose was divided into two equal portions administered at 2-week intervals to minimize the number of injections at one time and still maintain adequate drug levels.

The use of rescue medication with salbutamol, delivered by a pressurized metered-dose inhaler (100 μg per puff), was allowed throughout the study.

During run-in, all patients were switched to inhaled BDP using the dose at which they were stable. This dose was maintained constant during the first 16 weeks of the study (stable-steroid phase). In the following 12 weeks (steroid-reduction phase) all patients were seen every 2 weeks. The BDP dose was reduced by 25% of the baseline dose at each visit for 8 weeks until total elimination, or until there was a decrease in FEV₁ of ≥20% compared to the last measurement of the previous phase (last week of run-in for the stable-steroid phase) or the development of an event defining asthma worsening as described below. If there was a decrease in FEV₁ of ≥20%, or asthma worsening occurred, the previous dose of BDP was resumed. The lowest BDP dose required for asthma control was held for the remaining 4 weeks.

Serum free IgE levels were measured at different time points before and during treatment by a published method [18].

Outcome measures

The primary efficacy variables were the number of asthma exacerbations experienced per patient during the stable-steroid phase and the steroid-reduction phase of the study.

Patients were provided with written instructions to contact the investigator if any of the following events, indicating asthma worsening, occurred: deterioration of symptoms requiring an urgent or unscheduled visit;

<table>
<thead>
<tr>
<th>Baseline IgE IU·mL⁻¹</th>
<th>Body weight kg</th>
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<tbody>
<tr>
<td>30–60</td>
<td>&gt;60–70</td>
</tr>
<tr>
<td>30–100</td>
<td>150</td>
</tr>
<tr>
<td>&gt;100–200</td>
<td>300</td>
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<tr>
<td>&gt;200–300</td>
<td>300</td>
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<tr>
<td>&gt;300–400</td>
<td>225</td>
</tr>
<tr>
<td>&gt;400–500</td>
<td>300</td>
</tr>
<tr>
<td>&gt;500–600</td>
<td>300</td>
</tr>
<tr>
<td>&gt;600–700</td>
<td>375</td>
</tr>
</tbody>
</table>

The doses in bold are every 2 weeks whilst the others are every 4 weeks. IU: International Units.
peak expiratory flow (PEF) \(<50\%\) of patient’s personal best; decrease in morning PEF \(\geq 20\%\) on \(\geq 2\) of 3 successive days, compared to the last week of the previous phase (last week of run-in for the stable-steroid phase); a \(\geq 50\%\) increase in rescue medication on \(\geq 2\) of 3 successive days, compared to the average use for the last week of the previous phase and exceeding eight puffs of salbutamol per day; \(\geq 2\) of 3 successive nights with awakening due to asthma symptoms requiring rescue medication. In the evaluation of patients with worsening of asthma and in the management of acute exacerbations, the investigators were to adhere to current guidelines [1].

For efficacy analysis, asthma exacerbation was defined as a worsening of symptoms requiring treatment with systemic corticosteroids or doubling the baseline dose of BDP determined during the run-in period.

Secondary variables were the number of patients experiencing at least one asthma exacerbation during both the stable-steroid and the steroid-reduction phases, per cent reduction in the BDP dose at the end of the steroid-reduction phase as a continuous variable and by category, salbutamol rescue use, asthma symptom scores, morning PEF and FEV1 as % predicted.

Symptoms of asthma, morning PEF and the number of inhalations of rescue medication during the day and at night were recorded daily in the patient diary. Patients used a 4-point scale to rate their symptoms during the day and at night, with 0 indicating no symptoms and 4 indicating breathing problems at rest with major discomfort that limited routine activity during the day and provoked night-time symptoms despite the use of rescue medication. The total score was also computed as the sum of the daytime and nocturnal scores plus morning score of yes=1 or no=0 for symptoms on awakening, with a maximum score of 9.

Quality of life was assessed as an additional efficacy variable but, due to space limitations, the results will be published separately.

