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Excess medical costs in patients with asthma and the role of comorbidity

Wenjia Chen^{1,2}, Larry D. Lynd^{1,3}, J. Mark FitzGerald^{2,4}, Carlo A. Marra⁵, Robert Balshaw⁶, Teresa To⁷, Hamid Tavakoli^{2,4} and Mohsen Sadatsafavi^{1,2,4} for the Canadian Respiratory Research Network

Affiliations: ¹Collaboration for Outcomes Research and Evaluation, Faculty of Pharmaceutical Sciences, University of British Columbia, Vancouver, BC, Canada. ²Institute for Heart and Lung Health, Division of Respiratory Medicine, Faculty of Medicine, University of British Columbia, Vancouver, BC, Canada. ³Centre for Health Evaluation and Outcome Sciences, Providence Health, St. Paul's Hospital, Vancouver, BC, Canada. ⁴Centre for Clinical Epidemiology and Evaluation, University of British Columbia, Vancouver, BC, Canada. ⁵New Zealand's National School of Pharmacy, University of Otago, Dunedin, New Zealand. ⁶BC Centre for Disease Control, Vancouver, BC, Canada. ⁷The Hospital for Sick Children, Toronto, ON, Canada.

Correspondence: Wenjia Chen, Collaboration for Outcomes Research and Evaluation, 2405 Wesbrook Mall, Vancouver, BC, Canada, V6T 1Z3. E-mail: wenjia.chen@alumni.ubc.ca

ABSTRACT Asthmatic patients frequently have comorbidities, but the role of comorbidities in the economic burden of asthma is unclear. We examined the excess direct medical costs, including asthma- and comorbidity-related costs, in patients with asthma.

We created a propensity score-matched cohort of patients newly diagnosed with asthma and non-asthmatic comparison subjects, both aged 5–55 years, from health administrative data (1997–2012) in British Columbia, Canada. Health services use records were categorised into 16 major disease categories based on International Classification of Diseases codes. Excess costs (in 2013 Canadian dollars (\$)) were estimated as the adjusted difference in direct medical costs between the two groups.

Average overall excess costs were estimated at \$1058/person-year (95% CI 1006–1110), of which \$134 (95% CI 132–136) was attributable to asthma and \$689 (95% CI 649–730) to major comorbidity classes. Psychiatric disorders were the largest component of excess comorbidity costs, followed by digestive disorders, diseases of the nervous system, and respiratory diseases other than asthma. Comorbidity-attributable excess costs greatly increased with age but did not increase over the time course of asthma.

These findings suggest that both asthma and comorbidity-related outcomes should be considered in formulating evidence-based policies and guidelines for asthma management.



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Costs of comorbidities are much higher than costs associated with asthma itself

<http://ow.ly/Lutb303lPye>

This article has supplementary material available from erj.ersjournals.com

Received: June 08 2016 | Accepted after revision: Aug 10 2016 | First published online: Oct 06 2016

Support statement: This study was funded by the Canadian Respiratory Research Network (CRRN). CRRN is supported by grants from the Canadian Institutes of Health Research (CIHR) Institute of Circulatory and Respiratory Health, Canadian Lung Association (CLA)/Canadian Thoracic Society (CTS), British Columbia Lung Association, and industry partners Boehringer-Ingelheim Canada Ltd, AstraZeneca Canada Inc., and Novartis Canada Ltd. Funding for training of graduate students and new investigators within the network was supported by the above funding sponsors, as well by GlaxoSmithKline Inc. The funders had no role in the study design, data collection and analysis, or preparation of the manuscript. M. Sadatsafavi receives salary support from the Canadian Institutes of Health Research and the Michael Smith Foundation for Health Research. Funding for this article has been deposited with the Open Funder Registry

Conflict of interest: None declared.

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Introduction

Asthma is a prevalent chronic disease that affects roughly 300 million people worldwide [1]. Approximately 8.6% of the world's young adults and 14.0% of children experience asthma symptoms [1]. The substantial economic burden of asthma has been documented in many large-scale cross-sectional epidemiological studies [2, 3], including those conducted in the USA [4], Europe [5] and Canada [6].

Individuals with asthma are at greater risk of multimorbidity compared to the general population [7, 8]. The presence of comorbidities in asthma is associated with suboptimal asthma control [9], increased healthcare resource utilisations [10], lower quality of life [11] and reduced efficacy of asthma treatments [12]. For this reason, both asthma and comorbidity-related outcomes should be considered in formulating evidence-based policies and guidelines for asthma management. This requires valid and current evidence about the extent of comorbidity burden in people with asthma. Failure to account for the economic impact of comorbidities in asthma leads to not only biased estimation of the true burden of asthma but also underestimation of the cost-effectiveness of interventions that aim to prevent or manage comorbidities or improve the overall health of asthmatic patients. Understanding the extent of the comorbidity burden can further generate hypotheses regarding the systemic aspects of asthma.

