Dose–response slope of forced oscillation and forced expiratory parameters in bronchial challenge testing


ABSTRACT: In population studies, the provocative dose (PD) of bronchoconstrictor causing a significant decrement in lung function cannot be calculated for most subjects. Dose–response curves for carbachol were examined to determine whether this relationship can be summarized by means of a continuous index likely to be calculable for all subjects, namely the two-point dose response slope (DRS) of mean resistance ($R_m$) and resistance at 10 Hz ($R_{10}$) measured by the forced oscillation technique (FOT).

Five doses of carbachol (320 µg each) were inhaled by 71 patients referred for investigation of asthma (n=16), chronic cough (n=15), nasal polyposis (n=8), chronic rhinitis (n=8), dyspnoea (n=8), urticaria (n=5), post-anaphylactic shock (n=4) and miscellaneous conditions (n=7). FOT resistance and forced expiratory volume in one second (FEV1) were measured in close succession. The PD of carbachol leading to a fall in FEV1 $\geq$20% (PD20) or a rise in $R_m$ or $R_{10}$ $\geq$47% (PD47,$R_m$ and PD47,$R_{10}$) were calculated by interpolation. DRS for FEV1 (DRSFEV1), $R_m$ (DRSRm) and $R_{10}$ (DRSR10) were obtained as the percentage change at last dose divided by the total dose of carbachol. The sensitivity (Se) and specificity (Sp) of DRS$R_m$, DRS10 $\Delta R_m$ and $\Delta R_{10}$ in detecting spirometric bronchial hyperresponsiveness (BHR, fall in FEV1 $\geq$20%) were assessed by receiver operating characteristic (ROC) curves.

There were 23 (32%) "spirometric" reactors. PD20 correlated strongly with DRSFEV1 (r=−0.962; p=0.0001); PD47,$R_m$ correlated significantly with DRS$R_m$ (r=−0.648; p=0.0001) and PD47,$R_{10}$ with DRS$R_{10}$ (r=−0.552; p=0.0001). DRSFEV1 correlated significantly with both DRS$R_m$ (r=0.700; p=0.0001) and DRS$R_{10}$ (r=0.784; p=0.0001). The Se and Sp of the various FOT indices to correctly detect spirometric BHR were as follows: DRS$R_m$: Se=91.3%, Sp=81.2%; DRS$R_{10}$: Se=91.3%, Sp=95.8%; $\Delta R_m$: Se=86.9%, Sp=52.1%; and $\Delta R_{10}$: Se=91.3%, Sp=58.3%.

Dose–response slopes of indices of forced oscillation technique resistance, especially the dose–response slope of resistance at 10Hz are proposed as simple quantitative indices of bronchial responsiveness which can be calculated for all subjects and that may be useful in occupational epidemiology.


Bronchial challenge tests with nonspecific stimuli are extensively used to assess bronchial responsiveness in the chest clinic [1] as well as in population [2] and occupational samples [3]. Usually, changes in airway calibre are evaluated by means of a pulmonary function test, the most widely used of which is the forced expiratory volume in one second (FEV1). However, FEV1 has two disadvantages: firstly, it requires full subject co-operation and, secondly, the deep respiratory manoeuvres required can cause changes in airway smooth muscle tone likely to influence the result of the test [4]. Airway resistance ($R_w$) measured by body plethysmography is sensitive and does not require forced expiratory manoeuvres but the equipment is cumbersome, expensive, and relatively complex to operate. Finally, impedance of the respiratory system measured by the forced oscillation technique (FOT) has several advantages over the above techniques: it requires only minimal subject co-operation, can be carried out during spontaneous breathing and, apparently, has no influence on bronchial contractility [5]. Furthermore, the equipment is cheaper and easier to operate than the body plethysmograph and, because of microcomputers, signal analysis takes only a few seconds, thus yielding results very quickly. Clinical methodology for bronchial challenge testing has been standardized: the result is usually expressed as the provocative dose (PD) of bronchoconstrictor which causes a predetermined decrement in lung function (e.g. provocative dose causing $\geq$20% fall in FEV1 (PD20)) [6]. Although it has proven useful in the hospital laboratory, the PD approach is not appropriate for use in epidemiology. Indeed, in population samples most subjects do not experience the preset change in lung function even after inhalation of the last dose of bronchoconstrictor, being therefore excluded from the analysis. To overcome this limitation continuous response measures have been proposed which are based upon the calculation of the slope of...
the dose–response relationship by the fitting of different mathematical models to the data points [7–11]. By its simplicity the two-point linear dose–response slope (DRS) proposed by O'CONNOR et al. [11] has gained wide acceptance but, to the best of the authors knowledge, has been used to express spirometric (FEV1) data only.

