

Leptin Receptor Polymorphisms and Lung Function Decline in Chronic Obstructive Pulmonary Disease

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Running Head: Leptin Receptor and COPD

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ABSTRACT

Background: Only a fraction of all smokers develop COPD, suggesting a large role for genetic susceptibility. The leptin receptor (LEPR) is present in human lung tissue and may play a role in COPD pathogenesis. This study examined the association between genetic variants in the *LEPR* gene and lung function decline in COPD.

Methods: 429 European Americans were randomly selected from the NHLBI Lung Health Study. Thirty-six single nucleotide polymorphisms (SNPs) in *LEPR* were genotyped using the IlluminaTM platform. Mean annual decline in FEV₁ % predicted over the five-year period was calculated using linear regression. Linear regression models were also used to adjust for potential confounders. In addition, *in vivo* expression of the receptor gene was assessed with immunohistochemistry on lungs from smoke-exposed inbred mice.

Results: We identified significant associations ($P < 0.05$) between lung function decline and 21 SNPs. Haplotype analyses confirmed several of these associations seen with individual markers. Immunohistochemistry results in inbred mice strains support a potential role of LEPR in COPD pathogenesis.

Conclusions: We identified genetic variants in the *LEPR* gene significantly associated with lung function decline in a population of smokers with COPD. Our results support a role for *LEPR* as a novel candidate gene for COPD. **Word Count: 199 (<200)**

KEY WORDS

Chronic obstructive pulmonary disease (COPD), leptin receptor, lung function decline, polymorphisms

INTRODUCTION

COPD is the fourth leading cause of death in the United States and the fifth leading cause of death worldwide, and its prevalence is expected to increase in upcoming decades.(1;2) The overwhelming majority of COPD is caused by environmental exposures. In the United States, this exposure is primarily cigarette smoke; however only 15% of all smokers develop COPD. This suggests a large role of genetic susceptibility.

In addition to its role in obesity (appetite suppression), leptin has been shown to have multiple other functions, including increasing sympathetic nerve activity, maintaining reproductive function, immunity, angiogenesis, preserving normal respiratory function in the presence of obesity and cell proliferation of tracheal epithelial cells and lung growth.(3) After adjustment for obesity, the leptin pathway has been associated with inflammatory markers as well as multiple inflammatory conditions, including cardiovascular disease.(4;5) Its potential role in the systemic inflammatory response in patients with COPD is evident from the correlation of leptin with other inflammatory markers.(6-8) Leptin has been shown to directly stimulate phagocytic activity of macrophages and enhance endotoxin induced production of TNF α , IL-6, and IL-12, cytokines typically involved in COPD pathogenesis.(9-12) Conversely, deficiency in leptin or its receptor may predispose to both immunodeficiency and infection.(10) Serum leptin levels are elevated in exacerbations of COPD and have also been associated with bacterial pulmonary infections, which are associated with COPD exacerbations and decline in lung function.(7;13-15) Leptin exerts multiple effects through its leptin receptor (LEPR), located on human chromosome 1p31. The leptin receptor is produced in several alternatively spliced forms that share extracellular and transmembrane domains

but have varying cytoplasmic residues(16). Leptin receptor has a wide tissue distribution, including lung tissue.(17)

We tested for association between genetic variants in the *LEPR* gene and lung function decline in a subset of the multicenter NHLBI-supported Lung Health Study (LHS) cohort. In addition, the potential role of LEPR in COPD pathogenesis was further evaluated in smoke-exposed AKR/J mice which display marked airspace enlargement by histologic and morphometric criteria.(18) To determine whether the leptin receptor is differentially localized to resident lung cells under conditions which simulate human COPD, we performed immunohistochemistry on murine lung sections obtained from AKR/J mice exposed to four months of cigarette smoke versus room air controls.

Identifying pathways and novel molecular targets that modify the clinical course of disease is fundamental to developing preventive strategies and novel therapies.

