

EUROPEAN RESPIRATORY journal

FLAGSHIP SCIENTIFIC JOURNAL OF ERS

**Early View** 

Original article

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Please cite this article as: Moreau A-S, Martin-Loeches I, Povoa P, *et al*. Impact of immunosuppression on incidence, aetiology and outcome of ventilator-associated lower respiratory tract infections. *Eur Respir J* 2018; in press (https://doi.org/10.1183/13993003.01656-2017).

This manuscript has recently been accepted for publication in the *European Respiratory Journal*. It is published here in its accepted form prior to copyediting and typesetting by our production team. After these production processes are complete and the authors have approved the resulting proofs, the article will move to the latest issue of the ERJ online.

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## Impact of immunosuppression on incidence, etiology and outcome of ventilatorassociated lower respiratory tract infections

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## **Conflicts of interest:**

SN: MSD, and Medtronic (lecture), CielMedical, and Bayer (advisory board); other authors none.

Funding: none

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#### ABSTRACT

The aim of this planned analysis of the prospective multinational TAVeM database was to determine the incidence, etiology and impact on outcome of ventilator-associated lower respiratory tract infections (VA-LRTI) in immunocompromised patients.

All patients receiving mechanical ventilation for >48h were included. Immunocompromised patients (n= 663) were compared with non-immunocompromised patients (n=2297).

The incidence of VA-LRTI was significantly lower in immunocompromised than in nonimmunocompromised patients (16.6% vs 24.2%, p<0.0001, Subhazard ratio 0.65 (0.53-0.80)). Similar results were found regarding ventilator-associated tracheobronchitis (VAT) (7.3% vs 11.6%, p=0.002, 0.61 (0.45-0.84)), and ventilator-associated pneumonia (VAP) (9.3% vs 12.7%, p=0.019, 0.72 (0.54-0.95)). Among patients with VA-LRTI, the rates of multidrug resistant (MDR) bacteria (72% vs 59%, p=0.011), and ICU mortality were significantly higher in immunocompromised compared with non-immunocompromised patients (54%, vs 30%, p<0.0001, OR 2.68 (95% CI 1.78-4.02)). In patients with VAP, mortality rates were higher in immunocompromised than in non-immunocompromised patients (64% vs 34%, p<0.001).

Incidence of VA-LRTI was significantly lower in immunocompromised compared with nonimmunocompromised patients, but it was associated with significantly higher mortality rate. MDR pathogens were more frequently found in immunocompromised patients with VA-LRTI.

## Keywords

Immunosuppression, lower respiratory tract infections, ventilator-associated, pneumonia, tracheobronchitis, intensive care unit.

## Abbreviations

BAL, bronchoalveolar lavage; CFU, colony forming unit; ETA, endotracheal aspirate; ICU, intensive care unit; MDR, multidrug resistant bacteria; MSSA, methicillin-sensitive *Staphylococcus aureus*; MRSA, methicillinresistant *Staphylococcus aureus*; SAPS, simplified acute physiology score; SOFA, sequential organ failure assessment; VA-LRTI, ventilator-associated lower respiratory tract infection; VAP, ventilator-associated pneumonia; VAT, ventilator-associated tracheobronchitis

#### **INTRODUCTION:**

Ventilator-associated lower respiratory tract infections (VA-LRTI) are the most common infectious complication in the intensive care unit (ICU) [1, 2]. They include both ventilator-associated tracheobronchitis (VAT) and ventilator-associated pneumonia (VAP). VAT has been proposed as an intermediate stage between colonization of the lower respiratory tract and ventilator-associated pneumonia (VAP) [3, 4]. This infection is associated higher rates of subsequent VAP, and prolonged duration of mechanical ventilation [5–7]. Recently, our group have shown that VAT was a separate entity, responsible for increased duration of mechanical ventilation and ICU length of stay in a large cohort of medical and surgical patients [2]. VAT was not associated with increased mortality rates, but transition from VAT to VAP was a risk factor for mortality and appropriate antibiotic treatment was protective. Further, several previous studies demonstrated that VAP was associated with increased morbidity, mortality, and cost in critically ill patients [8–11]. However, the mortality attributable to VAP is still a matter for debate [10, 12].

Immunocompromised patients have a particularly poor outcome in the ICU, due to a higher risk of infection, especially to opportunistic pathogens, higher severity of illness, immunosuppression itself, and poor performance status [13, 14]. They often receive large-spectrum antibiotic treatment, thus increasing the risk for developing multidrug resistant bacteria (MDR) [15]. The main cause for admission of these patients to the ICU is acute respiratory failure [16]. Although their outcomes have substantially improved in recent years, the prognosis remains poor with hospital mortality rates rising up to 60% in mechanically ventilated patients [17]. However, to our knowledge, no study to date has specifically evaluated VA-LRTI in this population. We hypothesized that immunocompromised patients would develop more VA-LRTI than non-immunocompromised patients, given the context or altered host defenses. Therefore, we conducted this study to determine the incidence, etiology and outcome of these infections in immunocompromised patients, and to compare them with patients with no apparent immunosuppression.