The aim of the present study was, therefore, to investigate the validity of the two-point DRS of respiratory impedance measured by FOT as a quantitative index of bronchial responsiveness. FOT was performed first with spirometric measurements in close succession in patients undergoing routine bronchial challenge testing. Receiver operating characteristic (ROC) curves were then constructed to determine the sensitivity and specificity of DRS of FOT impedance in detecting bronchial hyperresponsiveness (BHR) defined spirometrically.

**Methods**

**Patients**

Seventy-one patients were evaluated at the pulmonary function laboratory of the Centre Hospitalier Universitaire de Nancy-Brabois, France. They were consecutive referrals undergoing routine bronchial challenge testing. Patients with nasal polyposis (n = 8), chronic rhinitis (n = 8), dyspnoea (n = 8), post-anaphylactic shock (n = 4) and other miscellaneous conditions (n = 7). They were asked to stop theophylline and anticholinergics for 12 h before the study. No patient was receiving regular treatment with inhaled steroids or disodium cromoglycate. Carbachol (200 μg) was administered in succession using an FDC 88 dosimeter (Mediprom 75014, Paris, France) connected to a similar transducer with a matched impedance. The pressure input was measured with a Honeywell 200 PC–35 hPa pressure transducer (Microswitch, Boston, MA, USA), and airway flow with a Fleisch No 2 pneumotachograph (Metabo, Epalinges, Switzerland) connected to a similar transducer with a matched frequency response. The signals were digitized at a rate of 128 Hz, for periods of 16 s, by a personal computer, and their fast Fourier transform (FFT) was computed using blocks of 256 points with 50% overlap. Impedance data from single measurements without obvious artefacts were taken. Total respiratory impedance was partitioned into a real part or resistance (R) and an imaginary part or reactance (Xs): R was characterized by its mean value from 4–32 Hz (Rm) and by its value at 10 Hz (R10). The lowest frequency at which satisfactory data were available in all subjects both before and after bronchoconstriction. Only impedance values with a coherence function γ equal to or exceeding 0.95 were retained. This function is decreased in the presence of noise or nonlinearity in the relation of the pressure and flow signals.

Spirometry was performed using an electronic spirometer (Auto Spiro AS 500 Minato Medical Science Co. Ltd, Osaka, Japan). Forced vital capacity (FVC), FEV1 and maximal expiratory flows (Vmax) at various lung volumes were obtained by having the subject expire forcefully after a maximal inspiratory manoeuvre. At baseline at least three forced expiratory manœuvres, satisfactory according to recommended criteria [13], were recorded; thereafter, only two reproducible curves were required. The largest FVC, FEV1 and Vmax at 50% of FVC (Vmax,50) were retained for analysis. Results were expressed as a percentage of the predicted values of the European Coal and Steel Community Working Party [13].

**Pulmonary function tests**

Total respiratory impedance (Zrs) was measured using the forced oscillation system developed in the authors laboratory (Pulmosfor, SEFAM, Vandoeuvre, France), which has previously been described in detail [12]. Briefly, pseudorandom pressure variations from 4–32 Hz generated by a loudspeaker (90 W, Audax HD30 P45; HBN, Nancy, France) were applied around the head, using a 40-L Pleiglas canopy. The pressure input was measured with a Honeywell 176 PC ± 35 hPa pressure transducer (Microswitch, Boston, MA, USA), and airway flow with a Fleisch No 2 pneumotachograph (Metabo, Epalinges, Switzerland) connected to a similar transducer with a matched frequency response. The signals were digitized at a rate of 128 Hz, for periods of 16 s, by a personal computer, and their fast Fourier transform (FFT) was computed using blocks of 256 points with 50% overlap. Impedance data from single measurements without obvious artefacts were taken. Total respiratory impedance was partitioned into a real part or resistance (R) and an imaginary part or reactance (Xs): R was characterized by its mean value from 4–32 Hz (Rm) and by its value at 10 Hz (R10). The lowest frequency at which satisfactory data were available in all subjects both before and after bronchoconstriction. Only impedance values with a coherence function γ equal to or exceeding 0.95 were retained. This function is decreased in the presence of noise or nonlinearity in the relation of the pressure and flow signals.

