Dynamic hyperinflation and flow limitation during methacholine-induced bronchoconstriction in asthma

C. Tantucci*, M. Ellaffi†, A. Duguet‡, M. Zelter§, T. Similowski¶, J-P. Derenne||, J. Milic-Emili**


ABSTRACT: Although persistent activation of the inspiratory muscles and narrowing of the glottic aperture during expiration have been indicated as relevant mechanisms leading to dynamic hyperinflation in acute asthma, expiratory flow limitation (EFL) has recently been proposed as a possible triggering factor for increasing end-expiratory lung volume (EELV).

To establish whether the attainment of maximal flow rate during tidal expiration could elicit dynamic elevation of EELV, breathing pattern, change in EELV by measuring inspiratory capacity (IC) and occurrence of EFL by the negative expiratory pressure (NEP) method were monitored in 10 stable asthmatic subjects during methacholine-induced, progressive bronchoconstriction in seated position. Change in dyspnoea was scored using the Borg scale.

At maximum response forced expiratory volume in one second (FEV1) fell on average by 45±2% (p<0.001 versus control), while IC decreased 29±2%, (by 0.89±0.07 L, p<0.01 versus control). Only 2 subjects exhibited EFL at the end of methacholine challenge. In 7 subjects EELV started to increase before the occurrence of EFL. Dyspnoea, which increased from 0.2±0.1 to 5.5±1.0 (Borg scale) at maximum response (p<0.001), was significantly related to the level of bronchoconstriction as assessed by change in (Δ)FEV1 (r=0.72; p<0.001) and to dynamic hyperinflation as measured by ΔIC (r=0.50; p<0.001). However, for both ΔFEV1 and ΔIC the slope of the relationship with increasing dyspnoea was highly variable among the subjects.

It is concluded that in acute methacholine-induced bronchoconstriction, dynamic hyperinflation may occur in the absence of expiratory flow limitation and that expiratory flow limitation does not represent the triggering factor to generate dynamic hyperinflation. In these circumstances, dyspnoea appears to be related to the increase in end-expiratory lung volume and not to the onset of expiratory flow limitation.

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Methods

Subjects

Ten asymptomatic mild asthmatic subjects (6 females) were studied whilst in a clinically stable condition. No antiasthma drugs were taken by the subjects at the time of the study. A standard bronchial challenge test with MCh had been previously performed in all subjects to assess airway responsiveness. MCh challenge results were expressed as the cumulative dose of MCh inducing a 20% fall...
in forced expiratory volume in one second (FEV1) (PD20) from the postsaline value (PD20FEV1) [9]. The latter was calculated by linear interpolation of the semi-log10 dose-response curve [9]. The study was approved by the local ethics committee and all subjects gave informed consent.

**Study design**

Following baseline spirometry and plethysmographic measurements, subjects underwent a MCh bronchoprovocation test according to a dose-response procedure. After saline, at all steps of MCh-challenge (starting 1.5 min after each MCh dose inhaled) and during recovery from bronchoconstriction obtained by administration of salbutamol, the following measurements were made in this order: 1) degree of breathlessness by using the Borg scale [10]; 2) breathing pattern parameters from the flow and volume signals; 3) development of EFL by the NEP method; and 4) change in functional residual capacity (FRC) by measuring inspiratory capacity (IC); and 5) airway obstruction by forced expiratory manoeuvre parameters.

All measurements were performed with the subjects in a sitting position, wearing a nose-clip. During the study, the neck of the subjects was held in a fixed neutral position to avoid changes associated with neck extension [11].

**Methacholine challenge testing**

Progressive airway obstruction was obtained by step-wise doubling of the MCh cumulative dose, until one of the predefined termination criteria was reached. Both saline and MCh were administered by a dosimeter (Mediprom FDC 8; Mediprom, Paris, France). The values obtained postsaline were labelled as control values. The test was stopped when FEV1 decreased by >50% of the postsaline value, or when a plateau in FEV1 was reached (<5% change in FEV1 over three consecutive doses), or when a cumulative MCh dose of 3200 μg had been inhaled, or because of excessive discomfort of the subject. The duration of each step was 3 min so that the maximum cumulative dose of 3200 μg of MCh could be administered in <30 min. To reverse bronchoconstriction, salbutamol (200 mg) was administered by metered-dose inhaler every 15 min until FEV1 returned to within 10% of the postsaline value.