#### **MATERIAL AND METHODS:**

#### Patients

This study is a planned analysis of the large multinational TAVeM database, which prospectively followed patients older than 18 years admitted to 114 ICUs in Europe and Latin America, and receiving mechanical ventilation for more than 48 hours between Sept 1, 2013 and July 31, 2014 [2].

Participating centers either received ethics approval from their institutions or ethics approval was waived (institutional review board number 2013515). Informed consent was waived because of the observational nature of the study.

Immunocompromised patients were those with ongoing neoplasia, hematological malignancy, acquired immune deficiency syndrome (AIDS), allogeneic stem cell transplant, immunosuppressive drugs, or organ transplant [18].

#### **Procedures and definitions**

Patients were prospectively followed up for outcome until death or ICU discharge. Demographical data were obtained along with clinical data including comorbidities, prognostic scores, antibiotic use, and diagnostic procedures for VAP and VAT.

Shortly, the diagnosis of VA-LRTI was based on the presence of at least two of the following criteria: body temperature of more than  $38.5^{\circ}$ C or less than  $36.5^{\circ}$ C, leucocyte count greater than 12 000 cells per  $\mu$ L or less than 4000 cells per  $\mu$ L, and purulent endotracheal aspirate (ETA). Additionally, all episodes of infection had to have a positive microbiological isolation in the ETA of at least  $10^{5}$  colony-forming units (CFU) per mL, or with bronchoalveolar lavage (BAL) of at least  $10^{4}$  CFU per mL, to be included in the final analysis.

VAT was defined with the aforementioned criteria with no radiographical signs of new infiltrate; VAP was

defined by the presence of new or progressive infiltrate on chest radiograph. VAP was deemed as occurring subsequently to VAT if it was diagnosed in the 96 h period after diagnosis of tracheobronchitis, and the same microorganism caused both infections. VAP was considered as early-onset when it was diagnosed <5d, and late-onset when it was diagnosed  $\geq$ 5d, after starting mechanical ventilation [19].

Empirical antibiotic therapy was defined as that given before microbiological documentation of infection. Antibiotic treatment was considered appropriate when at least one antibiotic, active *in vitro* on all organisms causing VA-LRTI, was administrated to treat these infections [20]. Microbiological identification and susceptibility tests were performed using standard methods. MDR was defined as acquired non-susceptibility to at least one agent in three or more antimicrobial categories [21]. More details on methods are available in the TAVeM principal paper [2].

#### Outcomes

The primary aim of our study was to determine the incidence of VA-LRTI, including VAT and VAP, comparing immunocompromised patients and non-immunocompromised patients. Our secondary objectives were to determine etiology and impact on outcome (length of stay in the ICU and hospital, days with mechanical ventilation and mortality) of VA-LRTI in immunocompromised patients, as compared to non-immunocompromised patients. We also studied the effect of appropriate antibiotic treatment on progression from VAT to VAP in immunocompromised patients as compared to non-immunocompromised patients.

## Statistical analysis:

We used SPSS software (SPSS Inc, IBM, New York, NY, version 22) for data analysis. The incidence, etiology and outcome of VA-LRTI were compared between immunocompromised and non-immunocompromised patients. Only first episodes of VAT and VAP were taken into account. Categorical variables were described as numbers and frequencies (%), normally distributed continuous variables as means (standard deviation (SD)), and skewed continuous variables as medians (interquartile range (IQR)). We used  $\chi^2$  tests or Fischer's exact test to compare qualitative variables, and Student's t tests or Mann-Whitney U and non-parametric Kruskal-Wallis tests to compare normally distributed and skewed continuous variables, as appropriate. All p values were two-tailed. Differences were considered as significant if p was less than 0.05.

Cumulative incidence of VA-LRTI was estimated using extubation and death as competing risks, based on the approach of Kalbfleisch and Prentice [22]. Comparison of cumulative incidence of VA-LRTI between immunocompromised and non-immunocompromised patients was performed using Fine-Gray model [23]. Subhazard ratios (SHRs) were derived from these models, as effect size and proportional subhazards assumption were assessed by examining the Schoenfeld residuals.

Univariate and multivariate analyses were used to determine factors associated with ICU-mortality in patients with VA-LRTI. All variables with p value<0.1 by univariate analysis were included in a Cox proportional hazards regression model using a stepwise backward elimination and based on a binary outcome of being discharged from the ICU dead or alive. For each candidate factor, proportional hazards were assessed by examining the Schoenfeld residuals. Effect sizes were expressed as hazard ratios.

