



# Indoor air quality, ventilation and respiratory health in elderly residents living in nursing homes in Europe

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**ABSTRACT** Few data exist on respiratory effects of indoor air quality and comfort parameters in the elderly. In the context of the GERIE study, we investigated for the first time the relationships of these factors to respiratory morbidity among elderly people permanently living in nursing homes in seven European countries.

600 elderly people from 50 nursing homes underwent a medical examination and completed a standardised questionnaire. Air quality and comfort parameters were objectively assessed *in situ* in the nursing home. Mean concentrations of air pollutants did not exceed the existing standards.

Forced expiratory volume in 1 s/forced vital capacity ratio was highly significantly related to elevated levels of particles with a 50% cut-off aerodynamic diameter of  $<0.1 \mu\text{m}$  (PM<sub>0.1</sub>) (adjusted OR 8.16, 95% CI 2.24–29.3) and nitrogen dioxide (aOR 3.74, 95% CI 1.06–13.1). Excess risks for usual breathlessness and cough were found with elevated PM<sub>10</sub> (aOR 1.53 (95% CI 1.15–2.07) and aOR 1.73 (95% CI 1.17–10.3), respectively) and nitrogen dioxide (aOR 1.58 (95% CI 1.15–2.20) and aOR 1.56 (95% CI 1.03–2.41), respectively). Excess risks for wheeze in the past year were found with PM<sub>0.1</sub> (aOR 2.82, 95% CI 1.15–7.02) and for chronic obstructive pulmonary disease and exhaled carbon monoxide with formaldehyde (aOR 3.49 (95% CI 1.17–10.3) and aOR 1.25 (95% CI 1.02–1.55), respectively). Breathlessness and cough were associated with higher carbon dioxide. Relative humidity was inversely related to wheeze in the past year and usual cough. Elderly subjects aged  $\geq 80$  years were at higher risk. Pollutant effects were more pronounced in the case of poor ventilation.

Even at low levels, indoor air quality affected respiratory health in elderly people permanently living in nursing homes, with frailty increasing with age. The effects were modulated by ventilation.



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## Introduction

In industrialised countries, the main challenge for the future is the ageing population, particularly the increasing number of very old people (aged >80 years). By 2030, there will be 34.7 million citizens aged >80 years in the European Union [1], compared to 18.8 million at present. Ageing leads to the deterioration of immune defences and lung function, and predisposition to respiratory infections [2]. At present, keeping the elderly healthy by preventing chronic diseases is a major concern for many countries. This requires a better understanding of the health consequences of the exposure to environmental factors, including air pollution.

Adverse respiratory health effects due to air pollution are well established [3–5]. Various studies have demonstrated a relationship between outdoor air pollution and respiratory morbidity [6, 7] and mortality [8, 9] in this age group at the general population level after stratification for age. A recent systematic review on adverse respiratory effects of outdoor air pollution in the elderly indicated that exposure to outdoor air pollution in the elderly leads to increased hospital admissions for asthma and chronic obstructive pulmonary disease (COPD) and higher COPD mortality than in the rest of the population. It also indicated that research on the health effects of air pollution in the elderly has been affected by methodological problems, in terms of exposure and health effect assessments and the lack of multicentre studies using the same standardised protocol and methodology in order to obtain comparable data [10]. Respiratory health effects of indoor air pollution have also been documented in the general population [11], but only rarely in the elderly [12–17]. However, in industrialised countries the elderly spend most of their time indoors [11] and ~5% of those aged >65 years and 20% of those aged >85 years are nursing home residents ([www.uscare.com](http://www.uscare.com)). Indoor temperature, relative humidity and CO<sub>2</sub> can also contribute to respiratory health issues [18, 19].

The specific objective of the GERIE study (Geriatric study on health effects of air quality in nursing homes in Europe) ([www.geriestudy.eu](http://www.geriestudy.eu)) was to contribute to filling the gaps in knowledge that exist in the field health effects of indoor air quality in the elderly. This was achieved by exploring the relationships of common indoor air pollutants and comfort parameters to respiratory symptoms and diseases among the elderly permanently living in nursing homes, who are potentially at high risk of exposure to air indoor air pollution from usual nursing home activities, such as cleaning and disinfection.

## Methods

The protocol of the GERIE study has been described in detail elsewhere [20].

### Study population

Eight nursing homes were randomly selected in a geographical area representative of the selected city in each of the seven countries participating in the GERIE study (Belgium, Denmark, France, Greece, Italy, Poland and Sweden). In each nursing home, at least 20 randomly selected individuals aged >65 years who were living there permanently were invited to participate. Participants with neurological or psychiatric disorders were excluded. In case of refusal at recruitment or exclusion, other individuals were randomly chosen in the same nursing home in order to attain the fixed sample of individuals able to participate in the survey. All the subjects were invited to undergo a clinical visit including a physical examination, clinical tests and a face-to-face interview based on standardised instruments, after having signed a consent form. Measurements of indoor and outdoor air quality and comfort variables were simultaneously performed. The study protocol was approved by the ethical committee of each country as requested by the country law. Data were collected as follows: between February and March 2009 in Uppsala, Sweden; June 2009 in Warsaw, Poland; December 2009 in Athens, Greece; between May and July 2010 in Reims, France; February 2011 in Arezzo, Italy; September 2011 in Aarhus, Denmark; and October 2011 in Brussels, Belgium. The same trained team visited all the countries in order to determine reproducibility of the assessments.

### Environmental assessments

Environmental measurements were performed outdoors and indoors in the nursing homes in Brussels, Aarhus, Athens, Reims, Arezzo, Warsaw and Uppsala. In every country, all the measurements were performed with the same methods and equipment.

Indoor air pollutants included particles with a 50% cut-off aerodynamic diameter of <10 µm (PM<sub>10</sub>), PM<sub>0.1</sub>, formaldehyde, NO<sub>2</sub> and ozone and were objectively measured in the main common room (used for recreation, watching TV, meetings or dining) where the participants spent most of their time. Formaldehyde was measured using a diffusion sampler with a glass fiber filter impregnated with 2,4-dinitro-phenylhydrazine [21]. The filter was analysed by liquid chromatography. The uptake rate used for 1 week of sampling was 20.4 mL min<sup>-1</sup>. NO<sub>2</sub> and ozone were measured using a “badge type” sampler

based on the theory of diffusion sampling. Outdoor formaldehyde was assessed using the same methodology.