METHODS

Subjects

Subjects participating in the current study included 429 European Americans (EA) randomly selected from a group of 4,287 participants of the multi-center NHLBI-supported Lung Health Study (LHS) for whom DNA was available. Lung function was measured annually over five years and conducted according to ATS guidelines using identical spirometers, software, procedures and reading center personnel.(19;20) The quality of the spirometry testing conducted by the technicians was monitored centrally throughout the testing and comparison of baseline spirometry measures showed good reproducibility with very small mean short-term intra-individual variations in FEV₁.(21)

Lung function data from Annual Visit 1 to Annual Visit 5 was used for the current analyses and has been shown to have a good linear fit in previous LHS analyses.(19;22) Subjects with < 3 annual lung function measurements were excluded from analysis (n=15).

SNP Selection and Genotyping

Single nucleotide polymorphisms (SNPs) representing the *LEPR* gene were selected from Goldenpath (<http://genome.ucsc.edu/>) and/or NCBI (<http://www.ncbi.nlm.nih.gov/>).

Criteria included 1) SNPs approximating inter-SNP distance as close to 5 kb as were available at the time of the dbSNP Build 124; 2) representation of SNPs in the promoter, coding and 3'UTR regions; and 3) SNPs with acceptable design scores according to the Illumina Assay Design Tool for genotyping on the Illumina™ GoldenGate platform.

Priority for selecting SNPs included: 1) regulatory and coding SNPs; 2) highly polymorphic SNPs, preferably $\geq 10\%$ minor allele frequency; 3) validated SNPs; and 4) SNPs at intron/exon boundaries. A total of 36 *LEPR* SNPs spanning 228,294 bp on human chromosome 1p31 with an average inter-SNP distance of 6.53 kb (ranging from 1.9–14 kb) are summarized in **Table 1**.

Statistical Analysis

Mean annual decline in lung function (post-bronchodilator %predFEV₁) was calculated as a linear regression slope over the five-year study period. Linear regression models were used to adjust for potential confounders, including baseline characteristics: smoking history (pack-years), age, sex, %predFEV₁ and airway reactivity (AR). AR was calculated as a quantitative measure, using the two-point slope.(22). In addition to adjusting for baseline characteristics 1) change in body mass index (BMI), calculated as a

linear regression slope, and 2) smoking status at Year 5, defined as ‘continuous smoker’, ‘intermittent smoker’, and ‘sustained quitter’ were also analyzed.

Forward and backward selection were used to develop a parsimonious model. Residuals from the regression were included in a genetic additive model and the most common homozygote genotype for each SNP served as the reference category. Interaction term for smoking and genetic effect were tested using PLINK while testing for quantitative interaction. Each SNP locus was evaluated for Hardy-Weinberg equilibrium. All analyses were performed with StataSE, version 8.0 (Stata Corp, College Station, TX) and PLINK(23).

Individual haplotypes were analyzed using PLINK. Pairwise linkage disequilibrium (LD) based on the D’ statistic was measured using Haploview.(24) LD blocks were defined using their default algorithm.(25) Sliding windows of 2 to 4 adjacent SNPs were used to test for association. Haplotype estimates were computed using PHASE assuming no recombination.(26)

Murine Model

Ten week old AKR/J mice were exposed to cigarette smoke 5 hours/day, 5 days/week, for 6 months. The exposure was conducted by burning 2R4F reference cigarettes (University of Kentucky, Tobacco Research Institute), using a TE-10 smoking machine (Teague Enterprises). Each cigarette was puffed for 2 seconds, once every minute for a total of 8 puffs, at a flow rate of 1.05 l/min, to provide a standard puff of 35 cm³. The smoke machine was adjusted to produce a mixture of sidestream smoke (89%) and mainstream smoke (11%). The smoke chamber was monitored daily for total

suspended particles and carbon monoxide, with concentrations of 90 mg/m³ and 350 ppm, respectively. Air-exposed control mice were housed in a filtered air environment.

Immunohistochemistry: Five micron paraformaldehyde-fixed, paraffin-embedded tissue sections from smoke-exposed and room air control AKR/J mice were deparaffinized and rehydrated in an ethanol series. Sections were blocked for non-specific binding with 3% normal serum from chicken and incubated with the primary antibodies for 1 hour at room temperature. Following incubation with the primary antibody overnight at 4°C, slides were washed with PBST, incubated for 30 minutes at RT with an appropriate biotinylated secondary antibody and developed by using DAB (3,3'-diaminobenzidine) substrate and chromagen from Dako. Antigen retrieval was performed using citrate buffer for 30 minutes. Antibodies were used at the following concentrations: leptin receptor, ObR (goat polyclonal, I-17, 1:100, Santa Cruz), donkey anti-goat secondary antibody (sc2020, 1:400, Santa Cruz).

