Early intervention studies in infants and preschool children with cystic fibrosis: Are we ready?

Stephen Stick*, Harm Tiddens*, Paul Aurora, Per Gustafsson, Sarath Ranganathan, Paul Robinson, Margaret Rosenfeld, Peter Sly, Felix Ratjen.

* both authors contributed equally to this document and organized the meeting summarized in this report

Department of Respiratory Medicine, Princess Margaret Hospital for Children and University of Western Australia. Perth, Australia

Department of Pediatric Respiratory Medicine, Erasmus MC -Sophia Children’s Hospital, and department of Radiology, Erasmus MC, Rotterdam, The Netherlands

Paediatric Respiratory Medicine and Lung Transplantation, Great Ormond Street Hospital for Children, London UK

Department of Paediatrics, Central Hospital, Skövde, Sweden

Department of Respiratory Medicine, Royal Children's Hospital Melbourne

Department of Respiratory Medicine, The Children’s Hospital at Westmead, Sydney, Australia

Division of Pulmonary Medicine, Seattle Children’s Hospital, Seattle, WA

Queensland Children's Medical Research Institute, University of Queensland and Centre for Child Health Research, University of Western Australia,

Division of Respiratory Medicine, Department of Pediatrics, and Program in Physiology and Experimental Medicine, SickKids Research Institute, The Hospital for Sick Children, and University of Toronto, Toronto, Canada; felix.ratjen@sickkids.ca

Correspondence to: Stephen Stick
Abstract

Cystic Fibrosis (CF) lung disease starts early in life and progresses even in the absence of clinical symptoms. Therefore, sensitive outcome measures to quantify and track these early abnormalities in infants and young children are needed; both for clinical care and interventional trials. Currently, the efficacy of most therapeutic interventions in CF has not been tested in children under the age of 6 years and drug development programs have focused on assessing safety rather than efficacy in this age group. This article summarizes the current status for outcome measures that can be utilized in clinical trials in infants and children with CF. Two methodologies are specifically highlighted in this review; chest computed tomography to assess structural damage of the lung and multiple breath washout as a technique to quantify ventilation inhomogeneity. While not all questions regarding the utility of these outcome measures in infants and young children have been resolved, significant advances have been made and it now appears feasible to design and conduct adequately powered efficacy studies in this age group. This could be a crucial step to further improve outcomes in CF patients as initiating effective treatment early is considered essential to prevent permanent lung damage.
**Introduction**

Cystic fibrosis lung disease begins early and inflammation, infection and changes in structure and function often precede respiratory symptoms (1, 2). As such the focus of therapeutic trials in early life needs to be on prevention of pulmonary abnormalities rather than improving established disease. Given the recent success of cystic fibrosis transmembrane regulator (CFTR) directed therapy in CF(3), the ability to demonstrate its effect for disease prevention will be crucial to our understanding of the potential benefits of these therapies. This poses a challenge of choosing appropriate outcome measures that can quantify and track early disease abnormalities in CF infants and young children. This article reviews the current knowledge of specific outcome measures, addresses their potential utilization in clinical trials at present and provides a framework of steps required to improve our knowledge about these variables in the future. The review is based on a research seminar organized by the European Respiratory Society held in Rotterdam in March of 2012. The seminar sought to reach consensus regarding the practical implementation of these outcomes in clinical trials but not to determine the relative merits of the tests. However, during the course of the seminar discussions, two methods emerged that are particularly promising and close to clinical utilization, namely computed tomography (CT) imaging of the lung and multiple breath inert gas washout testing to quantify ventilation inhomogeneity. Other areas discussed and included in this review were bronchoalveolar lavage (BAL), infant and preschool lung function testing, pulmonary exacerbations and systemic markers of inflammation. These latter areas are included in this review, not primarily as potential primary outcome variables for clinical trials but because they are likely to provide valuable information regarding mechanisms of drug action or inform the clinical utility of the interventions being tested. The list of variables is not exclusive and other outcome measures may play an important role in individual studies. This review does therefore not claim to provide a complete summary of the field, but rather focuses on outcome measures that are closest to being considered for implementation in clinical trials.

