

Impact of statins and ACE inhibitors on mortality for subjects hospitalized with pneumonia

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1 **ABSTRACT**

2 Recent studies suggest that statins and ACE inhibitors may have beneficial effects for
3 some types of infections. The purpose of our study was to examine the association of
4 outpatient use of these medications on 30-day mortality for subjects > 65 years of age
5 hospitalized with community-acquired pneumonia.

6

7 We conducted a retrospective national cohort study conducted using Department of
8 Veterans Affairs administrative data including subjects ≥ 65 years of age hospitalized
9 with community-acquired pneumonia, and having at least one year of prior Veterans
10 Affairs outpatient care.

11

12 We identified 8652 subjects with a mean age of 75 years, 98.6% were male, and 9.9% of
13 subjects died within 30-days of presentation. In this cohort, 18.1% of subjects were using
14 statins and 33.9% were using ACE inhibitors. After adjusting for potential confounders,
15 current statin use (odds ratio 0.54, 95% confidence interval 0.42-0.70) and ACE inhibitor
16 use (0.80, 0.68-0.89) were significantly associated with decreased 30-day mortality.

17

18 Use of statins and ACE inhibitors prior to admission is associated with decreased
19 mortality in subjects hospitalized with community-acquired pneumonia. Randomized
20 controlled trials are needed to examine whether the use of these medications in patients
21 hospitalized with community-acquired pneumonia may be beneficial.

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1 **INTRODUCTION**

2 Pneumonia and influenza together are the seventh leading cause of death and the leading
3 causes of infectious death in the United States [1]. For those > 65 years of age the rate of
4 hospitalizations and deaths due to pneumonia are increasing even though for all other age
5 groups they are decreasing [2]. Although mortality due to community-acquired
6 pneumonia decreased significantly with the introduction of antibiotics in the 1950s, since
7 that time mortality has been stable and hospitalizations are increasing [2]. Despite this,
8 only a few new classes of antibiotics have been added to the armamentarium for treating
9 community-acquired pneumonia in the last 20 years and only one new class of
10 medications (drotrecogin alfa) has been added [3].

11

12 Cytokines play an important role in host defense mechanisms for subjects with
13 community-acquired pneumonia but under certain conditions may lead to septic shock or
14 acute respiratory distress syndrome [4-6]. Recent studies have demonstrated that HMG-
15 CoA reductase inhibitors (“statins”) and angiotensin converting enzyme (ACE) inhibitors
16 have significant immunomodulatory effects and reduce systemic cytokine levels [7-11].
17 In addition, several studies have demonstrated that for subjects hospitalized with
18 bacteremia, diabetic lower extremity infections, or community-acquired pneumonia,
19 those subjects who were taking statins or ACE inhibitors at presentation had a
20 significantly decreased odds of death [12-15], or decreased rates of severe sepsis [16, 17].
21 However, other studies have shown either no association with mortality or increased
22 mortality with the use of these medications in subjects with infectious diseases. [12, 18,
23 19].

1

2 The study aims were to assess the association of the use of statins and ACE inhibitors on
3 30-day mortality for subjects > 65 years of age hospitalized with community-acquired
4 pneumonia after adjusting for other potential confounders using the administrative
5 databases of the Department of Veterans Affairs (VA), and to examine the impact of the
6 prior statin and ACE inhibitor use on mortality for subjects hospitalized with pneumonia
7 during the influenza season.

8

9 **METHODS**

10 This study was conducted with VA inpatient and outpatient administrative data that was
11 collected as part of a larger study of inappropriate prescribing practices in the elderly
12 [20]. The Institutional Review Board of the University of Texas Health Science Center at
13 San Antonio classified this as an exempt study.

14

15 **Inclusion and Exclusion Criteria**

16 Subjects who were a) aged 65 and older on October 1 1999, b) had at least one outpatient
17 clinic visit during fiscal year (FY) 1999 (October 1 1998—September 30 1999), c) were
18 hospitalized during FY 2000 with a primary discharge diagnosis of pneumonia or
19 influenza (ICD-9 codes 480.0-483.99 or 485-487) and d) received at least one active and
20 filled medication within 90-days of admission were included in this study. Previous
21 research has validated the use of these ICD-9 codes for identifying cases of CAP [21-23].
22 We excluded subjects with a history of human immunodeficiency virus (HIV) or who
23 received chemotherapeutic agents within 90-days of presentation. If a subject was

1 admitted more than once during the study period due to pneumonia, only the first
2 hospitalization was included.