RESULTS

Clinical characteristics of subjects are presented in **Table 2**. There were no statistical differences in baseline characteristics between those included in the final analyses (n=414) compared to those excluded because more than two data points in lung function were missing (n=15, data not shown). Distributions of baseline characteristics and lung function measurements were also similar to those in the full LHS cohort from which this subset was randomly selected (n=5,887, data not shown). Age, baseline lung function, airway reactivity (AR), smoking status at Year 5, and change in BMI were independently associated with lung function decline, and were included in the final regression analyses.

There was no significant interaction between smoking status at year 5 and *LEPR* polymorphisms.

Single-marker analyses

All 36 *LEPR* SNPs were in Hardy-Weinberg Equilibrium (HWE). All results of the two-point tests for association between *LEPR* markers and %predFEV₁ decline are presented in **Table 3**. We found evidence for significant associations between 21 SNPs in the *LEPR* gene and %predFEV₁ decline, spanning the length of the gene from intron 2 to the 5' end. The minor alleles of most significant SNPs were associated with attenuation in lung function decline. Of particular interest, each G allele at the functional marker rs1137100, in exon 4, which creates a lysine to arginine amino acid change, was associated with a 0.33%/ yr attenuation in annual loss of %predFEV₁.

Examination of the linkage disequilibrium (LD) structure across these SNPs revealed high LD (D' ranging from 0.80 to 1.0, with R^2 ranging from 0.65 to 0.96) for 31 of 35 pairs of contiguous SNPs. (**Figure 1**). In fact, 33 of the SNPs fell into one of 6 LD blocks, and most of the 21 SNPs with association signal are noted to have similar effect sizes for the minor allele and fall into one of three LD blocks (blocks 2, 3 and 4, annotated in Table 3).

Haplotype analyses

Considering the clustering of most genotyped SNPs within six LD blocks with high levels of LD also observed between SNPs across different blocks, a systematic sliding window approach was implemented, considering windows of 2-4 SNPs/window beginning with the first (5') marker, and working across the gene, one marker at a time. Haplotype tests revealed multiple association signals in three specific regions that

overlapped with the single-SNP results described above. Twenty-five haplotypes showed stronger association than single SNP results. **(Figure 2)**. One of the most compelling regions was at the 5' end of *LEPR* (region 1) and the haplotype GCCT (rs7531867, rs1805096, rs1892535, rs6691346), which revealed a strong association with lung function decline ($P=0.003$) and includes the coding-synonymous SNP, rs1805096. Furthermore, one 3-SNP and two 4-SNP window haplotypes in region 2 spanning rs10443259 to rs10889562 (introns 2 through 5) were most strongly associated with lung function decline ($P=0.002$), and includes the functional marker rs1137100, described above.

Validation of *LEPR* as a candidate gene for COPD phenotypes in inbred mice

AKR/J mice, a well-characterized inbred strain, exposed to 4 months of cigarette smoke (CS) not only exhibit airspace enlargement but also develop airway thickening and inflammation that is highly reminiscent of COPD.⁽¹⁸⁾ We examined whether CS exposure altered leptin receptor expression in the lungs of this strain. Using immunohistochemistry, we observed that leptin receptor expression was evident in macrophages and the airway and airspace compartments in room air-exposed AKR/J mice. However, upon smoke exposure, there was a reduction of staining in the airspace and airway wall **(Figure 3)**. These findings are consistent with cigarette-smoke induced down-regulation of leptin receptor expression in the epithelial compartments of cigarette smoke exposed mice.

