

Computed tomography and pulmonary function abnormalities in sickle cell disease

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ABSTRACT

The questions of this study: To determine whether patients with sickle cell disease (SCD) in steady state had pulmonary abnormalities seen on high resolution computed tomography (HRCT) and if any abnormalities correlated with contemporaneously diagnosed lung function abnormalities. A subsidiary question was whether the results of a non invasive measure of haemolysis (end tidal carbon monoxide (ETCO) levels) corrected with pulmonary function abnormalities.

Patients and Methods: Thirty three patients with SCD, median age 36 (range 17-67) years were examined. The degree of lobar volume loss and ground glass opacification and prominence of central vessels on HRCT were quantitatively assessed. Pulmonary function was assessed by measurements of lung volumes, spirometry, gas transfer and oxygen saturation. ETCO levels were measured using an end tidal CO monitor.

Results: FEV₁ ($p<0.05$), FVC ($p<0.005$) and TLC ($p=0.008$) correlated with HRCT findings, particularly lobar volume loss. ETCO levels negatively correlated with FEV₁ ($p=0.006$), VC_{pleth} ($p=0.006$), sGaw ($p=0.04$) and S_pO₂ ($p=0.007$).

Answer to the question: Our results suggest that HRCT non-invasive assessment of haemolysis might be useful to identify SCD patients with respiratory function impairment.

Key words: haemolysis; high resolution computed tomography; pulmonary function; sickle cell disease

INTRODUCTION

Adults with sickle cell disease (SCD) may develop sickle chronic lung disease [1]. When deoxygenated, sickle haemoglobin (HbS) undergoes conformational changes, crystallised HbS molecules form a viscous solution within the erythrocyte, “stiffening” the red blood cells and changing it from its normal biconcave shape to a sickle shaped cell, which is less deformable and subject to haemolysis. The sickle cells occlude vessels causing vascular injury, especially to organs with sluggish circulation and in atelectatic areas of the lung. Cells that have sickled repeatedly become irreversibly sickled. Deoxygenation is maximal in the venous circulation and the sickle cells can cause extensive and progressive damage to the pulmonary vascular bed. Approximately 4% of SCD patients develop SCLD leading to end stage respiratory failure, characterised by hypoxaemia, restrictive lung disease, cor pulmonale and chest radiograph evidence of diffuse lung abnormalities. Pulmonary function tests are used to document the severity of lung disease in SCD, but some patients cannot successfully undertake such examinations. Recently, high resolution computed tomography (HRCT) has been shown to be of value in the evaluation of patients with diffuse lung diseases.[2, 3, 4] Whether HRCT assessment of the lungs of patients with SCD is useful, however, has rarely been explored. In one previous study [5] it was shown that approximately 40% of patients had evidence of interstitial disease on HRCT [5], but no significant relationships between the pulmonary function test results and HRCT abnormalities were demonstrated. The time interval between HRCT and pulmonary function testing, however, was large, with a range from one month to a year. Thus, the aim of this study was to

characterise and quantify any steady state abnormalities on HRCT in patients with SCD and to determine whether they correlated with contemporaneously diagnosed lung function abnormalities.

The lung damage seen in SCD appears to be a complication of chronic haemolysis and repeated episodes of pulmonary vaso-occlusion [1]. It seems likely then that assessment of the severity of haemolysis might help to identify SCD patients with pulmonary function abnormalities. Haemolysis can be assessed by analysing the carboxyhaemoglobin level of venous blood samples [6]. The haem, released from premature destruction of erythrocytes in patients with SCD, is degraded by the haem oxygenase enzyme complex to equimolar amounts of carbon monoxide (CO) and biliverdin [7, 8]. The CO combines with haemoglobin to form carboxyhaemoglobin.

Carboxyhaemoglobin levels can be measured using a chromatographic technique [9] and closed rebreathing system. Unfortunately, the technique is invasive and requires patient cooperation. An alternative technique of assessing haemolysis is to measure exhaled breath CO levels [10]. The catabolism of haem from erythrocytes accounts for approximately seventy percent of the body's CO production [10]. We have previously demonstrated in children with SCD, that exhaled CO levels, measured using a non-volitional technique, correlated with invasively measured markers of haemolysis [11]. Thus, a subsidiary aim of this study was to determine whether ETCO levels were correlated with conventional markers of haemolysis in older patients with SCD, and, if so, whether ETCO levels were related to lung function abnormalities.

