

1 **CARDIOPULMONARY STRESS DURING EXERCISE TRAINING IN**
2 **PATIENTS WITH COPD**

3 *Vanessa S. Probst, PhD^{1,2}, Thierry Troosters, PhD^{1,2}, Fabio Pitta, PhD¹⁻³,*
4 *Marc Decramer, PhD^{1,2} and Rik Gosselink, PhD^{1,2}*

5
6 Respiratory Rehabilitation and Respiratory Division, University Hospitals,
7 Katholieke Universiteit Leuven, Leuven, Belgium¹; Department of
8 Rehabilitation Sciences, Faculty of Kinesiology and Rehabilitation Sciences,
9 Katholieke Universiteit Leuven, Leuven, Belgium²; and Department of
10 Physiotherapy, Universidade Estadual de Londrina, Londrina, Brazil³.

11

12 **Correspondence:**

13 Prof. Rik Gosselink

14 Respiratory Rehabilitation and Respiratory Division, University Hospital
15 Gasthuisberg, Herestraat 49, B-3000 Leuven, Belgium.

16 Phone: +32 16 346770 Fax: +32 16 347126

17 E-mail: Rik.Gosselink@uz.kuleuven.ac.be

18

19 **Supported by:** VSP is supported by KULeuven / Research Council
20 Scholarships Programme. TT is a postdoctoral fellow of the FWO-Vlaanderen.
21 FP is supported by CAPES/Brasil. Technical support by Viasys, MEDA,
22 Belgium.

23

24

25 **Running Head:** Cardiopulmonary stress and exercise in COPD

1 **Abstract**

2 **Background:** exercise training is an essential component of pulmonary
3 rehabilitation. However, the cardiopulmonary stress imposed during different
4 modalities of exercise training is not known yet. We measured the
5 cardiopulmonary stress of a 12-week exercise training program in 11 COPD
6 patients (FEV₁ 42±12%pred; age 69±6yrs). **Methods:** pulmonary gas
7 exchange and heart rate of 3 training sessions were measured with a portable
8 metabolic system at the beginning, midterm and end of the program.
9 Symptoms were assessed with Borg Scores. The exercise intensity was
10 compared to the recommendations for exercise training by the American
11 College of Sports Medicine (ACSM). **Results:** training effects were significant
12 (ΔW_{\max} 14±11 Watts, 6MWT 44±36 meters; p<0.05 for all). Whole body
13 exercises (cycling, walking and stair climbing) consistently resulted in higher
14 cardiopulmonary stress ($V'O_2$, $V'E$ and HR) than arm cranking and resistance
15 training (p<0.05). Dyspnea was higher during cycling than resistance training
16 (p<0.05). Patients exercised more than 70% (>20 minutes) of the total
17 exercise time above 40% of the $V'O_2$ reserve and HR reserve (“moderate”
18 intensity according to the ACSM) throughout the program. **Conclusions:**
19 Exercise training based on guidelines using a fixed percentage of baseline
20 peak performance and symptom scores achieves and sustains training
21 intensities recommended according to the ACSM. In addition, the applied
22 training program resulted in relatively homogeneous $V'O_2$ responses, while
23 HR response is much more variable. This would not incite for the use of HR to
24 guide training intensity in COPD. The cardiopulmonary stress during

- 1 resistance training is lower than during whole body exercise and results in
- 2 less symptoms.

1 **Introduction**

2

3 Pulmonary rehabilitation programs (PR) have consistently improved exercise
4 capacity, symptoms and quality of life of patients with chronic obstructive
5 pulmonary disease (COPD). Exercise training is an essential component of
6 PR¹ and endurance training is commonly used. Generally, the endurance type
7 of training applied during PR includes cycling and/or treadmill walking.
8 Programs including relatively high-intensity endurance training have proven to
9 be effective¹. However, in addition to walking and cycling, other exercise
10 modalities have been described during PR for COPD patients, e.g., resistance
11 training², callisthenics³, arm cranking⁴ and stair climbing⁵. In clinical
12 rehabilitation, a combination of several of these exercises, is often applied.
13 Although it is known that high intensity training leads to more desirable
14 physiological training effects than low intensity training⁶, the cardiopulmonary
15 stress imposed by different exercises are not known yet. In addition, training
16 intensity is conventionally set at a given fraction of an incremental peak
17 exercise response. Due to the relatively slow oxygen uptake kinetics of
18 patients with chronic diseases^{7:8} the actual metabolic load during somewhat
19 longer exercise bouts may well be underestimated.

20 To improve cardiorespiratory fitness, the guidelines for exercise testing and
21 prescription of the American College of Sports Medicine recommend, for
22 healthy individuals, to exercise 3 to 5 times a week, at 40-85% of the
23 maximum oxygen uptake or heart rate reserve for more than 20 minutes
24 continuously or in intervals⁹. Whether these recommendations are achieved
25 by patients with COPD is debated¹. It is unknown whether the exercise

1 training regimens applied in the context of PR programs are sufficient to
2 exercise COPD patients at recommended intensities for healthy individuals.
3 Moreover, the amount of time patients train at given intensities has not been
4 studied yet.

5 Therefore, we measured the cardiopulmonary stress of the different
6 components of an exercise training program in the context of pulmonary
7 rehabilitation in patients with moderate to severe COPD. In addition, we also
8 analysed if patients were able to exercise at intensities recommended by the
9 American College of Sports Medicine.

1 **Materials and methods**

2

3 *Study subjects*

4 Eleven consecutive patients visiting the outpatient clinic entered the study.

5 The following criteria were used for patient selection: (1) clinical diagnosis of

6 COPD; (2) stable condition at inclusion with no recent infection or

7 exacerbation; (3) remaining symptoms of dyspnea and reduced exercise

8 capacity despite optimal medical treatment; (4) no locomotor or neurological

9 condition or disability limiting the ability to exercise; (5) no need of

10 supplemental oxygen at rest nor during exercise due to the inability of the

11 equipment to measure $\dot{V}O_2$ while breathing inspiratory O_2 fractions higher

12 than 21%; (6) patients had to be able to attend the outpatient rehabilitation

13 center three times per week. Patients who met the inclusion criteria gave oral

14 informed consent to take part in the study. They performed testing at inclusion

15 and after 3 months of outpatient pulmonary rehabilitation. All tests were part of

16 the routine screening of COPD patients who enter a rehabilitation program

17 approved by the Medical Ethical Board of the University Hospitals Leuven.

