Unrecognized Ventricular Dysfunction in Chronic Obstructive Pulmonary

Disease

Alejandro Macchia,^{1,2,3} Juan J Rodríguez Moncalvo,⁴ Mercedes Kleinert,⁵ Pablo D Comignani,³ Graciela Gimeno,⁶ Diego Arakaki,⁷ Nicolás Laffaye,² Juan J Fuselli,⁷ Hernán P Massolin,⁵ Jimena Gambarte ³, Marilena Romero ⁸, Gianni Tognoni ⁸

From:

- 1- Epidemiology Section Department of Internal Medicine CEMIC (Centro de Educación Médica e Investigaciones Clínicas), Buenos Aires, Argentina.
- 2- GESICA Foundation (Grupo de Estudio de Investigación Clínica en Argentina), Buenos Aires, Argentina.
- 3- Emergency Department Hospital Alemán, Buenos Aires, Argentina.
- 4- Pulmonary Laboratory Hospital María Ferrer, Buenos Aires, Argentina
- 5- Pneumonology Section Hospital Cosme Argerich, Buenos Aires, Argentina.
- 6- Cardiology Section Hospital Cosme Argerich, Buenos Aires, Argentina
- 7- Cardiology Section Department of Internal Medicine CEMIC, Buenos Aires, Argentina.
- 8- Consorzio Mario Negri Sud Santa Maria Imbaro, Chieti, Italy.

Correspondence to:

Alejandro Macchia, MD

Epidemiology Section – Department of Internal Medicine – CEMIC (Centro de Educación Médica e Investigaciones Clínicas) – Buenos Aires, Argentina.

E-mail: macchia@negrisud.it

Phone number: 0054 011 4953 9606

Fax: 0054 011 4953 9604

Abstract

While both chronic congestive heart failure (CHF) and chronic obstructive pulmonary disease (COPD) impose a substantial disease burden and share etiologic and epidemiologic associations, they largely have been studied separately. We aim to assess the prevalence and the prognostic implications of the coexistence of left ventricular dysfunction in COPD patients and airway obstruction in CHF patients.

We used a prospective cohort study including stable ≥60-year-old patients with echocardiographic-confirmed CHF (n=201) and stable ≥60-year-old patients with clinical and spirometry-confirmed COPD (n=218). All CHF patients underwent routine spirometry, and all COPD patients underwent routine echocardiographic assessment and B-type natriuretic peptide measurement. Patients were followed for 2 years.

The prevalence of airway obstruction among CHF patients was 37.3%. The prevalence of ventricular dysfunction among COPD patients was 17%. The presence of ventricular dysfunction in patients with COPD tended to increased the risk of mortality during follow-up (HR=2.34, 95% CI 0.99 – 5.54, p=0.053). The presence of airway obstruction in patients with CHF did not influence survival.

CHF and COPD frequently coexist. Ventricular dysfunction worsens survival in patients with COPD. Considering the high prevalence and the prognostic

implications of ventricular dysfunction, routine assessment with either BNP or echocardiogram should be considered in COPD patients

Introduction

Chronic congestive heart failure (CHF) and chronic obstructive pulmonary disease (COPD) contribute enormously to the global burden of disease (1). Despite the fact that both diseases represent a major challenge for healthcare providers and share some common etiologic and epidemiologic factors, there is a lack of relevant studies addressing the often ignored combination of CHF and COPD and still fewer addressing the simple clinical questions of interest to physicians (2 -10). Unfortunately, most studies of the coexistence of COPD and CHF have established diagnosis criteria in a retrospective way and in most cases the diagnoses were not based on spirometry and echocardiography (2, 3, 6, 7, 9, 10).

This is the first and main report of the REPENSAR registry, a prospective evaluation of stable patients with echocardiographic confirmation of clinically diagnosed heart failure (ESC criteria) (12) and stable patients with clinical and spirometrically confirmed COPD diagnosis according to the Global Initiative for Chronic Obstructive Lung Disease (GOLD) criteria (13). (REPENSAR = Registro de Enfermedad Pulmonar obstructiva crónica E insuficiencia cardíaca en contextos Asistenciales Reales; in Spanish, "Rethink.") In this study patients with all GOLD stages were prospectively included. The main objectives of the study were to assess: 1) the prevalence of COPD among patients with CHF; 2) the prevalence of ventricular dysfunction assessed by echocardiography in patients with COPD; 3) the degree of awareness of the other condition (CHF or COPD) among their treating physicians; 4) the prognostic influence of airway obstruction among patients with CHF; and 5) the prognostic influence of ventricular dysfunction among patients with COPD.

Methods

The REPENSAR study was a prospective registry study conducted at public and private hospitals in Buenos Aires, Argentina. The study protocol was approved by the IRB committees of each participating institution, and all patients provided signed informed consent before recruitment.

Patients

At the time of being included in the study all patients were under care in outpatient clinics specialized in cardiology or respiratory medicine. All patients were recruited consecutively and met the following criteria.

COPD

Patients were 60 years of age or older and had a diagnosis of COPD by both clinical and spirometric GOLD criteria (13). Predicted normal values for spirometry were those of Crapo (14).

CHF

Patients were 60 years or older and met both clinical and echocardiographic criteria (12) for CHF according to ESC criteria. *Clinical criteria*: Patients must have had an established clinical history of CHF and clinically stable at the time of enrollment. *Echocardiographic data*: Patients must have had an impaired ejection fraction (EF) ≤40% measured with echocardiography.