METHODS

Subjects

A prospective study of patients with SCD attending a specialist clinic at the Department of Haematological Medicine, King's College Hospital was performed. The patients were eligible for the study regardless of any previous history of pulmonary problems and consecutive patients who gave written informed consent were recruited. The study was approved by the King's College Hospital Research Ethics Committee. The lung function and HRCT assessments were made on the same day, except in two individuals who had the assessments within two weeks of one another.

The patients were seen in the Amanda Smith Unit, where a detailed history was obtained from each patient and respiratory function tests undertaken. No testing was performed if the patient had a history of an upper respiratory tract infection within the previous two weeks or of a vaso-occlusive crisis within the previous one month. Short-acting bronchodilators were withheld for at least twelve hours before testing and smokers asked to abstain from smoking for at least 24 hours prior to testing.

High resolution computed tomography

All high-resolution computed tomography (HRCT) examinations were obtained using a dual detector helical CT scanner (HiSpeed NX/i, GE Medical Systems, Milwaukee, WI, USA). Scans were obtained in the supine and prone positions, at full inspiration, with 1.5 mm collimation at 10 mm intervals;

images were reconstructed using a high-spatial-frequency (bone) algorithm. All studies were stored and subsequently viewed on CD, at window settings appropriate for visualisation of the lung parenchyma (window centre -550 HU; window width 1500 HU). The extent/severity of CT patterns in individual lobes (with the lingula considered as a separate lobe) were independently quantified on supine images by two thoracic radiologists unaware of the lung function testing results. Discrepant observations were resolved by a consensus review. Prone images were reviewed to determine whether abnormalities in the dependent lung represented established disease (that is persisted) or (normal) dependent atelectasis (did not persist on the prone images).

The following abnormalities were quantified as follows: a) the severity of lobar volume loss (0=none; 1=mild; 2=severe); b) the prominence of peripheral vessels (defined as those vessels within two centimetres of the pleural surface) and the prominence of central pulmonary vessels (0=none; 1=mild; 2-moderate; 3=severe) and c) the extent of thickened interlobular septa (0=none; 1= less than 5 interlobular septa; 2= greater than 5 interlobular septa or less than 50% involvement of the pleural surface; 3= greater than 50% involvement of the pleural surface). The extent of the following patterns were scored on a continuous scale to the nearest 5%: a) a reticular pattern (defined as innumerable interlacing line shadows suggesting a mesh [12]); b) ground-glass opacification (defined as a hazy increased attenuation of lung, but with preservation of vascular and bronchovascular markings [12]), and c) nodules. The presence or absence of traction dilatation of segmental and subsegmental airways ("traction bronchiectasis") within regions of ground-

glass opacification and irregular linear opacities (defined as any linear opacity of irregular thickness of 1-3mm, distinct from interlobular septa, bronchovascular bundles and nodular opacities [12]) were recorded.

Lung function measurements

Forced expiratory volume in one second (FEV_1), forced vital capacity (FVC) and peak expiratory flow (PEF) were measured using a heated pneumotachograph (Masterscreen PFT, Viasys Healthcare, UK) and FEV_1/FVC was calculated and expressed as a percentage. A minimum of three flow-volume loops results within 5% of each other were recorded and the flow-volume loop with the highest FEV_1 analysed. Lung volumes were assessed by measurement of total lung capacity (TLC_{pleth}), functional residual capacity (FRC_{pleth}), vital capacity (VC_{pleth}) and residual volume (RV_{pleth}) using a constant volume whole body plethysmograph (Morgan TLC, Morgan Medical, Rainham, UK). Measurements were performed at least twice and the mean of values within 5% of each other recorded. Prior to commencing measurement by plethysmography, the subject sat in the plethysmograph for a minimum period of two minutes to allow the temperature and pressure within it to equilibrate. The subject was then instructed to breathe normally through the mouthpiece attached to the pneumotachograph. Measurements of mouth pressure and box pressure were made during a panting manoeuvre. The shutter remained closed for five panting breaths. The shutter was then released and the subject instructed to make a maximal expiratory manoeuvre to residual volume. Once residual volume was reached the subject was instructed to inspire maximally to TLC. Total lung gas transfer for carbon

monoxide (DLco), gas transfer per unit lung volume (Kco), alveolar volume (VA) and vital capacity (VC_{SB}) were assessed using the single breath gas transfer technique (Masterscreen PFT, Viasys Healthcare, UK).