Our primary objective was to estimate excess direct medical costs (hereafter referred to as “excess costs” for brevity) in individuals with asthma. The secondary objectives were to compare the share of asthma and major comorbid categories in excess costs, and to evaluate variations in excess costs across age groups and over the time course of asthma.

Materials and methods

Data sources

We retrieved data from January 1997 to December 2012 from the provincial health administrative databases of British Columbia (BC), a large province in Canada with 4.4 million residents as of 2011 [13]. These databases provide linked, individual-level information on demographics, vital statistics and healthcare encounters of all BC residents [14–18] (supplementary material S1). All inferences, opinions and conclusions drawn in this study are those of the authors, and do not reflect the opinions or policies of the Data Steward(s). Ethical approval was obtained from the University of British Columbia Human Ethics Board (H08–01287).

Study design and sample

This was a retrospective, propensity score-matched cohort study. The asthma cohort consisted of individuals newly identified with asthma. We first identified all individuals who satisfied a validated case definition of asthma [19], defined as the presence of, during any rolling 12-month period, one or more asthma-specific inpatient encounters (with the most responsible diagnosis being asthma), two or more asthma-related outpatient visits (in different dates), or three or more filled asthma-related prescriptions. Asthma-specific inpatient and outpatient encounters were determined based on International Classification of Diseases (ICD) codes (ICD-9: 493.x; ICD10: J45.x, J46.x). Asthma-related medications were pre-specified (supplementary material S2). The index date was defined as the date of the patient's first asthma-related inpatient or outpatient service based on their history of resource use, marking the beginning of follow-up. The cohort included individuals aged between 5 and 55 years at their index dates. To include only new patients, we restricted the sample to individuals who were registered with the healthcare system for at least 300 days per year (*i.e.* fully registered) for at least 5 years prior to the index date without having any asthma-related records. These individuals also had to have at least 1 year of follow-up data after the index date.

Because asthma medications significantly overlap with treatment for chronic obstructive pulmonary disease (COPD), to minimise the chance of including patients with COPD who received the same drugs, we excluded patients with any COPD-specific healthcare resource usage within 2 years of the asthma index date (COPD codes: ICD-9: 491.x, 492.x, 493.2x, 496.x; ICD-10: J43.x, J44.x). Patients with asthma can develop COPD [20], which is a legitimate comorbidity to consider in this study. Therefore, COPD-related records beyond the first 2 years after the asthma index date were included.

Propensity score matching

As the basis of our comparator group, we had access to a random sample of 100 000 BC residents who had no asthma-specific health resource use between January 1997 and December 2012. From this sample we created a comparison group. The BC Ministry of Health does not permit researchers to access the full provincial population due to privacy considerations.

We used propensity score matching to create a balanced 1:1 cohort of asthmatic and non-asthmatic subjects, as follows: First, we excluded non-asthmatic subjects with less than 5 years of coverage in the database, and defined the first day following the five continuous years of coverage for each remaining individual as their index date (to match the 5-year pre-index criterion applied to the asthma cohort). Comparison subjects also

had to be between 5 and 55 years of age on their index date. We then merged the asthma and comparison cohorts, and fitted a logistic regression model predicting the probability that an individual had asthma. This model included age, sex, neighbourhood household income quintile, health services delivery area (all as observed in the index year) and baseline comorbidity status (observed in the 12 months before the index date). The latter was measured by the number of non-asthma-related hospitalisations, physician visits and medication dispensations, as well as a Charlson comorbidity index (CCI, excluding asthma from the score) [21]. Finally, we used the estimated propensity scores to perform matching based on nearest distance criterion, so that each member of the asthma cohort was matched to a member of the comparison cohort. Because the size of the pre-matching asthma cohort was larger than the comparison cohort (145 744 *versus* 50 110, see supplementary material S3), we adopted the matching-with-replacement strategy (in which an individual in the comparison cohort could be matched to more than one patient in the asthma cohort). This approach allowed us to efficiently use the comparison cohort subject and has proven to yield results comparable to the conventional matching-without-replacement method [22].

All study subjects were followed from the index date to date of death, loss to follow-up (*i.e.* end of registration with the healthcare system), or December 31, 2012, whichever came first. Supplementary material S4 provides a schematic presentation of the study design.