18 The tests consist of measurement of pulmonary function, peripheral and

19 respiratory muscle strength, exercise capacity, and quality of life. Details of all

20 the performed tests have been described before⁴.

21

22 *Maximal Exercise Capacity*

23 Maximal exercise capacity was assessed by maximal cycle ergometry. The

24 test was performed on a magnetically-braked cycle ergometer (Ergoline 900,

25 Bitz, Germany) according to the standards of the ATS/ACCP statement on

1 Cardiopulmonary Exercise Testing¹⁰. After three minutes of unloaded
2 pedalling, patients cycled at an incremental work rate (+10W/min) until
3 exhaustion. Heart rate (HR) was monitored continuously by a 12 lead
4 electrocardiogram. Ventilation ($V'E$), oxygen uptake ($V'O_2$), and carbon
5 dioxide production ($V'CO_2$) were measured throughout the test. $V'O_{2max}$ was
6 referred to predicted values¹¹. On-line calculations of breath-by-breath $V'E$,
7 $V'O_2$, $V'CO_2$ and respiratory exchange ratio (RER) were obtained with the
8 VmaxST 1.0 (Viasys, MEDA, Belgium), which is a portable metabolic system.
9 The device is secured to the upper chest with a harness and due to its low
10 weight (650g), it has minimal discomfort. The volume measurement is done by
11 a turbine (Triple-V[®]). $V'O_2$ is analysed with an electro-chemical cell, and
12 $V'CO_2$ is measured by an infrared analyser. A similar model of this device has
13 been validated by Prieur et al.¹². A face mask with a dead space of <30 mL
14 (Hans Rudolph Inc, Kansas City MO/USA) was carefully adjusted to the
15 patients' face and checked for air leaks.

16

17 *Training Program*

18 The 12-week training program consisted of 1.5-hour training sessions which
19 patients attended 3 times per week. The circuit training, included cycling,
20 walking, leg press for lower extremity resistance training, arm cranking and
21 stair climbing. The training program is based on previous experience⁴ and
22 driven by symptoms' perception by the patient. Training intensity was set at
23 60% of the initial maximal work rate for ergometry cycling, at 75% of the
24 average walking speed during the 6MWT for treadmill walking and at 70% of
25 the one repetition maximum (1RM) (the maximum load which can be moved

1 only once over the full range of motion without compensatory movements) for
2 leg press resistance training. For walking, the average walking speed was
3 determined dividing the distance walked by the time (6 minutes) during the
4 6MWT performed at admission in the rehabilitation program. Arm cranking
5 and stair climbing (two-step chair) were performed in 2-minute blocks (1 to 3
6 sets). Physiotherapists increased patients' work rate or duration on a weekly
7 basis, guided by a schedule and Borg-symptom scores. A Borg score of 4-6
8 for dyspnea or fatigue was set as a target¹³. Close supervision was provided
9 during training, with a ratio of one physiotherapist for every four patients. In
10 addition to the exercises, patients also followed education sessions regarding
11 disease management and medication use. There was also individual
12 psychological and social counselling and nutritional and occupational therapy.

13

14 *Exercise training session assessments*

15 By the end of week 1, 6 and 12 of the PR program, patients performed one
16 exercise training session with the VmaxST. On-line breath-by-breath
17 measurement of $\dot{V}E$, tidal volume (V_T), $\dot{V}O_2$, $\dot{V}CO_2$, respiratory rate (RR)
18 and the ratio between inspiratory time and total cycle time (T_i/T_{tot}) were
19 recorded by the VmaxST throughout the training session. HR was assessed
20 by a heart rate monitor (Polar®, T31 Kempele, Finland). All variables were
21 recorded at rest (two minutes baseline) and during the whole exercise training
22 session, which was identical to the previous clinical rehabilitation session. The
23 session was supervised by a different physiotherapist, who was not involved
24 in the clinical management of the patient. The exercises were performed in a
25 fixed order. After two minutes of unloaded cycling as a warming up, patients

1 started the loaded cycling, which was followed by leg press resistance
2 training, treadmill walking, arm cranking and finally stair climbing. Sufficient
3 rest was assured after each exercise (patients rested until they felt able to
4 continue). Patients could move freely without discomfort, as the device has a
5 low weight. Pilot data showed that patients were not hindered in their exercise
6 performance by the device^{14;15}. The face mask could be removed during the
7 resting periods between exercises, if necessary.

8 Patients were asked to grade their symptoms of dyspnea and fatigue during
9 the exercises using the Modified Borg Score.

10

11 *Data handling*

12 Signals from the VmaxST were transmitted during the training session by
13 telemetry to a portable computer for online inspection of data quality. After the
14 test, stored data were downloaded from the VmaxST to ensure complete
15 breath-by-breath datasets and analysed in the software (Metasoft Version
16 1.9.0, 1998-2001).

17 In order to label the intensity at which patients were exercising, the
18 recommended exercise intensity according to the Guidelines of the American
19 College of Sports Medicine (ACSM) were applied to the cardiopulmonary
20 data. The ACSM recommends an intensity of exercise corresponding to 40%
21 to 85% of oxygen uptake reserve ($V'O_2R$) or heart rate reserve (HRR) to
22 increase and maintain cardiorespiratory fitness¹⁶. The $V'O_2R$ is calculated
23 from the difference between resting and maximum $V'O_2$. Similarly, HRR is the
24 difference between resting and maximum HR. The thresholds considered as
25 “moderate” and “hard” by the ACSM were used¹⁶. This means training

1 intensities above 40% (“moderate”) and 60% (“hard”) of the $V'O_2R$ and HRR.
2 To estimate the training intensity “moderate” and “hard”, 40% and 60% of this
3 value, respectively, is added to the resting $V'O_2$ and/or resting HR and is
4 expressed as a percentage of $V'O_2R$ or HRR. A typical formula reads as
5 follows: $V'O_2R = V'O_{2rest} + 0.6 (V'O_{2max} - V'O_{2rest})$.

6

7 *Statistical Analysis*

8 The Statistical Analysis System (SAS Institute, Cary, NC, USA) and the
9 GraphPad Prism 3.0 (GraphPad Software, San Diego, USA) were used for
10 data analysis.