**Statistical analysis**

Statistical analyses were performed using all randomized patients (intent-to-treat population). The number of asthma exacerbations per patient and the number of patients experiencing at least one asthma exacerbation were analysed in each phase using the generalized Cochran-Mantel-Haenszel test (van Elteren test) stratified by dosing schedule (2 or 4 weekly dosing). An \textit{a priori} adjustment was made for patients who discontinued prematurely within either phase adding 1 exacerbation for every 2 weeks (of missed treatment phase) to any observed asthma exacerbation count for that phase. For patients discontinuing in the stable-steroid phase to be included in the steroid-reduction phase, the asthma exacerbation counts were taken as the maximum observed within the steroid-reduction phase+1. In order to avoid duplication, asthma exacerbations were not counted as adverse events unless they caused hospitalization (serious adverse event by default).

The per cent reduction in the BDP dose and the proportion of patients who reduced the BDP use were analysed using the generalized Cochran-Mantel-Haenszel test stratified by dosing schedule. Subjects who did not enter the steroid-reduction phase were included in the analysis with 0% BDP reduction.

All the other efficacy variables were analysed by the generalized Cochran-Mantel-Haenszel test, the Cochran-Mantel-Haenszel test or by the analysis of covariance with baseline values as a covariate, as appropriate. During the steroid-reduction phase, the analysis of these variables was performed \textit{post hoc}.

The study was powered to show a difference in the number of asthma exacerbations in both phases, using the binomial approximation to the normal distribution. Based on the results of a previous study [17], it was anticipated that 68% and 69% of the patients on placebo and 80% and 82% of the patients on omalizumab would not experience an asthma exacerbation in the stable-steroid and steroid-reduction phases, respectively. To achieve this, 550 patients were randomized. These calculations were based on \(\geq 85\%\) power and 5% significance level.

**Results**

**Patients**

A total of 546 patients were randomized: 274 to omalizumab and 272 to placebo. Four-hundred and eighty-seven patients completed the study: 255 on omalizumab and 232 on placebo.

Twice as many patients in the placebo group (40, 14.7%) as in the omalizumab group (19, 6.9%) discontinued prematurely. Of the 19 patients who discontinued omalizumab, 13 (4.7%) discontinued during the stable-steroid phase and 6 (2.2%) during the steroid-reduction phase, compared to 27 (9.9%) and 13 (4.8%) discontinuing placebo. Fourteen patients (5.1%) on placebo \textit{versus} three patients (1.1%) on omalizumab withdrew their consent. Eleven patients (three on omalizumab and eight on placebo) discontinued because of unsatisfactory therapeutic effect. No patient in the omalizumab group withdrew due to an adverse event \textit{versus} five patients (1.8%) in the placebo group.

A summary of the demographic and baseline characteristics is reported in table 2. The treatment groups were well balanced with respect to demographics.

**Change in serum free immunoglobulin-E**

In the patients on omalizumab, across the dosing regimens, median serum free IgE ranged from 145–1,246 ng·mL\(^{-1}\) (60–515 IU·mL\(^{-1}\)) at baseline, 11–17 ng·mL\(^{-1}\) (5–7 IU·mL\(^{-1}\)) predose at the end of the stable-steroid phase, and 12–19 ng·mL\(^{-1}\) (5–8 IU·mL\(^{-1}\)) predose at week 24 of the treatment period. The reduction in serum free IgE from baseline during the treatment period ranged 89–99%.
Asthma exacerbations

The analysis of the number of asthma exacerbations per patient in the intent-to-treat population demonstrated statistically significant differences between omalizumab and placebo in favour of omalizumab for both stable-steroid and steroid-reduction phases (p<0.001) (table 3). The same analysis carried out after removal of patients with major protocol violations gave similar results (data not shown).

The percentage of patients experiencing at least one exacerbation was also significantly lower in the omalizumab group than in the placebo group for both phases (p<0.001) (table 3).

Approximately 80% of asthma exacerbations in both treatment groups were treated with systemic corticosteroids.