Presently not all relevant scientific questions about the utility of any given outcome measure have been resolved. However, the authors feel strongly that unless we integrate outcome measures into clinical trials that provide insight into both pathological and physiological alterations and their modifications by therapy, paediatric investigational plans will not provide the information needed to understand the role of a given drug intervention in infants and young children with CF.
Chest computed tomography

Lung imaging using CT is a sensitive method to detect and monitor structural changes relevant for patients of all ages with CF and is of particular relevance in infants and children less than 6 years of age when structural disease is often very mild. The most important components of CF lung disease are bronchiectasis and airway obstruction causing air trapping. Chest CT is currently the most sensitive method and gold standard for the detection of bronchiectasis (4,5). At birth CF patients have macroscopically normal lungs (6). Bronchiectasis, which is an irreversible widening of bronchi, can develop in young children (7). In cohort studies in children with CF diagnosed through newborn screening, bronchiectasis, trapped air and mucus impaction could be observed even in asymptomatic infants (8–11). Bronchiectasis is a highly relevant endpoint in CF because it is a risk factor for the development of respiratory tract exacerbations, is associated with a lower health related quality of life and in end stage lung disease the presence of bronchiectasis is associated with a higher mortality (12). Importantly, the severity of CT detected structural changes on CT correlates markers of inflammation and infection in bronchoalveolar (BAL) lavage fluid (13) (8, 11, 14).

To quantify abnormalities such as bronchiectasis and trapped air on chest CTs, robust scoring systems have been developed. The best validated scoring system is the CF-CT score which is an upgraded system of the Brody II scoring system (15) (16). These manual scoring systems are relatively insensitive to technical differences caused by using different scan protocols and scanners and appear to be more sensitive than (infant) pulmonary function variables for detecting and monitoring the onset and progression of early CF lung disease (8, 14, 17, 18). Of all the structural changes that can be scored on chest CT, bronchiectasis is the best validated and most reliable in young children (14). Although air trapping is very common early in life in children with CF (8), whether it represents or predicts the onset of irreversible structural lung disease is unknown. More sensitive quantitative semi-automated image analysis strategies for bronchiectasis and trapped air are in development. Currently these systems are time consuming and need to be automated.

Chest CT scores have been used as outcome variable in a limited number of intervention studies. CT scores improved with antibiotic treatment for a respiratory tract exacerbation in older subjects (19). Similar findings were reported for pediatric patients (13, 20) and in small pilot studies, CT scores improved after treatments such as dornase alfa and tobramycin solution for inhalation (21) (22) (23) (24) (25).
To date there have been no completed early intervention studies to prevent the onset of structural lung disease in infants newly diagnosed with CF. However, the ongoing COMBAT CF study (a randomized clinical trial of azithromycin to prevent bronchiectasis in infants, ClinicalTrials.gov identifier NCT01270074) is the first to use chest CT as a primary endpoint and in this regard it is a prototype that could be considered a template for future studies from diagnosis aimed at preventing structural lung disease.

The advantages and disadvantages of using CT as an endpoint in young children are shown in Table 1. The most important variable that requires standardization during CT scanning is lung volume (26). Most young children are not able to do a voluntary breath hold at the correct volume level and at the correct moment. Therefore, images have been obtained using sedation or anaesthesia to facilitate lung volume standardization (8, 9, 11). The anaesthetic protocol should include a recruitment procedure prior to image acquisition in order to avoid atelectasis which is otherwise common in CTs obtained during anaesthesia. One advantage of using an anaesthetic is the standardisation of the inspiratory lung volume that reduces both within-subject and between-subject variability for CT derived outcome variables. Lately fast CT scanners have been developed that allow acquisition of a chest CT during free tidal volume breathing. The sensitivity of these chest CTs might be similar to that of pressure controlled expiratory chest CT, however this needs to be further investigated. CT scans have the disadvantage of being associated with an increased exposure to ionizing radiation. Using a conservative computational model is was estimated that routine lifelong annual CT scans starting at the age of 2 years carries a low risk of life long radiation-induced mortality in CF (27). In general routine chest CT is executed bi-annually which reduces the estimated radiation risk by half. Since the modeling study was executed exposure doses have been further reduced with newer scanners and improved analysis algorithms. It is highly likely that this trend will continue. Clearly when chest CT in children are made state of the art low dose protocols should be used that allow to obtain the required information (28). Clearly, scoring systems do not make full use of the rich digital information available in a CT image, are labour intensive and subject to intra- and inter-subject variability. Hence, the development of automated systems that provide more sensitive, reliable and objective analysis of CT images suitable for multinational, multicentre clinical trials should be a priority.