#### **RESULTS:**

#### **Patient characteristics**

Among the 2960 included patients, 663 (22%) had a known immunosuppression, mainly nonmetastatic solid cancer, and immunosuppressive drug use (Table 1, Figure 1). Age, simplified acute physiology score (SAPS) II, sequential organ failure assessment (SOFA) score, percentage of patients with chronic kidney disease, and medical category of admission were significantly higher in immunocompromised patients, compared with non-immunocompromised patients. Percentage of patients with alcohol abuse history was significantly lower in immunocompromised patients compared with non-immunocompromised patients compared with non-immunocompromised patients. No significant difference was found between the two groups for other patient characteristics (Table 2). Reasons for ICU admission are summarized in online supplementary material.

Among patients with VA-LRTI, the rate of prior antibiotic treatment was significantly higher in immunocompromised patients compared with non-immunocompromised patients (87 of 111 (78%) versus 355 of 540 (66%), p=0.009, OR (95% CI) 1.89 (1.16-3.1)). Similar results were found in the subgroups of patients with VAT (41/52 [79%] versus 169/263 [64%], in immunocompromised and non-immunocompromised patients, respectively, p=0.041), but not in those with VAP (46/59 [78%] versus 186/277 [67%], in immunocompromised and non-immunocompromised patients, respectively, p=0.1).

#### Incidence of VA-LRTI

The incidence of VA-LRTI was significantly lower in immunocompromised patients as compared to nonimmunocompromised patients. Similarly, the incidence of VAT or VAP was significantly lower in immunocompromised patients as compared to non-immunocompromised patients (Table 3). The rate of patients with early onset VA-LRTI [19] was not significantly different between patients with immunosuppression and those with no immunosuppression (36 of 116 (31%) vs 229 of 568 (40%), p = 0.33). Similar results were found in the subgroups of patients with VAT (16 of 52 (31%) vs 101 of 267 (38%), p = 0.32), or with VAP (20 of 64 (31%) vs 128 of 302 (42%), p = 0.099).

#### Multidrug resistant bacteria

In patients with VA-LRTI, the rate of MDR bacteria was significantly higher in immunocompromised patients compared to non-immunocompromised patients (83 of 116 (72%) vs 338 of 573 (59%) patients, p = 0.011, OR (95% CI) 1.75 (1.13-2.71). Similar results were found in the subgroup of patients with VAP (49 of 64 (78%) vs 176 of 305 (58%) patients, p = 0.005, OR (95% CI) 2.39 (1.29-4.48), but not in VAT patients (34 of 52 (65%) vs 162 of 268 (60%) patients, p = 0.52).

Among patients with VA-LRTI, although methicillin-resistant *Staphylococcus aureus* (MRSA), and *Enterobacter* spp. were significantly more frequent in immunocompromised patients as compared with non-immunocompromised patients; methicillin-sensitive *Staphylococcus aureus* (MSSA) was significantly less frequent in immunocompromised patients as compared with non-immunocompromised patients. No significant difference was found in the incidence of other bacteria between immunocompromised and non-immunocompromised patients.

#### Progression from VAT to VAP

The incidence of progression from VAT to VAP was not significantly different in immunocompromised and non-immunocompromised patients (7/52 (13%) versus 32/268 (12%), p=0.69). The percentage of patients who received appropriate antibiotic treatment for VA-LRTI was not significantly different between immunocompromised and non-immunocompromised patients (77% versus 79%, p=0.5). Similar results were obtained in VAT and VAP subgroups (data not shown). Among immunocompromised patients with VAT, 39/52 (75%) received appropriate antibiotics. The percentage of immunocompromised patients with progression from VAT to VAP was significantly lower in patients who received appropriate than in those who received inappropriate antibiotic treatment (3/39 (8%) versus 4/13 (31%), p=0.035, OR 0.19 (95% CI 0.035-0.992)).

#### Impact of immunosuppression on VA-LRTI outcomes

Among patients with VA-LRTI, ICU mortality rate was higher in immunocompromised patients as compared to non-immunocompromised patients (54% versus 30%, *p* <0.0001, OR 2.68 (95% CI 1.78-4.02)). Mortality was significantly higher in case of VAP in both groups, as compared to patients with no VA-LRTI and patients with VAT (Table 4). In VAP patients, ICU mortality was significantly higher in immunocompromised compared with non-immunocompromised patients. Furthermore, in both groups, VA-LRTI were associated with longer duration of mechanical ventilation and ICU length of stay (Table 4).

Immunosuppression was independently associated with ICU-mortality in patients with VA-LRTI (Table 5).

Additional results are provided in the online supplementary material.