Measurements were performed at least twice and the mean of values within 5% of each other recorded. Steady state haemoglobin levels were measured during routine outpatient visits within one month of testing and were used to calculate DLco_c and Kco_c from DLco and Kco respectively. All lung function results were corrected to body temperature, pressure, saturated conditions. The lung function test results were expressed as a percentage of that predicted for height using the data of the European Community for Steel and Coal [13], corrected for ethnicity by reducing the lung volumes by ten percent. Adults were diagnosed as having a restrictive abnormality if their TLC_{pleth} was below eighty percent of that predicted for standing height [14], an obstructive abnormality if their FEV₁/FVC was below 81.4 percent in males and 82.3 percent in females [14] and a mixed obstructive/restrictive lung function abnormality if both TLC and FEV₁/FVC were reduced. If twenty minutes after administration of 200mcg of salbutamol, the FEV₁ was at least 12 percent greater than the pre-bronchodilator measurement and had increased by at least 200mls [14], the patients were diagnosed as having a positive response to bronchodilator therapy. Oxygen saturation was assessed by pulse oximetry (Ohmeda, Colorado, US). The measurement of oxygen saturation was made when the subject had rested and the saturation level reported was the stable level for fifteen minutes.

End-tidal carbon monoxide measurements

ETCO levels were measured using an end tidal CO monitor (CO-Stat™, Natus Medical Inc, San Carlos CA, USA). A small sampling catheter was inserted five millimetres inside the nostril. Testing was completed within three minutes of quiet tidal breathing. After each measurement, the machine analysed the background CO level, which was then deducted from the measured value. The monitor also measured the background hydrogen concentration. If the hydrogen concentration exceeded 50ppm, which interferes with the measurement of ETCO [15], the instrument terminated breath sampling and the ETCO test was aborted. Total bilirubin, absolute reticulocyte and haemoglobin levels were all recorded from routine blood samples taken during the patient's outpatient visit.

Statistical analysis

Differences were assessed for statistical significance using a Fisher's exact test. Correlations between HRCT findings, the degree of haemolysis and lung function results were assessed using Pearson's product moment correlation (r) or Spearman's rank correlation coefficient (r_s), as appropriate. McNemar's chi-squared test (confining analysis to subjects with divergent test results) was used to compare the sensitivity of HRCT and pulmonary function test results. Stepwise regression was used to identify key HRCT features linked to lung function abnormalities; all models satisfied the assumptions of multiple linear regression, as judged by testing for heteroscedasticity.

RESULTS

Subjects

Thirty-five SCD patients, homozygous for HbSS, were initially recruited to the study, but two failed to attend for pulmonary function testing or HRCT. Thus, 33 subjects (12 males and 21 females with a median age of 36 [range 17-67] years, median height of 167 [range 153-188] cms and median weight of 69 [range 52-92] kgs) were examined. One patient had physician-diagnosed asthma and was taking regular anti-asthma medication. Two of the patients were current smokers (median 4.6 [range 0.4-8.8] pack-years) and nine were ex-smokers (median 1.9 (range 0.1-36.3) pack-years).

Pulmonary function test results

There was a wide variation in the lung function of the cohort (Table 1). Eighteen patients had a restrictive, obstructive or a mixed restrictive/obstructive abnormality. Nine patients had a restrictive lung function abnormality, five an obstructive abnormality and four a mixed restrictive/obstructive abnormality. Only one patient, who had a mixed restrictive/obstructive lung function abnormality, demonstrated a positive response to bronchodilator, this was not the known asthmatic patient.