Particles were measured with P-Trak (model 8525, ultrafine particle counter; TSI Inc., Missoula, MT USA), which measured particles 0.02–1  $\mu\text{m}$  in size (number concentration), and Dust-Trak TM (model 8520; TSI Inc.), which measured particles  $\sim 0.3$ –10  $\mu\text{m}$  in size. The instruments were calibrated by the TSI service laboratories. The measuring time was 5–7 h for P-Trak and 22–26 h for Dust-Trak. The instruments were placed on a desk or chair at the same heights as the breathing zone of the residents. Outdoor measurements were performed for 1 h at each nursing home (using Dust-Trak, P-Trak and Q-Trak (TSI Inc.)).

In each nursing home, indoor climate was measured in the same main common room as the air quality measurements. Room temperature, relative air humidity and  $\text{CO}_2$  were measured with a Q-Trak TM IAQ monitor and sampling for 1-min average intervals. Measurements were performed over 22–26 h. Information about nursing home ventilation (absence or presence of poor *versus* adequate ventilation) was provided by the nursing home directors. The director reported it on the basis of American Society of Heating, Refrigerating and Air-Conditioning Engineers/European ventilation standards for healthcare residences according to which a ventilation rate  $<15 \text{ L}\cdot\text{s}^{-1}\cdot 100 \text{ m}^{-2}$  plus  $3.5 \text{ L}\cdot\text{s}^{-1}\cdot\text{person}^{-1}$  for the common parts of the nursing home is considered as poor. All samplers were prepared and analysed at an accredited laboratory (IVL Swedish Environmental Research Institute, Gothenburg, Sweden).

### Health assessments

Participants underwent a medical visit and replied to a standardised questionnaire on sociodemographic factors, and health and potential risk factors, derived from those used in the European Commission-funded Indicators for Monitoring COPD and Asthma in the EU study, including the European Community Respiratory Health Survey questions for respiratory health and related risk factors [22], and the French Three-City study [6, 23] study. Respiratory diseases assessed during the study included lifetime asthma (“has your doctor or other healthcare provider ever told you that you had asthma?”), lifetime COPD (“has your doctor or other healthcare provider ever told you that you have COPD?”), wheezing in the past year (“have you had wheezing and whistling in the chest during the past 12 months”), usual breathlessness (“are you troubled by shortness of breath when hurrying on the level or walking up a slight hill?”), usual cough (“do you usually cough when you don’t have a cold?”), and usual phlegm (“do you usually bring up phlegm from your chest, or do you usually have phlegm in your chest that is difficult to bring up when you don’t have a cold?”). Reported current cardiovascular diseases were also recorded. Based on spirometry during the clinical visit, airways obstruction was defined as a forced expiratory volume in 1 s ( $\text{FEV}_1$ )/forced vital capacity (FVC) ratio  $<70\%$ . Lung function was assessed in all participants according to the American Thoracic Society/European Respiratory Society guidelines for spirometry using a SpiroUsb (Care Fusion, Wurzburg, Germany).  $\text{FEV}_1$  % predicted, FVC % pred and  $\text{FEV}_1/\text{FVC}$  were collected in the following analyses. Height (m) and weight (kg) were assessed by a nurse and body mass index (BMI), defined as  $\text{weight}/\text{height}^2$  ( $\text{kg}\cdot\text{m}^{-2}$ ), was computed. As a marker of lower airways inflammation, exhaled NO (eNO) was assessed using a chemiluminescent NO analyser (NIOX NO analyser; Aerocrine, Solna, Sweden) according to American Thoracic Society/European Respiratory Society recommendations. Briefly, the individual was comfortably seated and breathed quietly for  $\sim 5$  min to acclimatise. Inspired gas should have contained low NO ( $<5$  ppb). The individual inhaled to near total lung capacity and immediately exhaled at a constant flow of  $50 \text{ mL}\cdot\text{s}^{-1}$  until an NO plateau of at least 2 s was identified during an exhalation of at least 4 s. The expiratory pressure should have been maintained between 5 and 20  $\text{cmH}_2\text{O}$  to close the velum. Repeated exhalations (three within 10% agreement or two within 5% agreement) were performed with a 30-s interval and mean NO was recorded. The EC-50 Smokerlyser (Bedfont Instruments, Maidstone, UK) was used to assess exhaled carbon monoxide (eCO) as an indicator of exposure to CO and, eventually, smoking habits. Each subject was asked to provide two breaths for testing, since it is known that the second reading will be significantly higher than the first. eNO was expressed in ppb and eCO in ppm.

### Statistical analysis

During the period of assessment, the mean and median values of air pollutants ( $\text{PM}_{10}$ , formaldehyde,  $\text{NO}_2$  and ozone ( $\mu\text{g}\cdot\text{m}^{-3}$ ) and  $\text{PM}_{0.1}$  ( $\text{pt}\cdot\text{cm}^{-3}$ )),  $\text{CO}_2$  concentration (ppm), temperature ( $^{\circ}\text{C}$ ) and relative humidity (%) were computed in each nursing home. The prevalence of respiratory health conditions was expressed as the percentage of positive responses to the corresponding questions. For the purpose of the present analyses, the concentrations of air pollutants and the comfort parameters of the main common room of the nursing home in which they lived were attributed to each individual. Confounders were described as mean (quantitative variables) or percentages (qualitative variables). These included sex, age, country, BMI, highest school level, smoking and season.

To quantify the link of exposure to each air pollutant and indoor climate to health outcomes, and because of the nonlinearity of the relationships, exposure was classified as a categorical variable, namely “low” versus “high”, with the latter being defined with respect to the median value of the distribution of each pollutant. Initially, the prevalence of health outcomes was calculated in each category of exposure, and unadjusted odds ratios with 95% confidence intervals were calculated and the associated probability estimated using a contingency table for the Chi-squared test.

Subsequently, the generalised estimating equation approach, with exchangeable covariance matrix to adjust for correlations within the elderly belonging to the same nursing home, was performed to estimate the odds ratio with 95% confidence interval for the relationship of exposure to each indoor air pollutant (or indoor climate) and to each health outcome adjusted for potential confounders (adjusted odds ratio). The same model was performed taking into account the centre. Variables associated with respiratory health outcomes in bivariate analyses with a  $p$ -value  $<0.20$  were considered as confounders.