**Analysis of dose–response curves**

DRS were obtained for FEV1 and for two indices of FOT impedance namely (Rm) and (R10). Both impedance...
The dose of carbachol producing a 20% fall in FEV₁ (PD20) was calculated when possible by plotting the percentage fall in FEV₁ against the dose of carbachol on a log scale and by interpolating the last two points [6]. PD values for Rm and R10 were calculated in a similar manner as the PD of carbachol producing a 47% rise in Rm (PD47,Rm) or in R10 (PD47,R10). This percentage change is equivalent to a 20% fall in FEV₁; both correspond to 6.7 times the coefficient of variation of the parameter under baseline conditions [15].

Linear two-point DRS for FEV₁ (DRSFEV₁), Rm (DRSRm) and R10 (DRSR10) were calculated by the method proposed by O’Connor et al. [11] as the percentage fall of FEV₁ or increase in Rm or R10 at the last dose divided by the total dose of carbachol administered.

**Statistical analysis**

Statistical analysis was performed using the SAS package (SAS Institute, Cary, NC; USA) [16]. Correlation between FEV₁ and Rm-derived parameters was assessed by the Spearman’s rank correlation coefficient. The differences in Rm between reactors and nonreactors was assessed by the Mann–Whitney test. The accuracy of DRSRm, Δ%Rm (Initial Rm-Final Rm/Initial Rm × 100), DRSR10 and Δ%R10 (Initial R10-Final R10/Initial R10 × 100), to detect BHR (defined in terms of a decline in FEV₁ by ≥20%) was assessed using ROC curves. The sensitivity corresponds to the ratio of true positive results to all positive results (i.e. true positive plus false negative), whereas the specificity corresponds to the ratio of true negative results to all negative results (i.e. true negative plus false positive). The false positive ratio corresponds to 1-specificity. With the ROC curve, by plotting the false positive fraction against the sensitivity and varying the threshold of the FOT parameter, it is possible to define the threshold that offers the best compromise between the highest sensitivity and the highest false positive fraction. Ideally, this is the value giving a sensitivity equal to 1 and a specificity equal to 1 (i.e. 1-specificity = 0).

**Results**

A total of 294 paired measurements of spirometric and FOT parameters were obtained in the 71 patients. As expected, FEV₁ decreased and Rm and R10 increased with the development of bronchial narrowing (table 3). Of the 71 patients tested 23 (32%) exhibited a fall in FEV₁ of ≥20% and were considered as “spirometric” reactors. For comparison, 58 patients (82%) had an increase in Rm ≥47% throughout the challenge, whereas for R10 this was the case for 52 patients (73%).

As expected, a highly significant correlation was found between Rm and R10 both at baseline (r=0.986; p=0.0001) and at end-test (r=0.846; p=0.0001). When PD values were compared with the corresponding DRS values, a strong correlation between PD20 and DRSFEV₁ (r=−0.962; p=0.0001) and a significant although weaker correlation between PD47,Rm and DRSRm (r=−0.648; p=0.0001) and between PD47,R10 and DRSR10 (r=−0.552; p=0.0001) was observed. Comparisons between slopes showed a significant correlation between DRSFEV₁ and either DRSRm (r=0.700; p=0.0001) or DRSR10 (r=0.784; p=0.0001). Among spirometric reactors both the mean PD47,Rm and PD47,R10 were significantly lower and the corresponding DRS steeper (increased responsiveness) than among nonreactors (table 4).

