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# Effect of salbutamol on dynamic hyperinflation in chronic obstructive pulmonary disease patients

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Effect of salbutamol on dynamic hyperinflation in chronic obstructive pulmonary disease patients. C. Tantucci, A. Duguet, T. Similowski, M. Zelter, J-P. Derenne, J. Milic-Emili. ©ERS Journals Ltd 1998.

ABSTRACT: Expiratory flow limitation (EFL), which promotes dynamic hyperinflation and increased work of breathing, often occurs in chronic obstructive pulmonary disease (COPD). The purpose of this study was to assess the effect of bronchodilators on EFL and end-expiratory lung volume in patients with moderate-to-severe COPD.

EFL was assessed by applying negative expiratory pressure (NEP) at the mouth during tidal expiration. EFL was present when expiratory flow did not increase or increased only in the early phase of expiration with NEP. In 18 patients (age 65±2 yrs; forced expiratory volume in one second (FEV1)=45±4% predicted) pulmonary function tests and a series of NEP (-3.5 cmH<sub>2</sub>O) test breaths were performed at rest in a sitting position before and 20 min after inhalation of 400  $\mu g$  of salbutamol.

EFL was detected in 11 patients and persisted after salbutamol in all of these flow-limited (FL) patients. After bronchodilator administration FL patients exhibited a significant decrease in functional residual capacity (FRC) associated with an increase in inspiratory capacity (IC). In contrast, no changes in FRC and IC were observed in the seven non flow-limited (NFL) patients after administration of salbutamol. Except for one NFL patient, the other 17 patients (six NFL and 11 FL) had no reversibility of their bronchial obstruction ( $\Delta FEV1 < 10\%$  pred).

In conclusion, patients with chronic obstructive pulmonary disease and expiratory flow limitation, even if nonresponders in terms of forced expiratory volume in one second, may benefit from bronchodilators because they can breathe, still in a flow-limited manner, at a lower lung volume.

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Bronchodilating agents are commonly used in patients with chronic obstructive pulmonary disease (COPD) to reduce airway obstruction [1]. Although many COPD patients may obtain substantial increase in airflow after inhalation of bronchodilators [2], one-third of them do not show significant acute spirometric changes [3]. It is a common tenet, however, that improvements in symptoms and exercise capacity may occur even in the absence of spirometric improvement [4]. Such findings are frequent in patients with relatively severe COPD (forced expiratory volume in one second (FEV1) <50% pred), who often exhibit expiratory flow limitation (EFL) during resting breathing, *i.e.* their tidal expiratory flow is maximal under the prevailing condition [5].

In the presence of EFL, the expiratory flow can increase only by breathing at higher lung volume. Thus, EFL promotes dynamic pulmonary hyperinflation, a condition where the end-expiratory lung volume (EELV) is greater than the relaxation or elastic equilibrium volume ( $V_r$ ). As a result, there is a positive end-expiratory pressure (PEEP) in the alveoli which is called intrinsic PEEP (PEEPi) and acts as an inspiratory threshold load [6]. Dynamic hyperinflation is associated not only with increased inspiratory work due to PEEPi [7] but also with impaired inspiratory muscle function [8]. This, together with flow-limiting dynamic

compression during tidal breathing, may contribute to dyspnoea in flow-limited COPD patients [9, 10]. Indeed, in a study by ELTAYARA *et al.* [9], the severity of chronic dyspnoea was found to correlate much more closely with flow limitation than with usual spirometric indices.

The aim of this study was to assess whether, in patients with moderate-to-severe COPD, the administration of a bronchodilator (short-acting  $\beta_2$ -agonist) abolished EFL and/or reduced the EELV during tidal breathing. EFL was assessed with the negative expiratory pressure (NEP) method [5, 11]. Tidal EFL was also assessed with the conventional method based on comparison of tidal with maximal flow-volume (V'-V) curves obtained with body plethysmography [12].

## Methods

Subjects

Eighteen patients (14 males and four females, aged 65±2 yrs (mean±sem), range 48–77 yrs) suffering from COPD according to the American Thoracic Society (ATS) guidelines [1] were studied when in a stable condition.