Analyses stratified according to age group ( $<80$  years or  $\geq 80$  years) was conducted in order to explore the hypothesis of increased frailty with ageing. In case of significant relationships between indoor air pollution, indoor comfort parameters and respiratory outcomes, stratification according to the presence or absence of ventilation in the nursing home was conducted.

Significant odds ratios were adjusted in a final model based on whether the subjects were suffering from a cardiovascular disease during the survey. Analyses were performed with SAS version 9.3 (PROC GENMOD; SAS Institute Inc., Cary, NC, USA) for the multivariate models.

## Results

### *Characteristics of population*

50 nursing homes agreed to participate in the GERIE study. In total, 600 (50%) elderly people permanently living in these nursing homes were selected to participate in the GERIE study (table 1). On average, they were 82 years of age ( $\sim 63\%$  were  $\geq 80$  years), were more often female ( $\sim 72\%$ ) and had a poor education status (228 (38%) had never attended school or had only attended primary school). In the data presented in the tables and figures, the numbers refer to the sample without missing data. The highest education level attained was in Poland, with  $\sim 21\%$  of the elderly having attended some or 4-year college. Of the participants, 210 (35%) declared that they smoked. The mean BMI was  $26.8 \text{ kg m}^{-2}$ . Breathlessness was the most frequently reported respiratory outcome ( $\sim 33\%$ , with the highest prevalence observed in Belgium (50%)), followed by usual cough ( $\sim 25\%$ ), usual phlegm ( $\sim 20\%$ ) and wheezing in the past year (table 1). Asthma and COPD were reported equally ( $\sim 6\%$ ). On the basis of spirometry,  $\sim 6\%$  of individuals presented with airway obstruction during the study period, only four were asthmatics. Lung function varied among the countries. FEV<sub>1</sub> ranged from 75% pred in Aarhus to 95% pred in Warsaw, and FVC ranged from 66% pred in Denmark to 105% pred in Poland. The highest eNO value was observed in Uppsala ( $\sim 26$  ppb) and the lowest in Warsaw ( $\sim 17$  ppb); eCO values ranged from 3 ppm in Uppsala and Italy to  $\sim 7$  ppm in Warsaw.

### *Chemical indoor air pollution*

The distributions of indoor air pollutant concentrations objectively measured in the nursing homes participating in the GERIE study significantly varied among countries (fig. 1). The mean concentrations of air pollutants were as follows: PM<sub>10</sub>  $29.8 \mu\text{g}\cdot\text{m}^{-3}$ , PM<sub>0.1</sub>  $12907 \text{ pt}\cdot\text{cm}^{-3}$ , formaldehyde  $7.21 \mu\text{g}\cdot\text{m}^{-3}$ , NO<sub>2</sub>  $20.1 \mu\text{g}\cdot\text{m}^{-3}$  and ozone  $21.1 \mu\text{g}\cdot\text{m}^{-3}$ . The highest values of PM<sub>10</sub>, PM<sub>0.1</sub> and NO<sub>2</sub> were observed in Athens ( $56 \mu\text{g}\cdot\text{m}^{-3}$ ,  $23839 \text{ pt}\cdot\text{cm}^{-3}$  and  $36.2 \mu\text{g}\cdot\text{m}^{-3}$ , respectively). The highest values for formaldehyde and ozone were found in Aarhus ( $13.7 \mu\text{g}\cdot\text{m}^{-3}$ ) and Brussels ( $42 \mu\text{g}\cdot\text{m}^{-3}$ ). Mean levels of PM<sub>10</sub>, PM<sub>0.1</sub> and NO<sub>2</sub> were similar inside and outside the nursing home.

### *Indoor comfort parameters and ventilation*

Table 2 shows the distribution of exposure and comfort among participants of the GERIE study according to country. On average, the indoor temperature was  $23^\circ\text{C}$  (lowest in Greece,  $\sim 22^\circ\text{C}$ ) (table 2), relative humidity was 37% (highest in Brussels,  $\sim 57\%$ ) and CO<sub>2</sub> was  $<1000$  ppm in all nursing homes (highest in the Mediterranean countries and Belgium, lowest in Sweden). Only 105 ( $\sim 18\%$ ) individuals had an adequate ventilation system in the nursing home where they lived (table 2).

### *Associations of environmental exposures with respiratory health status*

Exposure to high levels of PM<sub>10</sub> and NO<sub>2</sub> was significantly associated with usual breathlessness and cough, whilst high levels of exposures to PM<sub>0.1</sub> were significantly associated with wheeze in the past year and high concentrations of formaldehyde with reported COPD (table 3). Airway obstruction objectively assessed by FEV<sub>1</sub>/FVC  $<70\%$  was positively related to PM<sub>0.1</sub> and NO<sub>2</sub> (table 3). Among the other respiratory

TABLE 1 Participants' sociodemographic and health characteristics in the GERIE study according to country