HRCT results

Observer agreement for the scoring of HRCT patterns (expressed as the single determination standard deviation or the kappa coefficient, as appropriate) was within clinically acceptable limits [16] for all HRCT patterns except for the grading of peripheral vessel prominence (Table 2).

A reticular pattern (Figure 1), lobar volume loss (Figure 2) and prominent central vessels (Figure 3) were the three most common abnormalities on HRCT. Traction bronchiectasis, interlobular septal thickening and nodules were uncommon patterns and hence excluded from further analysis.

HRCT abnormalities were evident in thirty patients, twelve with normal lung function. Lobar volume loss, prominent central vessels and/or a reticular pattern/ground-glass opacification were present in 30 cases and were more prevalent than reduction in FEV₁ (14/33, $p<0.001$), FVC (15/33, $p<0.001$), TLC (13/33, $p<0.001$), RV (10/33, $p<0.001$), Kco (4/33, $p<0.001$) and DLco (20/33, $p=0.03$). Lobar volume loss, prominent central vessels and a reticular pattern/ground glass opacification were all present on HRCT in 12 of 18 subjects with a restrictive, obstructive or mixed ventilatory defect (Table 3).

Ground-glass opacification and reticular abnormalities were strongly inter-related, both in presence and extent. Ground-glass opacification was present in 19 of 27 patients with a reticular pattern, but in none of the six patients without a reticular pattern ($p=0.003$, Fisher's exact test). The extents of a reticular pattern and ground-glass opacification were strongly correlated in the whole population ($r_s=0.77$; $p<0.00005$) (Figure 4) and in the 19 patients with ground-glass opacification ($r_s=0.64$, $p=0.003$). Based on these observations, a total interstitial lung disease score, summing the extents of ground-glass opacification and a reticular pattern, was included in subsequent analyses.

The severity of lobar volume loss correlated with the extent of a reticular pattern ($r_s=0.54$; $p=0.001$), ground-glass opacification ($r_s=0.51$; $p=0.002$), total interstitial lung disease ($r_s=0.56$; $p<0.001$) and irregular linear opacities ($r_s=0.50$; $p<0.001$). Irregular linear opacities were correlated with the extent of a reticular pattern ($r_s=0.40$; $p=0.02$), ground-glass opacification ($r_s=0.46$; $p<0.01$) and total interstitial lung disease ($r_s=0.44$; $p<0.01$).

HRCT and lung function correlations

On univariate analysis, lobar volume loss on HRCT was negatively related to the percent-predicted FEV₁ ($r_s= -0.44$; $p<0.05$), FVC ($r_s= -0.46$; $p<0.05$) and TLC ($r_s= -0.58$; $p<0.0005$). The extent of irregular linear opacities were negatively related to percent-predicted DLco ($r_s= -0.36$; $p=0.04$) and the severity of prominence of central vessels was negatively related to FVC ($r_s= -0.39$; $p=0.02$).

On stepwise regression, the results of all the lung function tests were linked to lobar volume loss on HRCT (Table 4). After adjustment for lobar volume loss, the severity of central vessel prominence was negatively linked to FEV₁ and FVC.

Relationships between ETCO levels, other markers of haemolysis and lung function results

Twenty-seven of the patients (two current and seven ex-smokers) completed assessment of ETCO levels. The remaining six patients had exhaled hydrogen levels greater than 50ppm; their results, therefore, were excluded

from analysis. ETCO levels correlated positively with bilirubin levels ($r_s=0.66$, $p=0.0002$) and the absolute reticulocyte count ($r_s=0.70$, $p=0.0002$) and negatively with haemoglobin ($r_s=-0.51$, $p=0.008$). ETCO levels correlated negatively with FEV₁ ($r_s=-0.51$, $p=0.006$), VC_{pleth} ($r_s=-0.51$, $p=0.006$), sGaw ($r_s=-0.39$, $p=0.04$) and S_pO₂ ($r_s=-0.51$, $p=0.007$) levels.