**Measurements**

A body plethysmograph (Autobox 2800; Sensor Medics, Yorba Linda, CA, USA) was used with a standardized panting frequency of <1 Hz to measure baseline thoracic gas volume at end-expiration (FRC). Maximal flow-volume curves, to obtain forced vital capacity (FVC), FEV1, and mean maximal expiratory flows between 25–75% of FVC measured at isovolume (forced mid-expiratory flow FEF25–75%) were performed by a Medical Graphics 1700 system (Medical Graphics, St. Paul, MN, USA) according to recommended techniques [12], without an end-inspiratory pause, both at baseline and at each step of the MCh-challenge testing. (IC) was also measured from the flow-volume curve. Total lung capacity (TLC) was calculated as the sum of FRC and baseline IC. Change in EELV was monitored during the bronchoprovocation test by computing the difference between TLC and IC, assuming that TLC did not change with MCh-induced bronchoconstriction [13]. The predicted values for lung volumes and spirometry were those of the European Coal and Steel Community [14].

The breathing pattern was measured while subjects breathed through a mouthpiece for a 60-s period. Flow (V′) was measured with a Hans-Rudolph (4700A pneumotachograph, Hans-Rudolph, Kansas City, MO, USA) and a MP45, ≥2 cmH2O Validyne differential pressure transducer (Validyne Corp., Northridge, CA, USA) from which tidal volume (VT) was integrated. Respiratory frequency (fR), inspiratory time (tI), expiratory time (tE), inspiratory-expiratory time (tI:E), and inspiratory and expiratory duty cycle (tI/to and tE/to) were measured. During the NEP trials, V′ was measured with a Hans-Rudolph 4700A pneumotachograph Hans-Rudolph and a MP45, ≥2 cmH2O Validyne (Validyne Corp.) differential pressure transducer. P was measured at the mouth via a noncompliant polyethylene tube (I.D.=1.7 mm) connected to a differential pressure transducer (DP15, ±150 cmH2O Validyne; Validyne Corp.). The pressure, flow and volume signals were amplified, low-pass filtered (50 Hz) and digitized at 100 Hz by a 16-bit analog-to-digital converter (Direc Physiology Recording System; Raytech Instruments, Vancouver, Canada). The digitized data were stored on the computer hard disk for subsequent analysis. Data analysis was performed using Direc software (version 3.1; Raytech Instruments) and ANADAT software (version 5.1; RTH InfoDat Inc., Montreal, Canada).

In all instances, immediately after recording the breathing pattern and before any forced expiratory manoeuvres, two acceptable NEP tests were made at intervals of ~6 regular breaths. The NEP (∼5 cmH2O) was applied 0.2 s after the onset of expiration and was maintained throughout the ensuing expiration. Great care was taken to avoid leakage of air around the lips during the application of the NEP, by proper positioning of the mouthpiece and by asking the subjects to hold their lips tight. The application of NEP was not associated with unpleasant sensations or cough.

In general, in comparison with the flow of the previous control tidal expiration, during NEP the expiratory flow either increased, reflecting absence of EFL or did not change throughout the expiration, reflecting the presence of complete or partial intrathoracic EFL (fig. 1). On rare occasions, however, mostly at the start of the MCh challenge test, the application of the NEP resulted in a transient decrease of expiratory flow below control, as a consequence of upper airway collapse or narrowing. In this case, only if the detection of EFL was impeded, the test was discarded and another NEP test performed.

Heart rate and oxygen saturation were recorded continuously by a pulse oximeter (Nellcor N-100; Nellcor Puritan Bennett, Pleasanton, CA, USA).
Dyspnoea evaluation

Dyspnoea was defined as "an unpleasant sensation of laboured or difficult breathing". The intensity of dyspnoea was assessed with the modified Borg scale [10] to which the subjects were familiarized prior to the study. The endpoints of the Borg scale [10] were 0 representing "no breathlessness" and 10 representing "the most severe breathlessness that they had ever experienced or could imagine experiencing", respectively.

Statistical analysis

Statistical comparison from control to maximum response were performed using Student’s paired t-test with the appropriate Bonferroni correction for the lung function measurements and the Wilcoxon matched paired signed ranks test for the Borg score. Contributors to dyspnoea induced by MCh challenge were determined by Pearson’s correlation coefficient with the changes in Borg scale from control to maximum response as the dependent variable and the changes in spirometric parameters and lung volumes as independent variables. Data are expressed as mean±SEM. Levels of p<0.05 were considered as significant.

Results

The anthropometric and baseline function data of the subjects are shown in table 1. All subjects had normal baseline spirometry (FEV1 >80% predicted) and mild airway hyperresponsiveness (PD20FEV1 ranging 91–780 mg) at the time of the study.