|                                             | All        | Poland     | Sweden    | Greece    | France     | Italy     | Denmark   | Belgium   | No response |
|---------------------------------------------|------------|------------|-----------|-----------|------------|-----------|-----------|-----------|-------------|
| <b>Subjects n</b>                           | 600        | 170        | 55        | 84        | 134        | 63        | 60        | 34        |             |
| <b>Sociodemographics</b>                    |            |            |           |           |            |           |           |           |             |
| Females                                     | 431 [71.8] | 133 [78.2] | 36 [65.5] | 60 [71.4] | 100 [74.6] | 35 [55.6] | 40 [66.7] | 27 [79.4] | 17 [2.8]    |
| Age years                                   | 82±9.5     | 82±7.1     | 86±10.1   | 82±14.1   | 81±8.7     | 81±9.3    | 83±8.3    | 83±8.6    | 26 [4.3]    |
| <b>Age group</b>                            |            |            |           |           |            |           |           |           |             |
| <80 years                                   | 197 [32.8] | 59 [34.7]  | 10 [18.1] | 27 [32.1] | 53 [39.6]  | 22 [34.9] | 17 [28.3] | 9 [26.5]  |             |
| ≥80 years                                   | 377 [62.8] | 103 [60.6] | 45 [81.8] | 57 [67.9] | 78 [58.2]  | 29 [46]   | 40 [66.7] | 25 [73.5] |             |
| <b>BMI kg m<sup>-2</sup></b>                | 26.8±6.4   | 27.3±6.5   | 25.4±6.6  | 26.1±6.8  | 27.4±5.6   | 25.4±4.5  | 27.9±8.2  | 24.6±4.6  | 124 [20.6]  |
| <b>Education</b>                            |            |            |           |           |            |           |           |           | 96 [16]     |
| None or primary school                      | 228 [38]   | 61 [35.9]  | 28 [50.9] | 8 [9.5]   | 54 [40.3]  | 26 [41.3] | 45 [75]   | 6 [17.7]  |             |
| Middle or high school                       | 198 [33]   | 63 [37.1]  | 16 [29.1] | 49 [58.3] | 51 [38.1]  | 1 [1.6]   | 1 [1.7]   | 17 [50]   |             |
| College for ≥4-years                        | 78 [13]    | 35 [20.6]  | 6 [10.9]  | 5 [5.9]   | 17 [12.7]  | 1 [1.6]   | 6 [10]    | 8 [23.5]  |             |
| <b>Smoking status</b>                       |            |            |           |           |            |           |           |           |             |
| Active smoker                               | 210 [35]   | 73 [42.9]  | 18 [32.7] | 23 [27.4] | 35 [26.1]  | 13 [20.6] | 32 [53.3] | 16 [47.1] | 78 [13]     |
| Passive smoker                              | 83 [13.8]  | 2 [1.2]    | 12 [21.8] |           | 52 [38.8]  | 7 [11.1]  | 9 [15]    | 1 [2.9]   | 164 [27.3]  |
| <b>Respiratory health status</b>            |            |            |           |           |            |           |           |           |             |
| Asthma                                      | 33 [5.5]   | 10 [5.9]   | 2 [3.6]   | 3 [3.6]   | 11 [8.2]   | 3 [4.7]   | 1 [1.7]   | 3 [8.8]   | 99 [16.5]   |
| COPD                                        | 34 [5.7]   | 3 [1.8]    | 0 [0]     | 6 [7.1]   | 12 [8.9]   | 2 [3.1]   | 8 [13.3]  | 3 [8.8]   | 92 [15.3]   |
| Wheeze in past year                         | 70 [11.7]  | 12 [7.1]   | 11 [20]   | 4 [4.8]   | 23 [17.1]  | 7 [11.1]  | 10 [16.6] | 3 [8.8]   | 95 [15.8]   |
| Breathlessness in past year                 | 197 [32.8] | 61 [35.9]  | 21 [38.2] | 15 [17.9] | 59 [44]    | 4 [6.4]   | 20 [33.3] | 17 [50]   | 195 [32.5]  |
| Cough                                       | 151 [25.1] | 35 [20.6]  | 10 [18.1] | 22 [26.1] | 47 [35.1]  | 9 [14.3]  | 26 [43.3] | 2 [5.9]   | 75 [12.5]   |
| Phlegm                                      | 121 [20.1] | 25 [14.7]  | 17 [30.9] | 9 [10.7]  | 37 [27.6]  | 10 [15.8] | 19 [31.6] | 4 [11.7]  | 86 [14.3]   |
| <b>Respiratory functions and parameters</b> |            |            |           |           |            |           |           |           |             |
| FEV <sub>1</sub> % pred                     | 88±0.9     | 95±1.3     |           | 79±0.3    | 89±0.3     | 83±0.3    | 75±0.3    |           | 216 [36]    |
| FVC % pred                                  | 90±0.3     | 105±0.3    |           | 91±0.3    | 81±0.2     | 92±0.4    | 66±0.2    |           | 216 [36]    |
| FEV <sub>1</sub> /FVC <70%                  | 37±6.2     | 31±18.2    |           | 4±4.8     | 0±0        | 2±3.2     | 0±0       |           | 217 [36.2]  |
| eNO ppb                                     | 20.6±15.2  | 17.2±12    | 25.8±14.3 | 18.5±10.1 | 25.2±20    | 19.3±14.3 |           |           | 256 [42.7]  |
| eCO ppm                                     | 5.1±4.1    | 7.4±3.6    | 3±5.7     | 4.4±4.4   | 3.8±2.6    | 3±1.6     |           |           | 157 [26.1]  |

Data are presented as n (%) or mean±SD, unless otherwise stated. BMI: body mass index; COPD: chronic obstructive pulmonary disease; FEV<sub>1</sub>: forced expiratory volume in 1 s; FVC: forced vital capacity; eNO: exhaled nitric oxide; eCO: exhaled carbon monoxide.