Outcomes

Our primary outcome was all-cause excess costs, defined as the difference in all-cause direct medical costs between the asthma and comparison cohorts. Costs were summed from three components, comprising inpatient encounters, outpatient encounters and filled prescriptions, and were converted to 2013 Canadian dollars (\$) using the historical inflation rates [23]. Inpatient costs were calculated using the case mix methodology by multiplying the resource intensity weight (available for each hospitalisation record) with the average costs of hospitalisation in BC for the same fiscal year [24]. Outpatient and medication costs were directly available in the data. Costs of emergency department services were mostly captured by fee-for-service payments to physicians, with those leading to an inpatient episode captured by the corresponding inpatient records [25].

The secondary outcomes were condition-attributable excess costs, which were calculated by attributing resource use records to asthma, or to any of the 16 major comorbid areas as defined by the major disease categories in the ICD-10 system [26]. Resource uses attributable to the presence of symptoms categorised by the ICD-10 code range R00–R69 were assigned to related comorbid categories if the diagnosis code was grouped into that category in the Diagnosis-Related Groups based on General Equivalence Mappings [27]. ICD-9 codes, being used in the outpatient databases and up to 2002 in the inpatient databases, were converted to ICD-10 codes using validated cross-walk tables [28]. For medication records, we mapped the American Hospital Formulary Service (AHFS) major categories (available in the data) to ICD-10 categories [29] (supplementary material S5). Some records of resource use and medication dispensations could not be assigned to any disease or symptom categories (*e.g.* codes for injury, poisoning, burns and other external causes, laboratory tests, miscellaneous drugs, or when there were no ICD or AHFS codes). We grouped costs associated with such records into an “unattributable” category.

Statistical analysis

Descriptive analyses were performed using SAS 9.3 (SAS Institute Inc., Cary, NC, USA). Regression analyses were performed using Stata/IC (V.12.1. College Station, TX, USA). Differences in the distribution of matching variables between asthma and comparison cohorts were compared using the standardised difference, with a value below 0.20 indicating similarity between two groups [30].

The unit of analysis was person-year (PY). Follow-up time was divided into 12-month intervals starting from the index date, with the last interval potentially truncated. To avoid under-reporting due to individuals with temporary absences from the province, intervals with less than 300 days were removed unless death occurred. We used a multivariate general linear model with interval-specific costs as the dependent variable. Normal distribution and identity link were used, in line with expert recommendations on their robustness with large sample sizes [31]. Separate regression analyses were performed for the overall costs and by cost components. For all models, the independent variables included disease status (asthma=1, non-asthma=0), age group at the beginning of the interval (5–18 years, 19–45 years, 46–55 years), and the number of intervals since the index date. The models also included calendar year at the beginning of each interval.

Excess costs were estimated as the adjusted differences in the predicted costs between an asthmatic patient and the non-asthmatic match. Changes over age and time were captured by the regression coefficients for the three-way interaction between asthma status, age group and time from the index date. Because individuals in the comparison cohort could be matched to several individuals in the asthma cohort, our data were clustered around each comparator and all potential matches. Accordingly, we fitted a weighted regression as

recommended [22], with comparison subjects weighted by the inverse of the frequency that they were matched to an asthmatic patient. We followed expert recommendation to use generalised estimating equations with the robust variance estimator to obtain valid inference for the nested clustered data [32].

Sensitivity analysis

To evaluate the robustness of our results against design features, we performed several sensitivity analyses. First, we repeated the cost analyses among individuals with short follow-up time (<12 months) who were excluded from the main analysis, adjusting for the length of follow-up in days. Second, to further minimise the risk of including patients with COPD, we estimated costs by removing all patient-years in which patients were over 45 years of age.

Results

We matched 134 941 asthmatic patients to 31 372 unique non-asthmatic subjects in the comparison group, with some comparator subjects selected to match more than one asthmatic patient (supplementary material S3). Table 1 compares the baseline characteristics of the two cohorts. Standardised differences were all below 0.10, indicating appropriate matching [30].

Prevalence and excess costs, overall and by conditions

Table 2 shows that patients with asthma more frequently experienced comorbidities compared to those in the non-asthma cohort during the follow-up period (99% *versus* 96%), especially for respiratory diseases other than asthma (85% *versus* 63%), as well as infectious and parasitic diseases (48% *versus* 43%). Meanwhile, asthma cohorts experienced lower rates of pregnancy, childbirth and puerperium (8% *versus* 11%).

Table 3 shows the adjusted excess costs across cost components and age groups. All-cause excess costs were \$1058 per PY (95% CI 1006–1110) in asthmatic patients. Among these, 13% were attributable to asthma (\$134, 95% CI 132–136), and 65% were attributable to comorbidities (\$689, 95% CI 649–730). The remaining 22% were unattributable to any disease and were mainly driven by outpatient services without recorded diagnoses or with diagnoses of general symptoms, laboratory tests and external causes. This component was excluded from further consideration.