Table 1: Advantages and disadvantages of chest computed tomography (CT) in young children
<table>
<thead>
<tr>
<th>Advantages</th>
<th>Disadvantages</th>
</tr>
</thead>
<tbody>
<tr>
<td>Most CF centres have a CT scanner</td>
<td>Ionizing radiation limits repeatability to one chest CT every one to two years</td>
</tr>
<tr>
<td>Feasible in all age groups</td>
<td>Need for general anaesthesia</td>
</tr>
<tr>
<td>Gold standard to detect bronchiectasis</td>
<td>Pressure controlled volume procedure requires well trained team</td>
</tr>
<tr>
<td>Bronchiectasis is well validated as clinical outcome measure</td>
<td>Costs</td>
</tr>
<tr>
<td>Lungs are normal at birth, at age 5 years half of the children have bronchiectasis</td>
<td>No validated automated system for image analysis yet available</td>
</tr>
<tr>
<td>Trapped air reflecting small airways disease can be easily recognized on expiratory CT scan</td>
<td>Centralized image analysis is currently required</td>
</tr>
<tr>
<td>Validated scoring systems for quantification are available</td>
<td>Data transfer may be hampered by very large files</td>
</tr>
<tr>
<td>International standards for equipment requirements, procedures, are in development</td>
<td></td>
</tr>
</tbody>
</table>

**Multiple breath inert gas washout**

Multiple breath inert gas washout (MBW) tests assess the efficiency of ventilation distribution within the lungs (Table 2). These tests describe the rate of clearance of a marker (or inert) gas from the lungs, or the uniformity of gas mixing within the time frame of a breath. A number of different inert gases can be used. Resident gases, such as nitrogen (N₂), can be washed out by breathing 100% oxygen (O₂), whilst non-resident gases, such as sulphur hexafluoride (SF₆) or helium (He), require an additional wash-in phase after which they are washed out by breathing room air. As a tidal breathing test MBW is feasible across the paediatric age range (29-31). Tests are typically performed supine during quiet sleep in infants (with or without the use of sedation), and sitting upright and awake from the preschool age range onward using video distraction to encourage regular breathing during the test. The effect of sedation on test results is currently not well defined. Therefore, all studies within a single protocol should be undertaken under the same conditions, either with or without sedation and a mixture of study conditions must be avoided.
In addition to measured functional residual capacity (FRC), available indices of ventilation distribution efficiency from MBW can be divided into two categories: those describing global abnormalities (e.g. lung clearance index, LCI) and those providing more detailed insight into the relative contributions of the two main gas transport mechanisms within the lung, convection and diffusion. The latter are based on phase III slope analysis ($S_{\text{cond}}$ and $S_{\text{conv}}$)(32). Phase III slope analysis indices are more complicated to calculate and require a greater level of quality control during testing than if assessing global measures, such as LCI, alone. This type of analysis is not currently feasible during infancy and has acceptable but not strong feasibility in younger children(33). The advantage of phase III slope analysis is that it is thought to provide additional insight into the site of abnormality; although such structure-function correlations require further confirmation in young children. Abnormalities in ventilation distribution may be generated more proximally, differences in ventilation among lung units with different time constants due to airway obstruction or more distally, due to abnormalities in the region of the airways where convective and diffusive gas transport interact (increase in $S_{\text{conv}}$) (32). However, quality control is not yet standardized in any current MBW system and large between-test variability has been described even in healthy adults (34). This report will focus on measures of global abnormalities, specifically LCI, for which more information is available in CF patients.

Research studies have shown that early in the course of disease marked abnormalities may be present in the uniformity of ventilation distribution in CF (29, 30, 35) and several other important progressive obstructive lung diseases (36, 37). In CF lung disease, increased LCI values have been observed despite normal spirometry (29, 30, 35). Increased LCI is associated with concurrent structural lung damage on CT scans in schoolchildren (38, 39) but the relations between LCI and irreversible structural changes are less clear in younger children (40). There is recent evidence that MBW can track progressive early disease (41). Importantly, MBW measured during the preschool age range is predictive for the development of abnormal spirometry later at early school age (42). Intervention studies have also shown that significant improvements in LCI may be seen with treatments such as nebulised hypertonic saline and dornase alfa, despite small patient numbers (43). This combination of feasibility and robustness across wide age ranges, apparent sensitivity to detect early lung damage and subsequent change with interventions makes LCI an attractive potential tool for interventional studies.
Table 2: Advantages and disadvantages of the multiple breath washout (MBW) technique

<table>
<thead>
<tr>
<th>Advantages</th>
<th>Disadvantages</th>
</tr>
</thead>
<tbody>
<tr>
<td>Performed during tidal breathing</td>
<td>The utility of MBW as a surrogate for long-term outcome is not clearly defined</td>
</tr>
<tr>
<td>Feasible in all age groups</td>
<td>Most MBW studies have been undertaken using custom built recording systems, which complicates multi-centre study feasibility.</td>
</tr>
<tr>
<td>LCI is more sensitive than spirometry indices to detect early CF lung disease</td>
<td>Only a few commercial systems are marketed and there are few data in pre-school children using these systems.</td>
</tr>
<tr>
<td>Global indices such as LCI correlate well with CT scores of lung pathology</td>
<td>Technology is currently not available in many CF centres</td>
</tr>
<tr>
<td>Detects improvements in lung function with treatment interventions</td>
<td>Lack of longitudinal data comparing LCI and chest CT</td>
</tr>
<tr>
<td>Reference values are available for LCI, and after infancy are very similar across childhood into early adulthood, facilitating longitudinal studies.</td>
<td>SF₆ is not approved in several countries due to potential green house effects and because it may not be available as a medical gas</td>
</tr>
<tr>
<td>International standards for equipment requirements, procedures, data analysis and quality control have been developed</td>
<td>The use of 100% O₂ during N₂ based MBW tests may affect breathing pattern in sedated infants</td>
</tr>
</tbody>
</table>