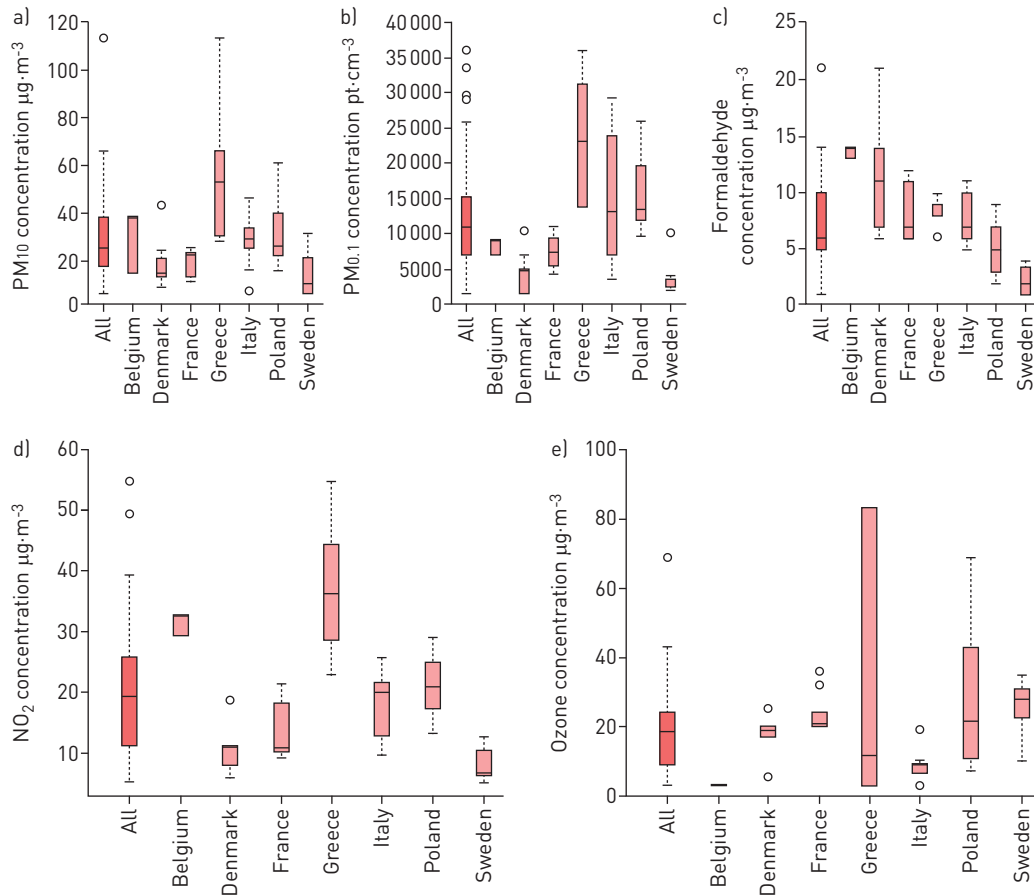


FIGURE 1 Box plots (interquartile range) and whiskers (range) of distribution of exposure to chemical air pollution among the subjects of the GERIE study according to country. The horizontal lines represent the median. PM<sub>10</sub>: particles with a 50% cut-off aerodynamic diameter of  $<10\ \mu\text{m}$ ; PM<sub>0.1</sub>: particles with a 50% cut-off aerodynamic diameter of  $<0.1\ \mu\text{m}$ ; NO<sub>2</sub>: nitrogen dioxide.

parameters, only FVC and eCO were significantly related to formaldehyde. As expected, there was an inverse relationship between eNO and NO<sub>2</sub>. Regarding the parameters of comfort, high levels of exposure to CO<sub>2</sub> were significantly associated with usual breathlessness and cough, and a borderline significance was observed in cases of reported COPD and wheeze in the past year ( $p=0.054$  and  $p=0.057$ ) (table 4). Inverse relationships were found between elevated relative humidity and wheeze in the past year, usual cough and FEV<sub>1</sub>/FVC  $<70\%$ , and between exposure to high temperature and wheeze in the past year. Results did not change when taking into account the centre.

Stratification by age group showed a more pronounced effect of PM<sub>10</sub> on usual breathlessness and cough among those aged  $>80$  years compared to those aged  $<80$  years (data not shown). More pronounced effects were also shown among this age group for the relationship between NO<sub>2</sub> and usual breathlessness. Similarly, CO<sub>2</sub> had a higher effect on wheeze in the past year and usual cough among participants aged  $>80$  years (data not shown).

Stratification according to the presence of poor or adequate ventilation showed more pronounced effects of some air pollutants and comfort parameters on respiratory health in poorly ventilated nursing homes compared to adequately ventilated nursing homes (table 5). However, in adequately ventilated nursing homes the relationship of CO<sub>2</sub> to breathlessness was also significant (adjusted OR 1.71, 95% CI 1.08–2.70). All results persisted when adjusting for suffering from a cardiovascular disease during the study period.

## Discussion

The effects of poor indoor air quality on respiratory symptoms and diseases among the elderly permanently living in nursing homes has not been characterised previously. In the present study, we showed a significant relationship between exposure to chemical indoor air pollutants and respiratory outcomes in the elderly, even at moderate concentrations of indoor air pollutants. Generally, the mean

TABLE 2 Distribution of indoor comfort parameters and ventilation among the elderly in the GERIE study according to country

|                                  | All        | Poland     | Sweden   | Greece      | France      | Italy       | Denmark    | Belgium     | No response |
|----------------------------------|------------|------------|----------|-------------|-------------|-------------|------------|-------------|-------------|
| <b>Subjects n</b>                | 600        | 170        | 55       | 84          | 134         | 63          | 60         | 34          |             |
| <b>Indoor comfort parameters</b> |            |            |          |             |             |             |            |             |             |
| Temperature °C                   | 23±1.6     | 22.9±0.6   | 23.5±0.7 | 22.4±2      | 23.8±1.6    | 23.1±2.1    | 25.1±2.1   | 23.7±0.9    | 59 [9.8]    |
| Relative humidity %              | 37±11.1    | 31.8±2.4   | 17.5±3.2 | 46.4±3.7    | 42.7±10.8   | 32.9±4.5    | 38.7±5.7   | 56.7±6.4    | 59 [9.8]    |
| CO <sub>2</sub> ppm              | 572±161.2  | 462±54     | 430.4±35 | 715.5±104.1 | 668.2±184.9 | 627.3±143.3 | 491.7±36.1 | 742.4±169.3 | 59 [9.8]    |
| <b>Ventilation</b>               |            |            |          |             |             |             |            |             |             |
| Poorly ventilated                | 436 (72.7) | 150 (88.2) | 0 (0)    | 79 (94.1)   | 92 (68.7)   | 63 (100)    | 18 (30)    | 34 (100)    | 59 [9.8]    |
| Well ventilated                  | 105 (17.5) | 20 (11.8)  | 55 (100) | 5 (5.9)     | 0 (0)       | 0 (0)       | 25 (4)     | 0 (0)       |             |

Data are presented as mean±SD or n (%), unless otherwise stated.