Table 3. – Asthma exacerbations during the stable-steroid and steroid-reduction phases in the intent-to-treat population

<table>
<thead>
<tr>
<th></th>
<th>Stable-steroid phase</th>
<th>Steroid-reduction phase</th>
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<tbody>
<tr>
<td></td>
<td>Omalizumab</td>
<td>Placebo</td>
</tr>
<tr>
<td>Asthma exacerbations per patient</td>
<td>0.28 (0.15–0.41)</td>
<td>0.66 (0.49–0.83)</td>
</tr>
<tr>
<td>Patients with ≥1 asthma exacerbations</td>
<td>35 (12.8)</td>
<td>83 (30.5)</td>
</tr>
<tr>
<td></td>
<td>Omalizumab</td>
<td>Placebo</td>
</tr>
<tr>
<td></td>
<td>0.36 (0.24–0.48)</td>
<td>0.75 (0.58–0.92)</td>
</tr>
<tr>
<td></td>
<td>43 (15.7)</td>
<td>81 (29.8)</td>
</tr>
</tbody>
</table>

Data were analysed by the generalized Cochran-Mantel-Haenszel test (van Elteren test), and presented as mean (95% confidence interval) or n (%). p<0.001.
of omalizumab were seen during the entire stable-steroid phase. The improvement of symptoms in the omalizumab group persisted during the steroid-reduction phase despite the greater reduction in the BDP dose on omalizumab. The beneficial effect of omalizumab was particularly evident for symptoms occurring during the night (fig. 3).

The median number of puffs of rescue medication was also lower in the omalizumab group than in the placebo group during the entire period of treatment (fig. 4). The difference in favour of omalizumab was statistically significant during the stable-steroid phase and remained significant during the steroid-reduction phase despite the more marked reduction in the BDP dosage on omalizumab.

Morning peak expiratory flow and forced expiratory volume in one second

The analysis of mean morning PEF revealed statistically significant differences between treatments in favour of omalizumab at all time points of the stable-steroid phase. This improvement continued during the steroid-reduction phase (fig. 5).

FEV1 was significantly better in the omalizumab group than in the placebo group between weeks 4 and 12 of the stable-steroid phase (fig. 5b). During the steroid-reduction phase, mean FEV1 values were significantly higher on omalizumab than on placebo between weeks 18 and 28 (fig. 5b).

Safety and tolerability

There were no deaths in this study. Nine (3.3%) patients on omalizumab and three (1.1%) patients on placebo had serious adverse events, excluding asthma exacerbations. Those on omalizumab were traumatic finger amputation, depression, appendicitis, flu-like syndrome, suspected eosinophilic granuloma of the skull, intestinal villous adenoma with dysplasia, infectious mononucleosis, squamous cell carcinoma of the face, and left arm fractures. Those in the placebo group were angina pectoris, tachyarrhythmia,
The symptoms of allergic diseases are due to an inflammatory process where IgE-mediated events play a crucial role [6–10]. By decreasing serum free IgE levels, omalizumab (rhuMAb-E25, Xolair™) provides a specific method to treat these diseases, irrespective of the particular allergen involved. Previous studies in allergic asthmatics [15, 16] have indicated that omalizumab reduces the allergen-induced late asthmatic response, airway hyperresponsiveness and sputum eosinophilia, suggesting that this agent may have a long-term anti-inflammatory effect.

In asthma, acute exacerbations are the clinical expression of inadequate disease control with a strong impact on disease morbidity, mortality, quality of life and total costs of illness [1, 2, 27]. This recognition has increasingly induced investigators to choose exacerbation rate as the primary outcome measure in clinical trials evaluating the effectiveness of new anti-asthmatic drugs [28, 29].

The results of this randomized, double-blind, placebo-controlled study indicate that the addition of omalizumab in allergic asthmatics who remain uncontrolled despite regular treatment with inhaled corticosteroids, simultaneously reduces both asthma exacerbations and corticosteroid requirement while improving other parameters of disease control.

There were 58% fewer asthma exacerbations per patient during the stable-steroid phase in the omalizumab group than in the placebo group. Significantly lower exacerbation rates were also observed in the omalizumab group as compared to the placebo group during the steroid-reduction phase, with 52% fewer episodes despite the greater reduction in the BDP dose and use of salbutamol rescue medication in the patients on omalizumab.