Measurement of baseline and follow-up variables

Baseline assessments included a detailed clinical examination, clinical history, 12-lead electrocardiogram, and measurements of hemoglobin, hematocrit, and creatinine. A 2-M and Doppler echocardiogram (for CHF patients) and spirometry (for COPD patients) were also part of the baseline assessments. All

patients were asked about the presence of relevant clinical antecedents and smoking status.

Followup variables (performed after the declaration of awareness of the other condition by treating physicians) included a complete 2-M and Doppler echocardiogram and blood test for the assessment of NT-proBNP in patients enrolled with COPD and spirometry in patients recruited with CHF Echocardiograms: All echocardiograms were performed by 2 diagnostic cardiac sonographers, who used the same echocardiographic instrument (HP-2500, Palo Alto, Calif) according to a standardized protocol. Echocardiograms were interpreted by two echocardiologists who were blinded to clinical data. We used validated criteria (16 - 18) for diagnosis and assessment of left ventricular dysfunction in patients enrolled with COPD. Briefly, in each participant, measurement of EF was performed by M-mode echocardiography using the modified Quinones formula, by the quantitative 2-D (biplane Simpson) method, and by the semiquantitative 2-D visual estimate method. Each participant underwent pulsed-wave Doppler examination of mitral inflow before and during Valsalva maneuver and of pulmonary venous inflow and Doppler tissue imaging of the mitral annulus. Diastolic function was categorized according to the progression of diastolic dysfunction: normal; mild, defined as impaired relaxation without evidence of increased filling pressures; moderate, defined as impaired relaxation associated with moderate elevation of filling pressures or pseudonormal filling, and severe, defined as advanced reduction in compliance or reversible or fixed restrictive filling as previously described and validated .Participants were required to have 2 Doppler criteria consistent with moderate or severe diastolic dysfunction to be so classified (16, 18).

The aforementioned GOLD criteria (13) and spirometric standards were used for the diagnosis of COPD in patients enrolled with chronic heart failure.
Spirometry: The spirometric test was made according to American Thoracic Society (ATS) European Respiratory Society (ERS) recommendations before and 15 minutes after the administration of 400 mcg of inhaled albuterol. A mass flow sensor or a Fleisch pneumotachograph were used for measuring flows, while volume was obtained by integration of flow signal. Forced vital capacity (FVC), forced expiratory volume in the first second (FEV1), and other spirometric measurements were obtained according to ATS/ERS standardization. Patients were instructed about the washout time from bronchodilators and to restrain from smoking at least 24 hours before the procedure.

The spirometric criterion for COPD is a postbronchodilator (400 µg of inhaled albuterol) FEV1/ FVC ratio < 70%.

NT-proBNP: Blood samples for measurement of concentrations of NT-proBNP were collected on admission in tubes containing EDTA. Plasma NT-proBNP concentrations were determined by electrochemiluminescence immunoassay. (Elecsys 2010, Roche Diagnostics). The inter-assay and intra-assay coefficients of variation were both <3.1%. The sensitivity of the assay was 0.6 pmol/L.

Assessment of physician's awareness for the diagnosis of the other condition

Before proceeding with outcome measurements, all attending physicians were asked to complete a self-administered questionnaire to characterize the likelihood that his/her patient would have the other condition (i.e., physicians who cared for patients with COPD indicated whether they thought each patient

had CHF, and physicians who cared for patients with CHF indicated whether they thought each patient had COPD). There were five possible answers: a) I am sure the patient has the other condition; b) I am sure the patient does not have the other condition; c) I am not sure but I consider this probability low, d) moderate or e) high. In all cases the questionnaire was completed before the results of the outcomes tests.

Follow up.

Hospitalizations and vital status were obtained directly by attending physicians. A specific CRF was designed and collected for all patients. When the attending physician was not able to contact the patients (mostly because patient failed to attend a specific visit), the coordinator center proceeded to contact the patient or his/her family.

Statistical methods

A simulation of binomial distribution based on the Armitage model with an hypothetical prevalence ranging from 0.05 to 0.3 allowed us to recruit a total number of 400 patients to accurately determine real prevalence.

Characteristics of all patients were reported as percentages and mean \pm standard deviation (SD), and were compared with Pearson $\chi 2$ and Mann-Whitney U test for categorical and continuous variables, respectively.

The overall prevalence of ventricular dysfunction was reported in all participants enrolled with COPD. Similarly, we reported the overall prevalence of airway obstruction among patients with CHF.

Associations between the presence of ventricular dysfunction and airway obstruction with clinical, biochemical, and neurohormonal variables were

investigated using the $\chi 2$ test for univariate associations and logistical regression, controlling for potential confounding variables.

Survival status was estimated using the Kaplan-Meier method. The association with ventricular dysfunction in patients with COPD and airway obstruction in patients with CHF was assessed using the logrank test. Additionally, we performed a Cox proportional hazards regression model to adjust the association of ventricular dysfunction and airway obstruction with all-cause mortality and survival free of hospitalizations. All multivariate analyses were adjusted for the following covariates: age, sex, BMI, heart rate, diabetes, hypertension, systolic and diastolic arterial pressure, presence of coronary disease, previous stroke, peripheral vascular disease, renal failure, hypercholesterolemia, atrial fibrillation, and malignancy.

