



LETTER IN PRESS | CORRECTED PROOF



# Poor standardisation of plethysmographic specific airways resistance measurement despite widespread use

#### To the editor:

Plethysmographic specific airways resistance ( $sR_{aw}$ ) has remained the most recognised measure of airway resistance for almost 60 years [1]. Studies in both adults and children (including preschoolers) suggest clinical utility across important obstructive lung diseases [2–5]. Measurement protocols based on rapid panting or tidal breathing exist, and are incorporated into many current commercial plethysmographs. Tidal breathing measurement offers feasibility across a wide age range, and a relatively stable index with which to distinguish effects of disease from those of growth and development [6]. Both adult and paediatric reference data exists [7, 8]; however, the wide variation in methodology existing between centres has recently been highlighted [8], and  $sR_{aw}$  remains one of the few lung function measurements without formal standardisation guidelines [9]. Despite the wide availability of plethysmographic equipment in lung function laboratories, its use is frequently confined to lung volume measurements. Although simultaneous measures of airway resistance can easily be recorded at no extra cost, the clinical applications of  $sR_{aw}$ remain unclear. As part of ongoing  $sR_{aw}$  standardisation work, we sought to describe current international use of  $sR_{aw}$  across paediatric and adult respiratory laboratories.

Online surveys were distributed through members of four different societies: the European Respiratory Society, American Thoracic Society, Thoracic Society of Australia and New Zealand and the UK Association of Respiratory Technology and Physiology. Centres currently performing  $sR_{aw}$  testing were asked to complete the surveys and indicate whether measurements were performed for clinical and/or research purposes, the type of device used, age range tested and how many tests were performed each year. The questionnaire was intentionally brief to aid response rate. Respondents were asked to complete a second online questionnaire regarding specific methodology used (including respiratory rate targeted during measurements), clinical/research situations of use, perceived clinical utility within their laboratory and  $sR_{aw}$  outcomes reported.  $sR_{aw}$  outcomes of interest were total resistance ( $sR_{tot}$ ; calculated as the difference between points of maximum plethysmographic box pressure), effective resistance ( $sR_{eff}$ ; calculated from multiple points throughout the breathing cycle using an integration method), peak resistance (calculated between points of peak inspiratory and expiratory flow) and resistance over a fixed flow range (*e.g.* -0.5 to +0.5 L·s<sup>-1</sup>) [8].

Overall, 47 centres indicated current use of  $sR_{aw}$ , across 16 countries and four continents; the highest number of centres being in Europe (34 centres) and Australasia (10 centres). The greatest reported usage was within the Netherlands and UK (seven centres each), and Australia (six centres). Adults, school-aged, and pre-school children (aged <6 years) were assessed in 81%, 72% and 49% of centres, respectively. The majority of centres where adult testing was performed reported >100 adult tests per year, with 37% of centres reporting >1000 adult tests per year. The volume of tests performed in children was lower, with the majority of school age paediatric centres (55%) performing 100–1000 tests per year whilst 74% of pre-school testing centres performed 10–100 tests per year.

A wide variety of commercial equipment existed across centres (eight different devices). Although most data had been collected using either Jaeger (27 centres) or SensorMedics (10 centres) equipment, both of which are now incorporated within CareFusion, several different software versions were being used within such devices. The remaining manufacturers were Medgraphics and Zan (three centres each), Medisoft (two centres), Morgan, Medical Equipment Europe and nSpire (one centre each).

Among the respondents completing the initial questionnaire, 87% indicated that  $sR_{aw}$  results were used clinically, while 57% used  $sR_{aw}$  in respiratory research and 36% were actively collecting healthy control data. This high reported clinical  $sR_{aw}$  use prompted distribution of the second more detailed questionnaire, which was completed by 77% of those initial respondents.

Significant variation of testing protocol was observed in the replies. The median (range) number of trials per session was 3 (1–5), with each trial comprising 5 (1–10) individual breaths. 14 centres reported a maximum number of attempted trials which ranged between 5 and 10 trials. Use of a specific target for

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breathing frequency during  $sR_{aw}$  measurements occurred at 72% of centres, but this target showed marked variation (figure 1). Only two centres varied the targeted rate according to the subject's age. The remaining 28% of centres specified either no target for respiratory rate (19% of centres) or a "normal tidal breathing rate".