#### DISCUSSION

Our results show that the incidence of VA-LRTI was significantly lower in immunocompromised patients compared with non-immunocompromised patients. Additionally, immunocompromised patients received more frequently previous antibiotic treatment and developed more frequently VAP related to MDR bacteria, as compared with non-immunocompromised patients. The development of VAT or VAP in this population was associated with increased duration of mechanical ventilation, and VAP was associated with significantly increased ICU mortality, compared with non-immunocompromised patients.

To our knowledge, this is the first study on VA-LRTI in this population. The TAVeM study is the largest prospective multicenter international and observational study of the natural history and incidence of VA-LRTI, and it generated robust and reproducible results [2].

The lower incidence of VA-LRTI, including VAT and VAP, in immunocompromised patients is rather surprising, as we expected that immunocompromised patients would develop more VA-LRTI than nonimmunocompromised patients, given the context of immunosuppression. One could argue that we underdiagnosed these infections because of neutropenia, but only nine patients had neutropenia in our large cohort. Further, diagnostic criteria used in our study were strict, and detailed microbiology was required.

The lower incidence of VA-LRTI is probably linked to a higher exposure to previous antibiotic treatment in immunocompromised patients, and as a consequence, the higher rate of MDR-related VA-LRTI in this population. Previous randomized controlled, and observational studies suggested that antibiotic treatment in mechanically ventilated patients with coma was associated with significantly reduced incidence of early-onset VAP [24–26]. However, antibiotic treatment is a well-known risk factor for late-onset VAP related to MDR [27–29]. Previous studies clearly showed that VAP related to MDR was associated with higher mortality rates, compared with VAP related to sensitive bacteria [30–32]. Several explanations

were suggested to explain the link between MDR and mortality, including higher rates of inappropriate antibiotic treatment, patient's underlying conditions, altered antimicrobial pharmacokinetic and high MICs, toxicity of last-resort antibiotics, and emergence of subsequent resistance [33].

Even though the incidence of VAT was lower in immunocompromised patients, the rate of progression from VAT to VAP was similar in immunocompromised and non-immunocompromised patients, suggesting that immunosuppression is not a risk factor for progression from VAT to VAP. The rate of progression from VAT to VAP was low in this study, probably because most of the patients with VAT received antibiotic treatment (92%). Interestingly, as in non-immunocompromised patients, the use of appropriate antibiotic treatment for VAT reduced the risk of progression towards VAP in immunocompromised patients. Appropriate antibiotic treatment was shown to be a protective factor in multivariate analysis for mortality risk in the TAVeM study [2]. Therefore, the early use of appropriate antibiotic treatment for vAT and improve outcome [34]. However, only few studies focused on the antibiotic treatment for VAT [35, 36], and further large multicenter randomized controlled studies are required to clarify this issue [4].

In spite of similar rates of appropriate initial antibiotic treatment, immunocompromised patients with VA-LRTI had higher mortality rates than non-immunocompromised patients, mainly due to a higher mortality of immunocompromised patients with VAP. Further, immunosuppression was independently associated with ICU-mortality in patients with VA-LRTI. Previous studies reported higher associated-mortality rates in VAP patients [8, 37–39]. However, the mortality attributable to VAP is still a matter for debate, and is probably low [10]. In addition, the higher mortality of immunocompromised patients admitted to the ICU is already well described and is not surprising [17]. However, to our knowledge, no study has evaluated the relationship between mortality and immunosuppression in VAP patients.

Taken together, our results suggest that antibiotic treatment should be reduced and better tailored in immunocompromised patients, in order to prevent VAP related to MDR in this population. Further, preventive measures should probably be enhanced in immunocompromised patients, and appropriate initial antibiotic treatment should be the gold standard in these patients. Our data also suggest that largespectrum antibiotic treatment should be given in immunocompromised patients with VA-LRTI, and that deescalation should be performed as soon as possible, after receipt of microbiological results to break the vicious circle of overuse of antimicrobials and MDR emergence [40, 41]. However, further data are required on the relationship between de-escalation and outcome in immunocompromised patients [42]. The use of procalcitonin could be helpful to encourage physicians to perform de-escalation. Given the lower severity of VAT and the absence of impact on mortality, as compared with VAP, one could argue that VAT could be treated by shorter duration of antimicrobial treatment even in immunocompromised patients (Figure 2).

Our study has some limitations. Immunocompromised patients included many types of immunosuppression, and the number of patients with neutropenia was small. However, this is the first study on this particular population and we believe that our results are robust, and might be helpful for future research.

#### CONCLUSIONS

The incidence of VA-LRTI is significantly lower in immunocompromised patients, as compared with nonimmunocompromised patients. These infections are more frequently caused by MDR in this population, and are associated with substantially higher mortality. These results suggest that prior antibiotic treatment should be better tailored in immunocompromised patients to reduce the incidence of MDR related infections. Further studies are required to better determine the relationship of type of immunosuppression and the risk for VA-LRTI.