Many of the studies mentioned above were performed using mass spectrometry and SF₆ as a tracer gas both of which are available in only a few centres. Reference data are available for SF₆ MBW in infants (44) (45) and data assessing CF patients versus healthy controls across centers have been obtained in one study (46). There is agreement within the CF community that N₂ based systems are more likely to be suitable for multi-centre studies. Currently, two recording systems are available commercially for use in children. At present the Ecomedics Exhalyzer D is felt to be the most versatile commercial MBW device available. The Exhalyzer D (Ecomedics AG, Duernten, Switzerland) has an infant module which uses SF₆.
as the marker gas, but is not suitable for older age groups (greater than 2 years), and an N₂ MBW module which has been validated for use from late preschool to adult age (FRC 500-4000 mL) (47). All the indices described previously are calculated by the Exhalyzer D system. Reference data in a large cohort are available for N₂ MBW using this device (284 subjects age 7-70 yrs, but data are limited in infants and pre-school children) (48). The other commercial system available is the Easy One Pro (ndd, Switzerland), which uses changes in molar mass (g/mol) to monitor changes in inert gas concentration. MBW measurements with either SF₆ or N₂ have been validated, but only the latter of these two options is commercially available. Validation of N₂ based MBW FRC measurements over a range of volumes ranging from 350 to 4000mL (49) suggest suitability from the age two upwards and reference data are awaited. Available indices include FRC and global measures of ventilation distribution, such as LCI, but phase III slope analysis is currently not available.

Utility in young children for both devices needs to be clarified, . Further clinical studies are required in infants to determine the magnitude of effect of 100% O₂ on breathing pattern and on MBW indices. More experience in preschool children is needed to document whether the high feasibility, previously demonstrated with SF6-based MBW and , extends reference values into this younger age range. Equivalent reference data is also awaited.

If multi-centre studies using MBW are to be undertaken, all centres must first gain experience with the method. Standardisation guidelines for inert gas washout are due to be published shortly, applicable across all age ranges(50) Universal standard operating procedures (SOPs) must be adopted and applied across all centres. Initial studies in healthy children will allow assessment of adherence to these SOPs as LCI values obtained should fall within the range of published reference equations. To facilitate this there is also a need for “application specialists” that can train and supervise each centre.
**Infant lung function**

Infant lung function tests are currently performed in at least 115 centres worldwide. The raised volume rapid thoracoabdominal compression (RVRTC) technique is a ‘squeeze’ technique adaptation that was introduced in the early 1990’s and enable measurement of expired flows and volumes from full forced expiratory flow-volume curves, similar to those obtained using spirometry in older children (51) (Table 3). Guidelines were published by the ATS/ERS working group on infant and preschool lung function in 2005(52), but many potential variations in performance of the technique and computational algorithms remain.

Three commercial systems are currently available: the nSpire Infant Pulmonary Laboratory (IPL; Longmont, Colorado), the Jaeger MasterScreen Baby Body System (Hoechburg, Germany) and the Ecomedics device (Ecomedics AG, Zurich, Switzerland). The Ecomedics device does not include a plethysmograph. Both the IPL and the Baby body device are FDA approved, but approval for the IPL device is limited to infants >17 weeks post-term, whereas the MasterScreen Baby Body is approved for use in preterm and term infants without any restriction to age or length. The Jaeger device is also Communauté Européenne (CE) marked. All systems are expensive and require intense training for the users. Thus, for a study proposing an intervention as soon as possible after diagnosis by newborn screening (4-6 weeks) only the MasterScreen Baby Body has the necessary regulatory approval in the US.

Infant pulmonary function testing is overall safe, but sleep (95%), feeding (58%) and behavioural changes (57%) are commonly noticed by parents after the test, usually as a consequence of the sedation required to perform the procedure, but are transient (53). The reported feasibility of the RVRTC depends mainly on the success of achieving adequate sedation and varies from 72% up to 99% (53, 54). Success in obtaining technically acceptable data depends on experience (54). Mean within-test, within-subject coefficients of variation are: 3.4 to 4.1% for forced expired volumes and 7.8 to 8.9% for forced expiratory flows (55, 56). Measurements made one month apart in 41 infants with CF provide evidence for good reproducibility with mean intra-class correlation of 0.85 for forced expiratory flows and ≥ 0.9 for volumes (54). No data on longer-term reproducibility are available.