All events were assessed personally or telephonically by the attending physicians. In the few cases in which this was not possible, the coordinator center proceeded to contact the patient or his/her family to make the follow up. All the hospitalizations were validated with the corresponding clinical records.

All the analyses were performed using SPSS 10.0 (SPSS Inc., Chicago, IL, USA) and SAS Statistical Package Release 9.1 (SAS Institute, Cary, NC, USA).

All deaths were validated with the corresponding death certificates.

Results

Baseline characteristics

A total of 419 patients were included in the study. Of these, 218 (52%) were included for COPD and 201 (48%) for CHF. Table 1 shows the characteristics of patients enrolled with CHF, COPD, and all patients. The mean age of the

population was 72.4 ± 12.1 years; patients with CHF were older than those with COPD (75.3 versus 69.8 years, p<0.0001). Nearly 70% of patients of each group were males. Both groups of patients had a heavy smoking history that was remarkably high among those with COPD (nearly 60 pack-years). Cardiovascular risk factors were concentrated and significantly more prevalent in patients with CHF; however, hypertension, diabetes, hypercholesterolemia, documented previous coronary disease, stroke, and peripheral vascular disease were also common in patients with COPD.

Among patients with CHF, 78% received beta blockers and 83% either an ACE inhibitor or an ARB. As would be expected, significantly fewer patients with COPD received cardiovascular treatments than those with CHF.

Disease severity at baseline is also shown in Table 1. Among patients with COPD, the mean (SD) postbronchodilator FEV1 was 1.25 (0.49) litres, which represents $39\% \pm 16\%$ of the predicted value. The postbronchodilator FEV1/FVC was $51\% \pm 13\%$.

Patients with COPD were classified at baseline as having GOLD 1 in 25.2% of cases (55 patients), GOLD 2 in 51.4% of cases (112 patients), GOLD 3 in 16% of cases (35 patients), and GOLD 4 in 7.3% of cases (16 patients) (data not shown).

Awareness of treating physicians

Figures 1 and 2 show the degree of awareness of physicians treating CHF patients (cardiologists) and those treating COPD patients (pulmonologists) that their patients would have the other condition.

Awareness of pulmonologists (Figure 1)

Before conducting the protocol echocardiogram, 5% of pulmonologists declared that they were "sure" (based on the results of a previous echocardiogram) that his / her patient would have left ventricular dysfunction; 7.4% declared that they were sure that his / her patient would not have ventricular dysfunction; 63.9% declared that they were not sure but consider the possibility low; 14.8% declared that they were not sure but thought that the possibility was moderate; and 9.7% declared that they were not sure but thought that the possibility was high.

Awareness of cardiologists (Figure 2)

Before conducting the protocol spirometry, 3.5% of cardiologists declared that they were "sure" (based on the results of a previous spirometry) that his / her patient would have COPD; 3% declared that they were sure that his / her patient would not have COPD; 64.7% declared that they were not sure but considered the possibility low; 20.9% declared that they were not sure but thought that the possibility was moderate; and 8% declared that they were not sure but thought that the possibility was high.

Spirometry results in patients with CHF

Table 2 shows the baseline spirometry results of the patients with CHF.

Seventy-five (37.3%) patients with CHF had airway obstruction. Of these, 62

(28.4%) qualified as moderate to severe obstruction.

The presence of airway obstruction had no statistical associations with demographics characteristics, cardiovascular risk factors, previous coronary disease or stroke, signs or symptoms, etiology of heart failure, echocardiographic values, or laboratory values. The only variable that was associated with the presence of airway obstruction was the number of pack-

years (28.8 years in patients without airway obstruction versus 38.9 in those with obstruction, p<0.0001).

Relationship between awareness and presence of airway obstruction

The presence of airway obstruction was associated with the *a priori* declared probability of the attending cardiologists. Spirometric documentation of airway obstruction was observed in 86% of cases in which cardiologists declared they were "sure" and in 0% of those declaring they were sure that their patient would not have airway obstruction. When cardiologists declared that they were not sure, the presence of airway obstruction was 29%, 57%, and 44% when the cardiologists judged the possibility as low, moderate, or high, respectively (Figure 2).

Survival of patients with CHF associated with the presence of airway obstruction

Median follow up time was 575 days. There were a total of 61 deaths during that time. Of these, 37 were of patients included because of heart failure and 24 in those included because of COPD. Only 3 patients were completely loss during follow up. Of the remaining 416 patients, 35 (8.4%) had a follow up period of ≤30 days.

Figure 3 shows the survival curve of CHF patients with and without airway obstruction. There were no significant differences in survival between groups. The adjusted risk of death in patients with CHF and concomitant airway obstruction was 0.77 (95% CI, 0.37 – 1.58), p=0.474.. Although patients with airway obstruction had a higher incidence of hospitalization during follow-up, these differences did not reach statistical significance (adjusted HR= 1.16; 95% CI, 0.71 – 1.89; p=0.546).

Using a more specific threshold for clinically important COPD, such as post-BD FEV1/FVC below the LMS (adjusted HR for mortality= 1.16; 95% CI, 0.20 – 2.13; p=0.483; adjusted HR for hospitalizations= 1.37, 95% CI, 0.75-2.53) and FEV1 below 60% (adjusted HR for mortality= 1.11; 95% CI, 0.49 – 2.55; p=0.795; adjusted HR for hospitalizations= 1.40, 95% CI, 0.91-2.16) resulted in a better prediction of the impact of COPD.