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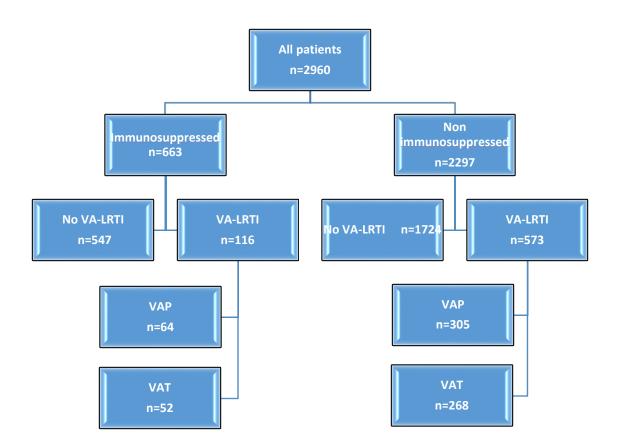
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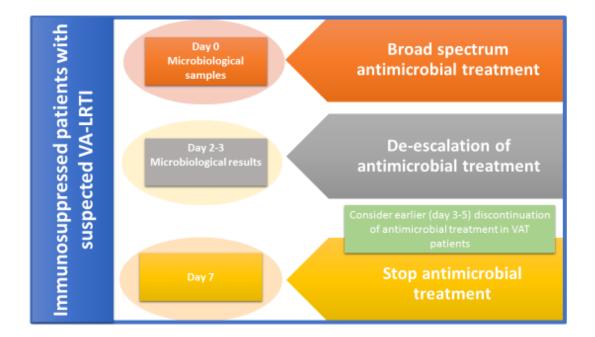
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## Figure 1

## Study flowchart

VA-LRTI: ventilator-associated lower respiratory tract infections; VAT: ventilator-associated tracheobronchitis; VAP: ventilator-associated Pneumonia



## Figure 2

Suggested algorithm for antibiotic treatment in immunosuppressed patients with ventilator-associated lower respiratory tract infections.

VA-LRTI, ventilator-associated lower respiratory tract infections; VAT, ventilator-associated tracheobronchitis

Table 1. Types of immunosuppression (n=663).

|                            | n   | %* |
|----------------------------|-----|----|
| Nonmetastatic solid cancer | 296 | 45 |
| Immunosuppressive drug     | 296 | 45 |
| Hematological malignancy   | 123 | 19 |
| Metastatic solid cancer    | 112 | 17 |
| HIV                        | 49  | 7  |
| Organ transplant           | 28  | 4  |
| Allogeneic HSCT            | 25  | 4  |

HIV, human immunodeficiency virus; HSCT: hematopoietic stem cell transplant

\*Total percentage is higher than 100% because several patients had more than one type of immunosuppression

## **Table 2. Patient characteristics**

|                             | Immunosuppression |                |        |  |
|-----------------------------|-------------------|----------------|--------|--|
|                             | Yes<br>n = 663    | No<br>n = 2297 | p      |  |
| Age                         | 63±15             | 61±17          | 0.020  |  |
| Male gender                 | 407 (61)          | 1442 (63)      | 0.51   |  |
| SAPS2                       | 53±19             | 50±19          | 0.001  |  |
| SOFA                        | 8±4               | 8±4            | 0.031  |  |
| Chronic disease             |                   |                |        |  |
| Diabetes mellitus           | 125 (22)          | 443 (19)       | 0.80   |  |
| Alcohol abuse               | 64 (10)           | 294 (13)       | 0.028* |  |
| Chronic respiratory failure | 62 (9)            | 224 (10)       | 0.76   |  |
| COPD                        | 113 (17)          | 381 (17)       | 0.78   |  |
| Chronic kidney disease      | 88 (13)           | 205 (9)        | 0.001* |  |
| Cirrhosis                   | 40 (6)            | 137 (6)        | 0.95   |  |
| Category of admission       |                   |                | <0.001 |  |
| Medical                     | 453 (68)          | 1435 (63)      |        |  |
| Surgical                    | 165 (25)          | 379 (17)       |        |  |
| Trauma                      | 45 (7)            | 483 (21)       |        |  |

Results are n (%), or mean±SD

VA-LRTI, ventilator-associated lower respiratory tract infection; VAT: ventilator-associated tracheobronchitis; VAP: ventilator-associated pneumonia

\*OR (95% CI) 0.72 (0.55-0.97), 1.56 (1.20-2.04); respectively

Table 3. Incidence of ventilator-associated lower respiratory tract infections.

|         | Immunosupp     |                |         |                  |
|---------|----------------|----------------|---------|------------------|
|         | Yes<br>n = 663 | No<br>n = 2297 |         |                  |
|         |                |                | р       | SHR (95% CI)     |
| VA-LRTI | 116 (16.6)     | 573 (24.2)     | <0.0001 | 0.65 (0.53-0.80) |
| VAT     | 52 (7.3)       | 268 (11.6)     | 0.002   | 0.61 (0.45-0.84) |
| VAP     | 64 (9.3)       | 305 (12.7)     | 0.019   | 0.72 (0.54-0.95) |

Results are number of events (cumulative incidence rate in %, calculated using competing approach). SHRs were calculated based on Fine-Gray model, using extubation and death as competing events.