Limited reference data exist for the RVRTC technique and published reference data are based on 155 healthy infants between 3 and 149 weeks of age using non-commercial home-made equipment (57).
When using the commercially available MasterScreen Baby Body System, forced expiratory flow-volume data are significantly lower than the published reference data (58). Optimally, in a clinical trial, the same device would be employed at all participating centres. An alternative would be to allow different devices but have each centre test healthy infants as well in order to evaluate inter-centre differences. The least favorable option would be to allow different devices with no healthy control data.

The utility of lung function studies to detect early abnormalities in infants with CF have been reviewed previously (59). The RVRTC technique can identify diminished lung function in infants diagnosed both clinically (60) and by newborn screening (61). Approximately 20% of infants with CF diagnosed clinically had diminished FEV<sub>0.5</sub> compared with healthy infants without CF, but at least one parameter of forced expiratory flows was diminished in up to 50% (31). Potential appropriate clinical trial endpoints may include FEV<sub>0.5</sub> or MEF<sub>25-75</sub>, but it was felt that, given the limited experience with infant PFTs as a clinical trial endpoint, choosing a single endpoint at the current time is premature.

In observational studies, lower lung function detected by the RVRTC technique was associated with pulmonary inflammation and declines over time in those with lower airway infection (1). When RVRTC measurements were diminished in early infancy they appeared to track into later infancy (62), through the pre-school years (63) and into school age where they correlated with lung function measured by spirometry (64). However, because of the significant methodological differences in how the RVRTC is performed (sedation, supine position, positive pressure to raise lung volume to near total lung capacity) versus spirometry (awake, sitting or standing, negative pressure to reach total lung capacity), infant and school-age forced expiratory measurements are not directly comparable. Nevertheless, measurements have been shown to correlate with airway structural changes (10) and are inversely associated with the degree of neutrophil inflammation and pathogen density in BAL (65).

There have been few intervention studies in CF that have used infant lung function as an outcome (59). The RVRTC technique has been used in two safety studies of hypertonic saline to demonstrate acute tolerability (66, 67) (19,20). In a small study of 11 infants and young children with CF the RVRTC technique was used before and after treatment with intravenous antibiotics during pulmonary exacerbations and demonstrated a significant improvement in lung function following treatment. These data suggest that lung function measurements as sensitive to changes in clinical status in this age group (68). Estimates of the numbers of subjects required in early CF intervention studies in order to have 80% power to identify a difference in lung function at the 5% level of significance (alpha = 0.05)
based on a US observational multi-centre study (54) suggest that 100 infants per study arm are required in order to demonstrate a difference of 14.4% in MEF$\textsubscript{25}$ (assuming an 18-month-old child with CF of average length). Because feasibility is approximately 80% on each test occasion approximately 150 infants per arm would need to be recruited. Thus, an eleven-centre study would need to recruit over three years if each centre were to recruit ten subjects per year. However, RVTLC data from the recently completed Infant Study Inhaled Saline (ISIS) study would suggest that therapeutic effects can be observed with a lower number of infants in an interventional trial depending on the experience of participating centres (69).

In conclusion, the RVRTC technique is already being used in multi-centre intervention studies and is an appropriate test to consider in future early CF trials. Training, experience and robust quality control are essential to enhance feasibility and ensure appropriate performance of the test. Data obtained using different equipment are not interchangeable and reference data for the commercial systems are urgently required but forthcoming. Workshop members felt strongly that a core centre should be established to develop and implement SOPs, train and certify sites, provide ongoing quality control, and perform central over-reading of all RVRTC tests so that only acceptable measurements are included in analytic data sets.
Table 3: Advantages and disadvantages of the raised volume rapid thoracoabdominal technique (RVRTC) technique

<table>
<thead>
<tr>
<th>Advantages</th>
<th>Disadvantages</th>
</tr>
</thead>
<tbody>
<tr>
<td>Guidelines for producing standardized RVRTC have been published</td>
<td>The requirement for sedation</td>
</tr>
<tr>
<td>Physiological concepts are related to those underpinning spirometry</td>
<td>Expensive equipment</td>
</tr>
<tr>
<td>Test is as sensitive as MBW in infants with CF</td>
<td>Time-consuming and technically demanding test</td>
</tr>
<tr>
<td>Tracks through to school age</td>
<td>Requirement for extensive training</td>
</tr>
<tr>
<td>Commercial equipment available</td>
<td>Although the technique is standardized some issues such as computational</td>
</tr>
<tr>
<td></td>
<td>algorithms which differ in the commercial systems have not been addressed</td>
</tr>
<tr>
<td></td>
<td>so that measurements collected on different systems are not interchangeable</td>
</tr>
<tr>
<td>Several centres perform RVRTC routinely</td>
<td>Only experienced centre's should be involved in clinical trials</td>
</tr>
</tbody>
</table>
Preschool lung function

