Cardiopulmonary exercise testing following hay exposure challenge in farmer’s lung

M. Schwaiblmair*, T. Beinert**, C. Vogelmeier*, G. Fruhmann*


ABSTRACT: In patients experiencing an acute episode of hypersensitivity pneumonitis (HP), the alveoli and interstitium show a marked inflammation. The effects of this infiltration with effector cells on gas exchange and the cardiopulmonary system are not well characterized, and there are no data regarding cardiopulmonary exercise testing during hypersensitivity pneumonitis. The aim of this study was to gain new insights into the pathophysiology of acute farmer's lung using cardiopulmonary exercise testing. Cardiopulmonary exercise testing was performed in patients who had farmer's lung (n=21) before and 4 h after a standardized exposure with mouldy hay. Farmers who were asymptomatic for this condition (n=15) served as controls.

At baseline, patients who had farmer's lung had a decreased breathing reserve and a greater alveolar to arterial oxygen difference. Following exposure, all of these patients developed hypersensitivity pneumonitis. Compared to the asymptomatic farmers, they showed an increase of alveolar to arterial oxygen difference and functional dead space ventilation during exercise and a reduction of the breathing reserve. In addition, 40% of the asymptomatic farmers demonstrated a pathological increase of the alveolar to arterial oxygen difference during exercise following exposure.

In conclusion, our data signify that acute hypersensitivity pneumonitis induces significant changes in pulmonary gas exchange during exercise. Cardiopulmonary exercise testing may help to identify individuals with possible subclinical farmer's lung disease.


Methods

Study population

The study included 36 farmers. Group 1 (n=21) included patients who had experienced episodes of farmer's lung in their medical history and who were in full clinical remission at the time of study. Group 2 (n=15) consisted of asymptomatic farmers who had not experienced episodes of farmer's lung. The following inclusion criteria, applied pre-exposure, were used to establish the diagnosis of farmer's lung: 1) a clinical history of acute episodes several hours after exposure to mouldy hay; 2) lung function and radiological features consistent with hypersensitivity pneumonitis in the medical history; and 3) the demonstration of specific immunoglobulin G (IgG) serum antibodies against Saccharopolyspora rectivirgula, Thermoactinomyces vulgaris or Aspergillus fumigatus [10, 11]. All three criteria had to be fulfilled. With the exception of one asymptomatic farmer, all of the individuals included in this study were nonsmokers.

The atopic status, as evaluated by skin-prick testing with ubiquitous type 1 allergens, was negative in all individuals tested. From 4 weeks before until the time...
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Table 1. – Characteristics of study subjects and lung function parameters before (pre) and the maximum change within the 6 h period following (post) exposure to mouldy hay

<table>
<thead>
<tr>
<th></th>
<th>Group 1</th>
<th>Group 2</th>
<th>p-value†</th>
</tr>
</thead>
<tbody>
<tr>
<td>Subjects</td>
<td>21</td>
<td>15</td>
<td></td>
</tr>
<tr>
<td>Gender F/M</td>
<td>6/15</td>
<td>5/10</td>
<td></td>
</tr>
<tr>
<td>Age yrs</td>
<td>49±12</td>
<td>40±14</td>
<td>NS</td>
</tr>
<tr>
<td>Height cm</td>
<td>171±7</td>
<td>172±9</td>
<td>NS</td>
</tr>
<tr>
<td>Weight kg</td>
<td>76±14</td>
<td>74±13</td>
<td>NS</td>
</tr>
<tr>
<td>Specific IgG antibodies</td>
<td>88</td>
<td>87</td>
<td>NS</td>
</tr>
</tbody>
</table>

†: significance of difference between Group 1 and Group 2.