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The patients had airflow obstruction (FEV1=1.19+0.12 L; FEV1/forced vital capacity (FVC)=42.2+2.7%) and no other respiratory or cardiac diseases. All were free from musculoskeletal disorders. None of the patients had received inhaled short-acting  $\beta_2$ -agonists or anticholinergics for 8 h before the study or long-acting  $\beta_2$ -agonists for 24 h before the study. None of the patients was receiving oral  $\beta_2$ -agonists, theophylline or systemic corticosteroids. None had had an upper respiratory tract infection in the previous 2 months. Each patient gave informed consent and the study protocol was approved by the local Ethics Committee.

#### Study design

The patients were investigated in the morning, in a sitting position before and 20 min after the administration of 400  $\mu$ g salbutamol through a metered-dose inhaler and an inhalation chamber. Both the dosage and the method of administration were chosen to maximize the effect of the drug. On each occasion, all patients underwent spirometric measurements by body plethysmography and application of NEP of -3.5 cmH<sub>2</sub>O, which was administered at the beginning of tidal expiration and maintained throughout the ensuing expiration.

The severity of chronic dyspnoea was rated according to the modified Medical Research Council (MRC) dyspnoea scale [9] before the ventilatory and NEP tests.

## Measurements

Spirometric measurements were performed using a constant volume/pressure body plethysmograph (Autobox 2800; Sensor Medics, Yorba Linda, CA, USA). Mouth and box flow were measured through a hot-wire pneumotachograph linear up to 14 L·s·¹ (Sensor Medics). Volume was obtained by integrating the flow signal. The mouth flow signal was calibrated by a 3 L syringe and the box flow signal by using a sinusoidal signal generated by a 50 mL pump at 2 Hz.

As soon as the subjects reached quiet, regular tidal breathing, the thoracic gas volume at end-tidal expiration (functional residual capacity (FRC)) was determined in duplicate by asking them to support their cheeks and pant at a frequency of <1 Hz [13] against a closed shutter. The frequency response of the system was accurate up to 12 Hz. Immediately after the opening of the shutter, the subjects inspired slowly until maximum to obtain the inspiratory capacity (IC) and compute the total lung capacity (TLC = FRC+IC), and then expired slowly and completely for the measurement of vital capacity (VC) and computation of residual volume (RV = TLC-VC). Afterwards, tidal and subsequent maximal full flow/volume curves were determined by plotting simultaneously the flow change at the mouth against the thoracic gas volume (Vth) obtained by time-integration of the box flow (V'-Vth). In all instances the subjects inspired normally until TLC and then expired forcefully without an end-inspiratory pause to obtain the FVC.

For analysis the highest FEV1 and the forced expiratory manoeuvre with the largest sum of FEV1+FVC were selected from two acceptable expiratory manoeuvres, according to the ATS guidelines [14]. The tidal *V'-V* curve and

maximal full *V'-V*th curve were superimposed on paper using a Hewlett-Packard 850C graphic-printer. The reference values used were those of the European Coal and Steel Community [15].

NEP measurements were carried out 10 min after spirometry under baseline conditions and before spirometry after administration of salbutamol for technical reasons. The experimental set-up used to assess EFL by NEP was similar to that described in detail previously [5]. All patients were studied seated upright in a comfortable chair while breathing through the equipment assembly with the noseclip on. The dead space of the equipment assembly was <30 mL and its pressure-flow relationship was characterized by the following equation:  $P=0.85V'+0.70V'^2$ , where pressure (P) is in cmH<sub>2</sub>O and V' in L·s<sup>-1</sup>.

During the NEP trials, flow (*V'*) was measured with a Hans-Rudolph pneumotachograph with a ±2.6 L·s·l linearity range (model 4700A; Hans-Rudolph, Kansas City, MO, USA) connected to the mouthpiece and a differential pressure transducer (MP45, ±2 cmH<sub>2</sub>O; Validyne, Northridge, CA, USA). Pressure was measured at the mouth (*P*mo) *via* a noncompliant polyethylene tube (ID=1.7 mm) connected to a differential pressure transducer (DP15, ±150 cmH<sub>2</sub>O; Validyne). The system used to measure *P*mo had no appreciable shift or alteration in amplitude up to 20 Hz.