ROC curves were constructed for DRSRm, DRSR10, Δ%Rm and Δ%R10 by plotting the sensitivity versus the false positivity of each index across the whole range of potential thresholds. Figure 1 (a and b) shows that the curve for DRSRm lies much closer to the optimal upper-left corner than does the ROC curve for Δ%Rm. For the DRSRm curve, the response that best separates reactors from nonreactors (defined in terms of the value that gives the greatest value for the sum of specificity and sensitivity) corresponded to a slope value of 0.060 %rise in Rm-μg carbachol⁻¹ (91.3% sensitivity, 81.2% specificity, sum =172.5%). In practice, a slope of 0.060 corresponds to a rise in Rm of 96% after the inhalation of 1,600 μg of carbachol. For the Δ%Rm curve the value that best separates reactors from nonreactors was 56% (86.9% sensitivity; 52.1% specificity; sum =139.0%). These best value points are represented on the ROC curves by an arrow.

ROC curves for the indices of resistance at 10 Hz are shown in figure 2 (a and b). As for DRSRm the curve for DRSR10 lies much closer to the optimal upper-left corner than does the curve for Δ%R10. For DRSR10 the response that best separated reactors from nonreactors corresponds to a slope value of 0.066 %rise in R10-μg carbachol⁻¹ (91.3% sensitivity; 95.8% specificity; sum =187.1%). In practice, a slope of 0.066 corresponds to a rise in R10 of 106% after the inhalation of 1,600 μg of carbachol. For the Δ%R10 curve the value that best separates reactors from nonreactors was 51% (91.3% sensitivity; 58.3% specificity; sum =149.6%). These best value points are represented on the ROC curves by an arrow.

To summarize the findings of ROC curves, the sensitivity of DRSRm, DRSR10 and Δ%R10 to separate reactors
Table 4. – Mean±SD forced oscillation technique (FOT) indices in reactors* and nonreactors

<table>
<thead>
<tr>
<th>FOT index</th>
<th>All</th>
<th>Reactors</th>
<th>Nonreactors</th>
<th>p-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>PD47,Rm µg carbachol</td>
<td>503±400</td>
<td>247±195</td>
<td>644±416</td>
<td>0.0001</td>
</tr>
<tr>
<td>DRS6% rise Rm µg carbachol</td>
<td>1.04±0.158*</td>
<td>0.236±0.224**</td>
<td>0.041±0.034</td>
<td>0.0001</td>
</tr>
<tr>
<td>PD47,R10 µg carbachol</td>
<td>492±352</td>
<td>340±341</td>
<td>603±323</td>
<td>0.001</td>
</tr>
<tr>
<td>DRS10 % rise R10 µg carbachol</td>
<td>0.091±0.141*</td>
<td>0.214±0.198</td>
<td>0.032±0.023</td>
<td>0.0001</td>
</tr>
</tbody>
</table>

PD47,Rm: provocative dose leading to a rise in mean resistance ≥47%; PD47,R10: provocative dose leading to a rise in resistance at 10 Hz ≥47%; DRS6%: dose–response slope of mean resistance; DRS10%: dose–response slope of resistance at 10 Hz. *: defined in terms of a fall in forced expiratory volume in one second of 20%; #: slope corresponding to a 378% increase in mean resistance (4–32 Hz) (Rm); %: slope corresponding to a 342% increase in mean resistance (10 Hz) (R10); #: slope corresponding to a 66% increase in resistance at 10 Hz.

from nonreactors was identical to one another, but the specificity of DRSR10 was superior to that of the other indices.

Discussion

Various indices were proposed for summarizing the dose–response data in bronchial challenge testing, the most common of which is the PD of bronchoconstrictor leading to a specified decrement in lung function. In this study, a PD20 value could be calculated for 23 patients, a PD47,Rm for 58 patients and a PD47,R10 for 52 patients, thus giving a prevalence rate of BHR of 32, 82 and 73% according to the parameter used. Regardless of the possible explanations for this difference, it should be noticed that the proportion of subjects for whom a PD20 could be calculated seems rather low if it is considered that the study group was formed by patients with pulmonary and other diseases likely to be associated with BHR. Obviously this proportion is expected to be even lower in population or occupational samples without overt pulmonary diseases.