Echocardiographic results in patients with COPD

The procedure was considered technical acceptable in all COPD patients. There were no major discrepancies among echocardiogram operators in the calculation of the EF value and in anyway there were no discrepancies at all in the determination of the proportion of patients who were classified as having LV dysfunction (EF \leq 40%).

Thirty-seven (17%) out of 218 patients with COPD had left ventricular dysfunction. Of these, 30 had systolic dysfunction (EF≤40%), and seven patients were classified as having also severe diastolic dysfunction.

The presence of ventricular dysfunction in patients with COPD was not statistically associated with demographics, cardiovascular risk factors (hypertension, diabetes, high cholesterol), laboratory values, or GOLD classification. Twenty-six out of 37 patients with COPD and left ventricular dysfunction were classified GOLD 3 (n=16) or 4 (n=10). Only the presence of previously known coronary disease was significantly associated with the presence of ventricular dysfunction. Fifteen out of 37 patients (40.5%) with ventricular dysfunction had previous coronary disease, while 20 out of 164 (12.2%) without ventricular dysfunction had previous ischemic disease (p<0.0001).

Median values of NT-proBNP were 160 pg/mL in patients with COPD. Values of NT-proBNP were strongly associated with the presence of ventricular dysfunction. Median values of NT-proBNP in patients without ventricular dysfunction (103 pg/mL; 95% CI, 49.17 – 273.15) were significantly lower than those detected in patients with ventricular dysfunction (677 pg/mL; 95% CI, 384.1 – 1682.25; p<0.0001).

In a multivariable regression, both previous coronary disease (HR=3.14 [1.14 – 8.41]) and NT-proBNP >160 pg/mL (HR=10.79 [2.96 – 39.34]) were the two variables statistically associated with the presence of ventricular dysfunction.

Relationship between awareness and presence of left ventricular dysfunction

The presence of ventricular dysfunction was associated with the *a priori* declared probability of the attending pneumologists. Echocardiographic documentation of ventricular dysfunction was observed in 75% of cases in which pneumologists declared they were "sure" and in 7% of those declaring they were sure that their patient would not have ventricular dysfunction. On the other hand, when pneumologists declared that they were not sure, the presence of ventricular dysfunction was 5%, 24%, and 45% when the pneumologists judged the possibility as low, moderate, or high, respectively (Figure 1).

Survival of patients with COPD associated with the presence of left ventricular dysfunction

The presence of ventricular dysfunction increased the probability of dying during follow-up (HR=2.34 [0.99 -5.54], p=0.053) (Figure 4). There were no differences in the rate of rehospitalizations between those patients with and without ventricular dysfunction (HR=1.69 [0.85 -3.37], p=0.136).

Discussion

Several clinical studies and literature reviews (2 -11) emphasize the importance of and need for studies of the prevalence of coexistent CHF and COPD as well as the prognostic value of each condition in the presence of the other. Although several papers have been published on this topic, to our knowledge none has systematically explored the presence of airway obstruction with spirometry in stable patients with CHF or ventricular function with echocardiography and neurohormone levels in stable patients with COPD, and followed patients for a significant period of time.

Awareness

The first finding of the registry is the documentation that treating cardiovascular and pulmonary sub-specialists, at least in a tertiary care setting in Argentina, apparently did not recognize the comorbidity of CHF and COPD as a problem. The degree of awareness (i.e., the certainty of a positive or negative diagnosis before the study) was very low among both groups of sub-specialists. Only 6.5% of cardiologists and 12% of pulmonologists had certified or ruled out the other disease before the REPENSAR study. This is remarkable, particularly in the case of the pulmonologists. Patients with COPD enrolled in the study were elderly, with a heavy smoking history, a high prevalence of other cardiovascular risk factors, and dyspnea as the dominant symptom. Among a population with these characteristics, the presence of ventricular dysfunction is high and certainly a clear indicator of poor prognosis (19 - 21). Considering that an early diagnosis of ventricular dysfunction allows treatment and risk reduction for avoidable mortality (22), the rate of awareness was low.

On the other hand, although the awareness of cardiologists was also low, it should be considered that the effectiveness of routine assessment of airway obstruction as part of CHF treatment has not been adequately demonstrated (23).

Prevalences

The prevalence of ventricular dysfunction in patients with COPD was higher than expected based on estimates in the general population adjusted by age and sex (19 – 22, 24). Most patients had severe systolic dysfunction, and a small proportion had also severe diastolic dysfunction. Other published papers reported a prevalence of ventricular dysfunction in patients with COPD ranging from 9% to 52% (2). However, most of these studies used a non appropriate definitions of both COPD and CHF as they were not based on GOLD criteria and reproducible echocardiographic parameters.

The presence of airway obstruction in patients with CHF was high. Interestingly, most of these obstructions were classified as moderate to severe. This is important considering that there is a danger of overdiagnosing COPD in older adults because GOLD criteria do not adequately adjust for age (25). However, this appears not to be an issue in our study, as most patients with airway obstruction had moderate to severe disease. Although previous studies reported the prevalence of COPD in patients with CHF ranging from 19% to 48% (2), these reports were also biased by inappropriate definitions of COPD and the selected patient populations (7-9).