Data are presented as mean±SD. Group 1: patients with farmer's lung disease; Group 2: farmers asymptomatic for farmer's lung disease; FVC: forced vital capacity; FEV1:FVC percent predicted; TL,CO: single-breath transfer factor of the lung for carbon monoxide; RV: residual volume; TLC: total lung capacity; % pred: percentage of predicted value; ns: nonsignificant; M: male; F: female; IgG: immunoglobulin G.

of the exposure, none of the patients received immunosuppressive therapy. All participants were free of symptoms at the time of exposure and had lung function test results in the normal range. Two farmers in group 1 showed radiological changes consistent with fine pinpoint opacities. Asymptomatic farmers and patients did not differ in anthropometric data (table 1).

Pulmonary function testing

Pulmonary function tests included forced vital capacity (FVC), total lung capacity (TLC), forced expiratory volume in one second (FEV1) and single-breath carbon monoxide lung transfer factor (TL,CO) (Body Test, Jaeger, Germany). Specific airway resistance (sRaw) was measured by body plethysmography. Quality control procedures and predicted values (% pred) were applied according to the standards of the European Coal and Steel Community (ECSC) 1993 [12]. Blood gases were analysed at rest and during maximal work capacity using arterialized ear lobe blood (Radiometer ABL500, Copenhagen, Denmark).

Cardiopulmonary exercise testing

In all individuals, an incrementally progressive, symptom-limited cardiopulmonary exercise test was performed. An electrically-braked cycle ergometer was used (Ergotest; Jaeger, Germany). Cardiac frequency and rhythm were monitored by an electrocardiograph. The participants were connected to a two-way, low-resistance y-mouthpiece and a pneumotachograph, and were breathing room air. The expired air was collected continuously in a Douglas bag. O2 and CO2 were analysed every 15 s (Ergopneumotest EOS; Jaeger, Germany). The Ergopneumotest provided recordings of minute ventilation (VE), oxygen consumption (VO2), carbon dioxide output (VCO2) and respiratory exchange ratio (RQ). After 5 min of adaptation to the mouthpiece, the workload (W) was increased in 30 W steps every 3 min up to the point of exhaustion (inability to maintain a constant speed and/or to reach 90% of predicted maximal cardiac frequency and/or intolerable dyspnoea) [13]. Maximum workload (Wmax) was defined as the highest work level reached and maintained for at least 1 min. Similarly, maximum cardiac frequency (fmax), maximum oxygen uptake (VO2max) and maximum ventilation (VEmax) were defined as the highest levels reached during the test. Maximum voluntary ventilation (MVV) was estimated by multiplying FEV1×35 [14]. Simultaneously, physiological dead space/tidal volume (VdVT) was derived from the measured arterial carbon dioxide tension (PaCO2). The alveolar to arterial oxygen gradient (D(A-a)O2) was determined using the simplified alveolar gas equation based on arterial blood gas analysis. Standard exercise testing predicted values were used [13].

Exposure test

Hay exposure tests were standardized, and were performed as published previously [1, 3, 4, 11]. In short, the study participants tossed a mixture of mouldy hay specimens obtained from patients with farmer's lung disease in a designated chamber for 1 h. An observation period of 6 h followed. During this time, the onset of general symptoms and lung impairment was monitored.

A full set of pulmonary function tests was performed at baseline, followed by measurement of FVC (every hour), TLC (every hour) and TL,CO (1, 3, 5 and 6 h postexposure) at different time-points. To ensure that these parameters were not affected by a bronchial obstruction, FEV1 and sRaw were determined every hour. For FVC, TLC and TL,CO to be considered for analysis, FEV1 and sRaw had to be in the normal range. Body temperature was taken before exposure and every hour thereafter. Heparinized blood was drawn before, and 1 and 6 h after the exposure.

The cardiopulmonary exercise tests were performed in identical fashion before exposure and 4 h after exposure. Arterial blood gas determinations during rest and exercise were performed with the patient in an upright position and breathing room air.