DISCUSSION

We have demonstrated that the majority of our patients with SCD had pulmonary abnormalities on HRCT examination and the HRCT findings correlated significantly with pulmonary function testing results. The most common HRCT abnormalities noted (i.e. reticular abnormalities, lobar volume loss and prominence of the central vessels) are likely to be chronic changes, as patients with a recent infection or vaso-occlusive crisis were excluded from this study. Our HRCT findings suggest that fibrotic interstitial abnormalities are common in adults with SCD, as the majority of the patients had reticular abnormalities and/or lobar volume loss. Reticular abnormalities on HRCT consistently represent interstitial fibrosis in other pulmonary diseases [17] and lobar volume loss is frequently present in fibrotic lung disease. Ground-glass opacification is less specific [18], it sometimes denotes fine fibrosis [19, 20, 21], but can also be indicative of reversible disease, including inflammation, low-grade infection, and alveolar haemorrhage. The inter-relationships, however, we demonstrate between the HRCT scores for reticular abnormalities, ground-glass opacification, irregular linear opacities and loss of lobar volume suggest that these patterns have a linked pathogenesis.

Quantification of CT patterns and the relationship of the results to lung function abnormalities has been determined in a number of diffuse lung diseases, including idiopathic pulmonary fibrosis [22] and lung fibrosis associated with systemic fibrosis [23, 24], sarcoidosis [25] and small airways disease [26, 27]. The method of scoring of the reticular patterns, ground glass opacification and nodules used in this study has been previously shown to give good observer agreement [28]. The semi-quantitative quantification of volume loss and vessel prominence we used has not been widely tested. The results for lobar volume loss and central vessel prominence, however, we feel were robust as there was good observer agreement; this was not true for the results of peripheral vessel scoring, hence those results were excluded from further analysis.

The abnormalities we demonstrate on HRCT correlated appropriately with lung function abnormalities. For example, increasing lobar volume loss on HRCT was linked to decreasing FVC levels in the whole cohort and, in the patients with restrictive abnormalities, volume loss on HRCT was almost invariable. These correlations suggest the HRCT findings are important. We also found an independent relationship between reductions in FEV₁ and FVC and central vessel prominence; in addition, prominent central vessels were found on the HRCT examinations of eight of the nine patients with restrictive abnormalities. Pulmonary hypertension is common in SCD patients with sickle chronic lung disease and such patients have restrictive lung function abnormalities [1]. It is, therefore, tempting to speculate the central vessel prominence we noted in association with reduced FEV₁ and FVC and

restrictive lung function abnormalities reflects changes related to pulmonary hypertension, this hypothesis merits testing.

ETCO levels also correlated with the pulmonary function results . A number of factors influence ETCO levels, but we do feel we had taken them appropriately into consideration. For example, ETCO levels are raised during the acute symptomatic phase of an upper respiratory tract infection (URTI) [29], hence, we did not measure patients within two weeks of an URTI. We analysed the room air as an index of inhaled CO, so that the rate of endogenously produced CO could be appropriately assessed [30]. Since there is a relatively long lasting effect of CO exposure, as carboxyhaemoglobin has a half life of up to six hours, it is, therefore, also necessary to take into account prior exposure to high levels of CO from gas heaters, internal combustion engines and tobacco smoke. Only two of the patients, however, were smokers and both stated they had not smoked for the 24 hours prior to testing, as requested. We have been able to demonstrate that ETCO levels reflected the degree of haemolysis in SCD adults, as assessed by the total bilirubin and haemoglobin levels and the reticulocyte count. Thus, our demonstration of a significant relationship between pulmonary function and ETCO levels is not surprising, as a correlation between haemolysis and pulmonary function in SCD would be expected. Plasma haemoglobin is a rapid and effective scavenger of nitric oxide (NO), as well as catalysing the formation of reactive oxygen and nitrogen species [31]. Reduced NO bioavailability enhances adhesion, as NO under normal physiological conditions inhibits the production of adhesive molecules such as

vascular cell adhesion molecule-1 and E-selectin. Hence, the adhesion of the erythrocytes to the vascular endothelium is increased and leads to direct endothelium injury. Our finding that ETCO levels negatively correlate with lung function results in SCD patients suggest this non-invasive assessment of haemolysis might be useful to identify SCD patients with lung function abnormalities.