Prognostic value

The presence of ventricular dysfunction in patients with COPD impaired survival during follow-up. The adjusted probability of death in patients with COPD and

ventricular dysfunction was more than 2-fold the risk of patients without ventricular dysfunction. Interestingly, this increased mortality risk was seen without a clear increase in the rate of rehospitalizations for any reason. These data suggest there may be an excess of risk outside the hospital that could be attributed to a risk of sudden death. Some reports emphasized an increased risk of death in patients with COPD after initiation of pharmacologic treatment of COPD, including short-acting $\beta 2$ agonists (26) and ipratropium bromide (27). In this sense, uncovering hidden ventricular dysfunction in patients with COPD would be of particular benefit, as routine use of $\beta 2$ agonists and/or ipratropium bromide could be deleterious and possibly contraindicated if ventricular dysfunction is present (28, 29). It is thus necessary to generate prospective evaluations of efficacy and safety of these treatments in this specific subset of patients.

Our data support the necessity of systematic evaluation for the presence of ventricular dysfunction in patients with severe or very severe COPD. A recent population-based study also highlighted the correlation between the extent of emphysema and impaired left ventricular filling, reduced stroke volume, and lower cardiac output (30). Additionally a recent update (11) of an European survey (4,5) found that a new diagnosis of heart failure was associated with an increased mortality similar to those reported in our survey.

In contrast, the presence of airway obstruction in patients with CHF did not confer a statistical excess risk of death or hospitalizations during the 2-year follow-up period. Although the reasons for this lack of association remain unknown, at least two factors could explain this finding. First, the population enrolled for CHF had a high-risk profile at baseline, making it improbable that

any added condition would significantly change the prognosis. Second, it is possible that the study lacked statistical power to detect differences in this regard. Particularly two years of follow up could not be sufficient enough to detect any significant change in prognosis.

Role of NT-proBNP in patients with COPD

The assessment of NT-proBNP was useful for the detection of ventricular dysfunction in patients with COPD. A cut-off point of 160 pg/mL increased more than 10-fold the probability of finding ventricular dysfunction with echocardiography. This finding suggests that if a Doppler echocardiogram is unavailable, the routine assessment of NT-proBNP could be considered a useful tool to select which patients should be referred for echocardiology.

Implications

The registry emphasizes the necessity to intensify research on the safety and efficacy of pharmacologic agents among real-world populations. The efficacy and the safety of COPD treatments have been tested largely in patients with no or minimal comorbidity. The results of our registry emphasize the importance to rethink ("repensar") these fundamental clinical questions that should and could be answered in a normal and pragmatic clinical context.

Additionally these data suggests that among elderly patients with COPD an early and systematic assessment of cardiac function should be strongly considered. Prospective interventions should be carried out to answer this important research question. On the other hand, routine assessment of pulmonary function does not seem to be necessary in all patients with CHF.

Study limitations

The presence of airway obstruction in patients with CHF did not confer a statistical excess risk of death or hospitalizations during the 2-year follow-up period. Although the reasons for this remain unknown, at least two factors could explain this finding. First, the population enrolled for CHF had a high-risk profile at baseline, making it improbable that any added condition would significantly change the prognosis. Second, it is possible that our study lacked statistical power to detect differences in this regard. Particularly two years of follow up could not be sufficient enough to detect any significant change in prognosis.

The people recruited in this survey were seen by specialists of University and teaching hospitals and may not represent the general population demographics. Although we were able to classify the presence or absence of gross ventricular dysfunction (LV ejection fraction ≤40% or severe diastolic dysfunction) in all patients, by no means this implied that the technical characteristics of the procedure were optimal in all subjects, as patients with COPD usually provide technical challenges to accurately measure ventricular function.

Additionally, not all echocardiographic procedures could be done in every patient.

Although the written protocol suggested the utilization of the described procedures in all patients, the operators discretionally used the different methods accordingly to the technical feasibility. We did not record the proportion of patient who underwent each procedure".

Acknowledgements

We thank doctors Ricardo Del Olmo, Guillermo Menga, Graciana Ciambrone, Sol Donato, Natalia Rizzo, Ignacio Nogués, Fedor Novo, and Claudio Higa for their help in recruiting patients for this study. We are also grateful to Ana Rincheski and Norma Domínguez for performing the respiratory tests. We thank doctors Alejandra Moralez Lezica, Felipe Deketele, Martín Munin, and Carlos Rivas for their comments on the manuscript and echocardiogram interpretation.

.