DISCUSSION

We identified 21 SNPs in the *LEPR* gene that were significantly associated with lung function decline in a European American population with COPD and our haplotype

analysis supported results from single SNP analysis. The association signals observed across these SNPs likely represent two or three signals in the gene. Furthermore, the potential role of the leptin receptor in lung architecture and COPD phenotypes is supported by an AKR/J murine model showing decreased *Lepr* expression in airway wall and epithelium after smoke exposure. Our results identify *LEPR* as a novel candidate gene for COPD.

To our knowledge, no previous studies have investigated the role of genetic polymorphisms in the *LEPR* gene and COPD or its associated phenotypes. The phenotype that we studied was rate of decline of lung function rather than COPD *per se*. The rationale for this was that rate of decline in lung function is a more precise phenotype than a single cross-sectional measurement of lung function needed to define COPD, and may serve as a more genetically homogeneous phenotype. We identified 21 SNPs in the *LEPR* gene that were significantly associated with lung function decline in a European American population with COPD. One of the most compelling regions was at the 5' end of *LEPR* (region 1), which includes part of the intracellular domain of the receptor(16) and may result in different signaling potency. Most variants identified in *LEPR* were associated with an attenuation of lung function decline, and notably, the G variant at the non-synonymous SNP rs1137100, which codes for an amino acid substitution in the extracellular domain of the leptin receptor(27), was associated with a 0.33%/ yr attenuation in loss of %pred FEV₁. Airflow obstruction that occurs in COPD is caused by a mixture of small airway disease, parenchymal destruction and increased airways responsiveness that develops over decades.(28) Thus, over time, these genetic variants may have substantial impact on disease progression. Over a 40 year period, a person

homozygous for the G allele at rs1137100 will have a %pred FEV₁ which is 26.4% higher compared to a homozygote for the major allele. Given a MAF of 0.26, as seen in our cohort, we might extrapolate 7% of the population to be homozygote for the minor allele (GG), 38% to be heterozygote (AG) and 55% to be homozygote for the major allele (AA). Even after adjusting for smoking status, this genetic variant could explain the difference between mild and moderate or moderate and very severe COPD under current GOLD criteria.(29)

The dearth of well-characterized populations of COPD subjects with longitudinal lung function data comparable to the LHS adds to the uniqueness of our population, but limits the opportunity to replicate these results. However, finding multiple significant SNPs in a single gene reduces the likelihood of spurious results due to multiple testing, though it may also be due in part to the high degree of LD in this gene. Furthermore, when using a False Discovery Rate (FDR) of 0.2, six SNPs would remain statistically significantly associated with lung function decline ($P < 0.006$) in this study. Previous studies have shown genetic variants in *LEPR* to be associated with markers of inflammation, including C-reactive protein (CRP) and fibrinogen levels, lending support to the hypothesis that the leptin pathway has a physiological influence on inflammatory traits. Specifically, the minor allele (T) for the rs1805096 locus was associated with lower levels of fibrinogen, CRP and IL-6 levels(30) in a previous study of healthy European Americans. Both, lower CRP and IL-6 levels have been associated with attenuated lung function decline in the LHS cohort.(31) Interestingly, the minor allele (T) in the coding SNP rs1805096 was also associated with lower rates of lung function decline in our study (0.295 %/yr, $p = 0.007$). Therefore, we hypothesize one potential

mechanism of the disease modifying-effects of the *LEPR* gene may be mediated through inflammatory mechanisms. The notion that leptin may function as an immunomodulatory cytokine has become increasingly accepted. The association between leptin and muscle wasting and cachexia in COPD is well established;(32) and the presence of leptin in induced sputum of patients with moderate COPD and its association with other inflammatory markers(33) suggests leptin may be involved in the local inflammatory response in COPD.

Inbred mice or guinea pigs subjected to chronic cigarette smoke (CS) exposure have been shown to be invaluable models of CS-induced parenchymal lung disease.(34;35) Furthermore, the use of animal models of complex human diseases to parse candidate genes identified in broad genetic or genomic surveys is a standard approach to initial pathway validation, especially if additional populations are not available for replication and therapeutic targets are an ostensible goal.(36;37) We noted a reduction in staining in the airspace wall and airway epithelial compartment, but retained expression in macrophages in AKR/J smoke-exposed mice. This is consistent with findings of Bruno et al. who found decreased expression of leptin and its receptor in smokers and subjects with mild-to-severe COPD as compared to healthy nonsmoking subjects.(13) Accordingly, reduced leptin receptor expression (acquired or genetic) may be a critical predisposing factor to cigarette smoke induced lung disease.