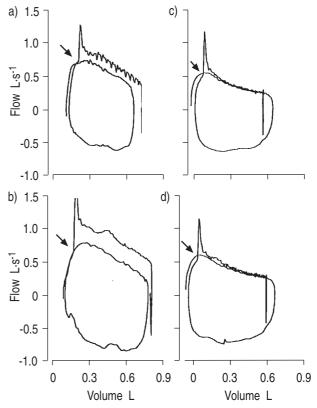


Fig. 1. – Examples of a nonflow-limited (NFL; a, b) and a flow-limited (FL; c, d) patient, before (a, c) and after (b, d) administration of the bronchodilator (BD). When expiratory flow with negative expiratory pressure (NEP; arrow) increases relative to control expiration, there is no expiratory flow limitation (NFL) (a, b). In contrast, when the expiratory flow with NEP does not increase throughout the entire or part of the expiration compared with the flow of the preceding tidal expiration, there is expiratory flow limitation (EFL) (c, d). In this flow-limited patient, EFL persisted after BD.

After about 30 s of regular breathing, several NEP tests were performed at intervals of six to eight breaths both under baseline conditions and after administration of salbutamol. The test breath was the breath during which NEP was applied during expiration, and the preceding expiration served as the control.

#### Data analysis

The patients were categorized as flow-limited (FL) and nonflow-limited (NFL) according to the results of the NEP tests. If under baseline conditions NEP elicited increased flow over the entire range of the control tidal volume (VT), the subject was NFL (fig. 1a). In contrast, if with NEP the subject exhaled even partly or entirely along the control V'-V curve, EFL was present (fig. 1c). The same approach was followed after administration of the bronchodilator (fig. 1b and d).

The patients were classified as responders and nonresponders to bronchodilator according to a change in FEV1 of more or less than 10% of the predicted value, *i.e.* (post-FEV1-preFEV1)/predFEV1  $\times$  100) [16], respectively, as recommended by the European Respiratory Society (ERS) consensus statement [17]. Although the ATS criteria indicate a 12% change of baseline FEV1 and an absolute increase of 200 mL as significant response to a bronchodilator [14],  $\Delta$ FEV1 as per cent of predicted value is less significantly correlated to the initial FEV1 and, thus, does not give an unjustified advantage to low baseline values of FEV1 [18–20], being more appropriate on a single cross-sectional assessment of reversibility of the bronchial obstruction in COPD patients [21, 22].

# Statistical analysis

Descriptive group data were compared using Student's statistics. Regression relationships were established using the least squares method. Data are expressed as mean± SEM. A p-value <0.05 was considered significant.

Table 1. – Anthropometric and functional characteristics of the patients

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	NFL	FL	p-value
Subject n	7	11	
Sex M/F	6/1	8/3	
Age yrs	64±3	66±3	NS
Height cm	171±5	163±2	< 0.05
Weight kg	71±5	66±5	NS
Dyspnoea MRC scale	$1.8 \pm 0.2$	$3.0 \pm 0.2$	< 0.01
$P_{a,O_2}$ mmHg	$74 \pm 3$	68±3	NS
$P_{a,CO_2}$ mmHg	41±1	47±2	< 0.05
TLC % pred	104±6	119±6	NS
VC % pred	87±4	78±6	NS
FRC % pred	128±12	162±13	NS
FEV <sub>1</sub> % pred	54±3	40±6	NS
FVC % pred	85±4	78±7	NS
FEV <sub>1</sub> /FVC %	48±2	39±4	NS

Data are mean±SEM. NFL: nonflow-limited; FL: flow-limited, according to negative expiratory pressure method;  $P_{a,O_2}$ : arterial oxygen tension;  $P_{a,CO_2}$ : arterial carbon dioxide tension; TLC: total lung capacity; VC: vital capacity; FRC: functional residual capacity; FEV1: forced expiratory volume in one second; FVC: forced vital capacity; M: male; F: female; NS: non-significant. 1 mmHg=0.133 kPa.

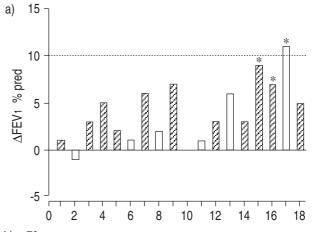
#### Results

Under baseline conditions, EFL was detected in 11 COPD patients according to the NEP method. In all instances the results were reproducible in the repeated NEP tests. The anthropometric and functional characteristics of FL and NFL patients are shown in table 1. The FL patients were characterized by more severe chronic dyspnoea (MRC scale) and abnormal arterial carbon dioxide tension (*P*a,CO<sub>2</sub>). The FL patients had higher FRC (p=0.09, nonsignificant (NS)) and lower FEV1 (p=0.06, NS) than the NFL patients, indicating greater pulmonary hyperinflation and airway obstruction.