TABLE 3 Association between respiratory outcomes and indoor chemical air pollution in 600 subjects in the GERIE study

|                                    | PM <sub>10</sub>        |              | PM <sub>0.1</sub>       |              | Formaldehyde            |              | NO <sub>2</sub>         |               | Ozone            |         |
|------------------------------------|-------------------------|--------------|-------------------------|--------------|-------------------------|--------------|-------------------------|---------------|------------------|---------|
|                                    | aOR (95% CI)            | p-value      | aOR (95% CI)            | p-value      | aOR (95% CI)            | p-value      | aOR (95% CI)            | p-value       | aOR (95% CI)     | p-value |
| <b>Asthma</b>                      | 1.00 (0.55–1.84)        | 0.983        | 1.20 (0.65–2.24)        | 0.541        | 0.44 (0.12–1.56)        | 0.209        | 1.12 (0.63–2.01)        | 0.669         | 1.13 (0.63–2.09) | 0.652   |
| <b>Reported COPD</b>               | 0.94 (0.19–4.43)        | 0.933        | 1.40 (0.22–9.11)        | 0.715        | <b>3.49 (1.17–10.3)</b> | <b>0.023</b> | 1.09 (0.15–7.61)        | 0.926         | 0.94 (0.30–2.94) | 0.918   |
| <b>Breathlessness</b>              | <b>1.53 (1.15–2.07)</b> | <b>0.003</b> | 1.00 (0.54–1.84)        | 0.988        | 0.52 (0.19–1.39)        | 0.194        | <b>1.58 (1.15–2.20)</b> | <b>0.005</b>  | 1.15 (0.86–1.53) | 0.315   |
| <b>Wheeze</b>                      | 0.61 (0.33–1.11)        | 0.115        | <b>2.82 (1.15–7.02)</b> | <b>0.023</b> | 0.57 (0.19–1.61)        | 0.292        | 0.78 (0.34–1.75)        | 0.5592        | 0.61 (0.34–1.09) | 0.100   |
| <b>Cough</b>                       | <b>1.73 (1.20–2.50)</b> | <b>0.002</b> | 1.50 (0.82–2.77)        | 0.181        | 0.62 (0.36–1.07)        | 0.088        | <b>1.56 (1.03–2.41)</b> | <b>0.034</b>  | 1.39 (0.83–2.31) | 0.204   |
| <b>Phlegm</b>                      | 0.85 (0.50–1.41)        | 0.532        | 1.04 (0.55–1.95)        | 0.898        | 0.99 (0.46–2.07)        | 0.963        | 0.69 (0.45–1.02)        | 0.064         | 1.22 (0.71–2.13) | 0.458   |
| <b>FEV<sub>1</sub></b>             | 1.16 (0.87–1.56)        | 0.303        | 1.00 (0.88–1.13)        | 0.958        | 1.12 (0.97–1.28)        | 0.106        | 1.04 (0.85–1.28)        | 0.656         | 1.18 (0.93–1.50) | 0.166   |
| <b>FVC</b>                         | 0.98 (0.91–1.06)        | 0.640        | 0.96 (0.87–1.05)        | 0.394        | <b>1.16 (1.06–1.28)</b> | <b>0.001</b> | 0.96 (0.89–1.04)        | 0.344         | 1.03 (0.94–1.11) | 0.500   |
| <b>FEV<sub>1</sub>/FVC &lt;70%</b> | 2.53 (0.70–9.11)        | 0.156        | <b>8.16 (2.24–29.3)</b> | <b>0.001</b> | 0.46 (0.12–1.66)        | 0.237        | <b>3.74 (1.06–13.1)</b> | <b>0.038</b>  | 0.69 (0.23–2.03) | 0.508   |
| <b>eNO</b>                         | 0.94 (0.78–1.14)        | 0.558        | 1.04 (0.75–1.46)        | 0.791        | 1.04 (0.87–1.27)        | 0.624        | <b>0.80 (0.72–0.90)</b> | <b>0.0002</b> | 0.96 (0.78–1.18) | 0.686   |
| <b>eCO</b>                         | 0.97 (0.76–1.23)        | 0.789        | 0.77 (0.58–1.03)        | 0.079        | <b>1.25 (1.02–1.55)</b> | <b>0.031</b> | 1.03 (0.88–1.20)        | 0.722         | 0.93 (0.73–1.18) | 0.549   |

The p-values were obtained with the generalised estimating equation approach adjusted for sex, age, country, body mass index, highest school level, smoking, season and ventilation. Exposure was classified as a categorical variable, namely “low” versus “high”, with respect to the median value of the distribution of the evaluated concentrations in the nursing home. Bold indicates statistical significance. PM<sub>10</sub>: particles with a 50% cut-off aerodynamic diameter of <10 µm; PM<sub>0.1</sub>: particles with a 50% cut-off aerodynamic diameter of <0.1 µm; NO<sub>2</sub>: nitrogen dioxide; aOR: adjusted odds ratio; COPD: chronic obstructive pulmonary disease; FEV<sub>1</sub>: forced expiratory volume in 1 s; FVC: forced vital capacity; eNO: exhaled nitric oxide; eCO: exhaled carbon monoxide.

TABLE 4 Association between respiratory outcomes and indoor comfort parameters in 600 subjects in the GERIE study

|                                    | Temperature             |              | Relative humidity       |                   | CO <sub>2</sub>         |                   |
|------------------------------------|-------------------------|--------------|-------------------------|-------------------|-------------------------|-------------------|
|                                    | aOR (95% CI)            | p-value      | aOR (95% CI)            | p-value           | aOR (95% CI)            | p-value           |
| <b>Asthma</b>                      | 1.27 [0.74–2.20]        | 0.376        | 0.80 [0.44–1.40]        | 0.436             | 1.09 [0.67–1.78]        | 0.718             |
| <b>Reported COPD</b>               | 0.61 [0.17–2.11]        | 0.443        | 2.71 [0.58–12.6]        | 0.204             | <b>2.94 [0.98–8.84]</b> | <b>0.054</b>      |
| <b>Breathlessness</b>              | 0.93 [0.65–1.30]        | 0.662        | 1.06 [0.69–1.63]        | 0.754             | <b>1.68 [1.32–2.15]</b> | <b>&lt;0.0001</b> |
| <b>Wheeze</b>                      | <b>0.55 [0.31–0.99]</b> | <b>0.043</b> | <b>0.18 [0.09–0.36]</b> | <b>&lt;0.0001</b> | <b>1.93 [0.98–3.85]</b> | <b>0.057</b>      |
| <b>Cough</b>                       | 0.95 [0.61–1.46]        | 0.805        | <b>0.70 [0.50–0.98]</b> | <b>0.037</b>      | <b>2.01 [1.55–2.63]</b> | <b>&lt;0.0001</b> |
| <b>Phlegm</b>                      | 0.97 [0.62–1.47]        | 0.873        | 1.20 [0.77–1.91]        | 0.395             | 0.87 [0.49–1.56]        | 0.660             |
| <b>FEV<sub>1</sub></b>             | 1.19 [0.87–1.62]        | 0.263        | 1.03 [0.84–1.25]        | 0.758             | 1.23 [0.84–1.81]        | 0.290             |
| <b>FVC</b>                         | 0.96 [0.89–1.04]        | 0.298        | 1.13 [0.99–1.28]        | 0.061             | 0.98 [0.91–1.06]        | 0.633             |
| <b>FEV<sub>1</sub>/FVC &lt;70%</b> | 0.45 [0.13–1.56]        | 0.211        | <b>0.15 [0.05–0.42]</b> | <b>0.0003</b>     | 0.60 [0.20–1.75]        | 0.349             |
| <b>eNO</b>                         | 0.97 [0.80–1.16]        | 0.720        | 0.90 [0.65–1.25]        | 0.534             | 0.95 [0.74–1.22]        | 0.714             |
| <b>eCO</b>                         | 1.12 [0.89–1.41]        | 0.340        | 1.25 [0.95–1.64]        | 0.115             | 0.99 [0.76–1.28]        | 0.937             |