Figure 1 shows that significant incremental costs were found for all included comorbidity categories in asthmatic patients. Excess costs were the highest for psychiatric disorders (\$167 per PY, 95% CI 149–184)], followed by digestive disorders (\$79 per PY, 95% CI 70–89), diseases of the nervous system (\$73 per PY, 95% CI 66–81) and

TABLE 1 Baseline characteristics of the asthma cohort and the non-asthma comparison group

	Study cohort		Standardised difference [¶]
	Asthma	Non-asthma [#]	
Subjects	134 941	134 941	
Age years	27.7±15.8	27.6±15.6	0.01
Age group			0.07
5–18 years	37.0%	34.4%	
19–45 years	45.4%	49.1%	
>45 years	17.5%	16.5%	
Female	56.1%	56.7%	0.01
CCI^{*,§}	0.2±0.6	0.2±0.8	0.00
Non-asthma inpatient visits[§]	0.1±0.5	0.1±0.5	–0.01
Non-asthma outpatient visits[§]	10.4±12.8	10.8±14.1	–0.03
Non-asthma prescriptions[§]	9.6±44.8	8.3±42.2	0.03
Neighbourhood household income quintiles			0.05
Q1: lowest quintile (lowest 20%)	20.2%	18.9%	
Q2: second quintile (20–40%)	20.5%	19.8%	
Q3: middle quintile (40–60%)	19.9%	20.1%	
Q4: fourth quintile (60–80%)	19.4%	20.5%	
Q5: top quintile (80–100%)	18.0%	19.0%	
Missing	2.0%	1.7%	

Data are presented as mean±sd, unless otherwise stated. CCI: Charlson comorbidity index; Q: quintile. [#]: from 31 372 unique individuals selected with replacement for matching. [¶]: difference in means or proportions divided by standard error. Imbalance was defined as absolute value >0.10. ^{*}: excluding asthma from the score. [§]: measured in the past 12 months before the index date.

TABLE 2 Prevalence of condition-related healthcare resource use during the follow-up period

	Study cohort		Standardised difference [¶]
	Asthma	Non-asthma [#]	
Subjects	134 941	134 941	
Overall	98.9%	95.8%	0.19
Respiratory diseases other than asthma	84.9%	63.4%	0.51
Infectious and parasitic diseases	48.3%	42.7%	0.11
Digestive disorders	43.4%	39.5%	0.08
Eye, ear, nose	66.9%	64.2%	0.06
Diseases of musculoskeletal and connective tissue	61.0%	58.1%	0.06
Psychiatric disorders	46.9%	44.0%	0.06
Diseases of nervous system	47.5%	45.3%	0.04
Diseases of circulatory system	31.6%	30.3%	0.03
Complications related to congenital abnormalities	4.2%	3.6%	0.03
Hematologic disorders	12.7%	11.9%	0.02
Perinatal-originated conditions	2.1%	1.9%	0.01
Diseases of skin and subcutaneous tissue	69.1%	69.6%	−0.01
Endocrine, nutritional and metabolic diseases	67.5%	68.4%	−0.02
Genitourinary diseases	55.2%	56.9%	−0.03
Neoplasms	22.9%	26.3%	−0.08
Pregnancy, childbirth and puerperium	7.7%	10.5%	−0.10

[#]: from 31372 unique individuals selected with replacement for matching. [¶]: difference in means or proportions divided by standard error. Imbalance was defined as absolute value >0.10.

respiratory diseases other than asthma (\$71 per PY, 95% CI 66–76) (figure 1). Medication costs were the major driver in excess costs attributable to psychiatric disorders, digestive disorders and nervous diseases (49%, 51% and 82%, respectively). Hospitalisation costs were prominent in psychiatric disorders, digestive disorders and respiratory diseases other than asthma (27%, 33% and 48% of excess costs, respectively).

Excess costs across age groups

Figure 2 shows excess costs across age groups. Among individuals aged 5–18 years, excess costs were \$387 per PY (95% CI 341–433), with \$108 (28%) attributable to asthma and \$200 (52%) to comorbidities. The corresponding estimates for individuals aged 19–45 years were \$1103 (95% CI 1042–1166), with \$121 (11%) attributable to asthma and \$735 (67%) to comorbidities; for individuals aged >45 years, excess costs were \$1721 per PY (95% CI 1613.7–1828.9), with \$184 (11%) attributable to asthma and \$1149 (67%) to comorbidities. The greatest increases over age were found in costs of psychiatric disorders (from \$36 per PY for 5–18 years of age to \$232 per PY for >45 years of age) and diseases of the circulatory system (from \$0 per PY to \$152 per PY).