11 Data were checked for normal distribution with the Kolmogorov-Smirnov test.
12 The effects of exercise training were analysed with paired t-test. The
13 cardiopulmonary measurements assessed in week 1, 6 and 12 were analysed
14 with the Repeated-measures ANOVA. If p value was lower than 0.05, the
15 Tukey’s post test was performed. For the symptom scores, the comparison
16 was done with the Friedman test. In this case, if p value was lower than 0.05,
17 the Dunn’s post test was performed¹⁷. Level of significance for all
18 comparisons was set at $p < 0.05$.

1 **Results**

2

3 The studied group (**Table 1**) showed moderate to severe airflow obstruction
4 and was classified within GOLD stages II (n=4), III (n=4) and IV (n=3). One
5 patient had an acute exacerbation and could not be reassessed in week 6.
6 Another patient withdrew from the rehabilitation program in week 12 for
7 personal reasons and could not perform the final exercise training session
8 with the VmaxST. However, all the other measurements after 12 weeks were
9 successfully performed by this patient. Although the assessments with the
10 VmaxST throughout the exercise training sessions were well tolerated by
11 patients, in week 12 two patients reported difficulties exercising while wearing
12 the equipment (6% of measurements).

13

14 *Training effect*

15 As expected, FEV₁ remained unaltered after 12 weeks of exercise training
16 (Δ FEV₁=0.02±0.18 L; p=0.41). V'O_{2max}, W_{max} and 6MWT increased
17 significantly after training (0.09±0.13 L/min, 14±11 Watts and 44±36 meters,
18 respectively; p<0.05 for all). There was no statistically significant difference in
19 isometric quadriceps force (Δ QF=5±16 Nm; p=0.25), despite a significant
20 increase of the training load of the leg press resistance training during the
21 program (Δ load=53±30 Kg; p=0.0001, which represents 43±26 % increase).
22 V'O₂, V'CO₂, V'E and HR at iso-work rate (100% of the initial maximal work
23 rate) decreased significantly after 12 weeks of exercise training (reductions of
24 7, 15, 9 and 7%, respectively; p<0.02 for all).

25

1

2 *Cardiopulmonary response and symptoms during the exercise training*
3 *program*

4 **Figure 1** shows the progression of the training load for cycling, leg press
5 resistance training and walking during the exercise training program. There
6 were patients who sustained high intensity training from the first week on and
7 were able to tolerate further increments in the exercise load during the
8 program. However, others improved their training load less than predicted
9 (**Figure 1**). Participation rate was 97%.

10 There was no difference in heart rate before starting a given exercise in week
11 1, 6 and 12 ($p=0.39$, 0.60 and 0.36 , respectively).

12 There was an overall increase in $V'O_2$, $V'E$ and HR during leg press and arm
13 cranking throughout the 12 week program ($p<0.05$ for both). $V'E$ during stair
14 climbing was also higher in week 12 ($p=0.02$). For the remaining exercises,
15 despite significant increase in work rate, $V'O_2$, $V'E$ and HR remained
16 unchanged over time. Symptoms reported during exercises did not change
17 throughout the program, except for an increased dyspnea during arm cranking
18 ($p<0.05$) and an increase in fatigue during walking ($p=0.02$) at the end of the
19 program.

20 $V'O_2$, $V'E$ and HR responses of a representative patient during a training
21 session in week 1 are illustrated on **Figure 2**. This patient (male, 51 kg, FEV_1
22 0,88 L) cycled at 55 Watts (69% W_{max}) for ten minutes, performed leg press
23 resistance training (3 sets of 8 repetitions) with 110Kg (70% 1RM), walked on
24 the treadmill with a speed of 4.2 Km/h (74% $6MWT_{speed}$) for ten minutes, and
25 performed arm cranking and stair climbing for two minutes each. The values

1 of $V'O_2$, $V'E$ and HR achieved at the end of the different exercises by this
2 patient were close to maximal values obtained during the initial incremental
3 exercise test.

4 The average cardiopulmonary stress imposed by the different exercise
5 modalities throughout the training program is shown in **Table 2**. Relatively
6 high intensity exercise in terms of $V'O_2$, $V'E$ and HR was reached from the first
7 week on. The cardiopulmonary responses were lower during arm exercises
8 (arm cranking) and resistance training (leg press) when compared to whole
9 body exercises (cycling, walking and stair climbing) (Table 2). Similarly to
10 $V'O_2$, $V'E$ and HR, symptoms of dyspnea reported by patients were
11 consistently lower during leg press when compared to cycling ($p<0.05$ week 1,
12 6 and 12). In terms of fatigue sensation, leg press induced less fatigue than
13 cycling at week 12 ($p<0.01$).

14 The ventilatory equivalent for oxygen ($V'E/V'O_2$) was systematically lower
15 during walking when compared to cycling during the program ($p<0.001$). Note
16 that $V'O_2$ was not different between these two exercises. The respiratory
17 exchange ratio (RER) was also higher during cycling when compared to
18 walking in week 1 and 12 ($p<0.0001$).

19

20 *Exercise time and thresholds of exercise intensity*

21 The duration of the trainings increased over time and were 66 ± 7 , 81 ± 7 and
22 80 ± 13 minutes in week 1, 6 and 12, respectively (ANOVA, $p=0.02$). The
23 corresponding exercise time was 30 ± 3 minutes in week 1, 38 ± 4 minutes in
24 week 6 and 36 ± 10 minutes in week 12 (ANOVA, $p=0.03$). This represents 46,
25 47 and 45% (week 1, 6 and 12, respectively) of the training session.

1 **Figure 3** shows the total exercise time as well as the time above the
2 thresholds considered “moderate” and “hard” ($\geq 40\%$ and $\geq 60\%$ of $V'O_2R$ or
3 HRR, respectively) by the ACSM in week 1, 6 and 12. Patients spent more
4 than 80% of the total exercise time above 40% of $V'O_2R$ (“moderate”
5 threshold) ($88\pm 7\%$, $89\pm 7\%$ and $84\pm 9\%$ in week 1, 6 and 12, respectively)
6 (ANOVA, $p=0.18$). Concerning the HRR, patients achieved “moderate”
7 intensities for more than 70% of the total exercise time ($76\pm 29\%$, $71\pm 22\%$ and
8 $83\pm 23\%$ in week 1, 6 and 12, respectively) ($p=0.36$) (**Figure 3A**). For the
9 threshold considered “hard” ($\geq 60\%$ of $V'O_2R$ or HRR), patients spent $67\pm 25\%$
10 of the total exercise time above this intensity in terms of $V'O_2R$ in week 1 and
11 $75\pm 15\%$ in week 6 and 12 (ANOVA, $p=0.33$). Slightly less time was spent
12 above the intensity “hard” in terms of HRR; $51\pm 42\%$ in week 1, $48\pm 30\%$ in
13 week 6 and $64\pm 34\%$ in week 12) (ANOVA, $p=0.26$) (**Figure 3B**).