The p-values were obtained with the generalised estimating equation approach adjusted for sex, age, country, body mass index, highest school level, smoking, season and ventilation. Exposure was classified as a categorical variable, namely “low” versus “high”, with respect to the median value of the distribution of the evaluated concentrations in the nursing home. Bold indicates statistical significance. aOR: adjusted odds ratio; COPD: chronic obstructive pulmonary disease; FEV<sub>1</sub>: forced expiratory volume in 1 s; FVC: forced vital capacity; eNO: exhaled nitric oxide; eCO: exhaled carbon monoxide.

concentrations of air pollutants did not exceed the existing international [24, 25] and national [26] standards (outdoor standards when indoor standards did not exist). The indoor mean level of PM<sub>10</sub> was >50 µg·m<sup>-3</sup> in only six (12%) nursing homes and NO<sub>2</sub> levels exceeded 40 µg·m<sup>-3</sup> in two (4%) nursing homes, while the indoor level of ozone was very low, as expected. The formaldehyde levels were low and the maximum indoor level (weekly average) was 21 µg·m<sup>-3</sup>. However, exposure to formaldehyde levels higher than the median value of the distribution was significantly related to a higher prevalence of COPD and COPD-like symptoms. Usual breathlessness and usual cough were significantly associated with high exposure to PM<sub>10</sub> and NO<sub>2</sub>. Wheeze in the past year was associated with PM<sub>0.1</sub>. In addition, COPD defined on the basis of the FEV<sub>1</sub>/FVC ratio was highly related to both ultrafine PM<sub>0.1</sub> and NO<sub>2</sub>.

Because of the absence of data, we cannot compare these results with previous epidemiological studies. However, our findings are compatible with a previous study conducted in elderly, which found a significant relationship between exposure to outdoor PM<sub>10</sub> and bronchitis-like symptoms despite low concentrations [6]. In that study, as in this study, cardiac problems also related to breathlessness and dyspnoea had been taken into account. In terms of indoor air pollution, OSMAN *et al.* [27] showed a significant relationship between COPD and indoor NO<sub>2</sub>. To our knowledge, only two studies have reported respiratory health effects of volatile organic compounds (VOCs) in the elderly. In the first study, the urinary levels of hippuric acid and methylhippuric acid, which are metabolites of toluene and xylene, respectively, were significantly associated with reduction of FEV<sub>1</sub>, FEV<sub>1</sub>/FVC and forced expiratory flow at 25–75% of FVC [28]. The authors also found significant associations between VOC metabolites and markers of oxidative stress, which were associated with pulmonary function parameters. These results are in line with our findings relating formaldehyde to COPD. In our study, we also found a significant relationship of PM<sub>0.1</sub> and NO<sub>2</sub> to FEV<sub>1</sub>/FVC <70%, a criterion for diagnosing airway obstruction [29]. In the second study [30], which objectively assessed 20 VOCs in a population-based sample, the associations between breathlessness and living in dwellings with elevated concentrations of toluene and o-xylene, respectively, were statistically significant in the elderly (aOR 3.36 (95% CI 1.13–9.98) and 2.85 (1.06–7.68), respectively) but not in the rest of the population (aOR 0.91 (0.59–1.39) and 0.79 (0.47–1.34), respectively); despite the fact that similar levels of indoor air pollutants were found in the elderly and others. PM<sub>2.5</sub> has been related to a decline in lung function among the elderly [28] but no previous information about exposure to PM<sub>0.1</sub> exists. However, indoor air quality in the nursing home is characterised by pollution mixture; therefore, it is difficult to conclude which indoor air contaminant had a specific and independent adverse effect on respiratory symptoms or disease in our study. In addition, it must be taken into account that multi-exposure requires adapted statistical methods [31], which has never previously been performed in the elderly.

Our study also provides original data on the effects of comfort parameters and ventilation in the elderly. In our study, CO<sub>2</sub> was associated with usual breathlessness and cough and, to a lesser extent, with

TABLE 5 Association between respiratory outcomes and indoor air pollutants and comfort parameters according to ventilation in the GERIE Study