3

4 **Data**

5 This study used data from the National Patient Care Database at the Austin Automation
6 Center, pharmacy data from the VA Pharmacy Benefit Management group, and vital
7 status data from the Beneficiary Identification Records Locator Subsystem death file and
8 inpatient portion of the National Patient Care Database.

9

10 Demographic information (age, sex, race) was obtained from inpatient and outpatient
11 data. Missing race data were supplemented using self-reported race from the 1999 Large
12 Health Survey of Veterans, a nationally representative survey of VA enrollees (July 1,
13 1999—January 1, 2000 [24]. Race categories included white, black, Hispanic, and
14 other/unknown. In addition, we utilized information on the VA means test as a surrogate
15 for income, and use of geriatric clinics in preceding year as a potential indicator of patient
16 frailty.

17

18 Comorbid conditions were obtained from inpatient and outpatient administrative data.
19 Charlson's comorbidity score was used to assign a comorbidity score for preexisting
20 comorbid conditions [25, 26]. Charlson's comorbidity score is based on 19 comorbid
21 conditions each of which has an associated prognostic weight, which ranges from 1 to 6.
22 Age was not included in the Charlson score.

23

1 Pharmacy data were obtained from the Pharmacy Benefits Management group databases.
2 Subjects were considered a current user of a given medication if they had enough pills to
3 last until the date of hospitalization assuming an 80% compliance rate. Medications
4 classified as statins were atorvastatin, cerivastatin, fluvastatin, lovastatin, pravastatin, and
5 simvastatin. Medications classified as ACE inhibitors were benazepril, captopril,
6 enalapril, fosinopril, lisinopril, moexipril, quinapril, and ramipril. To further control for
7 potential confounding by medications, a count of unique drugs in each of the following
8 classes per patient was calculated for drugs refilled/filled within 90-days of presentation:
9 cardiac medications (excluding statins, ACE inhibitors, and non-statin lipid lowering
10 agents), and diabetic medications. A dichotomized variable for was created for
11 corticosteroid use. Previous research has demonstrated that using the count of these
12 medication classes is preferable to adjusting for the individual medications [27].
13
14 In addition, we created a category of non-statin lipid lowering agents (e.g., niacin, bile
15 acid sequestrants, and fibric acid derivatives) filled within 90-days of hospital
16 presentation so as to examine confounding in our models.

18 **Outcome**

19 We used 30-day mortality as the primary outcome for this study. Previous research has
20 demonstrated that 30-day mortality is primarily due to the community-acquired
21 pneumonia rather than other co-existing co-morbid conditions [28]. Mortality was
22 assessed using the Beneficiary Identification Records Locator Subsystem and the

1 National Patient Care Database. Previous studies have demonstrated that after 1972 this
2 methodology had a sensitivity of ~96% for veterans' deaths [29].

3

4 **Sample Size**

5 For our sample size calculations we assumed: (i) a 15% overall utilization of statins and
6 ACE inhibitors; (ii) that subjects not on statins had a 2.8 x increased odds, and for ACE
7 inhibitors 2.3 x increased odds, of 30-day mortality based on our previous studies [14,
8 15]; (iii) sample sizes were further boosted to account for the random effects by a factor
9 of 1.2 or less; (iv) the squared multiple correlation among the covariates in the models is
10 no more than 0.40; and (v) type I error and power are set at 0.05 and 0.90, respectively.
11 Therefore we calculated that overall 4,256 subjects were needed in the statin cohort, and
12 3,620 in the ACE inhibitor cohort, to have 90% probability to detect a significant
13 mortality difference at 30-days.

14

15 **Statistical Analyses**

16 Bivariate statistics were used to test the association of sociodemographic and clinical
17 characteristics with all-cause 30-day mortality. Categorical variables were analyzed
18 using the Chi-square test and continuous variables were analyzed using Student's t-test.