TABLE 3 Adjusted excess costs of asthma per person-year during the follow-up period

	Mean excess costs of asthma versus non-asthma (95% CI) CAD\$			
	All-cause costs	Asthma-attributable costs	Costs attributable to major comorbidity categories [#]	Unattributable costs [¶]
Overall	1058.2 [1006.1–1110.2]	133.6 [131.6–135.7]	689.3 [648.6–730.0]	235.2 [219.7–250.8]
Cost components				
Hospitalisations	259.7 [235.1–284.2]	5.6 [5.0–6.3]	217.5 [196.4–238.6]	36.6 [28.1–45.0]
Outpatient visits	353.5 [343.0–364.0]	29.7 [29.5–30.0]	188.9 [182.0–195.7]	134.9 [130.6–139.2]
Medications	445.0 [419.5–470.5]	98.3 [96.5–100.1]	282.9 [263.6–302.3]	63.8 [54.7–72.8]
Age				
5–18 years	386.8 [340.9–432.8]	108.1 [106.0–110.1]	200.2 [163.9–236.4]	78.6 [61.7–95.5]
19–45 years	1103.1 [1040.5–1165.6]	121.3 [118.8–123.8]	735.2 [686.3–784.0]	246.6 [225.1–268.1]
>45 years	1721.3 [1613.7–1828.9]	184.2 [179.2–189.2]	1148.8 [1063.2–1234.4]	388.2 [357.5–419.0]

[#]: the major comorbidity categories were defined by the International Classification of Diseases (ICD)-10 major disease categories and related symptoms. [¶]: included general symptoms, signs and findings; injury; poisoning; burning and external causes; factors influencing health status; other special purposes; the American Hospital Formulary Service (AHFS) miscellaneous drug group; no ICD code; and no AHFS code.

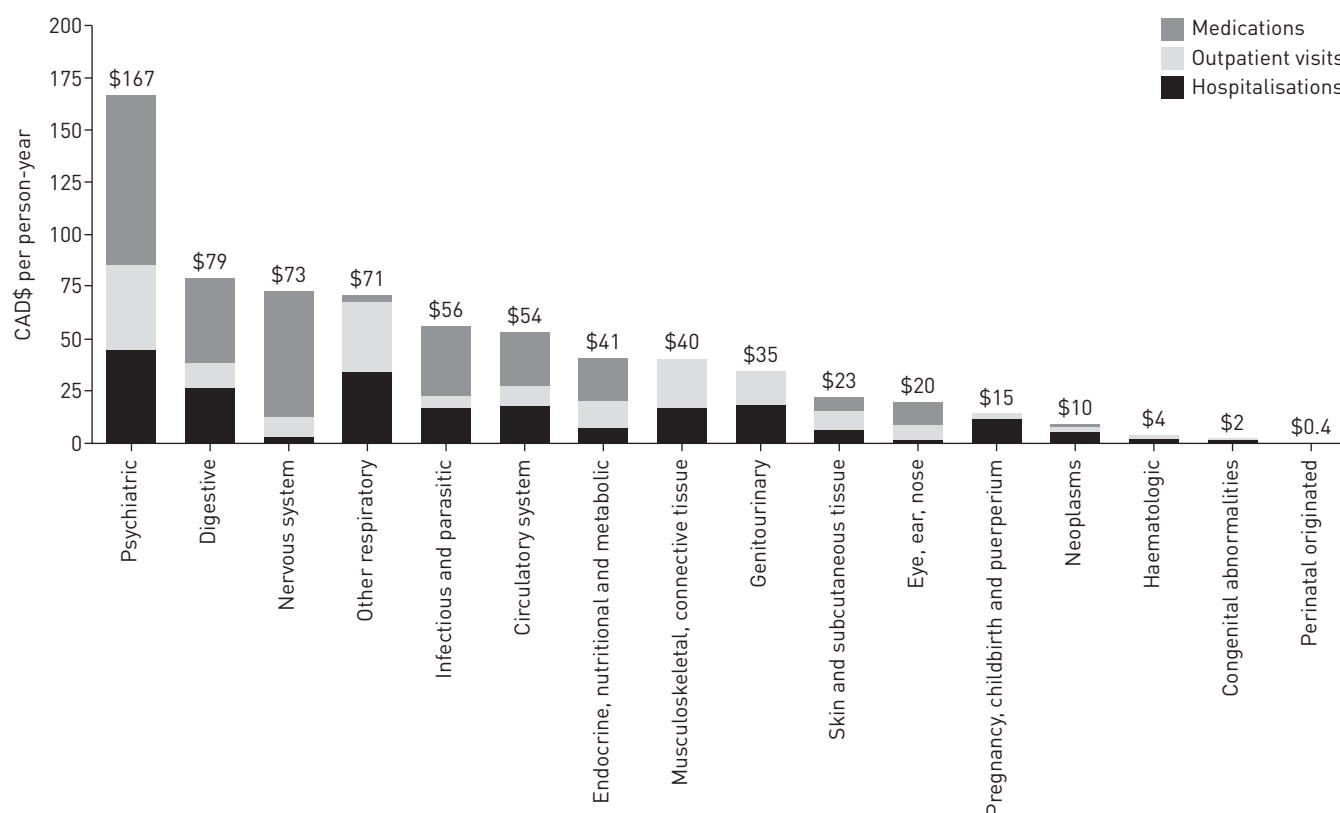


FIGURE 1 Adjusted annual excess costs by attribution to asthma and comorbidities, by cost components.