SHR, sub-hazard ratio; VA-LRTI: ventilator-associated lower respiratory tract infection; VAT: ventilator associated tracheobronchitis; VAP: ventilator-associated pneumonia

## Table 4. Outcomes of study patients.

|   | Immunosuppression |                |                |             |                |                |                |             |
|---|-------------------|----------------|----------------|-------------|----------------|----------------|----------------|-------------|
|   | Yes               |                |                |             | No             |                |                |             |
|   | n= 663            |                |                |             | n= 2297        | ,              |                |             |
|   | VAT<br>n = 52     | VAP<br>n = 64  | No VA-<br>LRTI | р           | VAT<br>n =268  | VAP<br>n =     | No VA-<br>LRTI | р           |
|   |                   |                | n = 547        |             |                | 305            | n =<br>1724    |             |
| MV duration, days<br>(median, IQR)        | 16 (10-<br>25.5)  | 15 (8-<br>27)  | 7 (4-14)       | <0.00<br>01 | 13 (8-<br>22)  | 14 (8-<br>26)  | 7 (4-12)       | <0.0<br>001 |
| ICU length of stay, days<br>(median, IQR) | 23 (16-<br>38)    | 20 (13-<br>30) | 12 (7-<br>20)  | <0.00<br>01 | 21 (14-<br>33) | 21 (13-<br>34) | 12 (8-<br>19)  | <0.0<br>001 |
| ICU mortality, n (%)                      | 22 (42)           | 41<br>(64)*    | 216<br>(39)    | 0.001       | 71 (27)        | 105<br>(34)    | 487<br>(28)    | 0.01<br>6   |

Results are n (%), or median (interquartile range)

MV, Mechanical Ventilation; VA-LRTI, ventilator-associated lower respiratory tract infection; VAT, ventilator-associated tracheobronchitis; VAP, ventilator-associated pneumonia

p-value are for comparisons between the three groups

\*p <0.001, OR (95% CI) 3.4 (1.93-5.96) vs VAP in patients with no immunosuppression

Table 5. Risk factors for ICU-mortality in patients with ventilator-associated lower respiratory tract infection using Cox proportional hazards regression analysis.

| Multivariate analysis            | Р      | Hazard ratio (95% CI) |
|----------------------------------|--------|-----------------------|
| Age                              | 0.005  | 1.01 (1.003-1.01)*    |
| Immunosuppression                | 0.002  | 1.6 (1.19-2.16)       |
| SOFA score at VA-LRTI diagnosis  | <0.001 | 1.04 (1.03-1.06)*     |
| Appropriate antibiotic treatment | 0.005  | 0.61 (0.44-0.86)      |

SOFA, sequential organ failure assessment, VA-LRTI, ventilator-associated lower respiratory tract infection.

\*Per year and per point, respectively.

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#### Online supplementary material

#### RESULTS

Main reasons for ICU admission are presented in Table 1.

### **Diagnostic procedure**

In IC patients, the percentage of endotracheal aspirate and bronchoalveolar lavage was significantly higher in VAP than in VAT patients. In non-IC patients, although the percentage of endotracheal aspirate was significantly lower in VAP than in VAT patients; the percentage of bronchoscopy, bronchoalveolar lavage, mini bronchoalveolar lavage, and blood cultures were significantly higher in VAP than in VAT patients (Table 2).

#### Microorganisms

Although the percentage of patients with VA-LRTI related to MDR, Enterobacter spp., and MRSA was significantly higher in IC patients than in non-IC patients; the percentage of patients with VA-LRTI related to MSSA was significantly lower in IC patients than in non-IC patients (Table 3).

In the VAT subgroup, the only difference between IC patients and non-IC patients was a higher incidence of *Enterobacter* spp. in IC patients than in non-IC patients (19.2 versus 9.3%, p=0.05). However, in the VAP subgroup, the incidence of MRSA and *Enterobacter* spp. was significantly higher in IC patients than in non-IC patients (6.3% versus 1.3 %, p=0.033; 21.9% versus 10.5%, p=0.02; respectively); whereas MSSA incidence was significantly lower in IC patients than in non-IC patients (3.1% versus 25.6%, p<0.001).