Statistical analysis

All data are presented as mean±standard deviation (SD). A two sample t-test was used to compare values between the two groups (with Bonferroni post-test correction where appropriate). Within-group analysis was performed using a Mann-Whitney test (with Bonferroni post-test correction where appropriate). A one-way analysis of variance (ANOVA) of the postexposure values, using the pre-exposure values as covariates, was performed. A p-value less than 0.05 was regarded as significant.
Results

Systemic and pulmonary reactions

Following exposure to mouldy hay, all patients with farmer's lung (Group 1) developed general symptoms with chills, myalgias and fatigue. In parallel, a marked rise in body temperature (1.1±0.2°C) was recorded, whereas the rise in the group of asymptomatic farmers (Group 2) was significantly less (0.6±0.2°C; p<0.05). The rising temperature in Group 2 may be caused by the normal diurnal temperature fluctuations, since the hay exposure took place between 09.00 and 10.00 h, and the temperature rose in the course of the day. Alternatively, the increased temperature in Group 2 may indicate a minor systemic reaction. The two groups had similar pre-exposure values of FVC, TLC and $L_{\text{CO}}$ (table 1). During the observation period of 6 h, Group 1 exhibited a remarkable decline in FVC (100±18 to 78±21 % pred; p<0.01) and $L_{\text{CO}}$ (100±19 to 85±20 % pred; p<0.05) following exposure. All patients in Group 1 showed a decrease of ≥10% in FVC, and 86% of symptomatic farmers developed a decrease of ≥20% in FVC. TLC and FEV1 in Group 1 were not impaired by an increased RV after exposure [15, 16]. In contrast, Group 2 developed no change in FVC, FEV1, TLC and $L_{\text{CO}}$.

Cardiopulmonary exercise test

The symptomatic and asymptomatic groups differed significantly in arterial oxygen tension ($P_aO_2$) at rest. During the pre-exposure exercise test, the $P_aO_2$ did not decrease in either group. Following exposure, Group 1 showed a significant decrease in $P_aO_2$ at rest and during maximal exercise, whereas Group 2 showed values similar to those before exposure (table 2).

The participants in both groups provided the same workrate before exposure. Four hours after exposure, all individuals studied developed a minor, but not significant, loss of work capacity. Neither group differed in the $V'O_2\text{,max}$ before and after exposure and reached a mean $V'O_2$ value of 83–99% pred (table 2).

Major differences in $D(A-a)O_2$, $Vd/VT$ and breathing reserve during maximum effort were detectable between the two groups (table 3). There was also a significant difference in $D(A-a)O_2$ before exposure (Group 1 vs Group 2 $6.2±0.9$ vs $4.3±1.5$ kPa, respectively; p<0.05); the difference increased further 4 h postexposure (8.0±1.1 vs 4.7±1.7 kPa, respectively; p<0.01). Eight of the 21 individuals in Group 1 (versus two of the 15 individuals in Group 2) developed no change in FVC, FEV1, TLC and $L_{\text{CO}}$.

Table 2. – Cardiopulmonary exercise testing before (pre) and 4 h after the end of (post) exposure to mouldy hay

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Group 1 (n=21)</th>
<th>Group 2 (n=15)</th>
<th>p-value‡</th>
</tr>
</thead>
<tbody>
<tr>
<td>$P_aO_2$ at rest kPa</td>
<td>10.2±1.2</td>
<td>11.3±1.2</td>
<td>&lt;0.05</td>
</tr>
<tr>
<td>$P_aO_2$ during exercise kPa</td>
<td>8.2±1.2</td>
<td>10.8±1.4</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>$W'W$</td>
<td>130±17</td>
<td>126±14</td>
<td>NS</td>
</tr>
<tr>
<td>$V'O_2\text{,L-min}^{-1}$</td>
<td>2.1±0.5</td>
<td>2.0±0.6</td>
<td>NS</td>
</tr>
<tr>
<td>BR % MVV</td>
<td>57±13</td>
<td>42±9</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>$Vd/VT$</td>
<td>0.30±0.10</td>
<td>0.25±0.11</td>
<td>NS</td>
</tr>
<tr>
<td>$D(A-a)O_2$ kPa</td>
<td>6.2±0.9</td>
<td>4.3±1.5</td>
<td>&lt;0.05</td>
</tr>
</tbody>
</table>