References

- 1- López AD, Mathers CD, Ezzati M, Jamison DT, Murray CJL. Global and regional burden of disease and risk factors, 2001: systematic analysis of population health data. Lancet 2006;367:1747-57.
- 2- Hawkins NM, Petrie MC, Jhund PS, Chalmers GW, Dunn FG, McMurray JJV. Heart failure and chronic obstructive pulmonary disease: diagnostic pitfalls and epidemiology. European Journal of Heart Failure 2009;11:130–139
- 3- Le Jemtel TH, Padeletti M, Jelic S. Diagnostic and therapeutic challenges in patients with coexistent chronic obstructive pulmonary disease and chronic heart failure. J Am Coll Cardiol. 2007;49:171-80
- 4- Rutten FH, Cramer MJ, Grobbee DE, Sachs AP, Kirkels JH, Lammers JW, Hoes AW. Unrecognized heart failure in elderly patients with stable chronic obstructive pulmonary disease. Eur Heart J. 2005;26:1887-94
- 5- Rutten FH, Moons KG, Cramer MJ, Grobbee DE, Zuithoff NP, Lammers JW, Hoes AW. Recognising heart failure in elderly patients with stable chronic obstructive pulmonary disease in primary care: cross sectional diagnostic study. BMJ. 2005;33:1379
- 6- Curkendall SM, DeLuise C, Jones JK, Lanes S, Stang MR, Goehring E Jr, She D. Cardiovascular disease in patients with chronic obstructive pulmonary disease, Saskatchewan Canada cardiovascular disease in COPD patients. Ann Epidemiol. 2006;16:63-70
- 7- Mascarenhas J, Lourenço P, Lopes R, Azevedo A, Bettencourt P. Chronic obstructive pulmonary disease in heart failure. Prevalence, therapeutic and prognostic implications. Am Heart J. 2008;155:521-5.

- 8- Iversen KK, Kjaergaard J, Akkan D, Kober L, Torp-Pedersen C, Hassager C, Vestbo J, Kjoller E; ECHOS-Lung Function Study Group. Chronic obstructive pulmonary disease in patients admitted with heart failure. J Intern Med. 2008;264:361-9
- 9- Macchia A, Monte S, Romero M, D'Ettorre A, Tognoni G. The prognostic influence of chronic obstructive pulmonary disease in patients hospitalised for chronic heart failure. Eur J Heart Fail. 2007;9:942-8.
- 10-Staszewsky L, Wong M, Masson S, Barlera S, Carretta E, Maggioni AP, Anand IS, Cohn JN, Tognoni G, Latini R; Valsartan Heart Failure Trial Investigators. Clinical, neurohormonal, and inflammatory markers and overall prognostic role of chronic obstructive pulmonary disease in patients with heart failure: data from the Val-HeFT heart failure trial. J Card Fail. 2007;13:797-804.
- 11-Boudestein LC, Rutten FH, Cramer MJ, Lammers JW, Hoes AW. The impact of concurrent heart failure on prognosis in patients with chronic obstructive pulmonary disease. Eur J Heart Fail. 2009;11:1182-8.
- 12-Swedberg K, Cleland J, Dargie H, Drexler H, Follath F, Komajda M, Tavazzi L, Smiseth OA, Gavazzi A, Haverich A, Hoes A, Jaarsma T, Korewicki J, Levy S, Linde C, Lopez-Sendon JL, Nieminen MS, Pierard L, Remme WJ. Guidelines for the diagnosis and treatment of chronic heart failure: executive summary (update 2005): The Task Force for the Diagnosis and Treatment of Chronic Heart Failure of the European Society of Cardiology. Eur Heart J 2005;26: 1115–1140.
- 13-GOLD Global Initiative for Chronic Obstructive Lung Disease. Global strategy for the diagnosis, management, and prevention of chronic

- obstructive pulmonary disease: NHLBI/WHO workshop report. 2006; Updated 2006 http://www.goldcopd.com/.
- 14-Miller MR, Hankinson J, Brusasco V, Burgos F, Casaburi R, Coates A, Crapo R, Enright P, van der Grinten CP, Gustafsson P, Jensen R, Johnson DC, MacIntyre N, McKay R, Navajas D, Pedersen OF, Pellegrino R, Viegi G, Wanger J; ATS/ERS Task Force. Standardisation of spirometry. Eur Respir J. 2005;26:319-38.
- 15-Quinones MA, Waggoner AD, Reduto LA, Nelson JG, Young JB, Winters WL Jr, Ribeiro LG, Miller RR. A new, simplified and accurate method for determining ejection fraction with two-dimensional echocardiography.
 Circulation 1981;64:744-753.
- 16-Nishimura RA, Tajik AJ. Evaluation of diastolic filling of left ventricle in health and disease: Doppler echocardiography in the clinician's Rosetta stone. J Am Coll Cardiol. 1997;30:8-18.
- 17-Ommen SR, Nishimura RA, Appleton CPMiller FA, Oh JK, Redfield MM, Tajik AJ.The clinical utility of Doppler echocardiography and tissue Doppler imaging in estimation of left ventricular filling pressures: a comparative simultaneous Doppler-catheterization study. Circulation. 2000;102: 1788-1794
- 18-Redfield MM, Jacobsen SJ, Burnett JC, Mahoney DW, Bailey KR, Rodeheffer RJ. Burden of systolic and diastolic ventricular dysfunction in the community. JAMA 2003;289:194-202.
- 19-McDonagh TA, Morrison C, Lawrence A, Ford I, Tunstall-Pedoe H, McMurray JJ, Dargie HJ.. Symptomatic and asymptomatic left-ventricular systolic dysfunction in an urban population. Lancet. 1997;350:829 833.

- 20-Mosterd A, Hoes AW, de Bruyne MC, Deckers JW, Linker DT, Hofman A, Grobbee DE. Prevalence of heart failure and left ventricular dysfunction in the general population: The Rotterdam Study. Eur Heart J. 1999;20:447-455.
- 21-Davies M, Hobbs F, Davis R, Kenkre J, Roalfe AK, Hare R, Wosornu D, Lancashire RJ. Prevalence of left-ventricular systolic dysfunction and heart failure in the Echocardiographic Heart of England Screening study: a population based study. Lancet. 2001;358: 439-444.
- 22-Effect of enalapril on mortality and the development of heart failure in asymptomatic patients with reduced left ventricular ejection fractions.