The steroid-reduction phase of 3 months may have been too short to fully observe an asthma worsening. However, in a study examining exacerbations of asthma induced by corticosteroid withdrawal, where the daily dose of BDP was reduced by 200 μg at weekly intervals [30], each subject developed an exacerbation of symptoms between 7 and 26 days after onset of steroid reduction. In addition, according to the data from a retrospective study of >12,000 asthmatic patients [31], the estimated mean number of asthma exacerbations per year in patients with moderate-to-severe asthma (BTS treatment steps 3–5) would be 2–2.5 per patient [31]. Therefore, the mean number of asthma exacerbations experienced by the patients on placebo during the 3 months of the steroid-reduction phase (0.75) would be higher than that expected in a similar population for a similar period of observation, while the mean number of asthma exacerbations were redness, warmth and itching. The majority of these events were mild in severity. Of the seven patients who experienced urticaria during the study, five were receiving placebo. None of these events were severe.

No patient on omalizumab developed anti-omalizumab antibodies.

**Discussion**

The symptoms of allergic diseases are due to an inflammatory process where IgE-mediated events play a crucial role [6–10]. By decreasing serum free IgE levels, omalizumab (rhuMAb-E25, Xolair™) provides a specific method to treat these diseases, irrespective of the particular allergen involved. Previous studies in allergic asthmatics [15, 16] have indicated that omalizumab reduces the allergen-induced late asthmatic response, airway hyperresponsiveness and sputum eosinophilia, suggesting that this agent may have a long-term anti-inflammatory effect.

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exacerbations experienced by the patients on omalizumab over the same period would be >50% lower.

The benefit of treatment with omalizumab is supported by the evidence that no patient in the omalizumab group was hospitalized due to asthma exacerbations versus six patients in the placebo group. Furthermore, the number of withdrawals was two-fold lower on omalizumab than on placebo (19 versus 40).

There was a remarkable ability of placebo-treated patients to reduce the corticosteroid dose (50%). This may be due to an excessive BDP dose at entry, but the patients were still symptomatic at this dose. Alternatively, it may be the expression of an improved compliance with BDP treatment resulting from the frequent assessment by a physician and encouragement to adhere to therapeutic regimens during the study. Despite this marked placebo effect, BDP reduction was significantly greater in omalizumab-treated patients (83%), and more than twice as many patients in the omalizumab group (43%) as in the placebo group (19%) completely withdrew BDP.

Results of the other secondary efficacy variables reflecting the degree of asthma control were consistent with those of the primary variables, including symptoms score, morning PEF and FEV1, with treatment differences invariably in favour of omalizumab during both the stable-steroid and steroid-reduction phases.

The absolute improvement in morning PEF and FEV1 observed in the omalizumab group was relatively small. However, patients enrolled in this study were already receiving the anti-inflammatory therapy recommended by current guidelines for treatment of chronic persistent asthma of similar severity [1, 2]. The addition of another agent with anti-inflammatory effects, which does not possess bronchodilator properties, is not expected to provide large improvements in airflow obstruction outside asthma exacerbations in these circumstances.

The safety profile of omalizumab was excellent, and treatment was well tolerated. There were no drug-related serious adverse events.

The results of this study confirm and extend those reported in an initial trial investigating the efficacy of omalizumab administered by intravenous injection [17]. The subcutaneous route of administration and the dosing schedule adopted in the present study are those proposed for therapeutic use and, therefore, the results have more practical relevance. Other original aspects of this work include the selection of the primary end-point (reduction in the number of asthma exacerbations versus an improvement in asthma symptom score), a larger and more homogeneous population of asthmatic patients with a wider age range, and an extended duration of both treatment phases.

In conclusion, the study indicates that therapy with omalizumab is effective and safe in allergic asthma and offers an innovative solution to control the disease while reducing corticosteroid consumption. Its optimal use might be in the long-term management of patients with a difficult-to-treat disease, those suffering from persistent symptoms despite conventional corticosteroid treatments, and those who need a high dose of inhaled corticosteroids or have side-effects associated with their use. The infrequent dosing schedule may greatly enhance compliance with treatment in allergic asthmatic patients requiring complex therapeutic regimens. In addition, since omalizumab is effective and safe in the management of allergic rhinitis [18, 20], patients suffering from both conditions concomitantly may also benefit from treatment.

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