Reported parameters were poorly described by manufacturers, with almost half the centres (44%) unable to give a full description of the primary outcome reported, simply indicating that the manufacturer reported a "generic  $R_{aw}$ ". At the remaining centres, the primary outcome reported was  $R_{tot}$  (22%),  $R_{eff}$ (19%), or  $sR_{aw}$  over a fixed flow range (14%). Five centres (14%) indicated use of more than one outcome, depending on the clinical situation. Among the 72% of centres where relevant information concerning equipment software was retrievable, results were summarised as median values in 35% and mean in the remaining 65%. One centre reported results from only one acceptable trial. Despite recent recommendations [8], manual adjustment of automatically generated tangents of pressure–flow loops was still occurring at 42% of centres. Abnormal results were defined based on reference equations at over half the centres (58%), but the majority (12/21) could not identify which equation was used in the equipment. The other nine centres used several different equations, including those of KIRKBY *et al.* [8] (2010), BRISCOE and DUBOIS [10] (1958), and in-house reference equations based on self-collected data. A fixed "upper limit of normal", set as default by the manufacturer without specification of reference material, was used by the remaining 42% of centres.

Consistent with responses from the first questionnaire, 30 respondents (83%) to the second questionnaire indicated clinical use of  $sR_{aw}$ . All performed baseline measurements, with 61% also using  $sR_{aw}$  to assess bronchodilator response and 23% for bronchial challenge (four out of seven of whom indicated a change in standard testing protocol when  $sR_{aw}$  was used for such purposes). All 30 centres stated that they found  $sR_{aw}$  measurements clinically useful across a wide range of respiratory conditions, but primarily in the evaluation of obstructive lung disease. Utility from  $sR_{aw}$  was perceived for asthma (all 13 centres providing more detailed information), cystic fibrosis, chronic obstructive pulmonary disease, interstitial lung disease and bronchopulmonary dysplasia. Utility was perceived to be higher when other lung function techniques, such as spirometry, were not technically feasible for the patient.

These results highlight widespread paediatric and adult use of  $sR_{aw}$  in respiratory function laboratories, centred in Europe and Australasia. Popularity probably reflects relative ease of data collection and availability of suitable equipment, but also high perceived clinical utility (almost 90% of respondents). This occurred despite striking lack of agreement with respect to methodology, outcomes and reference data. Variation in several of these important methodological aspects can significantly affect recorded  $sR_{aw}$  values [8, 11] and interpretation in the clinical setting [12]. Publication of recommendations in 2010 for testing protocol using the most common device in our survey has failed to prevent marked variation in practice [8]. These findings and the high reported volume of current testing highlight the urgent need for generalisable recommendations to standardise all aspects of  $sR_{aw}$  measurement and interpretation.

The formation of a task force, endorsed by international respiratory societies, and including representation from countries with heavy current use, would be an important step towards optimising potential clinical

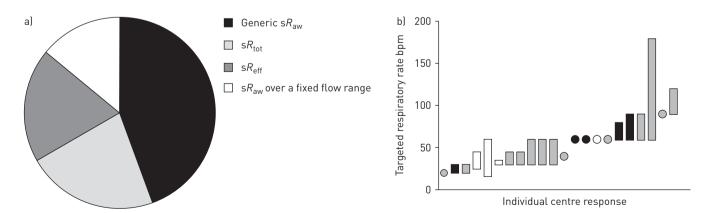


FIGURE 1 Summary of a) reported specific airways resistance ( $sR_{aw}$ ) outcomes (across 47 centres) and b) the targeted respiratory rates reported by the 22 centres (out of 36; 55%) indicating this was part of local  $sR_{aw}$  testing protocol.  $sR_{tot}$ : total resistance;  $sR_{eff}$ : effective resistance. b) Centres are categorised according to whether both paediatric and adult (grey), paediatric only (white), or adult only subjects are measured (black); centres indicating a specific target rate (breaths per minute; bpm) are shown as circular symbols and those indicating a respiratory rate range are shown as bars.

utility of this widely used technique. Until standardisation has been achieved, these authors would advise centres to adhere to existing recommendations [8] and interpret  $sR_{aw}$  results with caution.



## sRaw is widely used but results should be interpreted with caution until its recording is better standardised http://ow.ly/QvJRJ

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