 The SOLVD Investigators. N Engl J Med. 1992;327:685-91
- 23-Lin K, Watkins B, Johnson T, Rodriguez JA, Barton MB. Screening for Chronic Obstructive Pulmonary Disease Using Spirometry: Summary of the Evidence for the U.S. Preventive Services Task Force. Ann Intern Med. 2008;148:535-543.
- 24-Klein AL, Burstow DJ, Tajik AJ, Zachariah PK, Bailey KR. Effects of age on left ventricular dimensions and filling dynamics in 117 normal persons. Mayo Clin Proc. 1994;69:212-214
- 25-Hardie JA, Buist AS, Vollmer WM, Ellingsen I, Bakke PS, Mørkve O. Risk of over-diagnosis of COPD in asymptomatic elderly never-smokers. Eur Respir J. 2002;20:1117-22.
- 26-Salpeter SR. Cardiovascular safety of beta (2)-adrenoceptor agonist use in patients with obstructive airway disease: a systematic review. Drugs Aging. 2004; 21:405-14.

- 27-Anthonisen NR, Connett JE, Enright PL, Manfreda J. Lung Health Study Research Group. Hospitalizations and mortality in the Lung Health Study.

 Am J Respir Crit Care Med. 2002;166:333-9
- 28- Singh S, Loke YK, Furberg CD. Inhaled anticholinergics and risk of major adverse cardiovascular events in patients with chronic obstructive pulmonary disease: a systematic review and meta-analysis. JAMA 2008;300: 1439-50
- 29-Lee TA, Pickard AS, Au DH, Bartle B, Weiss KB. Risk for death associated with medications for recently diagnosed chronic obstructive pulmonary disease. Ann Intern Med 2008;149:380-90
- 30-Barr RG, Bluemke DA, Ahmed FS, Carr JJ, Enright PL, Hoffman EA, Jiang R, Kawut SM, Kronmal RA, Lima JA, Shahar E, Smith LJ, Watson KE. Percent emphysema, airflow obstruction, and impaired left ventricular filling. N Engl J Med. 2010;362:217-27

Figure legends

Figure 1.

Declared probability by pneumologists and its relationship with the actual finding of CHF

Figure 2

Declared probability of cardiologists and and its relationship with the actual finding of COPD

Figure 3

Kaplan-Meier estimates of survival of patients with CHF with airway obstruction (line) and without airway obstruction (dotted line). (HR= 0.77 (95% CI, 0.37 – 1.58), p=0.474).

Figure 4

Kaplan-Meier estimates of survival of patients with COPD with left ventricular dysfunction (line) and without left ventricular dysfunction (dotted line). (HR=2.34 [0.99 – 5.54], p=0.053)

Table 1. Baseline characteristics of patients enrolled in the REPENSAR registry

| | All patients | CHF (201) | COPD | р |
|------------------------------|--------------|-----------------|-------------|-----------------|
| | (419) | n (%) | (218) | Р |
| | N (%) | 11 (70) | n (%) | |
| Age, mean (SD) | 72.4 (12.1) | 75.3 (15.5) | 69.8 (6.9) | <0.0001 |
| Males, n (%) | 305 (72.8) | 139 (69.1) | 166 (76.1) | 0.10 |
| Cardiovascular risk factors, | 303 (72.0) | 139 (09.1) | 100 (70.1) | 0.10 |
| Hypertension (n, %) | 269 (64.2) | 156 (77.6) | 113 (51.8) | <0.0001 |
| Smoker mean | 209 (04.2) | 130 (77.0) | 113 (31.0) | <0.0001 |
| Ever (n, %) | 314 (74.9) | 104 (51.7) | 210 (96.3) | \0.000 1 |
| Pack-years (n, SD) | 49 (16) | 33.3 (11) | 60.4 (26) | |
| Current (n, SD) | 69 (16.5) | 17 (8.4) | 52 (23.8) | |
| Years of smoker (n, SD) | 35 (14) | 29 (14) | 39 (13) | |
| Hypercholesterolemia (n,%) | 182 (43.4) | 111 (55.2) | 71 (32.3) | <0.0001 |
| Diabetes (n,%) | ` , | , , | 14 (6.4) | <0.0001 |
| , , , | 60 (14.3) | 46 (22.9) | . , | |
| Coronary disease (n,%) | 151 (36.0) | 115 (57.2) | 36 (16.5) | <0.0001 |
| Stroke (n,%) | 31 (7.4) | 21 (10.4) | 10 (4.6) | |
| Vascular disease (n,%) | 33 (7.9) | 15 (7.5) | 18 (8.3) | 0.76 |
| Other comorbidities, n (%) | E4 (40 0) | 44 (04 0) | 40 (4.0) | 10.0004 |
| Renal failure (n,%) | 54 (12.9) | 44 (21.9) | 10 (4.6) | <0.0001 |
| Malignancy (n,%) | 41 (9.8) | 24 (11.9) | 17 (7.8) | 0.16 |
| Previous treatment, n (%) | 000 (40.4) | 400 (00.0) | 05 (00 0) | .0.0004 |
| ACE inhibitors | 203 (48.4) | 138 (68.6) | 65 (29.8) | <0.0001 |
| Angiotensin receptor | 54 (12.9) | 32 (15.9) | 22 (10.1) | 0.08 |
| antagonists | 470 (40 7) | 4 = 7 (= 0 4) | 00 (40 4) | 0.0004 |
| Beta -blockers | 179 (42.7) | 157 (78.1) | 22 (10.1) | <0.0001 |
| Digitalis | 45 (10.7) | 42 (20.9) | 3 (1.4) | <0.0001 |
| Diuretics | 208 (49.6) | 160 (79.6) | 48 (22) | <0.0001 |
| Statins | 145 (34.6) | 104 (57.1) | 41 (18.9) | <0.0001 |
| Selective β2 agonists | - | - | 100 (45.9) | - |
| β2 agonists associated | - | - | 156 (71.5) | - |
| Inhaled steroids | - | - | 59 (27.1) | - |
| Systemic steroids | - | _ | 9 (4.1) | - |
| Anticholinerics | - | - | 78 (35.8) | - |
| Methyl xanthines | - | _ | 16 (7.3) | - |
| Oxygen | - | _ | 8 (3.7) | - |
| Baseline signs and | | | | |
| symptoms, n (%) | | | | |
| Dyspnea | 396 (94.5) | 186 (92.5) | 210 (96.3) | 0.09 |
| Chronic cough | 203 (48.4) | 39 (19.4) | 164 (75.2) | <0.0001 |
| Edema | 154 (36.8) | 113 (56.2) | 41 (18.9) | <0.0001 |
| Third sound | 16 (3.8) | 13 (6.5) | 3 (1.4) | <0.0001 |
| Baseline lung function, | | | | |
| mean (SD) | | | | |
| Postbronchodilator FEV1 - | - | - | 1.25 (0.49) | - |