14 There was poor agreement between the thresholds based on the $V'O_2R$ in
15 comparison to those based on the HRR throughout the program. An example
16 of this lack of concordance between these two thresholds of exercise intensity
17 is shown in **Figure 4**.

1 **Discussion**

2

3 The present study showed that the applied exercise training program enabled
4 the studied group to achieve and sustain training intensities that are well
5 within the limits of what is proposed to be effective for healthy adults
6 according to the ACSM. In addition, resistance training (leg press) resulted in
7 less cardiopulmonary stress when compared to whole body exercises. Lastly,
8 we showed a poor concordance between intensity based on $V'O_2R$ and heart
9 rate reserve, *i.e.*, an acceptable training intensity in terms of $V'O_2R$ was
10 reached at a heart rate which would be considered too low.

11

12 *Work rate progression and whole body exercises*

13 Although guidelines were given for work rate progression, this progress was
14 variable among patients due to symptoms (**Figure 1**). The work rate progress
15 patients presented during the training may partially have been the result of an
16 improvement in movement efficiency as we observed relatively larger increase
17 in training load (intensity and duration) than in $V'O_2$. Milani et al.¹⁸ have
18 previously shown that COPD patients improve mechanical efficiency to a
19 larger extent than $V'O_2$ after exercise training.

20 For most of the patients, the intensity achieved during cycling, walking and
21 stair climbing was high enough to produce oxygen consumption and
22 ventilation close to maximal responses achieved in the baseline incremental
23 cycling. Although the three whole body activities (cycling, walking and stair
24 climbing) resulted in similar cardiopulmonary responses, they have different
25 characteristics and were performed in different ways. During cycling, most of

1 the patients performed interval training (5 to 8 sets of 2-minute blocks). This is
2 a common approach to be able to train at relatively high work rates. Others
3 have shown that COPD patients achieve higher work rates during interval
4 training when compared to continuous training¹⁹. During treadmill walking,
5 most of the patients tolerated longer blocks of continuous exercise, even with
6 increments in walking speed and duration. This is probably due to the
7 differences between these two exercise modalities. In comparison to cycling,
8 walking has been shown to be less fatiguing and to produce less lactate at
9 similar $V'O_2$ levels²⁰⁻²². Hence, patients may exercise longer. During cycling,
10 more lactate is produced which results in a continuous rise in ventilation (the
11 so-called slow phase of ventilatory rise at iso-load)²¹. In order to cope with the
12 increasing ventilatory stress, the exercise blocks (especially during cycling)
13 were divided into shorter blocks. Although we did not measure lactate in the
14 present study, the higher RER and $V'E/V'O_2$ during cycling compared to
15 walking are suggestive of more lactate production. In addition, other
16 differences during cycling and walking, such as more hypoxemia^{22;23} and
17 increased dead space²² may also have influenced the performance of these
18 exercises.

19 During stair climbing, patients also stepped in short blocks (1 to 3 sets of 2-
20 minute blocks). This activity resulted in similar levels of $V'O_2$, $V'E$, HR and
21 symptoms, as cycling and walking. The profile of stair climbing is, however,
22 different, as patients reach peak exercise responses very quickly. Therefore, it
23 is difficult, if not impossible, to sustain this activity for longer periods with the
24 current applied pace (around 22 steps/min) and step height (21 cm). The fixed
25 order of the exercises, with stair climbing being the last one, could potentially

1 have led to a gradual increase in the cardiopulmonary stress and may have
2 increased kinetics of the cardiopulmonary adaptation²⁴. However, patients
3 were able to rest for long periods before starting a given exercise, which
4 allowed heart rate to decrease sufficiently after each activity. Furthermore,
5 others have shown the high cardiopulmonary stress imposed by stair climbing.
6 Previous studies showed that in patients with chronic airflow obstruction²⁵ and
7 chronic heart failure²⁶ stair climbing represents strenuous exercise, eliciting
8 physiologic responses similar to cycle ergometry and treadmill tests.

9

10 *Arm exercise and Resistance training*

11 The cardiopulmonary stress imposed by arm exercise and resistance training
12 was lower when compared to whole body exercises (cycling, walking, and
13 stair climbing). Carter and co-workers²⁷ found reduced work capacity for arms
14 compared to legs in COPD patients. This is a consequence of the smaller
15 muscle mass involved during arm exercises. However, although the
16 cardiopulmonary response was lower during arm cranking in comparison to
17 cycling, there was no difference in symptoms of dyspnea. This may be due to
18 the features of the pulmonary disease which may also hinder the performance
19 of arm work, such as dyssynchronous breathing²⁸, involvement of inspiratory
20 muscles of the rib cage in upper torso and arm positioning²⁹, nutritional
21 status³⁰ and dynamic hyperinflation³¹.

22 For leg press resistance training, in addition to the lower cardiopulmonary
23 stress, patients also experienced less dyspnea in comparison to cycling.
24 Richardson et al. showed that exercising the quadriceps muscle alone (knee
25 extensor exercise) resulted in lower peak values of $\dot{V}O_2$, $\dot{V}E$ and HR and less

1 symptoms of dyspnea when compared to cycling³². In addition, the lower
2 cardiopulmonary stress achieved during leg press can also be attributed to the
3 average duration of this exercise. The exercise time for leg press was 2
4 minutes throughout the training (3 sets of 8 repetitions), what may also have
5 contributed to the lower cardiopulmonary response. The reduced sensation of
6 dyspnea during leg press is not surprising, since during resistance training,
7 patients are not usually limited by the central cardiorespiratory limitations
8 commonly present in COPD. This will result in less symptoms, and
9 consequently, patients are able to achieve significantly higher relative work
10 rates^{32;33}. Although patients presented an increase in load during quadriceps
11 resistance training, this progression was lower than what was anticipated
12 based on experience in healthy elderly^{34;35}. However, the load progression
13 observed in the present study was similar to what was achieved in other
14 studies including COPD patients which showed a significant effect on lower
15 limb muscle force^{2;5}.