19

20 A propensity score technique was used to balance covariates associated with medication
21 use between groups [30-32]. We created separate propensity scores for statins, ACE
22 inhibitors, and to examine potential confounding, non-statin lipid lowering medications.
23 The propensity score was derived from a logistic regression model. We included

1 variables in the propensity score if previous research demonstrated a relationship between
2 a variable and pneumonia-related mortality [33, 34], if we hypothesized that it may be
3 related to prescription of the medications, or if the variable was significantly associated
4 ($p \leq 0.05$) with 30-day mortality or prescription of ACE inhibitors and/or statins in the
5 bivariate analyses. The covariates included in the propensity score models were age,
6 gender, race, being married, VA means test, classes of medications and the Charlson
7 composite score (without age). Classes of medications included cardiac medications
8 (excluding ACE inhibitors, statin, and non-statin lipid lowering medications), diabetic
9 medications, and corticosteroids. We then created an ordered categorical variable based
10 on a quintile stratification of the propensity score to include in the Cox and regression
11 models.

12
13 To analyze time-to-death (starting at the time of hospital admission) for subjects by
14 medication use (statin or ACE inhibitor) we used Cox proportional hazard models to
15 estimate, and graph, the baseline survivor functions after adjusting for the respective
16 propensity score.

17
18 Our primary analysis employed generalized linear mixed-effect models with patient's
19 hospital as a random effect using STATA's GLLAMM program [35]. We created
20 separate models with either ACE inhibitors or statin use as the independent variables of
21 interest, and adjusting for the appropriate propensity score. In addition, to examine the
22 impact of the medications of interest on pneumonia secondary to influenza, we split the
23 population by whether the patient was hospitalized during a period that influenza was

1 endemic. Those who were admitted between October 1 1999 and March 31 2000, which
2 according to the Centers for Disease Control and Prevention was the period with almost
3 all of the influenza cases during our study period [36], were considered to be during the
4 influenza season while those hospitalized during other periods were considered to be not
5 related to influenza. We repeated both of the generalized linear mixed-effect models to
6 examine whether statins or ACE inhibitors were associated with decreased mortality for
7 those hospitalized during the influenza season.

8

9 We used a similar methodology to examine the association of non-statin lipid lowering
10 drugs with 30-day mortality after adjusting for potential confounders using the
11 appropriate propensity scores. We hypothesized that non-statin lipid lowering
12 medications would not be associated with mortality since they have not been
13 demonstrated to have immunomodulatory effects.

14

15 All analyses were performed using STATA 8 (College Station, Texas).

16

17 **RESULTS**

18 Of the patients who had an inpatient stay in 2000 (n=142,169), 8652 subjects (6.1%) met
19 our inclusion criteria. The mean age was 75.2 years with a standard deviation (SD) of 6.1
20 years, 54.5% of subjects were married, and 98.6% were male. In this cohort 13.5% were
21 black, 78.7% were white, 5.3% were Hispanic, and 2.5% were other/unknown. In our
22 cohort 9.9% of the subjects died within 30-days of presentation, and 17.2% died within

1 90-days of presentation. Table 1 shows the variables examined by use of statins and/or
2 ACE inhibitors versus non-users of either.

3
4 In our cohort 18.1% (n=1567) were on statins and 33.9% (n=2930) were on ACE
5 inhibitors. There were 2161 subject who were only receiving ACE inhibitors, 769 on
6 both ACE inhibitors and statins, and 798 who were receiving only statins. In the
7 bivariate analyses, both statin (10.9% vs. 5.0%, $p<0.001$) and ACE inhibitor use (11% vs.
8 7.8%, $p<0.0001$) were both significantly associated with decreased 30-day mortality.
9 There was a significant difference in both statin and ACE inhibitor use by age group with
10 younger subjects more likely to have received the medication(s) of interest. For statins
11 22.5% of subjects 65-74 years, 15.5% of those 75-84, and 6.2% for those >85 years of
12 age received statins. While 35.6% of subjects 65-74, 33.2% for those 75-84, and 27.0%
13 of those >85 years of age received ACE inhibitors. When we stratified by age group
14 comparing use of the medications of interest and 30-day mortality there was a survival
15 benefit for those taking the medications of interest for each group (Table 2).

16
17 Figures 1 and 2 were created using Cox proportional hazard models to estimate, and
18 graph, the baseline survivor functions for statin use (Figure 1) and ACE inhibitor use
19 (Figure 2) over the first 30-days after admission. Both statin and ACE inhibitor use were
20 significantly associated with improved 30-day survival ($p<0.0001$).