Four subjects had ΔFEV1 ≥50% compared with control during the MCh-challenge that was stopped according to the protocol; 1 subject attained a plateau in ΔFEV1 at 1600 μg of cumulative dose of MCh (ΔFEV1=37±1%; in the remaining 5 subjects the MCh-challenge ended at the final cumulative MCh dose of 3200 μg. At the first dose of MCh after a 20% fall in FEV1, no subject exhibited EFL while the decrease in IC was 41±2 mL, corresponding to a AIC of 14±2%. Average responses to MCh are summarized in tables 2 and 3. FEV1 fell 45±2% from postsaline to the maximum decrease, while the decrease FEF25–75% amounted to 94±2% at isovolume. The mean increase in EELV was 0.9±0.1 L, corresponding to 30±5% (p<0.01), from postsaline to maximum dose (table 2).

The breathing pattern did not show any significant changes, though a trend towards a faster respiratory rate due to shortening of both T i and T e with corresponding increases in mean inspiratory and expiratory flow was observed from postsaline to maximal response. However, at the dose just before a 10% decrease in IC the breathing pattern was essentially the same as at the dose just after the 10% decrease in IC, which was considered to reflect the presence of dynamic pulmonary hyperinflation (table 3).

According to the NEP technique none of the subjects exhibited EFL either at the MCh dose preceding or corresponding to the initial decrease of IC >10% relative to the control value. Eight out of 10 subjects were nonflow-limited (nFL) even at the maximal dose, as shown for a representative subject (No. 10) in figure 2a. At maximal MCh dose in these 8 nFL subjects the increase in EELV amounted to 0.85±0.06 L and the reduction in FEV1 and FEF25–75% at isovolume was 46±2% and 94±3%, respectively. In two subjects (No. 1 and No. 3) who became flow-limited at the maximal dose, as depicted in figure 2b for subject No. 1, the EELV increased by 0.9 and 1.2 L while FEV1 and FEF25–75% at isovolume decreased by 46 and 38% and by 99 and 90%, respectively.

Moreover the NEP method showed that for most of the subjects who already exhibited a substantial increase in EELV there would have been enough expiratory flow reserve to breathe at the control EELV without flow limitation despite that level of airway obstruction. This fact is illustrated in figure 3 for a representative subject (No. 9), and was clearly evident in 7 subjects.

The Borg score of breathlessness increased from “very, very slight” (0.2±0.1) to “severe” (5.5±1.0) at maximal
bronchodistension (p<0.001). Mean ratings of dyspnoea became significantly higher than control at the dose corresponding to a decrease in IC >10% (2.9±1.0; p<0.01) (table 2).

Changes from control were analyzed using all data points collected during progressive bronchoconstriction. The variation in Borg score (ΔBorg) and percentage fall in FEV1 (ΔFEV1) were significantly related: ΔBorg = 0.27±
0.10 ΔFEV1 (n=89; r=0.72; p<0.001). ΔBorg also correlated with percentage fall in IC (ΔIC): ΔBorg = 0.87±0.10 ΔIC (n=89; r=0.50; p<0.001), which in turn was strongly correlated with percentage fall in FEV1: ΔIC = 0.16±0.64 ΔFEV1 (n=89; r=0.90; p<0.001). In addition, ΔBorg was correlated with the increase in EELV expressed as a percentage of either ΔFEV1 or ΔEELV. The mean slope of the relationship between ΔBorg and ΔFEV1 was 0.12±0.02 (coefficient of variation (CV) = 61%) and between ΔBorg and ΔIC was 0.18±0.03 (CV = 60%). Therefore, at maximal response no correlation was found between ΔBorg and ΔFEV1 or ΔIC.

Discussion

The main findings of this study are that during MCh-induced bronchoconstriction in asthmatic subjects dynamic hyperinflation may occur without EFL and it is not triggered by the development of EFL. In addition, dyspnoea is significantly related to dynamic hyperinflation and is not elicited by the onset of EFL.

The mechanisms leading to dynamic pulmonary hyperinflation in acute asthma have been previously described [2–6]. Although the increase in expiratory resistance is thought to be a major determinant of hyperinflation, recordings of electromyographic activity of the respiratory muscles and earlier studies of lung and chest wall mechanics during acutely induced bronchoconstriction in asthmatics have documented a persistent activation of inspiratory muscles through expiration which, acting as a brake, tends to slow the tidal expiratory flow and may substantially contribute to increases in EELV [2–4, 6].