16

17 *Exercise time and thresholds of exercise intensity*

18 In the present study, patients spent less than half of the total time of the
19 training session executing the prescribed exercises. This was due to the
20 characteristics of most of the exercises performed (intermittent) and the
21 relatively long periods of rest patients needed in order to recover after each
22 exercise. Intermittent exercise has been shown to be time consuming³⁶. In
23 addition, Lacasse et al.³⁷ showed that COPD patients have a lower heart rate
24 recovery after exercise when compared to healthy subjects, requiring longer
25 rest periods.

1 There was a higher variability in exercise time in week 12. This variability was
2 mainly added to the data since two patients could not perform the exercises
3 as planned due to complaints such as dyspnea on the day of the assessment.
4 The thresholds of exercise intensity achieved in this study are those proposed
5 by the ACSM to improve cardiorespiratory fitness. Exercises should be
6 performed 3 to 5 times a week at the intensity within 40-85% of the $\dot{V}O_2R$ or
7 HRR for more than 20 (or at lower intensity preferably 30) minutes
8 continuously or in intervals⁹. In the present study, patients succeeded in
9 achieving these thresholds, as they exercised 3 times a week at more than
10 40% of the $\dot{V}O_2R$ (which corresponded to 55% of baseline $\dot{V}O_{2max}$) and HRR
11 (corresponding to 84% of baseline HR_{max}) for more than 20 minutes
12 (considered as “moderate” intensity by the ACSM). When higher intensities
13 were set ($\geq 60\%$ of the $\dot{V}O_2R$ and HRR; ACSM’s “hard” intensity), we
14 observed that the time patients were exercising at such intensities was lower.
15 However, patients still remained more than 20 minutes above the threshold
16 “hard” concerning $\dot{V}O_2R$ (corresponding to 70% of baseline $\dot{V}O_{2max}$). In terms
17 of HRR, patients only exercised at $\geq 60\%$ of the HRR (which corresponded to
18 89% of baseline HR_{max}) for more than 20 minutes in week 12. In the present
19 study, training intensities target following published guidelines and symptoms
20 resulted in a homogeneous response of thresholds based on $\dot{V}O_2$. Much
21 more variable, however, was the response based on HR. The poor agreement
22 between time spent above the thresholds based on $\dot{V}O_2$ and HR may be
23 related to different factors. The differences between the HR and $\dot{V}O_2$ kinetics
24 might be one of them. It has been shown in COPD that HR kinetics is slower
25 when compared to the $\dot{V}O_2$ kinetics during exercise⁸. In addition, ventilatory

1 limitation to exercise is often present in patients with COPD. Consequently, it
2 is common that patients stop exercising before reaching their cardiocirculatory
3 limits³⁸. These features indicate that heart rate may not be an appropriate
4 target to set exercise intensity to COPD patients. This has been previously
5 suggested by others³⁹.

6

7 *Limitations of the study*

8 Patients who needed oxygen supplementation could not be included in the
9 study. This was due to the inability of the VmaxST equipment to measure
10 $\dot{V}O_2$ while breathing inspiratory O_2 fractions above 21% (room air). Therefore,
11 the present results may not be readily extrapolated to the more severe
12 patients. However, since leg press resistance training elicited less $\dot{V}E$ and
13 dyspnea than whole body exercise in the present study, we may expect that
14 the more severe patients may especially benefit from this type of exercise.
15 These patients usually have dynamic hyperinflation³¹ and ventilatory
16 limitations are more apparent, hence, small muscle group training may be
17 especially interesting in this population.

18 The present study does not allow to identify the minimal training intensity at
19 which COPD patients should exercise in order to achieve physiological
20 training effects. We rather investigated the cardiopulmonary stress imposed
21 during clinical rehabilitation at a given fraction of the peak work rate.

22 The small sample size could be considered a limitation since it may hinder the
23 generalization of the results. Specifically, we did not include severe oxygen-
24 dependent COPD patients in our study. In addition, although the exercise
25 training applied to the COPD patients is rather similar among centres, there

1 might be differences in the programmes which may result in different
2 cardiopulmonary stress. Nevertheless, our data strongly support the relatively
3 high cardiopulmonary stress of whole body exercise training in COPD.

4

5 In summary, the results of the present study provide new insight into training
6 intensity imposed to COPD patients with conventional exercise training
7 programs, since the majority of the patients trained at relatively high work
8 rates. Without knowing the results of the metabolic assessments during the
9 training, physiotherapists were able to keep patients at high intensity training
10 based on guidelines using a fixed percentage of baseline peak performance
11 further guided by symptoms scores. The patients with moderate to severe
12 airflow obstruction included in the study tolerated relatively high intensity
13 training and obtained significant improvements in exercise capacity after
14 completion of the program. The cardiopulmonary stress during resistance
15 training is, as expected, lower than during whole body exercise and results in
16 less symptoms. The applied exercise training program enabled the studied
17 group to achieve and sustain training intensities that are recommended
18 according to the American College of Sports Medicine. Exercise training
19 based on guidelines using a fixed percentage of baseline peak performance
20 and symptom scores seems to result in relatively homogeneous $V'O_2$
21 response, while HR response is much more variable. This would not incite for
22 the use of HR to guide training intensity in COPD.

1 **Acknowledgements**

2

3 The authors would like to thank the following professionals for the
4 fundamental help in the assessments: Iris Coosemans, Veronica Barbier, Ilse
5 Muyllaert, Alix Debrock, Monique van Vliet, Gisele Maury, Elisa Libaert, Lukas
6 Vandromme and the Lung Function staff of the Respiratory Department from
7 UZ Gasthuisberg, Leuven, Belgium.