In summary, the current study is the first to report an association between *LEPR* polymorphisms and COPD. Our results support the role of the leptin pathway, and particularly the leptin receptor (*LEPR*) in COPD and lung function decline. Specifically, individuals with the minor allele at the selected polymorphisms were less susceptible to

loss of lung function and COPD progression. The single-SNP and haplotype tests point to three clusters of signal highlighting two potential loci considering the linkage disequilibrium between these signals: the functional SNP rs137100 and at the synonymous coding SNP rs1805096. While these two SNPs are not in LD with each other ($D'=0.45$, $R^2=0.125$), haplotypes of significance that include each SNP overlap neighboring LD blocks making it somewhat difficult to tease apart these two signals. It is necessary to replicate our findings in other populations; however our results identify *LEPR* as a novel target in COPD and lung function loss.

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Table 1: Location, minor allele frequency, and type of selected *LEPR* SNPs

SNP ID	SNP	Position	Region	Minor Allele	MAF
1	RS7531867	65819567	Downstream	A	0.39
2	RS1805096	65814278	Coding exon (1019 P/P)	T	0.39
3	RS1892535	65809202	Intron	T	0.18
4	RS6588153	65804038	Intron	A	0.38
5	RS1938484	65793303	Intron	A	0.18
6	RS8179183	65787973	Coding exon (656 K/N)	C	0.18
7	RS3790419	65779130	Coding exon (343 S/S)	G	0.22
8	RS3828034	65774346	Intron (boundary)	C	0.19
9	RS12564626	65768563	Intron	A	0.45
10	RS10443259	65763371	Intron	A	0.28
11	RS6691346	65758418	Intron	A	0.28
12	RS4655680	65753490	Intron	T	0.29
13	RS1137100	65748462	Coding exon (109 K/R)	G	0.26
14	RS10889562	65742466	Intron	A	0.22
15	RS6702028	65731912	Intron	C	0.28
16	RS1782763	65719921	Intron	C	0.33
17	RS1171265	65715273	Intron	A	0.36
18	RS1171271	65710811	Intron	C	0.27
19	RS1782754	65705369	Intron	G	0.27
20	RS1171279	65700514	Intron	T	0.27
21	RS1171274	65692859	Intron	C	0.27
22	RS10889558	65688987	Intron	A	0.27
23	RS6694528	65675037	Intron	T	0.13
24	RS1327121	65669358	Intron	C	0.34
25	RS17412682	65664314	Intron	C	0.46
26	RS2025804	65658142	Intron	C	0.34
27	RS17127652	65647164	Intron	G	0.02
28	RS4655811	65635178	Intron	C	0.34
29	RS6657868	65625728	Intron	A	0.39
30	RS9436746	65620494	Intron	A	0.4
31	RS970468	65618511	Downstream	G	0.39
32	RS17097182	65615466	Downstream	T	0.05
33	RS1045895	65610002	3' UTR	A	0.38
34	RS9436299	65604909	Intron	C	0.33
35	RS1327118	65597590	Promoter	C	0.46

SNP – single nucleotide polymorphism

MAF – minor allele frequency

Table 2: Patient Characteristics

	European Americans (n=414)
<i>Baseline Characteristics</i>	
Mean age (SD)	48.6 (7.0)
Male, %	65.0
BMI	25.4 (3.6)
Smoking (pack-years)	40.5 (19.2)
Pre-BD %FEV ₁	76.3 (6.4)
Post-BD %FEV ₁	79.2 (6.2)
<i>Longitudinal Characteristics at 5 years</i>	
Smoking history, %	
Continuous	50
Intermittent, now smoking	12
Intermittent, now quit	19
Sustained quitter	19
Post-BD Δ FEV ₁ , %/yr	-0.74 (1.63)

BD – bronchodilator

BMI – body mass index

SD – standard deviation

Table 3: Association of *LEPR* polymorphisms with lung function decline in a subset of the LHS European American (n=414) cohort