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## Risk factors for ICU-mortality in patients with VA-LRTI by univariate analysis

At ICU admission, age, SAPS II, SOFA, immunosuppression, and admission category were significantly associated with higher ICU-mortality rate in these patients. At VA-LRTI diagnosis, SOFA score, MDR, were associated with increased ICU-mortality. Appropriate antibiotic treatment was associated with significantly lower ICU-mortality rate (Table 4).

## Table 1. Main reasons for ICU admission

|                                     | Immunosuppression |            |        |
|-------------------------------------|-------------------|------------|--------|
|                                     | Yes               | No         | р      |
|                                     | n = 663           | n = 2297   |        |
| Acute respiratory distress syndrome | 99 (4.3)          | 48 (7.2)   | 0.01   |
| Acute exacerbation of COPD          | 110 (4.8)         | 13 (2)     | <0.001 |
| Pneumonia                           | 282 (12.3)        | 136 (20.5) | <0.001 |
| Aspiration                          | 40 (1.7)          | 9 (1.4)    | 0.60   |
| Pulmonary embolism                  | 14 (0.6)          | 7 (0.1)    | 0.29   |
| Pleural effusion                    | 4 (0.2)           | 5 (0.8)    | 0.03   |
| Pneumothorax                        | 8 (0.3)           | 3 (0.5)    | 0.72   |
| Hemothorax                          | 15 (0.7)          | 3 (0.5)    | 0.78   |
| Sepsis                              | 226 (9.8)         | 112 (17)   | 0.001  |
| Shock                               | 198 (8.6)         | 71 (11)    | 0.07   |
| Agina pectoris                      | 11 (0.5)          | 2 (0.3)    | 0.74   |
| Abdominal aortic aneurysm           | 12 (0.5)          | 2 (0.3)    | 0.74   |
| Cardiomyopathy                      | 25 (1.1)          | 4 (0.6)    | 0.37   |
| Congestive heart failure            | 84 (3.6)          | 12 (1.8)   | 0.02   |
| Arrhythmia                          | 30 (1.3)          | 4 (0,6)    | 0.15   |
| Myocardial inafrction               | 63 (2.7)          | 6 (0.9)    | 0.01   |
| Rupture of esophageal varices       | 9 (0.4)           | 0 (0)      | 0.22   |
| Gastrointestinal bleeding           | 29 (1.3)          | 4 (0.6)    | 0.21   |
| Liver failure                       | 30 (1.3)          | 4 (0.6)    | 0.15   |
| Pancreatitis                        | 34 (1.5)          | 2 (0.3)    | 0.01   |
| Acute renal failure                 | 32 (1.4)          | 13 (2)     | 0.28   |

| Overdose                  | 29 (1.3)   | 1 (0.2)    | 0.01   |
|---------------------------|------------|------------|--------|
| Seizure                   | 56 (2.4)   | 15 (2,3)   | 0.89   |
| Coma                      | 232 (10.1) | 44 (6.6)   | 0.01   |
| Empyema                   | 2 (0.1)    | 0 (0)      | 1.00   |
| Stroke                    | 110 (4.8)  | 11 (1.6)   | <0.001 |
| Brain aneurysm            | 37 (1.6)   | 5 (0.8)    | 0.13   |
| Brain death               | 5 (0.2)    | 2 (0.3)    | 0.66   |
| Transient ischemic attack | 2 (0.1)    | 0 (0)      | 1,00   |
| Traumatic brain injury    | 155 (6.7)  | 4 (0.6)    | <0.001 |
| Other                     | 262 (11.4) | 101 (15.2) | 0.01   |
|                           |            |            |        |

COPD, chronic obstructive pulmonary disease

Table 2. Diagnostic procedures in patients with ventilator-associated lower respiratory tract infections.

|  | Immunosuppression |               |        |                |                |         |
|--|-------------------|---------------|--------|----------------|----------------|---------|
|  |                   | Yes<br>n = 11 | 6      | No<br>n = 5    | 573            |         |
|  | VAT<br>n = 64     | VAP<br>n = 52 | р      | VAT<br>n = 268 | VAP<br>n = 305 | р       |
| Endotracheal aspirate                            | 43 (67)           | 42 (81)       | 0.039* | 235 (88)       | 211 (69)       | <0.001* |
| Blind protected specimen brush                   | 5 (8)             | 11 (21)       | 0.29   | 27 (10)        | 40 (13)        | 0.3     |
| Bronchoscopy                                     | 3 (5)             | 11 (21)       | 0.085  | 17 (6)         | 45 (15)        | 0.001*  |
| Bronchoalveolar lavage                           | 4 (6)             | 14 (27)       | 0.042* | 11 (4)         | 45 (15)        | <0.001* |
| Mini bronchoalveolar lavage                      | 3 (5)             | 5 (10)        | 0.73   | 14 (5)         | 40 (13)        | 0.001*  |
| Blood cultures                                   | 31 (48)           | 45 (87)       | 0.25   | 155 (58)       | 230 (75)       | <0.001* |
| Tested for Streptococcus pneumoniae antigen      | 2 (3)             | 6 (12)        | 0.29   | 12 (4)         | 10 (3)         | 0.46    |
| Tested for <i>Legionella pneumophila</i> antigen | 3 (5)             | 7 (13)        | 0.51   | 11 (4)         | 14 (5)         | 0.78    |

