The difficult diagnosis of pulmonary vascular disease in heart failure

Robert Naeije\(^1\) and Anna Ryan Hemnes\(^2\)

**Affiliations:** \(^1\)University of Brussels, Brussels, Belgium. \(^2\)Vanderbilt University, Nashville, TN, USA.

**Correspondence:** Robert Naeije, Dept of Cardiology, Erasme Campus CP 604, 808 Lennik Road, B-1070 Brussels, Belgium. E-mail: rnaeije@ulb.ac.be

In this issue of the *European Respiratory Journal* (ERJ), GERGES et al. [1] propose an interesting refinement of the diagnosis of pulmonary vascular disease in patients with left heart failure. The problem is of importance. Left heart disease with increased pulmonary venous pressure, abbreviated as LHD in recent reviews and guidelines, is by far the most common cause of pulmonary hypertension (PH) [2–4]. Furthermore, up to 80% of patients with heart failure with preserved or reduced ejection fraction and/or mitral or aortic valve diseases are exposed to the eventual development of PH with associated worsened symptomology and decreased survival [2–4].

Accordingly, the diagnosis of PH-LHD was a focus of attention at the World PH Symposium held in Nice in 2013. Experts agreed on the following updated invasive haemodynamic criteria of PH-LHD: a mean pulmonary artery pressure (PAP) ≥ 25 mmHg and a pulmonary artery wedge pressure (PAWP) > 15 mmHg to define post-capillary PH, with a gradient between diastolic PAP and PAWP, or diastolic pressure gradient (DPG) ≥ 7 mmHg and/or a pulmonary vascular resistance (PVR) > 3 Wood units (WU) to identify a pre-capillary component added to the passive upstream transmission of PAWP. New acronyms were introduced: Ipc-PH for isolated post-capillary PH with normal or minimally increased DPG and PVR, and Cpc-PH for combined pre- and post-capillary PH with definitely increased DPG and/or PVR [2–4].

GERGES et al. [1] implemented these criteria in an in-depth analysis of a database of 1506 patients diagnosed with PH-LHD. Their analysis convincingly shows that the combination of a DPG ≥ 7 mmHg and (instead of and/or) a PVR > 3 WU provides a greater specificity for the Cpc-PH phenotype. Tightened criteria decreased the prevalence of pulmonary vascular disease in LHD by 42% in their patient population. This difference is not trivial. GERGES and co-workers [5, 6] had previously shown that Cpc-PH as a complication of heart failure with or without preserved ejection fraction, or increased risk of pulmonary vascular disease in these patients is associated with more severe PH, worse functional class, more commonly altered right ventricular function and decreased survival, when compared with Ipc-PH.

The debate about the best combination of measurements to predict morphological changes in the pulmonary circulation is not new. When COURNAND et al. [7] reported in 1945 the first cardiac catheterisation of a patient, who happened to suffer from severe PH on mitral stenosis, the histopathology of this form of PH-LHD had already been extensively described [8]. Obviously, increased PAP was to be accounted for by remodelling and obstruction of pulmonary resistive vessels. However, progress of cardiac surgery to correct for increased pulmonary venous pressure (mitral stenosis) or high pulmonary flow (left-to-right cardiac shunts) as causes of severe PH soon requested more detailed haemodynamic criteria of severity and reversibility of increased PAP. It was indeed realised that patients with severe PH were a major operative risk and post-operative persistent increase in PAP could preclude any benefit from the procedure anyway.
In 1958, Wood [9] reasoned on the PVR equation re-written as mean PAP = PVR x cardiac output + PAWP, to identify “passive” (thus reversible) versus “out of proportion” or “reactive” (thus potentially irreversible) increases in PAP. He defined PH by a mean PAP far above the upper limit of normal, which he had established at 20 mmHg from right heart catheterisations in 60 healthy volunteers recruited in the Brompton hospital. He further thought that irreversible PH would correspond to an out of proportion upstream transmission of PAWP and/or to >1–2 mmHg increase in mean PAP per litre of cardiac output increased during exercise. Patients with thus determined “out of proportion” PH underwent extensive vasodilator testing to establish the lowest possible PVR as an indication of irreversible structural changes in the pulmonary circulation. The same reasoning has been extended to patients referred for cardiac transplantation, although often with only the numerator of the PVR equation, or transpulmonary pressure gradient (TPG), as it was found out that consideration of cardiac output did not much add to the prediction of outcome in these patients [10, 11].