A nearly perfect correlation between PD20 and DRSFEV1 was observed. This finding, which is in agreement with previous work by O’Connor et al. [11], supports the view that DRSFEV1 provides the same information as PD20 for hyperresponsive subjects while providing information for less reactive subjects as well. Although they were highly significant, the correlations between PD20 and DRSFEV1, and between PD47,Rm and DRSFEV1, and between PD47,R10 and DRSR10 were weaker than that between PD20 and DRSFEV1, and should therefore be interpreted more cautiously.

The sensitivity and specificity of DRSRm and, especially, DSR10 to detect "spirometric" BHR (BHR = decline in FEV1 ≥20%) can be considered satisfactory for two reasons. Firstly, it should be kept in mind that whereas Raw measured by FOT evaluates the patency of the airways during quiet breathing, FEV1 does so during a forced expiratory manoeuvre. This major physiological difference provides a reasonable explanation for the well-known lack of perfect correlation between FOT resistance and FEV1 at baseline, a finding confirmed in this study (table 2). Secondly, by definition, the calculation of the sensitivity and specificity of DRSRm and DSR10 requires knowledge of the true status of the patients with respect to bronchial responsiveness. In other words, this means that the reference test must be as close to reality as possible. In the present study, a threshold of fall in FEV1 of 20% was adopted as reference not because it is the indisputable "gold standard" for BHR, but simply because it is the most widely employed. In fact, the authors believe that using FEV1 as a reference test may have been detrimental for the FOT parameters. Indeed, since the latter are considered to be more accurate detectors of changes in airway calibre than FEV1 [18–26] the possibility that the specificity of FOT parameters (e.g. DRSRm and Δ%Rm) was negatively influenced by misclassification errors occurring with FEV1 cannot be ruled out entirely.
The sensitivity of FOT indices in detecting changes in bronchial calibre in bronchial challenge testing has been compared with that of spirometry performed quasi-simultaneously. SOLYMAR et al. [18] found FOT indices to be more discriminative than forced expiration in detecting BHR to pollen in children with seasonal asthma. DUVERMANN et al. [19] showed that FOT indices compared well with indices from maximal and partial flow–volume curves in young asthmatics in a stable condition. SNASHALL et al. [20] performed histamine challenge tests in adult asthmatics and observed a close correlation between PD values for FEV1 and for FOT impedance and concluded that the latter was more sensitive because a smaller dose of histamine gave a diagnostic result. WEESELING and WOUTERS [21] examined a small group of asthmatics after increasing rates of isocapnic hyperventilation of cold air and found FOT parameters to be more sensitive than spirometry in differentiating between normals and asthmatics. Later, the same team found a good correlation between FOT impedance and spirometry in a larger group of asthmatics (n=60) whose PD20 to histamine was ≤8 μmol [22]. WEESELING et al. [23] measured FOT impedance and spirometry in stable asthmatics during the inhalation of histamine and methacholine and found that the dose of histamine provoking a 40% increase in FOT resistance measured at 8 Hz was the parameter that gave the lowest burden to the patient: it was reached at a three-fold lower concentration than provocative concentration causing a 20% decrease in FEV1, thus shortening considerably the procedure and lowering the drug load. Recently, SCHMEKEL and SMITH [24], using ROC curves, showed that FOT parameters are more sensitive and more specific than FEV1 at detecting bronchoconstriction occurring in asthmatic patients stimulated with isocapnic hyperventilation of cold air. Similar results were observed in the few studies comparing FOT, spirometry and plethysmography performed quasi-simultaneously. FEBEL et al. [25] observed that both FOT impedance and plethysmography were more sensitive than spirometry in detecting bronchoconstriction in adult asthmatics undergoing an allergen challenge test. Finally, VAN NOORD et al. [26] observed that the relative change in plethysmographic airway conductance, the reciprocal of FOT resistance at 6 Hz, the reciprocal of FOT resistance from 2–26 Hz, and FEV1 were, in this order, the most sensitive parameters for detecting BHR to histamine in subjects with a history of episodic wheezing. However, in none of the above series were FOT parameters or FEV1 expressed in terms of DRS, so they cannot be compared with the data of the present study.