In conclusion, our results suggest that HRCT examination and/or non-invasive assessment of haemolysis might facilitate identification of SCD patients with respiratory function impairment. These tests may be particularly useful in those patients unable to complete pulmonary function tests. More of the patients had abnormalities on HRCT than on lung function testing, which suggests that HRCT is a more sensitive detector of respiratory abnormalities than lung function testing. This hypothesis merits testing by serially assessing SCD patients to determine if those with only HRCT abnormalities subsequently develop lung function abnormalities.

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Table 1: Lung function data

Data are presented as the percentage of that predicted for standing height and expressed as median (range)

FEV₁	83 (46-122)
FVC	82 (45-109)
FEV₁/FVC	0.85 (0.75-0.96)
PEF	96 (59-143)
TLC_{pleth}	83 (53-113)
FRC_{pleth}	97 (68-146)
RV_{pleth}	88 (29-170)
VC_{pleth}	83 (47-103)
T_LCO	56 (21-85)
KCO	80 (47-118)
T_LCOc	75 (32-105)
KCOc	106 (70-160)
VA	71 (46-95)

Table 2 **HRCT data**

HRCT PATTERN	PREVALENCE	MEDIAN EXTENT/SEVERITY	OBSERVER VARIATION
Lobar volume loss	67%	2.0 (0-8.0)	0.61 ⁺⁺
Central vessels	70%	8.0 (0-19.0)	0.55 ⁺⁺
Peripheral vessels	52%	1.0 (0-12.0)	0.35 ⁺⁺
Irregular linear opacities	42%	0.0 (0-0.42)	0.42 ⁺⁺
Reticular pattern	82%	5.8% (0-17.1%)	4.1% ⁺
Ground-glass opacification	58%	0.83% (0-57.5%)	4.36% ⁺
Traction bronchiectasis	9%	-	-
Interlobular septa	18%	-	-
Nodules	9%	-	-

Observer variation expressed either as a ⁺ single determination standard deviation
(for “continuous” data) or the ⁺⁺ weighted kappa coefficient

Table 3 HRCT findings in patients with lung function abnormalities

Number of subjects with lung function abnormalities	Lobar volume loss	Prominent central vessels	Reticular pattern/ ground glass
Restrictive			
1	—	—	+
2	+	+	—
6	+	+	+
Obstructive			
1	+	—	+
1	+	+	—
3	+	+	+
Mixed Restrictive/Obstructive			
1	+	—	+
3	+	+	+

Table 4: Relationships between pulmonary function and HRCT abnormalities on stepwise regression.

Lung function	HRCT	Coefficient (95% confidence levels)	p value
FEV₁ [$r^2 = 0.32$]	Lobar volume loss	-3.6 (-6.0, -1.1)	0.006
	Prominent central vessels	-1.0 (-1.9, -0.2)	0.02
FVC [$r^2 = 0.43$]	Lobar volume loss	-3.3 (-5.2, -1.3)	0.002
	Prominent central vessels	-1.1 (-1.8, -0.4)	0.003
TLC [$r^2 = 0.21$]	Lobar volume loss	-2.5 (-4.3, -0.7)	0.008
VA [$r^2 = 0.29$]	Lobar volume loss	-2.3 (-3.6, -1.0)	0.001
T_LCO [$r^2 = 0.16$]	Lobar volume loss	-2.5 (-4.6, -0.4)	0.03

Figure Legends

Figure 1 a,b: HRCT in two patients with sickle cell disease.

(a) Image through the lung bases demonstrating a fine reticular pattern without honeycombing. There is also some ground-glass opacification, but this pattern is of limited extent. (b) Predominant ground-glass opacification is present at the lung bases; there is a subtle superimposed reticular pattern.

Figure 2: HRCT at the level of the pulmonary venous

confluence showing marked volume loss in the lower zones; both oblique fissures are retracted posteriorly.

Figure 3: HRCT through the lower zones.

The central pulmonary vessels in both lower lobes are prominent by comparison with the luminal diameter of the accompanying airway.

Figure 4: Relationship between two HRCT patterns.

There was a close correlation between the extents of a reticular pattern and ground-glass opacification ($r_s=0.77$; $p<0.00005$). Two patients had a high extent of ground glass opacity (27% and 58%) and are plotted at the extent of their reticular pattern (11% and 12%). They are depicted (11,27) and (12,58).