Data are numbers (%)

IC, immunocompromised; VAT, ventilator-associated tracheobronchitis; VAP, ventilator-associated pneumonia

\* OR (95% CI): 2.5 (1.03-6.1); 3.17 (2.04-4.91); 0.39 (0.22-0.70); 0.3 (0.0.09-0.97); 0.28 (0.13-0.49); 0.37 (0.19-0.69); 0.45 (0.31-0.64); respectively

Table 3. Microorganisms in patients with ventilator-associated lower respiratory tract infections

|                              | Immunosuppression |          | Р       |
|------------------------------|-------------------|----------|---------|
|                              | Yes               | No       |         |
|                              | n = 116           | n = 573  |         |
| Polymicrobial                | 25 (22)           | 114 (20) | 0.7     |
| MDR                          | 83 (72)           | 338 (59) | 0.011*  |
| Gram-negative bacilli        | 117               | 509      |         |
| Pseudomonas aeruginosa       | 33 (28)           | 135 (24) | 0.26    |
| Enterobacter sp.             | 24 (21)           | 57 (10)  | 0.001*  |
| Klebsiella sp.               | 16 (14)           | 85 (15)  | 0.77    |
| Escherishia coli             | 16 (14)           | 61 (11)  | 0.33    |
| Stenotrophomonas maltophilia | 7 (6)             | 24 (4)   | 0.38    |
| Acinetobacter baumannii      | 7 (6)             | 34 (6)   | 0.97    |
| Serratia marcescens          | 3 (3)             | 25 (4)   | 0.37    |
| Proteus mirabilis            | 4 (3)             | 25 (4)   | 0.66    |
| Hemophilus influenzae        | 5 (4)             | 52 (9)   | 0.089   |
| Citrobacter freundii         | 2 (2)             | 11 (2)   | 0.89    |
| Gram-positive Cocci          | 24                | 178 (31) |         |
| Streptococcus pneumoniae     | 8 (7)             | 32 (6)   | 0.58    |
| MSSA                         | 10 (9)            | 136 (24) | <0.001* |
| MRSA                         | 6 (5)             | 10 (2)   | 0.025*  |

Data are numbers (%)

MDR, multidrug-resistant bacteria; MSSA, methicillin-sensitive *Staphylococcus aureus*; MRSA, methicillin-resistant *Staphylococcus aureus* 

\*OR (95% CI): 1.75 (1.13-2.71); 2.36 (1.4-4); 0.3 (0.15-0.6); 3.1 (1.1-8.62)

Table 4. Risk factors for ICU mortality in patients with ventilator-associated lower respiratory tract infection by univariate analysis.

|                                  | Survivors<br>n = 450 | Nonsurvivors<br>n = 239 | Р      |
|----------------------------------|----------------------|-------------------------|--------|
| At ICU admission                 |                      |                         |        |
| Age                              | 59 (44, 71)          | 66 (57 <i>,</i> 77)     | <0.001 |
| Male gender                      | 306                  | 157                     | 0.54   |
| SAPS II                          | 45 (34 <i>,</i> 58)  | 54 (42 <i>,</i> 65)     | <0.001 |
| SOFA                             | 7 (5, 10)            | 8 (6, 11)               | 0.002  |
| Immunosuppression                | 53                   | 63                      | <0.001 |
| ARDS                             | 36                   | 28                      | 0.11   |
| Category of admission            |                      |                         | <0.001 |
| Medical                          | 233                  | 160                     |        |
| Surgical                         | 66                   | 35                      |        |
| Trauma                           | 151                  | 44                      |        |
| At VA-LRTI diagnosis             |                      |                         |        |
| SOFA                             | 6 (4, 8)             | 9 (6, 11)               | <0.001 |
| Appropriate antibiotic treatment | 400                  | 194                     | 0.005* |
| Multidrug resistant bacteria     | 256                  | 165                     | 0.001* |

Data are numbers (%) or median (interquartile range)

ICU, intensive care medicine; SAPS, simplified acute physiology score; SOFA, sequential organ failure assessment; ARDS, acute respiratory distress syndrome; VA-LRTI, ventilator-associated lower respiratory tract infection

\*OR (95% CI): 0.54 (0.39-0.86), 1.72 (1.23-2.4)