Spirometry is a term commonly used to describe the recording and interpretation of a maximal forced expiratory maneuver. It is the most commonly performed lung function test in adults and school age children, being used in lung function laboratories, but also in the clinic and in the community. The most commonly reported measure is the forced expired volume in 1 second (FEV₁). FEV₁ is used extensively in the monitoring of individuals with CF and also as an outcome measure in epidemiological and intervention studies. In the preschool age group (those age two to five years), spirometry can be performed successfully in the great majority of children, though some modifications to the technique are required. In particular, the environment has to be child-friendly and the operator has to be experienced in dealing with children. The approach is to create an environment closer to a play session, and to encourage the child to see the spirometry maneuver as a game. Online visual monitoring of the maneuver is essential and some form of incentives may be helpful. One commonly used strategy is to use training incentives prior to the spirometry maneuver, such as bubbles or whistles. In addition, many commercial spirometry packages include software that has computerized incentive games within them. Use of these games is not essential, but can be helpful in getting adequate results.

There are clearly defined standards for quality control for spirometry for adults which are also referenced to school-age children (70). It should be noted that these quality control standards are based upon limited data, particularly in school-age children. The most important aspects of this quality control apply to start of test criteria, end of test criteria, and repeatability between measurements. In 2007 a joint ATS/ERS working party published guidelines for performing spirometry in preschool subjects, which included modified quality control criteria (71). For start of test, the back extrapolated volume should be less than 12.5% of FVC or 80 mL, whichever is the greater. For end of test, the only specified criterion is that cessation of flow must not occur at greater than 10% of peak flow. A plateau on the volume-time curve in preschool subjects is rarely obtainable. For repeatability, ensuring that the second best maneuver is within 10% of the best is ideal. However, if only a single satisfactory maneuver can be obtained then these results should not be excluded simply because of poor repeatability. Rather there should be specific attention given to the acceptability of the best maneuver to see what the results from here can be reported. With regards to choice of outcome measure, many children at this age complete a forced expiration in less than 1 second, so FEV₁ is not a valid outcome (72). FEV₀.₇₅ or FEV₀.₅ is recommended as an alternative. As for adult spirometry, the largest FEV₁ and largest FVC from
any usable curve should be reported as the best. These two outcomes do not need to come from the same curve. Expiratory flows should be taken from the expiration that has the largest sum of FEV1 and FVC, but it should be noted that all flows, but particularly late expiratory flows are highly dependent on the validity of FVC and the presence or absence of early termination (70),(71). One study from Lille in preschool wheezers reported that the start of test criteria above were obtained by a high proportion of preschoolers in their laboratory and that slightly tighter criteria may be appropriate (73). However, these findings have not been confirmed by another centre to date.

Until recently a wide range of reference values were available for spirometry in children, some of which included the preschool age group. However, these reference equations were often based upon very limited data in preschool children and were not entirely consistent with each other. A comprehensive international collaboration has allowed the production of reference ranges for spirometry across all ages, published in 2008 (74). The same group has also produced linked equations in forced spirometry in preschool children based upon almost 4000 observations collected from fifteen centres over a fifteen year period (75), which are recommended for use in this age group for all studies. It is known that the distribution of normal values across different ages is heteroscedastic (non-uniform), and for research purposes z-scores should be used in preference to percent predicted values.

There are limited data at present comparing spirometry values in preschool children with CF with normal values. Cross-sectional studies suggest that on measurement preschool children with CF as a group have lower FEV1 than control children. However, only a small proportion (between 10 to 35%), have FEV1, below the lower limit of normality (29),(76),(77). There are very few data on the sensitivity of flows derived from preschool spirometry to detect early pulmonary abnormalities (63, 77). Longitudinal data from infancy to preschool spirometry or preschool to school age spirometry are also very limited. However, there is evidence of tracking of spirometry outcomes over this period (63), and also evidence that children who are infected with Pseudomonas aeruginosa early in life have persistently lower spirometry outcomes than their non-infected peers (63). Data from the recent US multicentre data suggest that spirometry outcomes are more discriminative than outcomes from forced oscillation or inductance plethysmography (78).