LD Block	SNP ID	SNP	Beta	95% CI	p-val
1	1	RS7531867	0.298	-0.111 - 0.298	0.006
	2	RS1805096	0.295	-0.082, 0.339	0.007
2	3	RS1892535	0.314	-0.008, 0.435	0.018
	4	RS6588153	0.292	-0.381, 0.039	0.007
	5	RS1938484	0.351	-0.459, 0.469	0.007
	6	RS8179183	0.109	-0.014, 0.410	0.429
	7	RS3790419	-0.09	0.013, 0.432	0.473
	8	RS3828034	0.026	-0.008, 0.419	0.848
	9	RS12564626	0.25	-0.004, 0.435	0.015
	10	RS10443259	0.334	-1.011, 0.509	0.004
	11	RS6691346	0.334	0.039, 0.477	0.004
	12	RS4655680	0.312	-0.436, -0.027	0.007
3	13	RS1137100	0.33	0.019, 0.456	0.005
	14	RS10889562	-0.044	-0.350, 0.277	0.73
	15	RS6702028	0.32	0.085, 0.538	0.006
	16	RS1782763	0.318	0.111, 0.565	0.003
	17	RS1171265	0.321	-0.329, 0.138	0.004
	18	RS1171271	0.32	0.091, 0.543	0.006
	19	RS1782754	0.317	0.093, 0.547	0.006
	20	RS1171279	-0.095	0.105, 0.537	0.425
	21	RS1171274	0.338	0.106, 0.529	0.004
	22	RS10889558	0.311	0.093, 0.547	0.007
4	23	RS6694528	-0.037	-0.296, 0.207	0.818
	24	RS1327121	0.238	0.103, 0.557	0.033
	25	RS17412682	-0.232	0.087, 0.537	0.027
	26	RS2025804	0.258	0.106, 0.562	0.022
	27	RS17127652	-0.251	0.106, 0.562	0.518
5	28	RS4655811	0.216	0.049, 0.452	0.055
	29	RS6657868	0.206	-0.240, 0.292	0.06
	30	RS9436746	0.223	-0.334, 0.155	0.039
	31	RS970468	0.198	-0.161, 0.378	0.068
6	32	RS17097182	0.005	0.096, 0.607	0.983
	33	RS1045895	-0.171	0.082, 0.502	0.111
	34	RS9436299	0.214	0.056, 0.572	0.059
	35	RS1327118	0.129	0.084, 0.507	0.231
	36	RS10493377	0.093	0.088, 0.508	0.373