In none of the 11 COPD patients who were FL under baseline conditions, did EFL disappear following the administration of salbutamol.

Only one of the 18 COPD patients, who was NFL under baseline conditions, had a  $\Delta FEV_1 > 10\%$  pred after salbutamol (fig. 2a).

In both NFL and FL subgroups of COPD patients, TLC measured after salbutamol did not change significantly, decreasing by 2.4±0.5% (6.66±0.35 versus 6.50±0.33 L)



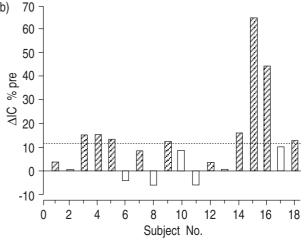


Fig. 2. — a) Changes in forced expiratory volume in one second ( $\Delta$ FEV1) and b) inspiratory capacity ( $\Delta$ IC) after salbutamol in 11 moderate-to-severe patients with chronic obstructive pulmonary disease who were flow-limited (FL; ) and seven who were nonflow-limited (NFL; ) under seline conditions. : indicates in a) an FEV1 increase of 10% relative to predicted value (% pred), and in b) an IC increase of 12% relative to baseline (% pre). \*: indicate the subjects with  $\Delta$ FEV1 >12% of baseline and an absolute increase in FEV1 >200 mL.

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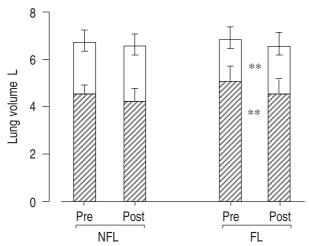


Fig. 3. — Mean±sem (bars) values of total lung capacity (TLC, □+ ☑), functional residual capacity (FRC, ☑) and inspiratory capacity (IC, □) in nonflow-limited (NFL; n=T) and flow-limited (FL; n=11) patients with chronic obstructive pulmonary disease before (pre) and after (post) administration of the bronchodilator. While TLC remained essentially unchanged, the FRC decreased and IC increased significantly in the FL subgroup. \*\*: p<0.01 pre versus post.

and  $3.5\pm1.5\%$  (6.70±0.41 *versus* 6.45±0.33 L), respectively, compared with baseline (fig. 3). In the FL subgroup this was associated with a significant (p<0.01) increase in IC (from  $1.68\pm0.16$  to  $1.98\pm0.20$  L) and decrease in FRC (from  $5.03\pm0.44$  to  $4.53\pm0.40$  L). In the NFL subgroup there was no change in IC (2.33±0.21 *versus* 2.33±0.18 L) or FRC (4.34±0.42 *versus* 4.20±0.36 L) (fig. 3). In eight FL patients the increase in IC ( $\Delta$ IC) after salbutamol was greater than 12% with respect to the control value (fig. 2b). A significant positive correlation between the changes in IC and those in FEV1 was found after bronchodilator only in the FL patients (r=0.86, p<0.001).

The breathing pattern data are shown in table 2. Under baseline conditions only inspiratory time (tI)/duration of total breathing cycle (ttot) was significantly different between NFL and FL patients, being lower in FL patients. After salbutamol, the FL patients exhibited a significant increase in minute ventilation (V'E) and mean expiratory

Table 2. – Breathing pattern data before and after bronchodilator

	NFL		FL	
	Before BD	After BD	Before BD	After BD
VT L	0.75±0.07	0.79±0.08	0.74±0.09	0.77±0.10
<i>f</i> R breaths⋅min-1	19.4±1.9	19.4±1.8	$17.2 \pm 1.4$	$19.2 \pm 2.0$
V'E L⋅min-1	$13.8 \pm 0.4$	14.5±0.6	12.0±0.9	13.7±1.2*
tI s	1.26±0.15	1.25±0.15	1.23±0.09	$1.17 \pm 0.07$
te s	2.06±0.21	2.06±0.20	2.53±0.22	2.32±0.29
tI/ttot	$0.38 \pm 0.01$	$0.37 \pm 0.02$	0.33±0.01 <sup>†</sup>	$0.35 \pm 0.02$
$V_{\rm T}/t_{\rm I}~{\rm L\cdot s}^{-1}$	$0.60 \pm 0.02$	$0.64 \pm 0.02$	$0.60 \pm 0.04$	$0.65 \pm 0.06$
$V_{\mathrm{T}/t\mathrm{E}}$ L·s <sup>-1</sup>	$0.37 \pm 0.02$	$0.39 \pm 0.02$	$0.30 \pm 0.03$	$0.35 \pm 0.04 *$