21
22 In the generalized linear mixed-effect models, after adjusting for the appropriate
23 propensity score and admitting hospital, prior use of a statin (odds ratio (OR) 0.54, 95%

1 confidence interval (CI) 0.42-0.70) or ACE inhibitor use (0.80, 95% CI 0.68-0.89) were
2 significantly associated with decreased 30-day mortality. **When we included the**
3 **variables used in the propensity score separately in the respective regression models**
4 **the odds ratios (and confidence intervals) for statin or ACE inhibitors were very**
5 **similar to the prior results (statins OR 0.57, 95% CI 0.45-0.73 and ACE inhibitor**
6 **use OR 0.84, 95% CI 0.72-0.98).**

7

8 **In addition, to examine whether there were interactions between statins and ACE**
9 **inhibitors, we re-ran our model with 3 medication variables (statin use only, ACE**
10 **inhibitor use only, both statins and ACE inhibitors) and the individual potential**
11 **confounders, rather than the propensity score. We found that all 3 groups were**
12 **associated with decreased mortality: ACE inhibitors alone (OR 0.84, 95% CI 0.70-**
13 **1.00), statins alone (0.58, 0.42-0.80), and those using both (0.45, 0.31- 0.66).**

14

15 Among subjects who were hospitalized during the influenza season (n=5379) we reran
16 both of the generalized linear mixed-effect models and found that statin use was still
17 significantly associated with decreased 30-day mortality (OR 0.52, 95% CI 0.38-0.73),
18 but ACE inhibitor (OR 0.92, 95% CI 0.75-1.15) use was not significantly associated with
19 mortality.

20

21 In the generalized linear mixed-effect model that examined non-statin lipid lowering
22 medication use there was no significant association with mortality (OR 0.97, 95% CI
23 0.51-1.83)

1

2 **DISCUSSION**

3 We found that prior outpatient use of statins and ACE inhibitors was associated with
4 decreased 30-day mortality for subjects > 65 years of age hospitalized with community-
5 acquired pneumonia. This group is uniquely subject to increasing incidence of
6 pneumonia and pneumonia-related mortality. In addition, we found that, when we
7 restricted our analyses to those subjects hospitalized with pneumonia during the influenza
8 season, there continued to be decreased mortality for those who used a statin. Further
9 studies, including randomized controlled trials, are needed to examine the impact of
10 statins and ACE inhibitors, both pre-hospitalization and acute, on subjects hospitalized
11 with community-acquired pneumonia and influenza.

12

13 Our study supports the findings of the recent studies which demonstrated that subjects
14 hospitalized with bacteremia and pneumonia who were on statins at admission had a
15 significant reduction in in-hospital or 30-day mortality [12-16]. In the study by Liappis et
16 al.[12], after adjustment for confounding factors (including comorbid conditions, age,
17 concurrent medications, site of infection, vital signs, and laboratory data), not being on a
18 statin (odds ratio 7.6, 95% confidence interval 1.01-57.5) was significantly associated
19 with mortality. Our previous retrospective cohort study of subjects hospitalized with
20 community-acquired pneumonia demonstrated that prior outpatient use of statins was
21 associated with decreased pneumonia-related mortality (odds ratio 0.36, 95% confidence
22 interval 0.14-0.92) [14].

23

1 Our results do not support the recent study by Majumdar, et al. [19], which showed that
2 statins were not associated with improved outcomes for patients with pneumonia.
3 Although this study had a prospectively derived cohort with rich clinical data, there were
4 several problems with this study including a failure to assess interactions and
5 multicollinearity in the face of counter-intuitive results. For example, their findings that
6 age > 65 years, ischemic heart disease, and using levofloxacin are protective against in-
7 hospital mortality and ICU admission , or that pneumonia severity index [33] class III has
8 an OR 2.45, have not been previously reported.