Figure 1b

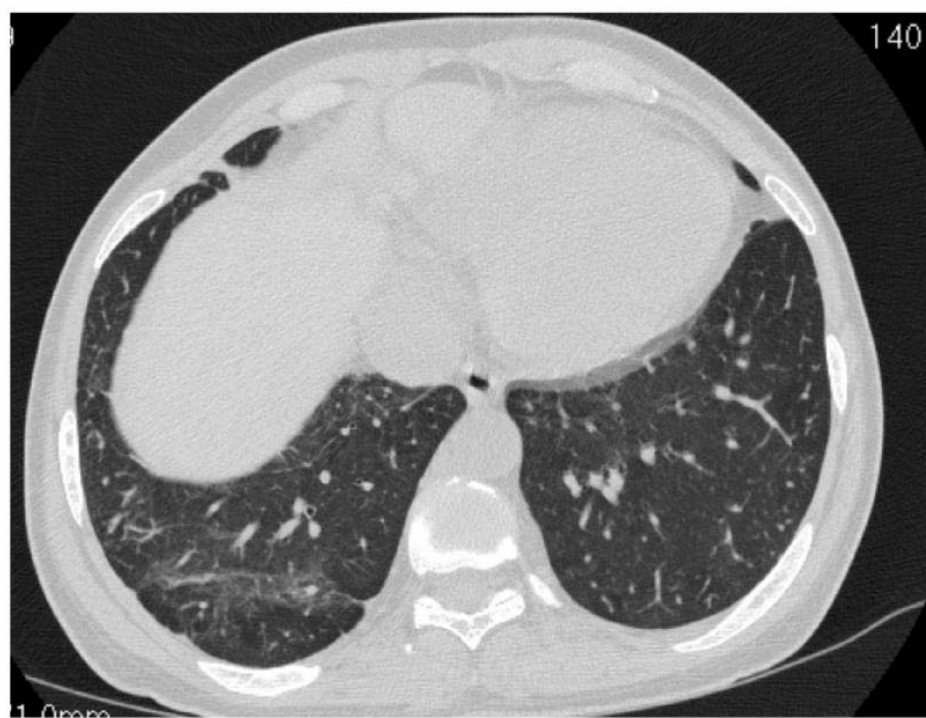


Figure 2

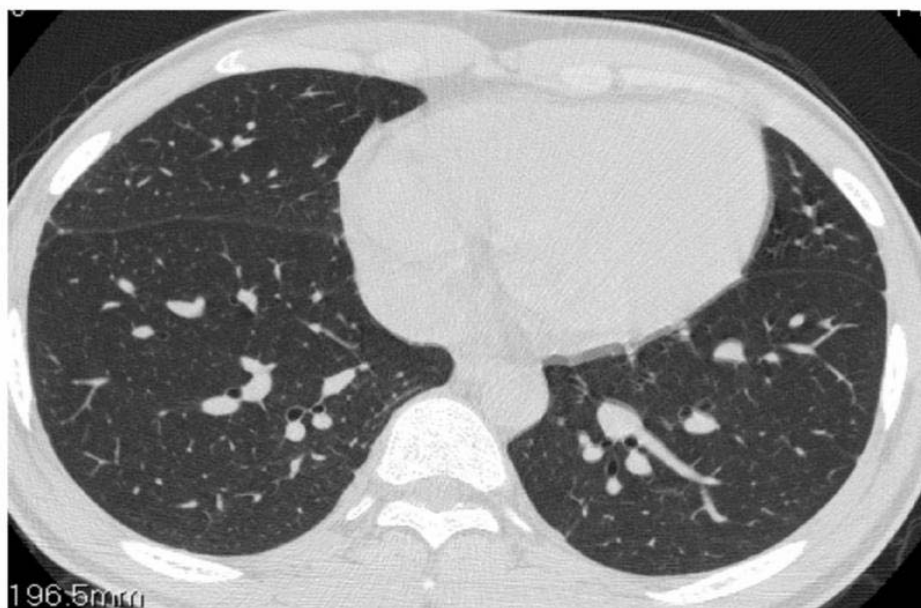


Figure 3