Table 3. – Univariate analysis of postexposure (post) values for pulmonary function and cardiopulmonary exercise test parameters for the two groups, using the pre-exposure values (pre) as covariates

<table>
<thead>
<tr>
<th>Parameters</th>
<th>∆pre/post %</th>
<th>p-value‡</th>
<th>95% CI</th>
</tr>
</thead>
<tbody>
<tr>
<td>FVC % pred</td>
<td>-21.6 (−22)</td>
<td>&lt;0.05</td>
<td>60.3–95.7</td>
</tr>
<tr>
<td>FEV1/FVC % pred</td>
<td>-0.7 (−5)</td>
<td>NS</td>
<td>94.9–112.3</td>
</tr>
<tr>
<td>$T_{\text{L,CO}}$ % pred</td>
<td>-2.1 (−3)</td>
<td>NS</td>
<td>61.8–79.2</td>
</tr>
<tr>
<td>TLC % pred</td>
<td>-5.1 (−1)</td>
<td>NS</td>
<td>74.2–84.1</td>
</tr>
<tr>
<td>$W'W$</td>
<td>-1.5 (−1)</td>
<td>NS</td>
<td>77.9–116.8</td>
</tr>
<tr>
<td>$V'O_2\text{,L-min}^{-1}$</td>
<td>-0.25 (−12)</td>
<td>NS</td>
<td>84.4–134</td>
</tr>
<tr>
<td>BR % MVV</td>
<td>+3.8 (42)</td>
<td>&lt;0.01</td>
<td>52.2–89.0</td>
</tr>
<tr>
<td>$Vd/VT$</td>
<td>-0.5 (−1)</td>
<td>NS</td>
<td>36.5–46.4</td>
</tr>
<tr>
<td>$D(A-a)O_2$ kPa</td>
<td>+1.85 (30)</td>
<td>&lt;0.01</td>
<td>5.41–10.58</td>
</tr>
</tbody>
</table>

‡: within group significance. Data are presented as difference (Δ) between pre- and postexposure, with percentage in parenthesis, and a 95% confidence interval (95% CI) for postexposure values. For definitions see legends to table 1 and 2.
Group 2) had a pathological \(D(A-a)O_2\) during exercise before the exposure test. All Group 1 individuals showed a pathological \(D(A-a)O_2\) during exercise in the post-exposure test. Six asymptomatic farmers (40% of Group 2) also showed a widening of \(D(A-a)O_2\) during exercise postexposure (5.3–7.9 kPa), although the decrease in \(P_{a}O_2\) did not exceed 1.1 kPa compared to the pre-exposure value. Before exposure, the two groups had similar values for \(\Delta V/\Delta T\). Postexposure \(\Delta V/\Delta T\) in patients with farmer’s lung was increased (Group 1 vs Group 2 0.36±0.04 vs 0.25±0.03, respectively; \(p<0.05\)). Additionally, Group 1 showed a diminished breathing reserve before exposure (Group 1 vs Group 2 57±5 vs 42±2% of MVV, respectively; \(p<0.01\)); and even more pronounced after exposure (71±8 vs 41±2% of MVV, respectively; \(p<0.001\)).

**Discussion**

Our study demonstrates that, following exposure to mouldy hay, patients with a history of farmer’s lung show an increased \(D(A-a)O_2\) and \(\Delta V/\Delta T\) during exercise. In addition, a ventilation-perfusion mismatch developed. Furthermore, patients with episodes of farmer’s lung had a decreased breathing reserve and a greater \(D(A-a)O_2\) at baseline. Finally, a considerable number of asymptomatic farmers demonstrated a pathological increase of \(D(A-a)O_2\) during exercise after exposure.