9

10 Regarding the use of ACE inhibitors, our results suggest that although they may be
11 protective for some subjects with pneumonia there does not appear to be a benefit for
12 those who have pneumonia secondary to influenza. The protective effect seen in this
13 study and others [15] may be due to ACE inhibitors increasing serum levels of substance
14 P, which is hypothesized to lead to a better gag reflex and increased clearance of
15 secretions [37], rather than immunomodulatory effects [9-11]. In addition, ACE
16 inhibitors have also been demonstrated to have pulmonary protective effects [38] and two
17 recent studies demonstrated that a genetic polymorphism associated with increased
18 activity of the renin-angiotensin system is also associated with increased incidence of
19 acute respiratory distress syndrome [39] or higher mortality from ARDS [40]. Although
20 it appears that statins have a stronger effect upon pneumonia-related mortality, future
21 research should still examine the impact of both classes of medications on infectious
22 disease-related outcomes.

23

1 We found that in the bivariate analyses several variables including lower age, being
2 married, and not having dementia or history of malignancy were associated with use of
3 statins or ACE inhibitors. These associations suggest the possible existence of a “healthy
4 user” effect [41]. A strength of our study is that our cohort has the same access to
5 medical care, and low to no-cost prescriptions due to the structure of the VA health care
6 system [42]. In addition, we adjusted for these sociodemographic characteristics and
7 comorbid conditions in our models. Future observational studies need to adjust for these,
8 and other potential characteristics, that may impact the prescription and use of these
9 medications.

10

11 Although our study was a large database analysis and subject to the recognized
12 limitations of such studies, we carefully assembled our cohort from complete patient
13 discharge data to avoid ascertainment bias. Our sample was predominantly men due to
14 our use of VA administrative data, and it is possible, but unlikely, that women may have
15 differential responsiveness to statins as compared to men. Also we are unable to assess
16 factors such as inpatient continuation of the statins or the dose effect due to the lack of
17 availability of these data. Further research is needed to examine these factors. Also we
18 are unable to specifically examine the impact of statin use on subjects with influenza due
19 to the infrequent testing for this condition and the fact that it is an infrequently coded
20 discharge diagnosis [43]. However, since we found a protective association when we
21 examined those who were hospitalized with pneumonia during the influenza season, it is
22 possible that statins may be beneficial for those at high-risk of death due to influenza.
23 Finally, as in any non-experimental study, we are unable to state conclusively that the

1 prior outpatient use of statins is the cause of decreased mortality in this cohort.
2 However, since subjects on statins have numerous medical conditions that are
3 significantly associated with increased short-term mortality, and our analyses were
4 adjusted for several factors that are associate with patient frailty or “healthy user bias”,
5 we feel that we have good evidence that these medications may have beneficial effects
6 for subjects hospitalized with community-acquired pneumonia.

7
8 In conclusion, our study finds that prior outpatient use of statins, and to a lesser extent
9 ACE inhibitors, are associated with lower mortality for subjects hospitalized with
10 community-acquired pneumonia. These results suggest that there may be an additional
11 benefit of statin and ACE inhibitor use to the already compelling data for their use in
12 subjects with vascular disease and diabetes. Randomized trials are needed to confirm the
13 magnitude of the impact of statin and ACE inhibitor use, either pre-hospitalization or
14 acute, on subjects hospitalized with community-acquired pneumonia and to elucidate the
15 mechanism(s) by which they may work.

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1

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Table 1: Characteristics of subjects (N=8,652) with pneumonia by use of statin and/or ACE inhibitors versus non-use of either medication*

| Variables | Users of statins or ACE inhibitors | | P-value |
|-------------------------------------|--|-----------------------|---------|
| | (N= 3728) | Non-users (N=4924) | |
| Age | 74.6 ± 5.9 | 75.7 ± 6.3 | < 0.001 |
| Men | 3669 (98) | 4858 (99) | 0.4 |
| Race | | | |
| White | 2941 (79) | 3869 (79) | |
| Black | 491 (13) | 680 (14) | |
| Hispanic | 206 (6) | 256 (5) | |
| Other/Unknown | 90 (2) | 119 (2) | 0.8 |
| Married | 2181 (59) | 2523 (51) | < 0.001 |
| Charlson Comorbid Conditions | | | |
| Myocardial infarction | 1115 (30) | 687 (14) | < 0.001 |
| Congestive heart failure | 2184 (59) | 1552 (32) | < 0.001 |
| Peripheral vascular disease | 1138 (31) | 1013 (21) | < 0.001 |
| Stroke | 1322 (35) | 1356 (28) | < 0.001 |
| Chronic lung disease | 2689 (72) | 3457 (70) | 0.05 |
| Peptic ulcer | 547 (15) | 678 (14) | 0.2 |