8

1 Table 1. Baseline characteristics.

Gender (m/f)	10/1
Age (yrs)	69±6
BMI (Kg·m ⁻²)	27±7
FEV ₁ (%pred)	42±12
TL _{CO} (%pred)	59±19
6MWT (%pred)	74±18
QF (%pred)	74±11
W _{max} (%pred)	54±17
V'O _{2max} (%pred)	64±33
V'E _{max} (%MVV)	92±26
HR _{max} (%pred)	79±15

2

3 Data are presented as mean±SD. m: male; f: female; BMI: body mass index;
 4 FEV₁: forced expiratory volume in one second; TL_{CO}: diffusion capacity for
 5 carbon monoxide; 6MWT: six minute walking test; QF: quadriceps force;
 6 W_{max}: baseline maximal workload; VO_{2max}: baseline maximum oxygen uptake;
 7 V'E_{max}: baseline maximum minute ventilation; HR_{max}: baseline maximum heart
 8 rate; MVV: maximal voluntary ventilation.

9

10

- 1 Table 2. Average time, $V'O_2$, $V'E$ and HR for all exercises from one training
 2 session in week 1, 6 and 12.

		Cycling	Leg press	Walking	Arm cranking	Stair climbing
Time (min)	wk 1	11±1	2.1±0.7	11±1	2.6±1.0	3.3±1.4
	wk 6	14±0	1.9±0.4	14±1	4.2±0.7	4.3±2.1
	wk 12	14±3	1.8±0.5	12±5	4.5±1.4	4.7±1.8
$V'O_2$ (l/min)	wk 1	0.92±0.25	0.62±0.10 †	0.98±0.32	0.71±0.19 †	0.95±0.29
	wk 6	0.97±0.25	0.68±0.11 ††	1.08±0.35	0.85±0.16 †	0.97±0.29
	wk 12	0.98±0.22	0.76±0.18 †	1.12±0.27	0.90±0.15 †	1.0±0.22
$V'E$ (l/min)	wk 1	31±7	22±3 †	32±8	27±7 †	31±8
	wk 6	33±6	25±3 ††	35±8	30±6 *	33±7
	wk 12	33±6	26±5 ††	36±8	31±7 **	36±9
HR (bpm)	wk 1	106±15	99±16 ††	109±14	108±15 #	107±15
	wk 6	105±19	99±17 ††	112±21	113±17 #	109±18
	wk 12	109±21	104±20 °	115±19	117±20 #	113±20

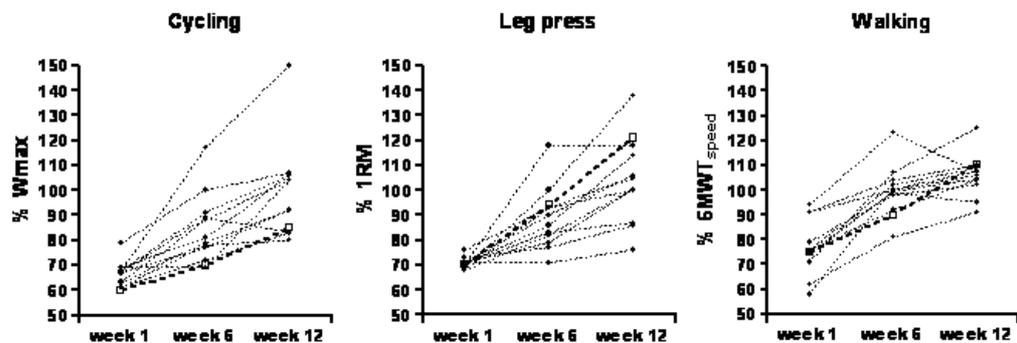
- 3 Data are expressed as mean±SD. $V'O_2$: mean oxygen uptake; $V'E$: mean
 4 minute ventilation; HR: mean heart rate (for the last three, during the exercise
 5 bouts); †p<0.05 versus cycling, walking and stair climbing; ††p<0.05 versus
 6 cycling, walking, arm cranking and stair climbing; °p<0.05 versus walking, arm
 7 cranking and stair climbing; *p<0.05 versus walking; **p<0.05 versus walking
 8 and stair climbing; #p<0.05 versus leg press.

1 **Legends figures**

2

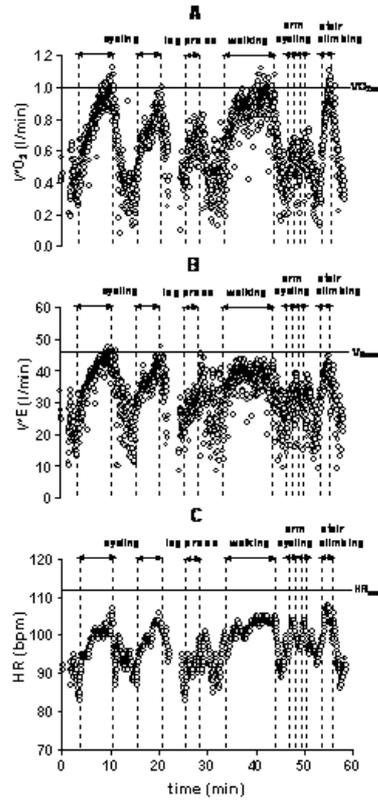
3 Figure 1: Work rate progression of cycling, leg press resistance training and
4 treadmill walking during the exercise training program. The individual
5 progression of each patient is shown in the figure (dashed lines). The bold
6 dashed lines indicate the progression that was anticipated. W_{max} : baseline
7 maximal work rate; 1RM: baseline one repetition maximum (the maximum
8 load which can be moved only once over the full range of motion without
9 compensatory movements); 6MWT: baseline six minute walking test.

10



11

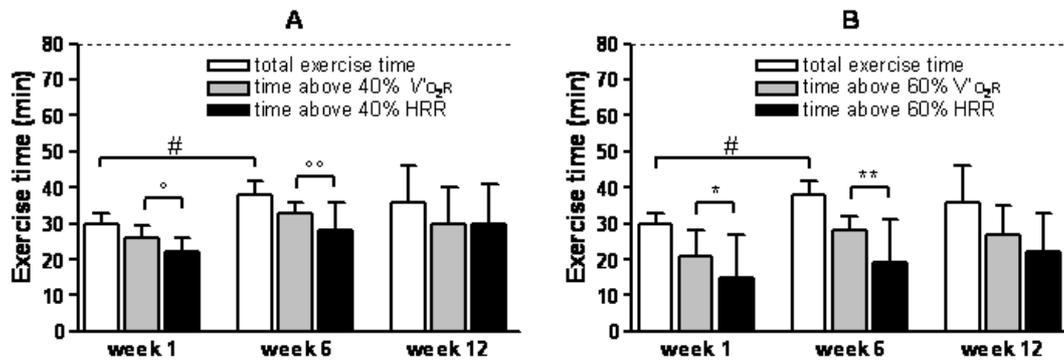
12 Figure 2: $V'O_2$ (A), $V'E$ (B) and HR (C) in a representative patient during one
13 exercise session in week 1. The straight lines indicate the $V'O_{2max}$ (A), $V'E_{max}$
14 (B) and HR_{max} (C) achieved during baseline incremental exercise in this
15 patient.