As FEV1 is considered a primary measure of CF lung disease in older individuals, preschool spirometry could potentially serve as a meaningful outcome of early intervention studies involving preschool children. Presently, the following recommendations are made:
1. Preschool spirometry may be conducted using a variety of commercial devices. Minimum criteria for device acceptability include the ability to evaluate the flow-volume and volume-time curves in real time, accept forced expiratory maneuvers <1 second in duration, and report FEV\(_{0.5}\). Age-appropriate incentive software is helpful but not required.

2. Guidelines for preschool spirometry have been published (71) and any future trial SOP should be based upon these guidelines.

3. FEV\(_{0.5}\), FEV\(_{0.75}\) or FEF\(_{75}\) could all be appropriate clinical trial endpoints, but this will need to be verified further in interventional studies.

4. Sites joining a clinical trial network should be required to collect data on healthy preschool children prior to enrolling participants in CF clinical trials in order to assess inter-centre variability.

5. As with other outcome measures, a core center should be established to develop and implement SOPs, train and certify sites, provide ongoing quality control, and perform central over-reading of all tests so that only acceptable measurements are included in analytic data sets.
Bronchoalveolar lavage

CF lung disease is characterized by recurrent and persistent respiratory infections and inflammation dominated by neutrophils which is considered important in airway and lung damage. Bronchoalveolar lavage (BAL) offers the opportunity to sample lower airways directly. CF lung disease is heterogeneous in its distribution and BAL performed in one lobe may not be representative of disease activity in all areas of the lung. Despite these potential shortcomings longitudinal studies both in school age children children and infants with CF have demonstrated that lower airway inflammation in CF is progressive and the Australian Respiratory Early Surveillance Team for Cystic Fibrosis (AREST CF) has shown that infection and inflammation are predictive of subsequent airway disease quantified by CT (14). The major risk factors, in multivariate analyses, for the presence and progression of bronchiectasis in the AREST CF data are: active neutrophilic inflammation and the presence of free neutrophil elastase activity in BAL; and ever being infected with *P. aeruginosa* (11, 14, 79). While routine BAL to diagnose infection has not been shown to improve clinical outcome when targeted at improving detection, treatment and eradication of infection with *P. aeruginosa* (16), its accuracy of defining patients’ infectious state, especially in relation to early infection with *Staphylococcus aureus*, is superior to other available culture techniques in non sputum producing infants and young children (80). While BAL in young children usually requires general anesthesia, the overall risk of the procedure is low in CF patients (70).

BAL is unlikely to become a primary outcome measure in clinical trials in infants and young children. However, BAL can provide important mechanistic information in interventions that affect the balance if infection and inflammation. Examples are the COMBAT CF study (AZI001; ACTRN12610001072000; NCT01270074) assessing the effect of azithromycin on lung disease progression in CF infants where BAL will provide important information on treatment efficacy on airway inflammation, bacterial and potentially viral infection as well as being a safety measure assessing the evolution of macrolide resistance of bacterial organisms. BAL can therefore support or define potential mechanism of action that otherwise could not be clarified. In addition, BAL plays an important role in cross-validating other less invasive biomarkers that could potentially be used as outcome measures derived from blood and urine that could be sampled more frequently in an interventional trial. In trials of CFTR pharmacotherapy, while not essential for defining safety, BAL is currently the only way to demonstrate effects of therapy on the progression of airway inflammation. BAL could also give valuable information on changes in the airway microbiome, potentially positive or negative, during interventional studies.
While definition of the mechanism of action is usually part of early phase studies, it is unlikely that full development programs will happen in infants and young children and there could only be one pivotal study for any given drug in this age group. It may be important to integrate BAL into these trials; potentially in subgroups of patients. There could potentially be a disadvantage of performing BALs only at times of clinical stability as infection and inflammation and potential effects of interventions on these processes could be underestimated with this strategy. However, it is unlikely that a sampling strategy on BALs performed more frequently than once/year is realistic. Cross-validated systemic markers of inflammation and other culture techniques to capture infection may help to close the gap as they allow for more frequent sampling. BAL at times of clinical instability may increase its yield, but is also associated with a higher risk of procedure related side effects.