The cut-off values of the TPG applied for the diagnosis of pre-capillary PH-LHD have evolved over time, from 10 mmHg in the 1950s–1970s [9, 12], to 12 mmHg [2–4] and, most recently, to 14 or 15 mmHg [11, 13]. It was indeed realised that patients with PH and a TPG >12 mmHg could nevertheless present with a return of PAP to normal along with a normalised PAWP by interventions such as high-dose diuretics combined with inotropes or, more radically, cardiac transplantation [14]. This turned the attention to the DPG, which was actually proposed in the 1970s to diagnose pulmonary vascular complications of acute respiratory failure and shock [15]. Harvey et al. [16] had shown in the late 1960s and early 1970s that the DPG was less sensitive than the TPG to decreased pulmonary vascular compliance, which necessarily accompanies any increase in PAWP, and insensitive to flow, which is essentially systolic. Harvey’s modelling was recently actualised with theoretical demonstration that an increase in PAWP to 15 mmHg may or may not be accompanied by an increase in the TPG above 12 mmHg depending on residual resistive vessel distensibility, but that a TPG >12 mmHg would inevitably occur with an increased PAWP above 20 mmHg, while the DPG would either remain constant or even decrease with increasing levels of PAWP [14].

Why then combine the DPG with PVR? The DPG is normally small, and thus exposed to relatively large errors on the measurement. Suppose the precision of it is ± 5 mmHg, which is far better than in most catheterisation laboratories’ daily life [17], and the “true” DPG is equal to zero, which is reasonable in healthy subjects with a high-normal PAWP [14]. The measured DPG will then be negative in half of the cases. Conversely, a high-normal DPG would be measured at >7 mmHg in a significant proportion of cases as well. Combining the DPG with the TPG, as was implemented in a previous study by Gerges et al. [5], or rather with PVR as now recommended [1], is essential to limit single number-derived false positives or negatives.

The (re)introduction of the DPG for the differential diagnosis of PH-LHD has stirred some controversy about its relevance to prognostication. Tamakakis et al. [18] reported that poor outcome in PH-LHD was related to a low rather than to a high DPG. However, very low DPG may occur in case of rapid rise in PAWP and slower rise in diastolic PAP and mean PAP related to preserved resistive vessel distensibility in acute or subacute heart failure, in itself of poor prognosis. This may explain why the prediction of outcome on the basis of a DPG is actually biphasic [19]. Conversely, the absence of prediction of outcome by a high DPG will occur in heart failure populations with a very low proportion of pulmonary vascular disease, as typically seen in patients on waiting lists for cardiac transplantation [20]. Patients with a Cpc-PH phenotype are unlikely to be referred for cardiac transplantation because of a well-known associated high operative risk [10, 11]. Furthermore, the right ventricle’s functional adaptation to afterload may matter more to prognosis than only PH and related vascular gradients [21, 22], and measurements for phenotyping do not solely qualify by prognostic capability [22, 23]. In the meantime, several studies have nevertheless confirmed the impact on outcome of an increased DPG in PH-LHD [24–27].

The diagnosis of pulmonary vascular disease in patients with LHD is more than just a new game of acronyms for dedicated experts [28]. While the onset of PH in heart failure heralds a decreased life expectancy [2–4], increased risk for pulmonary vascular disease worsens prognosis even more. The prevalence of Cpc-PH in PH-LHD is, fortunately, relatively low. In the experience of Gerges and co-workers, it would amount to 16% if defined by a combination of increased DPG and TPG [5] and to 14% if defined by a combination of increased DPG and PVR [1, 6]. So-defined Cpc-PH may have a life expectancy comparable to that of untreated pulmonary arterial hypertension [5, 6].

Whether Cpc-PH is at least partially amenable to treatments aiming at a decrease in left atrial pressure is not exactly known. However, the experience of corrective interventions for mitral stenosis and associated severe PH suggests that PVR may still decrease several months after the return of PAWP within the limits of normal [29]. Trials of treatments targeting the pulmonary circulation in heart failure have been negative until now [2–4]. Some yet unconfirmed exceptions have been reported in small monocentric series of patients with PH-LHD who also presented with high DPG and right ventricular filling pressures, thus
suggestive of a Cpc-PH phenotype [30]. This calls for re-designed and dedicated multicentric randomised controlled trials. Further study of the potential molecular and physiological risks for development of Cpc-PH is also needed to prevent or treat this particular phenotype of PH-LHD.

Gerges and co-workers are to be commended for several recent major contributions to the understanding of PH-LHD. Their research letter in the present issue of the ERJ should be a last addition for a definitive expert consensus phenomenotyping of pulmonary vascular complications of left heart conditions, and accordingly help to pave the way for much needed therapeutic progress in these patients.

References