Data are mean±sem. NFL: nonflow-limited; FL: flow-limited, according to negative expiratory pressure method; BD: bronchodilator (salbutamol); VT: tidal volume; fR: respiratory frequency; V'E: minute ventilation; tl: inspiratory time; te: expiratory time; ttot: duration of total breathing cycle; VT/tI: mean inspiratory flow; VT/tE: mean expiratory flow. †: p<0.05, NFL versus FL; \*: p<0.05, before versus after BD.

flow (VT/expiratory time (tE)), whilst no such differences were found in the NFL patients.

In all COPD patients a negative correlation was observed between the degree of chronic dyspnoea and the baseline absolute value of IC (r=0.65; p<0.05).

When EFL was assessed with the conventional method using the body plethysmograph, 13 COPD patients were considered as FL. After bronchodilator, four of these FL patients became NFL, as inferred from the comparison of tidal with maximal expiratory *V'-Vth* curves.

## Discussion

The results of this study indicate that in FL patients with moderate-to-severe COPD, tidal EFL persisted after administration of the bronchodilator. Nevertheless, most of the FL patients exhibited a significant decrease in FRC associated with an increase in IC, reflecting a reduction in dynamic pulmonary hyperinflation.

Assessment of expiratory flow limitation by conventional method

It is common practice to infer EFL from the relationship between tidal and maximal expiratory V'-V curves, as first suggested by HYATT [12]. This method, which requires a voluntary effort as well as co-operation and coordination from the patient, is affected by the volume-dependent changes in airway resistance and lung recoil during full inspiration before the FVC manoeuvre [23]. It is also affected by the time-dependent viscoelastic behaviour of thoracic tissues and time-dependent lung emptying due to time constant inequality [24, 25]. Since the previous volume and time history varies between tidal and full inspiration, it follows that this method may lead to erroneous conclusions, even when volume is measured with a body plethysmograph to avoid thoracic gas compression artefacts [26, 27].

In contrast, the NEP method requires neither collaboration from the patient nor the use of a body plethysmograph to avoid errors due to thoracic gas compression. Moreover, since the control and the NEP test breaths have similar lung volume and time history, the NEP method appears more reliable to assess tidal EFL correctly [5].

This explains the discrepancy observed in the detection of EFL between the NEP and conventional method in these COPD patients. Under baseline conditions two patients would have been erroneously classified as FL on the basis of the conventional method. After salbutamol, erroneous assessment of EFL was made in six patients. These findings indicate that the effect of bronchodilation on EFL cannot be assessed by a comparison of tidal with maximal V'-V curves, as shown previously [26].

In eight FL COPD patients the increase in IC was  $\S12\%$ , although none of them responded to salbutamol ( $\Delta FEV_1 < 10\%$  pred). It should be noted, however, that there was a significant (p<0.001) correlation between the changes in IC and FEV<sub>1</sub> due to salbutamol and that two FL patients (numbers 15 and 16) would have been classified as responders according to the ATS criteria.

These data suggest that measurements of lung volume, in particular IC, should be performed after bronchodilator

in COPD with EFL. Indeed, a substantial increase in IC should represent a meaningful indication to prescribe bronchodilating drugs even if the changes in FEV1 do not satisfy the criteria of reversibility.

Figure 4 shows this effect in patient number 15. The tidal *V'-V* loops, before and after salbutamol, are aligned with absolute lung volume together with the corresponding maximal expiratory *V'-V* curves obtained by extrapolation of the tidal *V'-V* curves. In both instances, the application of NEP did not increase the expiratory flow, indicating EFL. After bronchodilator, however, tidal breathing started at a markedly lower EELV. This demonstrates that after bronchodilators, dynamic pulmonary hyperinflation can decrease substantially in FL COPD patients. Such behaviour was observed in another seven FL patients.