Standardization of BAL procedure has been proposed in the ERS Task Force report, but there is a need to update this document and this process is ongoing. By now, most centers perform BAL under general anesthesia. Suction in the upper airway should be avoided to limit contamination with colonizing bacteria. The need for additional local anaesthetics above the glottis is controversial, but using local anaesthetics below the vocal cords is not recommended as it can affect microbiological yield and is unlikely to be needed. While the ideal BAL protocol has not been defined, using 3 x 1 ml/kg body weight in 20 ml syringes in the middle lobe is recommended as a standard procedure in CF (AREST CF SOP). In addition, a second sample that can be limited to 1 ml/kg should be sampled in the lingula or the most affected lobe, the latter to be defined by prior imaging or during the bronchoscopy procedure. Low pressure suction either manually or using wall suction is recommended. Whether to pool all samples for subsequent analysis or to use the first sample for microbiology only is still being debated and will be resolved in a study planned at the Rotterdam meeting. Details about the processing of the BAL and storage of the material for future studies are beyond the scope of this manuscript and will be addressed in other documents such as the standard operating procedures developed by the Clinical Study Network of the European Cystic Fibrosis Society and the upcoming ATS/ERS Task Force on Paediatric Bronchoscopy and BAL.

Table 4: Advantages and disadvantages of bronchoalveolar lavage

<table>
<thead>
<tr>
<th>Advantages</th>
<th>Disadvantages</th>
</tr>
</thead>
</table>

18
Samples the lower airways directly | Invasive (Requires general anesthesia in this age group)
---|---
Superior to other techniques to obtain bacteria cultures | Airway infection and inflammation is heterogenous through the airways in CF patients
Allows for assessment of airway inflammation | Short and long term variability for BAL markers has not been defined
BAL defined inflammation predicts future bronchiectasis | Sampling during times of stability will underestimate the role of viral infections. However, sampling during acute respiratory exacerbations will increase the risks to the procedure.

**Pulmonary Exacerbations**

Pulmonary exacerbations are a clinical endpoint (affecting how a person feels, functions or survives). Exacerbation rate is well established as an outcome measure in clinical trials of CF patients >6 years of age. These definitions usually capture events rate; there is currently no validated definition to capture the severity of pulmonary exacerbations. In participants <6 years of age, a definition using a combination of clinical signs and symptoms for a duration of at least 3 to 5 days that led to antibiotic therapy has been developed and used in three clinical trials to date: the EPIC Clinical Trial (81), a trial of azithromycin in patients not chronically infected with P. aeruginosa (82) and the ISIS trial of hypertonic saline(69). While a potentially promising endpoint, it has not been prospectively validated and, in contrast to exacerbations rates in older CF patients, its association with survival or long-term outcomes such as lung function decline has not been evaluated. In addition, pulmonary exacerbations in infants and preschoolers are frequently triggered by viral respiratory infections, which are common in the general population in this age range as well and may not be reduced in frequency by therapies that enhance muco-ciliary clearance or are disease-modifying.

**Systemic markers of inflammation**

Ongoing neutrophilic inflammation in CF airways is present in most infants and young children with CF and best captured by BAL as outlined above. However, the invasiveness of the procedure limits its repeated use. Systemic markers of inflammation detected in either blood or urine could potentially be useful to monitor inflammation indirectly. So far, limited information is available in infants and young
children, but studies in older children and adults have suggested that serum calprotectin and high sensitivity CRP hold some promise as markers to track inflammation in CF. A recent study in CF patients with limited lung disease has shown that treatment with azithromycin reduced absolute neutrophil counts, serum calprotectin, serum amyloid A and high sensitivity CRP compared to patients receiving placebo (83). Changes in calprotectin were also correlated to changes in lung function and weight both after 28 and 168 days of treatment. Additional studies in infants and young children are warranted to clarify the potential role of these and other surrogate markers of inflammation in interventional trials.
Summary

CF lung disease presents early in life, but symptoms are subtle and sensitive techniques are needed to capture and track these early abnormalities. A range of methods are now available to study the key features of CF lung disease ranging from technologies assessing structure and function to those focusing on infection and inflammation. We believe that it is unlikely that one method alone will become the “holy grail” technique to assess lung disease and quantify treatment response in infants and young children and therefore, methodologies will need to vary depending on the intervention studied. At the present time, chest computer tomography and multiple breath washout based tests seems to be best suited for interventional trials in very young children with CF. While additional work is needed to clarify the role of these methodologies and to standardize their use, these efforts are well on their way. The authors of this report are of the opinion that studies of pharmacological interventions planned today should not be limited to assessing safety alone in infants and young children. The ultimate goal of therapy is not to provide short-term gains in function, but rather prevention of lung damage. This is best achieved by initiating therapy in early infancy which is only going to be achieved by providing evidence of therapeutic efficacy through early intervention studies performed in this age group. Given the size of the target population and the requirement for multinational clinical trials we believe that it is imperative that a strategy to harmonize outcome variable methodologies across clinical trial networks and to implement training and certification protocols is undertaken. This will help the pharmaceutical industry plan studies for pipeline therapies and enhance research opportunities globally.
References (ERJ requests Vancouver style)