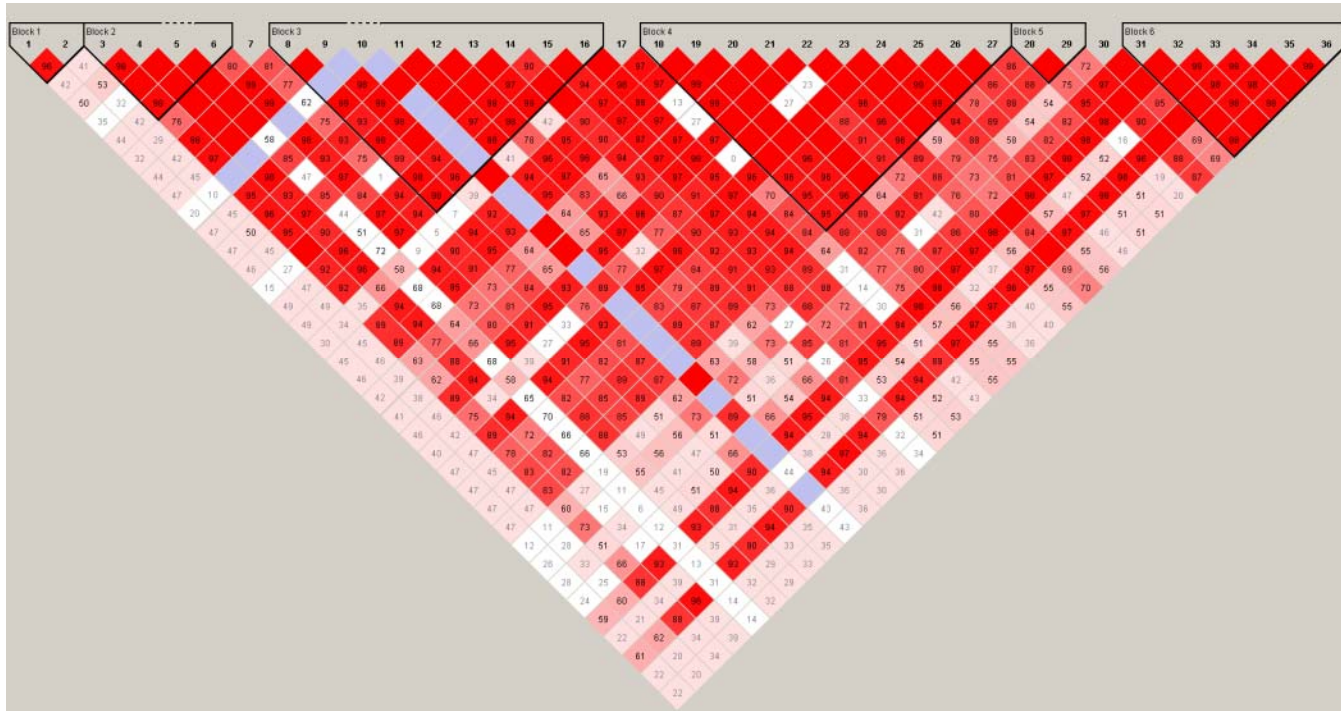
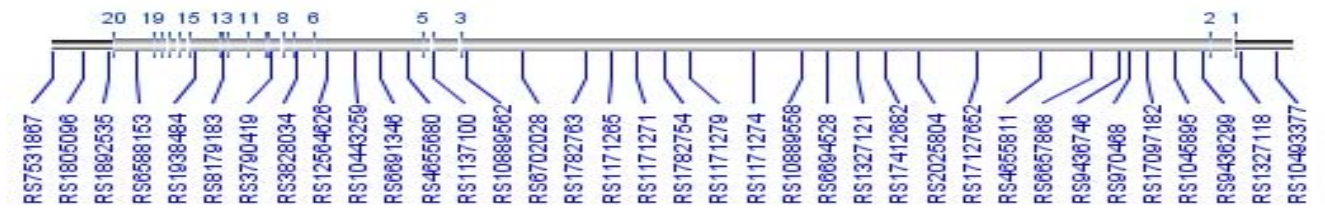


Figure 1. Pairwise LD in subjects is represented as red squares for strong LD, blue squares for non-significant LD, and white squares for little or no LD. LD blocks are identified as noted.