| | | | |
|-----------------------------|-----------|-----------|---------|
| Rheumatologic disease | 202 (5) | 273 (6) | 0.8 |
| Mild liver disease | 60 (2) | 101 (2) | 0.1 |
| Diabetes | 1767 (47) | 1268 (26) | < 0.001 |
| Dementia | 351 (9) | 663 (14) | < 0.001 |
| Diabetes with complications | 797 (21) | 417 (8) | < 0.001 |
| Moderate Liver disease | 18 (0.5) | 34 (1) | 0.2 |
| Hemiplegia | 171 (5) | 227 (5) | 0.9 |
| Renal disease | 485 (13) | 429 (9) | < 0.001 |
| Any malignancy | 1436 (39) | 2088 (42) | < 0.001 |
| Metastatic solid tumor | 283 (8) | 542 (11) | < 0.001 |

*Data are presented as number (%) or mean \pm standard deviation

Table 2- 30-day mortality by statin or ACE inhibitor use stratified by age group

| Age group | Statin use | | ACE inhibitor use | |
|------------------|-------------------|-----------|--------------------------|-----------|
| | Yes | No | Yes | No |
| 65-74 | 4.3% | 9.2% | 6.0% | 9.2% |
| 75-84 | 6.1% | 11.2% | 8.8% | 11.2% |
| >85 | 5.4% | 20.0% | 15.4% | 20.5% |

Figure 1- Proportion of surviving patients hospitalized with CAP by use of statin versus non-use ($p < 0.0001$)

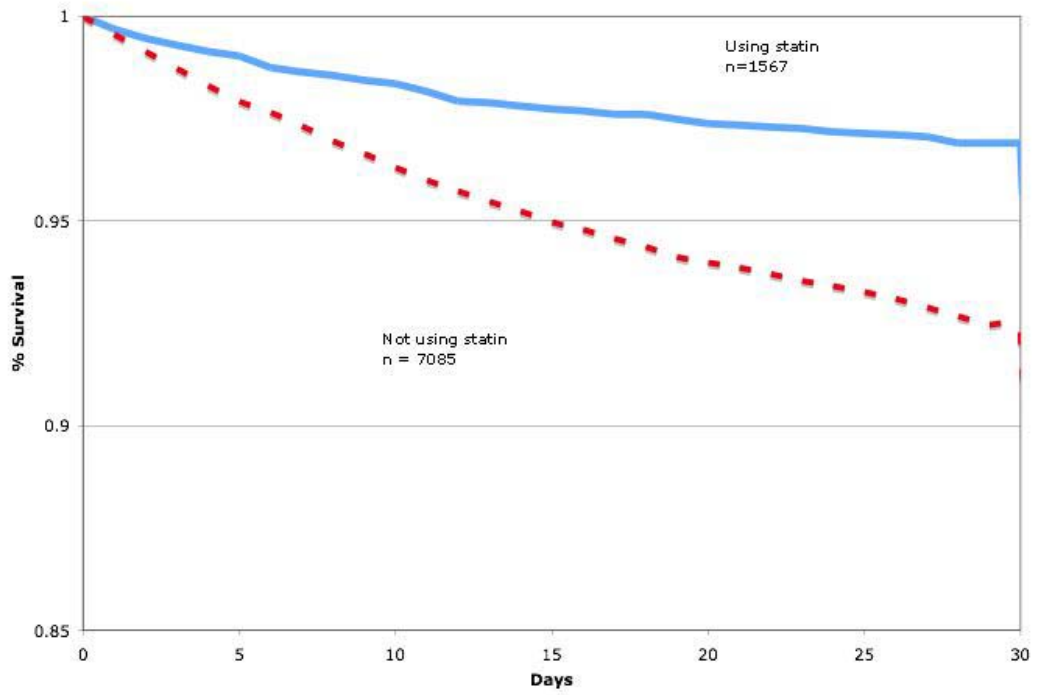


Figure 2- Proportion of surviving patients hospitalized with CAP by use of ACE inhibitor versus non-use ($p < 0.0001$)