Trend of excess costs over the time course of asthma

Figure 3 shows the trend of excess costs within each age group over the 10 years from the diagnosis of asthma. Asthma-attributable excess costs consistently decreased over time from the index date across all age groups, with greater decreases found in younger individuals. For age groups of 5–18 years and 19–45 years, comorbidity-attributable excess costs decreased over time (5–18 years: –\$26 per PY per year, 95% CI –39 to –13; 19–45 years: –\$22 per PY per year, 95% CI –31 to –13). For those aged >45 years, comorbidity-attributable excess costs did not significantly change (\$5 per PY, 95% CI –11 to 22).

Sensitivity analyses

In the sensitivity analyses, asthmatic patients with <12 months of follow-up incurred \$1607 per PY (95% CI 1356–1859) excess costs, which was only minimally different than the first-year costs in the main sample. When we removed person-years where subjects were >45 years of age, overall excess costs dropped by roughly \$200 (to \$820 per PY, 95% CI 775–864) but the proportions of asthma-attributable and comorbidity-attributable costs stayed almost the same as in the main analysis (\$115 per PY (14%) and \$521 per PY (64%), respectively).

Discussion

Previous studies have shown that asthma is associated with increased prevalence of comorbidities [7, 8]. Our study is the first to comprehensively examine the direct medical costs in patients with incident asthma by accounting for both asthma and asthma-related comorbidities. By estimating the excess costs incurred by asthmatic patients over and beyond the costs of matched non-asthmatic individuals, we found comorbidity-attributable costs (\$689 per PY) to be five-times higher than asthma-attributable costs (\$134 per PY) in patients with asthma. This is despite the fact that the comorbidity profiles of the asthma group and the comparison group were similar before the start of follow-up. Psychiatric disorders were the largest component of comorbidity costs, followed by digestive diseases, diseases of the nervous system and respiratory diseases other than asthma. Although comorbidity-attributable excess costs greatly increased with age, they did not increase over the course of asthma. Our estimate of asthma-attributable costs aligned well with previous estimates [6, 33]. The estimate of excess costs in asthmatic children was consistent with a study performed in the USA [34].