|                                                 | No or poor ventilation<br>aOR (95% CI) | Adequate ventilation<br>aOR (95% CI) |
|-------------------------------------------------|----------------------------------------|--------------------------------------|
| <b>Air pollutants</b>                           |                                        |                                      |
| Wheezing in the past year and PM <sub>0.1</sub> | 3.02 (1.22–7.47)                       | NS                                   |
| Usual breathlessness and PM <sub>10</sub>       | 1.67 (1.25–2.23)                       | NS                                   |
| Usual cough and PM <sub>10</sub>                | 1.83 (1.32–2.54)                       | NS                                   |
| FEV <sub>1</sub> and PM <sub>10</sub>           | NS                                     | 0.33 (0.20–0.53)                     |
| FEV <sub>1</sub> and PM <sub>0.1</sub>          | NS                                     | 0.23 (0.14–0.41)                     |
| FEV <sub>1</sub> and NO <sub>2</sub>            | NS                                     | 0.28 (0.17–0.46)                     |
| FEV <sub>1</sub> and formaldehyde               | 2.65 (1.29–5.45)                       | NS                                   |
| FVC and PM <sub>0.1</sub>                       | 2.75 (1.04–7.3)                        | NS                                   |
| FVC and NO <sub>2</sub>                         | 2.62 (1.08–6.38)                       | NS                                   |
| eCO and PM <sub>10</sub>                        | 3.95 (1.40–11.1)                       | NS                                   |
| eCO and PM <sub>0.1</sub>                       | 12.5 (2.22–70.6)                       | 6.24 (1.87–20.8)                     |
| eCO and NO <sub>2</sub>                         | 5.03 (1.56–16.1)                       | 3.93 (1.24–12.4)                     |
| <b>Comfort parameters</b>                       |                                        |                                      |
| Wheezing in the past year and temperature       | 0.41 (0.23–0.71)                       | NS                                   |
| Usual breathlessness and CO <sub>2</sub>        | 1.69 (1.31–2.17)                       | 1.71 (1.08–2.70)                     |
| Usual cough and CO <sub>2</sub>                 | 2.07 (1.61–2.67)                       | NS                                   |
| FEV <sub>1</sub> and CO <sub>2</sub>            | NS                                     | 0.36 (0.20–0.64)                     |
| FEV <sub>1</sub> and relative humidity          | 2.23 (1.26–3.93)                       | NS                                   |
| FVC and temperature                             | 0.37 (0.16–0.87)                       | NS                                   |
| eNO and CO <sub>2</sub>                         | NS                                     | 2.44 (1.07–5.59)                     |

The p-values were obtained with the generalised estimating equation approach adjusted for sex, age, country, body mass index, highest school level, smoking and season. Exposure was classified as a categorical variable, namely “low” *versus* “high”, with respect to the median value of the distribution of the evaluated concentrations in the nursing home. Forced expiratory volume in 1 s (FEV<sub>1</sub>) and forced vital capacity (FVC) were classified as a categorical variable, namely “low” *versus* “high”, with respect to the 20th percentile value of the distribution. Exhaled nitric oxide (eNO) and exhaled carbon monoxide (eCO) were classified as a categorical variable, namely “low” *versus* “high”, with respect to the 90th percentile value of the distribution. aOR: adjusted odds ratio; PM<sub>0.1</sub>: particles with a 50% cut-off aerodynamic diameter of <0.1 µm; PM<sub>10</sub>: particles with a 50% cut-off aerodynamic diameter of <10 µm; NO<sub>2</sub>: nitrogen dioxide; NS: nonsignificant.

diagnosed COPD and wheeze in the past year, which was consistent with previous results in younger populations [19]. CO<sub>2</sub> is also an indicator of poor ventilation [32]. In our study, where only 19% of the population had adequate ventilation, more respiratory outcomes were observed in relation to poor ventilation and a more pronounced effect of indoor air pollution and comfort parameters on respiratory outcomes were observed in the elderly permanently living in poorly ventilated nursing homes. These results are in agreement with previous findings [32] and show the importance of ventilation in the prevention of adverse effects of air pollution. In the study mentioned previously, in which the elderly were compared to the rest of the population, a more pronounced effect of n-decane on breathlessness in the past year was observed in the case of poor ventilation in the dwellings [30]. Temperature was negatively associated with wheeze in the past year in our study, implying that high temperatures might have a protective effect on wheeze among the elderly. A specific effect of low temperature is consistent with the fact that cold can be responsible for bronchial hyperresponsiveness [33]. However, according to the literature, at the population level both low and high temperatures may increase the risk of hospital admissions in the elderly [34]. Countries with lower socio-environmental conditions where extreme temperature conditions can be observed showed greater adverse health impacts [34]. Relative humidity was inversely associated with wheeze in the past year and usual cough in our study, which is not consistent with previous literature showing that humidity is a well-known risk factor for asthma, wheezing and asthma-like symptoms in the long term [35, 36]; however, in an animal model humidity did not influence the position of the dose–response curve to methacholine in the short term [37].

In our study, stratification by age group showed that the older nursing home residents had a higher risk of reporting breathlessness in the case of exposure to NO<sub>2</sub>, and wheeze and cough in the case of high levels of CO<sub>2</sub>. This supports the hypothesis of a link between ageing and frailty. The susceptibility of older adults to the health effects of air pollution is well-recognised. Advanced age may act as a partial surrogate for

conditions associated with ageing. Recently, ECKEL *et al.* [38] investigated whether “gerontology” frailty (based on a clinical health status metric) modified the association between the ambient level of ozone and PM<sub>10</sub> and lung function in 3382 older adults using 7 years of follow-up data (1990–1997) from the Cardiovascular Health Study and its Environmental Factors Ancillary Study. Frailty history was found to modify long-term associations of pollutants with FVC. The elderly could also be more exposed to indoor air pollutants because they spent most time indoors [12], which could put them at higher risk of adverse health effects of air pollution, compared to the rest of the population.

The strengths of our study include the fact that the GERIE study is the first multicentre, epidemiological study examining the effects of indoor air quality and indoor climate on respiratory morbidity in hundreds of elderly people in seven European countries using a standardised protocol. In addition, it provides indoor assessments *in situ* of indoor air pollution and comfort parameters in 50 European nursing homes using the same equipment and the same technicians [30]. Another strength is the fact that the statistical analyses were robust and adjusted for several confounding factors, taking into account country and nursing homes. Nonetheless, we also recognise some limitations of the study. Measurements of air quality were limited to a short period (1 week), which challenges their representativeness. As no reversibility test was conducted, we could not differentiate asthma from COPD in the elderly. However, it is well known that the diagnostic accuracy of bronchodilation for differentiating asthma from COPD is quite poor [39] overall in elderly [40]. This was also useless in our population where only four individuals presented with asthma and airflow obstruction simultaneously. In addition, the main aim of the GERIE study was to establish whether poor air quality and comfort variables endangered respiratory health in general. Finally, participants with dementia were excluded and replaced by residents who were able to answer to questions, thus, the population recruited in our study is not fully representative of elderly people commonly found in nursing homes. This could have led to a selection bias in favour of a healthier population.

In conclusion, the European GERIE study shows an independent effect of several indoor air pollutants and comfort parameters on respiratory morbidity among the elderly permanently living in nursing homes. These adverse effects were greater in the case of poor ventilation and in those aged >80 years. Since the effects of the studied air pollutants on respiratory morbidity may be confounded by other indoor air contaminants, further investigations are needed to enhance understanding of the underlying mechanism of frailty among the elderly.

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