1

2

3 Figure 3: Total exercise time performed in week 1, 6 and 12 (open bars) and
 4 time above the thresholds “moderate” ($\geq 40\%$ of $V'O_2R$ or HRR) (A) and “hard”
 5 ($\geq 60\%$ of $V'O_2R$ or HRR (B). Data are expressed as mean \pm SD. The increase
 6 in exercise time is indicated in the figures ($\#p < 0.05$). The dashed lines
 7 indicate the average duration of the training sessions (exercise time + resting
 8 time). $^{\circ}p = 0.12$; $^{\circ\circ}p = 0.11$; $*p = 0.059$; $**p = 0.054$.

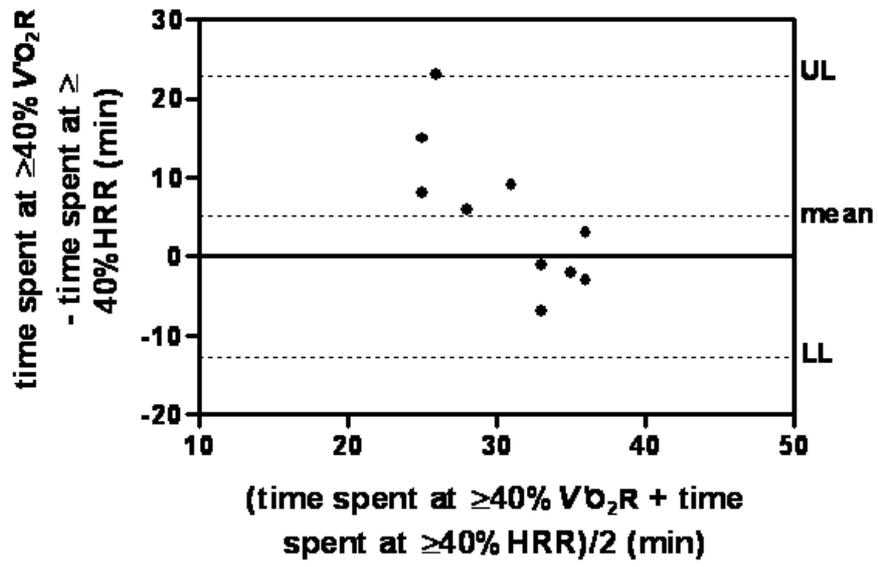


1

2

3 Figure 4: Bland & Altman plot showing the poor agreement between time
 4 spent in exercises above 40% of the $\dot{V}'O_{2R}$ and above 40% of the HRR. UL=
 5 upper limit (mean + (1.96*standard deviation)); LL= lower limit (mean -
 6 (1.96*standard deviation)).

week 6



- 1
- 2
- 3
- 4
- 5
- 6
- 7
- 8
- 9

References

1. Troosters T, Casaburi R, Gosselink R, Decramer M. Pulmonary rehabilitation in chronic obstructive pulmonary disease. *Am J Respir Crit Care Med* 2005; 172: 19-38.
2. Ortega F, Toral J, Cejudo P *et al.* Comparison of effects of strength and endurance training in patients with chronic obstructive pulmonary disease. *Am.J Respir.Crit Care Med.* 2002; 166: 669-74.
3. Normandin EA, McCusker C, Connors M, Vale F, Gerardi D, ZuWallack RL. An evaluation of two approaches to exercise conditioning in pulmonary rehabilitation. *Chest* 2002; 121: 1085-91.
4. Troosters T, Gosselink R, Decramer M. Short and long term effects of outpatient rehabilitation in patients with chronic obstructive pulmonary disease: a randomized trial. *Am.J.Med.* 2000; 109: 207-12.
5. Spruit MA, Gosselink R, Troosters T, De Paepe K, Decramer M. Resistance versus endurance training in patients with COPD and peripheral muscle weakness. *Eur.Respir.J.* 2002; 19: 1072-78.
6. Puente-Maestu L, Sanz ML, Sanz P, Ruiz de Ona JM, Rodriguez-Hermosa JL, Whipp BJ. Effects of two types of training on pulmonary and cardiac responses to moderate exercise in patients with COPD. *Eur Respir J* 2000; 15: 1026-32.
7. Neder JA, Jones PW, Nery LE, Whipp BJ. Determinants of the exercise endurance capacity in patients with chronic obstructive pulmonary disease. The power-duration relationship. *Am J Respir Crit Care Med* 2000; 162: 497-504.
8. Puente-Maestu L, Sanz ML, Sanz P, Nunez A, Gonzalez F, Whipp BJ. Reproducibility of the parameters of the on-transient cardiopulmonary responses during moderate exercise in patients with chronic obstructive pulmonary disease. *Eur J Appl.Physiol* 2001; 85: 434-41.
9. American College of Sports Medicine position stand. The recommended quantity and quality of exercise for developing and maintaining cardiorespiratory and muscular fitness in healthy adults. *Med.Sci.Sports Exerc.* 1998; 30: 975-91.
10. ATS/ACCP Statement on cardiopulmonary exercise testing. *Am J Respir Crit Care Med* 2003; 167: 211-77.
11. Jones NL, Makrides L, Hitchcock C, Chypchar T, McCartney N. Normal standards for an incremental progressive cycle ergometer test. *Am.Rev.Respir.Dis.* 1985; 131: 700-708.
12. Prieur F, Castells J, Denis C. A methodology to assess the accuracy of a portable metabolic system (VmaxST). *Med.Sci.Sports Exerc.* 2003; 35: 879-85.