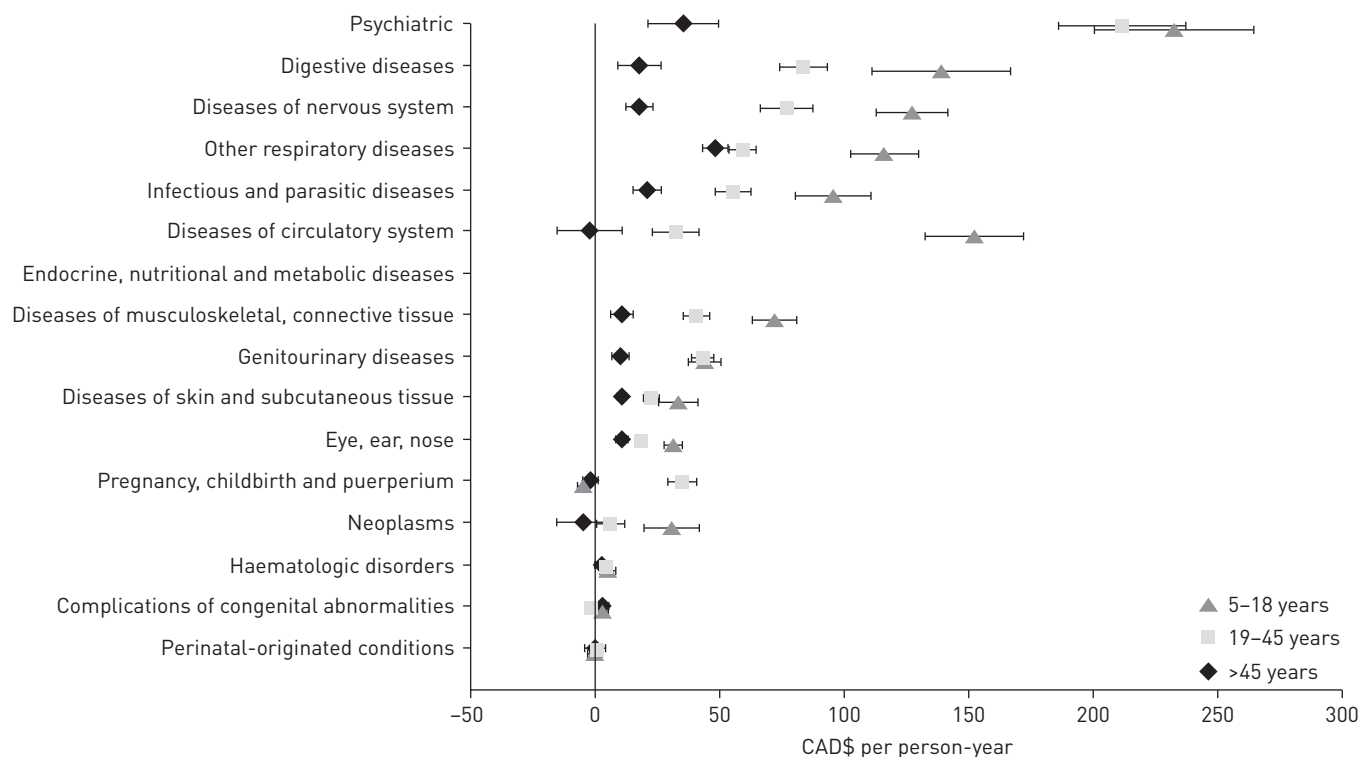


FIGURE 2 Adjusted annual excess costs by attribution to asthma and comorbidities, by age groups.

Findings from this study can be hypothesis-generating with regards to the relationship between asthma and comorbidity. Common inflammatory mechanisms between certain comorbidities and asthma could be responsible for their observed high burden [20]; COPD is one such example. We purposefully excluded patients with COPD-related resource use around the index date to ensure that patients with COPD, who have similar drug use patterns to asthmatic patients at early stages, were not erroneously included in the cohort. However, the subsequent high costs of respiratory diseases other than asthma suggest that some remaining asthmatic individuals still developed COPD over time, which is highly costly in itself and also a risk factor for other conditions such as cardiovascular diseases [35]. In addition, asthma activity or its treatment can affect the incidence or intensity of comorbid disease. For instance, the burden of psychological disorders could be due to asthma impairment and suboptimal asthma control [36], but corticosteroid use is also associated with the development of such comorbidities [37]. Comorbid conditions can also complicate treatment strategies, increasing the need for medications and the risk of adverse events, which eventually increases the overall excess costs. Lastly, while it was not surprising that comorbidity-attributable excess costs increased with age, they decreased over the time course of asthma in younger adults while staying constant in older adults. It is possible that acute onset of asthma boosted costs to treat both asthma and comorbidities, but when asthma was better managed over time, it caused fewer life disruptions and affected comorbidities to a lesser degree [38].

Our findings also convey important policy implications. Our cost estimates directly measure the true magnitude of asthma burden from a multimorbidity perspective, and can therefore inform policies and best practice guidelines with regard to “holistic approaches” to managing patients with asthma by also considering comorbidities. These estimates can be applied to the economic evaluation of asthma prevention and management strategies, ranging from preventive strategies to targeted asthma treatments to broad-scope interventions that aim to improve overall health or specific comorbidities in asthmatic patients.

In interpreting the findings, the limitations of this study need to be considered. First, the estimation of attributable costs could be associated with misclassification bias, because diagnostic codes can be assigned incorrectly and occasionally the diagnosis itself can be erroneous [39]. Mapping prescription drugs to disease categories is also an inexact practice [39]. Missing diagnostic information has prevented us from attributing some healthcare use records to a specific disease area. Second, our asthma case definition required a pattern of asthma-related resource use within a 12-month period [40]. This algorithm could have excluded patients with mild asthma with intermittent resource use, resulting in an upward bias in the cost estimates. Third, we reported both the costs and prevalence of comorbidity categories, but to estimate