In some cases of suspected hypersensitivity pneumonitis, an inhalation provocation test is performed to secure the diagnosis. At present, there are no generally accepted criteria that define a positive “alveolar” response induced by exposure [17]. The characteristic findings that may be expected in association with positive alveolar reactions are a restrictive ventilatory defect and a decreased transfer factor, but both ventilation and transfer factor may remain apparently unchanged [15, 18–20]. In the study by Hendrick et al. [20], measurement of minute ventilation during exercise proved to be the most useful parameter, having a sensitivity of 85%.

It is generally thought that lung fibrosis is preceded by an alveolitis, i.e. an infiltration of the alveoli and interstitium with inflammatory cells [3, 21–27]. Under such circumstances, the alveoli are filled with substrates which reduce the diffusing capacity for \(O_2\). In the present study, patients with farmer’s lung developed considerable changes in \(D(A-a)O_2\) following exposure to hay dust, i.e. after induction of an acute alveolitis. Several studies have indicated that ventilation-perfusion mismatch and shunt are responsible for most of the increased \(D(A-a)O_2\) during exercise [28–31]. As the perfusion rate during exercise increases in the lung units with inflammatory cell infiltrates, \(O_2\) in the gas space can no longer equilibrate with \(O_2\) in the red cell. Thus, hypoxaemia becomes more pronounced as the blood flow increases. In addition a ventilation-perfusion mismatch ensues with the consequence of an increased \(D(A-a)O_2\).

Diffusion limitation and low mixed venous oxygen tension also significantly contribute to this enlarged gradient. There is controversy regarding the relative importance of diffusion limitation in the widened alveolar-arterial gradient [28–31]. In the present study, we observed a reduced \(TL\cdot CO\) in patients with farmer’s lung postexposure, but there was no significant difference in \(TL\cdot CO\) between the two groups postexposure. However, the pathological \(D(A-a)O_2\) is not followed by a significant decrease of \(TL\cdot CO\) the measurement of diffusing capacity at rest is unable to detect early pulmonary damage. Forty per cent of asymptomatic farmers had a marked increase of \(D(A-a)O_2\) during exercise. This apparent discordance may reflect the observation that increased \(D(A-a)O_2\) during exercise is caused mainly by a mismatch of alveolar ventilation and perfusion.

The \(D(A-a)O_2\) progressively increases with exercise, reaching values of 2.7±4.0 kPa during maximal exercise in normal individuals [32, 33]. In the study by Hansen and Wasserman [33] of 77 normal and healthy active males, \(D(A-a)O_2\) values of 1.7 kPa at rest and 2.6 kPa during maximum exercise were found. During maximum exercise, \(D(A-a)O_2\) was greater than 4.7 kPa in only 3 of the 77 males (4%). In the present study, 40% of the asymptomatic farmers showed a pathological increase of \(D(A-a)O_2\) >4.7 kPa after exposure. This observation may be caused by a variety of factors, including \(O_2\) diffusion limitation, ventilation/perfusion (\(V/Q\)) mismatching and reduction in mixed venous \(O_2\) [32]. In patients with an interstitial lung disease \(V/Q\) mismatching remains by far the most important contributor to the total \(D(A-a)O_2\), although diffusion limitation may develop during exercise [32]. Thus, \(V/Q\) inequality as indicated by an elevated \(D(A-a)O_2\) may signify individuals at risk for developing a clinical form of hypersensitivity pneumonitis.