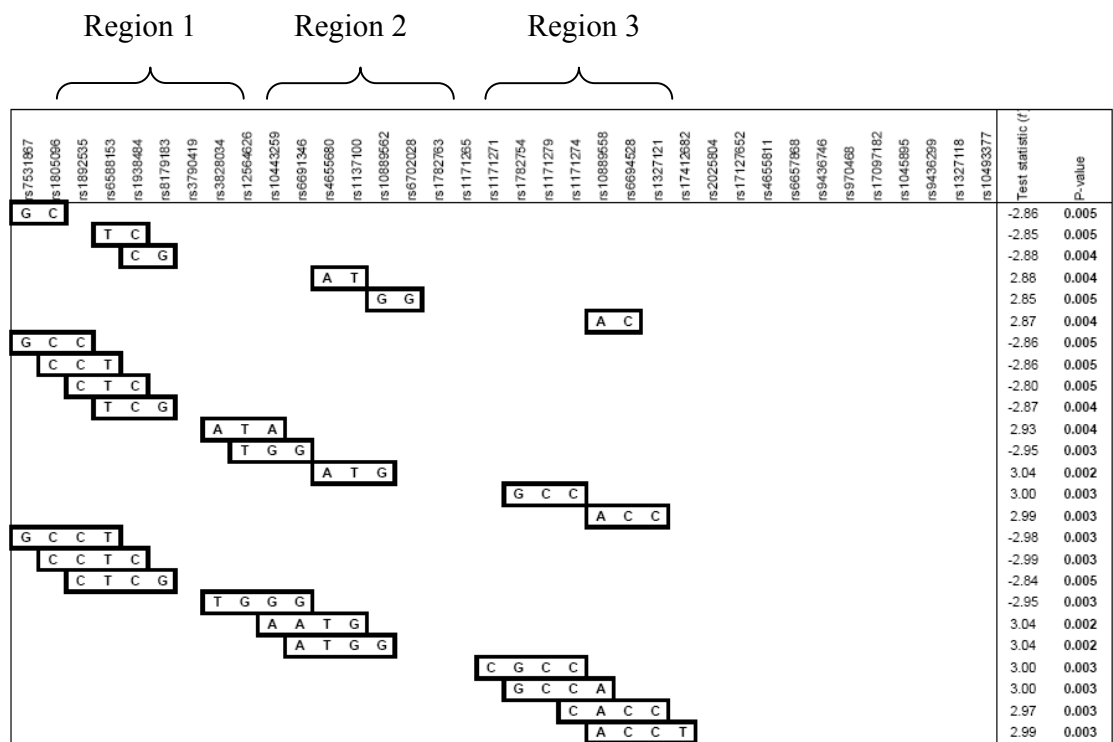


Figure 2: Haplotypes (n=25) that show greater association than single SNP results.

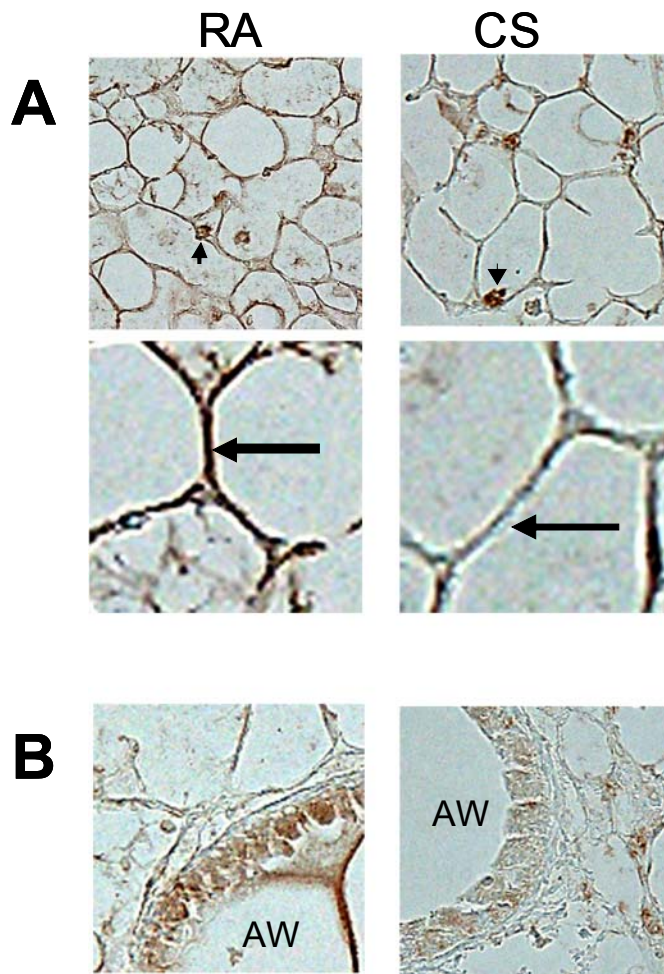


Figure 3: Reduced leptin receptor expression in lung parenchyma of AKR/J mice exposed to 4 months of cigarette smoke. Representative images of a minimum of three mice per condition. (A) Decreased immunohistochemical staining of leptin receptor (brown) in alveolar walls of smoke-exposed mice using polyclonal antibody against ObR and avidin-biotin-peroxidase complex method. Short arrows denote preserved leptin receptor staining of alveolar macrophages after cigarette smoke exposure. Long arrows show reduced airspace wall staining in smoke-exposed mice. (B) Reduced leptin receptor expression is also evident in airway epithelial cells of smoke-exposed mice. RA-room air exposed, CS-cigarette smoke exposed, AW-airway lumen.

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