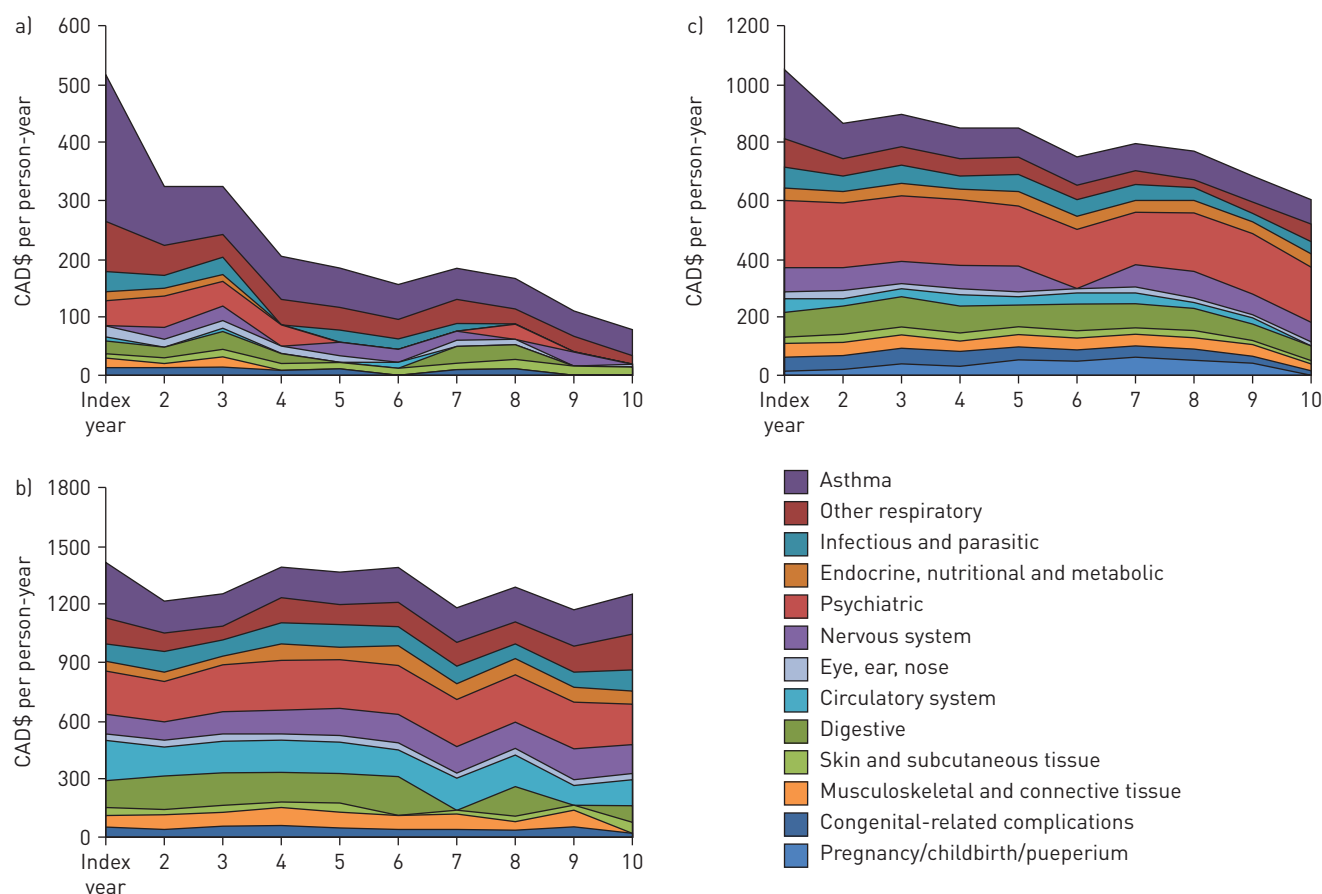


FIGURE 3 Adjusted annual excess costs by attribution to asthma and comorbidities (which cost >\$10/person-year) in the 10-year follow-up period from asthma onset. a) Between 5 and 18 years of age; b) between 19 and 45 years of age; c) over 45 years of age.

accurate prevalence for specific comorbid conditions requires the application of a validated condition-specific case definition, which was beyond the scope of our study.

In summary, the comorbidity-attributable excess costs in asthmatic patients were five-times higher than costs attributable to asthma, which greatly increased with age. Asthmatic patients experienced higher economic burden in many disease categories, but psychological disorders in particular had the greatest economic impact. In the world of constrained budgets, efficient resource allocation requires precise estimates of disease costs. These findings can both inform policy and clinical practice and provide insight into the disease mechanisms in asthma.

Acknowledgements

W. Chen had full access to all of the data in the study and takes responsibility for the content of the manuscript, including the integrity of the data and the accuracy of the data analysis. W. Chen, M. Sadatsafavi and T. To conceived and designed the study. L. Lynd, C.A. Marra and J.M. FitzGerald were involved in the data acquisition. W. Chen, M. Sadatsafavi, R. Balshaw and H. Tavakoli analysed the data. W. Chen wrote the first draft of the manuscript. L. Lynd, J.M. FitzGerald, C.A. Marra, R. Balshaw, T. To and H. Tavakoli contributed to the interpretation of the data and clinical inputs. All authors were involved in the revision of the manuscript for important intellectual content and approved the final version to be published.

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