In addition to the widened \(D(A-a)O_2\), we observed an increased \(\Delta V/\Delta T\) during exercise in patients with farmer’s lung. Keogh and Crystal [21] suggested that measurement of gas exchange during exercise is the most sensitive test for the detection of functional impairment in patients with alveolitis, whereas transfer factor and arterial blood gas tension at rest bear little or no relation to the extent of the disease. This suggestion is based on study results showing that lung volumes roughly parallel the severity of lung fibrosis but bear no relation to the extent of alveolitis [21]. Compared to the asymptomatic farmers, before exposure the patients provided no changes in conventional lung function parameters but an increase in \(\Delta V/\Delta T\). This may reflect an inefficient ventilation pattern, and is one of the major factors responsible for the increased ventilatory requirement [31]. \(\Delta V/\Delta T\) depends on the pattern of breathing and values >0.3 indicate the presence of abnormal alveolar dead space high ventilation/perfusion units and maldistribution of blood flow [34, 35]. Since the maximum breathing frequency is not significantly different between the two groups, we believe that the influence of \(V/Q\) inhomogeneity within the lungs is important, possibly based on inflammatory processes at the alveolar level [11]. Our finding suggest a latent \(V/Q\) mismatch in symptomatic farmers even before exposure to mouldy hay. When the proportion of high \(V/Q\) units within the lung increases, VE increases to maintain \(P_{a}CO_2\). The increase in VE, however, is often inadequate to compensate for the increased \(\Delta V/\Delta T\).

Additionally, our patients with farmer’s lung demonstrated a difference concerning the breathing reserve pre- and postexposure, whereas asymptomatic farmers did not. Patients with significant lung fibrosis often display higher levels of VE during exercise than normal
subjects [28, 38]. The observed reduced breathing reserve is one of the criteria often used to establish ventilatory limitation during exercise [10]. This is largely due to increased Vd/VT, but other factors, such as input from mechanoreceptors, hypoxaemia, exhausted respiratory muscles and mediators of inflammation, may contribute [28, 36–40]. In view of the multiple mechanisms likely to be involved in ventilatory responses observed during exercise in interstitial lung disease, the reasons for this merit additional studies. Although pulmonary vasculitis has been reported in some biopsy specimens obtained very early during farmer's lung, it has not been present in the vast majority of cases [22].

In the present study, concerning acute alveolitis induced by mouldy hay exposure, D(A-a)O2 and Vd/VT were clearly different between the two groups, whereas lung function parameters, such as TLC and TLco, did not differ significantly between pre- and postexposure. Thus, it is possible that D(A-a)O2 and Vd/VT reflect the changes in gas exchange induced by an acute alveolitis more accurately than standard lung function parameters. It is noteworthy that in a study, comparing data immediately before and after exercise, which revealed greater V'/Q' inequality on exercise, no spirometric abnormalities could be demonstrated [28, 32]. Since the magnitude of D(A-a)O2 during exercise cannot be predicted either from transfer factor or all resting variables in a multilinear regression equation, cardiopulmonary exercise testing is an important addition to the evaluation of disease severity [8, 40]. Moreover, using cardiopulmonary exercise testing may make it possible to identify individuals with hypersensitivity pneumonitis at a very early stage of the disease. Our findings are similar to those of PAPPAS and NEWMAN [41] in a report on beryllium disease, and to those of MILLER et al. [42] in sarcoidosis patients. We are aware of the potential limitations of the present investigation, including the small numbers of individuals tested and possible influences of confounding factors, such as coexisting lung disease. Nevertheless, we observed remarkable changes in gas exchange and exercise parameters following antigen exposure.

In conclusion, our study showed significant changes in pulmonary gas exchange during exercise in this model of acute hypersensitivity pneumonitis. This would suggest that, in cases of suspected hypersensitivity pneumonitis, cardiopulmonary exercise testing could be a clinically meaningful procedure, in the routine programme as well as during an exposure test. For the definition of clear cut values that are diagnostic for an acute episode of hypersensitivity pneumonitis, further studies with greater sample sizes are mandatory. Asymptomatic farmers with an increased alveolar to arterial difference in oxygen tension during exercise postexposure should be monitored closely.

References