The expiratory narrowing of the glottis, visualized by fibreoptic bronchoscopy, under the same conditions, is an additional mechanism involved in the dynamic regulation of EELV [5]. During induced bronchoconstriction, a spontaneous increase in EELV has been shown to reduce the total positive respiratory muscle work compared to that performed while breathing under the same conditions at control FRC [16]. Thus, dynamic hyperinflation may be seen as a strategy for minimizing the work of breathing and related energy expenditure during acute airflow obstruction. However, the factors inducing such an activation of inspiratory muscles during expiration are still unknown.

Recently, EFL has been proposed as a possible triggering factor for generating dynamic hyperinflation during acutely induced bronchoconstriction [7]. In other words, in the face of a progressively increasing airway

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Table 2. - Anthropometric characteristics and baseline lung function data of subjects

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Mean±SEM: 35±3 168±3 113±5 107±5 77±3 81±7 107±2 104±5 92±4 316* (91–780)

Data are presented as mean±SEM. ±: measured at isovolume; †: last step; *: p<0.01; **: p<0.001. versus control. IC: inspiratory capacity; FEV1: forced expiratory volume in one second; FEF25–75%: forced mid-expiratory flow; TLC: total lung capacity; FRC: functional residual capacity; RV: residual volume; PD20FEV1: linear interpolation of the semi-log dose-response curve. *: geometric mean±range.

Discussion

The main findings of this study are that during MCh-induced bronchoconstriction in asthmatic subjects dynamic hyperinflation may occur without EFL and it is not triggered by the development of EFL. In addition, dyspnoea is significantly related to dynamic hyperinflation and is not elicited by the onset of EFL.

The mechanisms leading to dynamic pulmonary hyperinflation in acute asthma have been previously described [2–6]. Although the increase in expiratory resistance is thought to be a major determinant of hyperinflation, recordings of electromyographic activity of the respiratory muscles and earlier studies of lung and chest wall mechanics during acutely induced bronchoconstriction in asthmatics have documented a persistent activation of inspiratory muscles through expiration which, acting as a brake, tends to slow the tidal expiratory flow and may substantially contribute to increases in EELV [2–4, 6].

The expiratory narrowing of the glottis, visualized by fibreoptic bronchoscopy, under the same conditions, is an additional mechanism involved in the dynamic regulation of EELV [5]. During induced bronchoconstriction, a spontaneous increase in EELV has been shown to reduce the total positive respiratory muscle work compared to that performed while breathing under the same conditions at control FRC [16]. Thus, dynamic hyperinflation may be seen as a strategy for minimizing the work of breathing and related energy expenditure during acute airflow obstruction. However, the factors inducing such an activation of inspiratory muscles during expiration are still unknown.

Recently, EFL has been proposed as a possible triggering factor for generating dynamic hyperinflation during acutely induced bronchoconstriction [7]. In other words, in the face of a progressively increasing airway
obstruction the development of EFL during tidal breathing would promote the shortening of expiratory time due to premature, reflex activation of inspiratory muscles, thus increasing the EELV and actually preventing the occurrence of EFL [7]. According to the protocol of the above mentioned study, to detect EFL, partial forced flow-volume curves recorded at each stepwise-dose of MCh were superimposed at absolute lung volume on tidal flow-volume curves obtained before that stepwise-dose. When tidal expiratory flow at a given stepwise-dose eventually impinged on forced expiratory flow at the subsequent stepwise-dose, EFL conditions were said to have occurred [7]. This method of assessing EFL, however, is questionable even if partial forced flow-volume curves were obtained for some subjects by a body plethysmograph to avoid artefacts due to thoracic gas compression. In fact, to compare the expiratory flow during tidal breathing with that recorded during subsequent full or even partial forced expiration is intrinsically erroneous because the volume-dependent changes in lung recoil and airway resistance and the time-dependent viscoelastic behaviour of the thoracic tissues differ because the two preceding inspiratory manoeuvres are dissimilar. Changes in time and volume history of the lung and airways during inspiration have been shown to influence the following expiratory flow rate at comparable lung volumes [17–19]. In contrast, the NEP method used in the current study appears to be more reliable in assessing EFL because the control and the NEP test breaths have similar lung volume and time history. Furthermore, this technique does not depend on patient cooperation and coordination [8, 15].

While in 3 subjects a sharp initial increase in EELV was induced by the MCh challenge, in the other subjects dynamic hyperinflation developed more gradually. Thus, at least in 7 subjects EELV could be clearly shown to have started to increase before EFL would have limited tidal expiratory flow if the subjects had continued to breathe at the control EELV (fig. 3).