| liters | | | | |
|-----------------------------|---|------------|---------|---|
| FEV1 - % of predicted | - | - | 39 (16) | - |
| Postbronchodilator FEV1 / | - | - | 51 (13) | - |
| FVC (%) | | | | |
| Baseline echocardiogram, | | | | |
| mean (SD) | | | | |
| Ejection fraction (%) | - | 32 (9) | ı | - |
| LVEDD (mm) | - | 59.7 (9.6) | ı | - |
| Pulmonary systolic pressure | - | 39.8 (12) | - | - |
| (mm) | | | | |

Table 2. Results of spirometry and classification of pulmonary function severity in patients with $\mbox{\it CHF}$

| Result | N (%) | FEV1 | %FEV1 | FEV1/FVC |
|-------------------------|------------|-------------|---------|----------|
| No obstruction | 126 (62.7) | 2.07 (0.78) | 81 (21) | 80 (9) |
| | | | | |
| | | | | |
| GOLD 1 | 13 (6.5) | 2.43 (0.48) | 87 (7) | 65 (3) |
| FEV1/FVC < 0.70 | | | | |
| FEV1 ≥ 80% | | | | |
| GOLD 2 | 36 (17.9) | 1.69 (0.52) | 64 (9) | 62 (7) |
| FEV1/FVC < 0.70 | | | | |
| 50% ≤ FEV1 < 80% | | | | |
| GOLD 3 | 25 (12.4) | 1.18 (0.33) | 43 (5) | 55 (10) |
| FEV1/FVC < 0.70 | | | | |
| 30% ≤ FEV1 < 50% | | | | |
| GOLD 4 | 1 (0.5) | 0.7 | 21 | 63 |
| FEV1/FVC < 0.70 | | | | |
| FEV1 < 30% or FEV1 < | | | | |
| 50% | | | | |
| and respiratory failure | | | | |

Figure 1

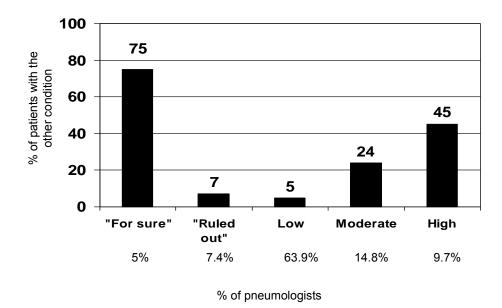


Figure 2

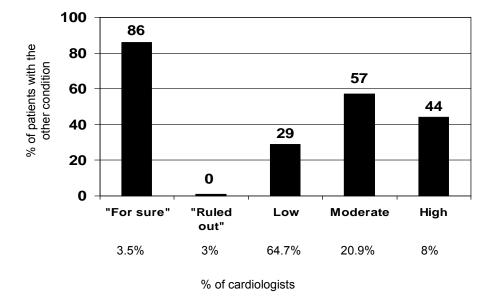


Figure 3

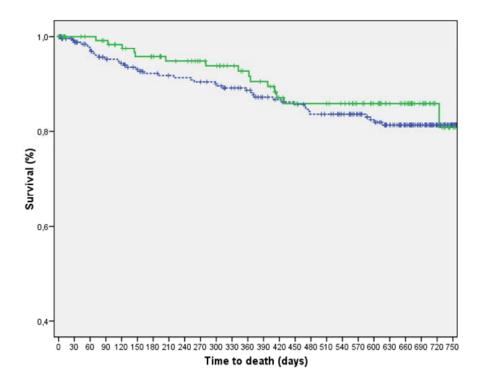


Figure 4