- 1 13. Horowitz MB, Littenberg B, Mahler DA. Dyspnea ratings for prescribing
2 exercise intensity in patients with COPD. *Chest* 1996; 109: 1169-75.
- 3 14. Probst VS, Troosters T, Coosemans I *et al.* Mechanisms of improvement
4 in exercise capacity using a rollator in patients with COPD. *Chest* 2004;
5 126: 1102-7.
- 6 15. Probst VS, Troosters T, Pitta F, Spruit MA, Coosemans, I., Barbier, V.,
7 Decramer M, and Gosselin, R. Metabolic stress during exercise training in
8 COPD. Proceedings of the American Thoracic Society (abstracts issue) 2,
9 A315. 2005.
- 10
11 16. *ACSM's Guidelines for Exercise Testing and Prescription*. American
12 College of Sports Medicine, 2005.
- 13 17. Motulsky H. *Analyzing Data with GraphPad Prism*. GraphPad Software
14 Inc., San Diego CA, www.graphpad.com, 1999.
- 15 18. Milani RV, Lavie CJ. Disparate effects of out-patient cardiac and
16 pulmonary rehabilitation programs on work efficiency and peak aerobic
17 capacity in patients with coronary disease or severe obstructive
18 pulmonary disease. *J Cardiopulm.Rehabil.* 1998; 18: 17-22.
- 19 19. Vogiatzis I, Nanas S, Roussos C. Interval training as an alternative
20 modality to continuous exercise in patients with COPD. *Eur.Respir.J* 2002;
21 20: 12-19.
- 22 20. Pepin V, Saey D, Whittom F, LeBlanc P, Maltais F. Walking versus
23 Cycling: Sensitivity to Bronchodilation in Chronic Obstructive Pulmonary
24 Disease. *Am J Respir Crit Care Med* 2005; 172: 1517-22.
- 25 21. Troosters T, Vilaro J, Rabinovich R *et al.* Physiological responses to the
26 6-min walk test in patients with chronic obstructive pulmonary disease.
27 *Eur.Respir.J.* 2002; 20: 564-69.
- 28 22. Palange P, Forte S, Onorati P, Manfredi F, Serra P, Carlone S. Ventilatory
29 and metabolic adaptations to walking and cycling in patients with COPD. *J*
30 *Appl.Physiol* 2000; 88: 1715-20.
- 31 23. Christensen CC, Ryg MS, Edvardsen A, Skjonsberg OH. Effect of
32 exercise mode on oxygen uptake and blood gases in COPD patients.
33 *Respir.Med.* 2004; 98: 656-60.
- 34 24. Gerbino A, Ward SA, Whipp BJ. Effects of prior exercise on pulmonary
35 gas-exchange kinetics during high-intensity exercise in humans.
36 *J.Appl.Physiol* 1996; 80: 99-107.
- 37 25. Pollock M, Roa J, Benditt J, Celli B. Estimation of ventilatory reserve by
38 stair climbing. A study in patients with chronic airflow obstruction. *Chest*
39 1993; 104: 1378-83.

- 1 26. Reddy HK, McElroy PA, Janicki JS, Weber KT. Response in oxygen
2 uptake and ventilation during stair climbing in patients with chronic heart
3 failure. *Am J Cardiol.* 1989; 63: 222-25.
- 4 27. Carter R, Holiday DB, Stocks J, Tiep B. Peak physiologic responses to
5 arm and leg ergometry in male and female patients with airflow
6 obstruction. *Chest* 2003; 124: 511-18.
- 7 28. Celli BR, Rassulo J, Make BJ. Dyssynchronous breathing during arm but
8 not leg exercise in patients with chronic airflow obstruction. *N.Engl.J Med*
9 1986; 314: 1485-90.
- 10 29. Celli B, Criner G, Rassulo J. Ventilatory muscle recruitment during
11 unsupported arm exercise in normal subjects. *J Appl.Physiol* 1988; 64:
12 1936-41.
- 13 30. Schols AM, Slangen J, Volovics L, Wouters EF. Weight loss is a
14 reversible factor in the prognosis of chronic obstructive pulmonary
15 disease. *Am J Respir Crit Care Med* 1998; 157: 1791-97.
- 16 31. Epstein SK, Celli BR, Williams J, Tarpay S, Roa J, Shannon T. Ventilatory
17 response to arm elevation. Its determinants and use in patients with
18 chronic obstructive pulmonary disease. *Am J Respir Crit Care Med* 1995;
19 152: 211-16.
- 20 32. Richardson RS, Sheldon J, Poole DC, Hopkins SR, Ries AL, Wagner PD.
21 Evidence of skeletal muscle metabolic reserve during whole body
22 exercise in patients with chronic obstructive pulmonary disease. *Am.J*
23 *Respir.Crit Care Med.* 1999; 159: 881-85.
- 24 33. Richardson RS, Leek BT, Gavin TP *et al.* Reduced mechanical efficiency
25 in chronic obstructive pulmonary disease but normal peak VO₂ with small
26 muscle mass exercise. *Am.J.Respir.Crit Care Med.* 2004; 169: 89-96.
- 27 34. Frontera WR, Meredith CN, O'Reilly KP, Knuttgen HG, Evans WJ.
28 Strength conditioning in older men: skeletal muscle hypertrophy and
29 improved function. *J Appl.Physiol* 1988; 64: 1038-44.
- 30 35. Evans WJ. Exercise training guidelines for the elderly. *Med Sci.Sports*
31 *Exerc.* 1999; 31: 12-17.
- 32 36. Sabapathy S, Kingsley RA, Schneider DA, Adams L, Morris NR.
33 Continuous and intermittent exercise responses in individuals with chronic
34 obstructive pulmonary disease. *Thorax* 2004; 59: 1026-31.
- 35 37. Lacasse M, Maltais F, Poirier P *et al.* Post-exercise heart rate recovery
36 and mortality in chronic obstructive pulmonary disease. *Respir Med* 2005;
37 99: 877-86.
- 38 38. Plankeel JF, McMullen B, MacIntyre NR. Exercise outcomes after
39 pulmonary rehabilitation depend on the initial mechanism of exercise

1 limitation among non-oxygen-dependent COPD patients. *Chest* 2005;
2 127: 110-116.

3 39. Brolin SE, Cecins NM, Jenkins SC. Questioning the use of heart rate and
4 dyspnea in the prescription of exercise in subjects with chronic obstructive
5 pulmonary disease. *J.Cardiopulm.Rehabil.* 2003; 23: 228-34.

6

7