A word must be said regarding the validity of the protocol of the present study. In order to avoid the influence of differences in baseline bronchial tone and/or fluctuations in bronchial responsiveness, FOT parameters and spirometry were measured during the same challenge. The measurements were carried out between 2 and 4 min after the inhalation of carbachol, a time interval likely to coincide with the plateau phase of the bronchial response to carbachol [27]. On the other hand, since deep inspiratory manoeuvres can alter bronchial tone [4], all measurements were performed quasi-simultaneously. FEIHL et al. [25] performed histamine challenge tests in adult asthmatics and observed a close correlation between PD values for FEV1 and for FOT impedance and concluded that the latter was more sensitive because a smaller dose of histamine gave a diagnostic result. WEESELING and WOUTERS [21] examined a small group of asthmatics after increasing rates of isocapnic hyperventilation of cold air and found FOT parameters to be more sensitive than spirometry in differentiating between normals and asthmatics. Later, the same team found a good correlation between FOT impedance and spirometry in a larger group of asthmatics (n=60) whose PD20 to histamine was ≤8 μmol [22]. WEESELING et al. [23] measured FOT impedance and spirometry in stable asthmatics during the inhalation of histamine and methacholine and found that the dose of histamine provoking a 40% increase in FOT resistance measured at 8 Hz was the parameter that gave the lowest burden to the patient: it was reached at a three-fold lower concentration than provocative concentration causing a 20% decrease in FEV1, thus shortening considerably the procedure and lowering the drug load. Recently, SCHMEKEL and SMITH [24], using ROC curves, showed that FOT parameters are more sensitive and more specific than FEV1 at detecting bronchoconstriction occurring in asthmatic patients stimulated with isocapnic hyperventilation of cold air. Similar results were observed in the few studies comparing FOT, spirometry and plethysmography performed quasi-simultaneously. FEBEL et al. [25] observed that both FOT impedance and plethysmography were more sensitive than spirometry in detecting bronchoconstriction in adult asthmatics undergoing an allergen challenge test. Finally, VAN NOORD et al. [26] observed that the relative change in plethysmographic airway conductance, the reciprocal of FOT resistance at 6 Hz, the reciprocal of FOT resistance from 2–26 Hz, and FEV1 were, in this order, the most sensitive parameters for detecting BHR to histamine in subjects with a history of episodic wheezing. However, in none of the above series were FOT parameters or FEV1 expressed in terms of DRS, so they cannot be compared with the data of the present study.

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Interestingly, for the same level of sensitivity (91.3%), a substantially better specificity was seen for DRS10 (95.8%) than for DRS10 (81.2%). This finding is in agreement with previous observations suggesting that resistance at low frequency is a better index than high-frequency resistance or Rrs to assess BHR [19, 27].

The advantages of the forced oscillation technique over spirometry in bronchial challenge testing have been pointed out previously [18–26] and have been confirmed in the present study. However, as far as population studies are concerned, such advantages (e.g. ease of measurement, no need for cooperation, etc.) are overshadowed by the mode of expression of the results because conventional indices of responsiveness allow the characterization of only a small proportion of subjects. This study showed that expressing the forced oscillation technique data in terms of the two-point linear dose–response slope of O’CONNOR et al. [11] adds to the advantages of the method by providing

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**Fig. 2.** a) Receiver operating characteristics (ROC) curve obtained with dose–response slope of forced oscillation resistance at 10 Hz (DRS10). The arrow indicates the point on the curve that is closest to the ideal point i.e. is the threshold value of DRS10 (0.066 %rise in forced oscillation resistance at 10 Hz (R10)µg carbachol−1) that provides the best compromise between the sensitivity and 1-specificity (sensitivity 91.3%, specificity 95.8%). b) ROC curve obtained with ΔR10. The arrow indicates the point on the curve that is closest to the ideal point i.e. the threshold value of ΔR10 (51%) that provides the best compromise between the sensitivity and 1-specificity (sensitivity 91.3%, specificity 58.3%).
a quantitative index of bronchial responsiveness for all subjects. The index is simple and easy to calculate being potentially useful in occupational epidemiology, especially when large populations are to be examined at the work place during working hours. Further studies are necessary to demonstrate the validity of dose–response slopes of mean resistance and resistance at 10 Hz in this setting, when a small proportion of spirometric reactors is likely to be found.

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References