These results support the concept that dynamic hyperinflation during acute bronchoconstriction in asthma does occur before the development of EFL, suggesting that the attainment of maximal expiratory flow during tidal breathing is not required in order for the "active" mechanisms to come into play. In fact, it is conceivable that the system may react before becoming overall flow-limited, even at end-expiration. The findings, however, do not exclude the possibility that scattered conditions of EFL in different lung regions with concomitant dynamic airway compression might play a role in promoting dynamic hyperinflation.

| Table 3. – Breathing pattern parameters during methacholine-induced bronchoconstriction |
|---------------------------------|---------------------------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
|                                | \( V_T \) | \( f_R \) | \( V'_E \) | \( t_I \) | \( t_E \) | \( t_{itot} \) | \( V_T/t_{itot} \) | \( V'_E/t_{itot} \) | \( t_I/t_{itot} \) |
| Control                        | 0.76±0.05 | 13.4±0.08 | 10.0±0.7 | 1.76±0.18 | 2.87±0.19 | 4.63±0.31 | 0.46±0.050 | 0.26±0.018 | 0.38±0.02 | 0.62±0.02 |
| IC >90% control*               | 0.70±0.07 | 15.3±1.2 | 10.3±0.8 | 1.55±0.17 | 2.59±0.22 | 4.14±0.34 | 0.47±0.037 | 0.27±0.023 | 0.37±0.02 | 0.63±0.02 |
| IC <90% control†               | 0.74±0.09 | 16.0±1.9 | 11.3±1.3 | 1.62±0.21 | 2.49±0.23 | 4.11±0.42 | 0.48±0.053 | 0.31±0.040 | 0.39±0.02 | 0.61±0.02 |
| Maximal dose                   | 0.72±0.09 | 18.4±2.9 | 12.2±1.4 | 1.54±0.22 | 2.29±0.23 | 3.79±0.43 | 0.53±0.066 | 0.33±0.038 | 0.39±0.02 | 0.61±0.02 |

Data are presented as mean±SEM. * last step; † first step. \( V_T \): tidal volume; \( f_R \): respiratory frequency; \( V'_E \): minute ventilation; \( t_I \): inspiratory time; \( t_E \): expiratory time; \( t_{itot} \): inspiratory + expiratory time; IC: inspiratory capacity.

Fig. 2. – Tidal flow-volume loops under control conditions (second loop) and at maximum methacholine (MCh) dose (first loop) in two representative subjects (a) subject number 10, b) subject number 1. In both subjects, expiratory flow limitation (EFL) was absent under control conditions. At maximal MCh dose, subject 1 exhibited EFL while subject 10 did not. With MCh in subjects 10 and 1 the end-expiratory lung volume (EELV) increased (1.05 and 0.9 L, respectively) and forced expiratory volume in one second (FEV1) decreased (47 and 46% from control, respectively). †: negative expiratory pressure application; ‡: change in EELV (ΔEELV); FRC: functional residual capacity.
Two out of 10 subjects exhibited EFL during MCh challenge, suggesting that, also in acute asthma, moderate-to-severe bronchoconstriction may be associated with EFL. On the other hand, in line with a previous study [20], this finding indicates that EFL occurs rarely in asthmatics, especially compared to chronic obstructive pulmonary disease patients with similar decreases in FEV1.

Therefore, the possibility that EFL may occur should be taken into account and EFL correctly assessed in patients with severe asthma and dynamic pulmonary hyperinflation before applying continuous positive airway pressure (CPAP) or positive end-expiratory pressure (PEEP) to counterbalance the inspiratory threshold load due to the intrinsic PEEP (PEEPi), thus decreasing the inspiratory muscle activity during bronchial asthma. Am Rev Respir Dis 1980; 121: 443–447.

In summary, the results of this study indicate that in asthma, during methacholine-induced bronchoconstriction, dynamic hyperinflation in general develops without expiratory flow limitation, and expiratory flow limitation does not represent a triggering factor for generating dynamic hyperinflation because the increase in end-expiratory lung volume occurs in the absence of or well before expiratory flow limitation. The specific factors responsible for triggering the persistence of inspiratory muscle activity during expiration in the presence of acute bronchoconstriction in asthma have yet to be elucidated.

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Fig. 3. – Three control tidal flow-volume loops with the corresponding negative expiratory pressure (NEP) test breath ( ) under control conditions, in the presence of a substantially increased end-expiratory lung volume (EELV) and at maximum response during methacholine (MCh)-induced bronchoconstriction in subject number 7. The flow during NEP when the elevation of the EELV was already present (V) was higher that the tidal expiratory flow in control conditions (V), indicating that dynamic hyperinflation occurred before expiratory flow limitation (EFL) had been attained. — : control; - - : change from control in forced expiratory volume in one second (FEV1)=21% ; ---: FEV1 =40% ; Δ change; FRC: functional residual capacity.

References