The fact that three FL patients (numbers 1, 7 and 12) exhibited only a small increase of IC after bronchodilator could reflect either a poor bronchodilating effect, as in patients number 1 and 12 (fig. 2) or increased expiratory flow due to a change in breathing pattern following salbutamol. This was the case for patient number 7, who increased the mean expiratory flow from  $0.34\pm0.02$  to  $0.50\pm0.01$  L·s·¹.

In contrast to supine asthmatics [26] and mild COPD patients [28], in the present subjects with moderate-to-severe COPD, EFL was not reversed after administration of the bronchodilator. However, in the study by Pellegrino and Brusacco [28] in COPD patients, EFL was detected by comparing tidal expiratory flow with flow obtained during a subsequent submaximal tidal expiration, a questionable method by which to assess EFL, as discussed before, and gives results not fully comparable with those obtained by the NEP technique.

In the present NFL patients there were no significant changes in FRC and IC after bronchodilator, independ-

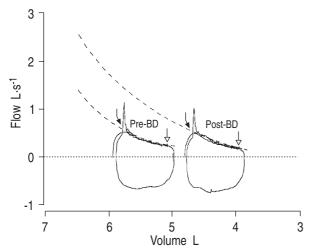


Fig. 4. — Tidal flow-volume (V'-V) loops immediately before and during application of negative expiratory pressure (NEP) are displayed together with the corresponding extrapolated maximal expiratory V'-V curves in a representative flow-limited chronic obstructive pulmonary disease patient (number 15; forced expiratory volume in one second (FEV1) =24% predicted) before (pre) and after (post) administration of the bronchodilator (BD). Pre-BD: functional residual capacity (FRC)=4.99 L; inspiratory capacity (IC)=1.69 L; FEV1=0.70 L. Post-BD: FRC=3.90 L; IC=2.76 L; FEV1=0.99 L. application; ♥: removal of NEP.

ently of  $\Delta$ FEV1 (figs. 2 and 3). Since the TLC remained essentially unchanged after salbutamol, the lack of a decrease in FRC in the NFL patients suggests that their EELV was not dynamically regulated and corresponded to the relaxation volume of the respiratory system.

The occurrence or increase in dynamic pulmonary hyperinflation during physical exertion represents an important source of exertional breathlessness in COPD patients [29]. In FL COPD patients the EELV is often dynamically determined and markedly affected by the changes in breathing pattern during exercise. Regardless of the causal mechanism, it is obvious that while dynamic hyperinflation provides higher expiratory flows to meet the ventilatory requirements during exercise or even at rest, it does so only at the expense of disadvantageous mechanical consequences and unpleasant sensations [30]. Thus, in FL COPD patients a reduction in dynamic hyperinflation, which by definition is associated with increased IC, should represent a benefit. Apart from the mechanical advantage for the inspiratory muscles due to reduced dynamic hyperinflation after bronchodilator, a greater IC due to a decreased EELV allows larger tidal volumes to be achieved during exercise [29], resulting in increased exercise tolerance and decreased dyspnoea for a given task. The inverse relationship between the severity of chronic dyspnoea and the baseline IC suggests that a reduced inspiratory reserve, which is associated with pulmonary hyperinflation and a blunted response of VT to exercise, contributes to the breathlessness experienced by these patients during daily activities. In this respect, it is worth noting that in the present COPD patients those with EFL exhibited more severe dyspnoea and, in general, smaller inspiratory reserve, reflecting a greater pulmonary hyperinflation. In two FL patients, however, IC was not reduced markedly, indicating that in some patients EFL at rest may not be associated with appreciable dynamic pulmonary hyperinflation.

In conclusion, the present study was undertaken to assess whether in patients with chronic obstructive pulmonary disease, bronchodilator administration can reverse tidal expiratory flow limitation if present, and reduce the degree of the concomitant dynamic hyperinflation. While all 11 patients with chronic obstructive pulmonary disease who were flow-limited before bronchodilator administration remained flow-limited after its administration, there was a significant decrease in functional residual capacity and an increase in inspiratory capacity, reflecting a reduction in the degree of dynamic hyperinflation. In contrast, in the seven nonflow-limited patients there were no significant changes in functional residual capacity or inspiratory capacity after bronchodilator administration, reflecting the fact that in these patients the functional residual capacity corresponded to the relaxation volume of the respiratory system. In short, in flow-limited patients with chronic obstructive pulmonary disease the benefit of bronchodilator therapy can be easily and meaningfully assessed in terms of changes in inspiratory capacity.

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