# Minimal important difference of the transition dyspnoea index in a multinational clinical trial

T.J. Witek Jr\*, D.A. Mahler#

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ABSTRACT: Dyspnoea is a primary symptom of chronic obstructive pulmonary disease (COPD). The baseline (BDI) and transition (TDI) dyspnoea indices are commonly used instruments to assess breathlessness and the impact of intervention. Its validity and pattern of response in multinational clinical trials has not been established.

In a retrospective analysis of a cohort of 997 COPD patients who received tiotropium, salmeterol or placebo, in addition to usual care, the validity and pattern of response of the BDI and TDI were examined.

The BDI was significantly correlated with the dyspnoea diary (DD) score and the symptom and activity components of the St. George's respiratory questionnaire (SGRQ), establishing concurrent validity. Furthermore, the TDI was also correlated with the changes in DD, SGRQ symptom and activity scores. Construct validity was established by the association between baseline forced expiratory volume in one second (FEV1) and BDI and ΔFEV1 with TDI. Physician's global evaluation (PGE) was significantly associated with BDI as well as ΔPGE with TDI. Significant correlations have also been observed when the cohorts were classified according to native English and native non-English speaking countries. A change in PGE of 1 category (i.e. 2 units on an 8-point scale) was associated with a mean TDI of ~1 unit (0.9–1.3 mean focal score), lending further support to the clinical significance of this change inherent in the instrument's descriptors. TDI responders (i.e. focal score ≥1 unit) used less supplemental salbutamol, had fewer exacerbations and had significantly improved health status as measured by impacts and total SGRQ scores compared with nonresponders.

In conclusion, the transition dyspnoea index is a valid instrument when used in a multinational clinical trial and the patterns of response confirm a 1-unit change in the transition dyspnoea index focal score as being clinically important. *Eur Respir J* 2003; 21: 267–272.

\*Respiratory Development and Operations, Boehringer Ingelheim GmbH, Ingelheim, Germany. \*Dartmouth-Hitchcock Medical Center, Lebanon, NH, USA.

Correspondence: T.J. Witek Jr, Boehringer Ingelheim GmbH, Binger Strasse 173, 55216 Ingelheim am Rhein, Germany.

Fax: 49 6132776187 E-mail: witek@ing.boehringer-ingelheim.

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Dyspnoea is the primary symptom of chronic obstructive lung disease (COPD) [1, 2] and its relief is a primary goal of therapy [3, 4]. In the evaluation of pharmacotherapy for COPD, several instruments are available to provide a discriminative and evaluative assessment of dyspnoea [4]. Among these are the baseline (BDI) and transition (TDI) dyspnoea indices, which assess breathlessness in domains related to functional impairment, magnitude of task and magnitude of effort [5].

The reliability [5–7] and validity [5–8] of the BDI have been reported. The responsiveness to therapy has been shown by improvements in the TDI following both pharmacological [9–13] and nonpharmacological [14–20] interventions. Furthermore, the TDI was evaluated in an observational cohort over a 2-yr observation in patients with moderate COPD (44% predicted forced expiratory volume in one second (FEV1)) and a reduction of nearly 1 unit in focal score was observed [21]. Finally, the validity of the BDI/TDI based on its association with other related measures has also been demonstrated [22].

The utility of an instrument such as the BDI/TDI in the evaluation of pharmacological therapy requires that the validity established during its development is demonstrated within the investigation intended to establish the attribute of improved dyspnoea. The trial cohorts can also be used to establish the effect size that can be regarded as clinically meaningful by associating overall improvements in patient wellness with dyspnoea scores. For example, the current authors have recently reported the validity and meaningful differences in a cohort of patients from two identical registration trials in the USA [22]. In this report, these observations are confirmed and expanded in a cohort of patients participating in a multinational trial programme in 18 countries [23–24].

## Methods

Study design

Eighty-nine clinical centres in 18 countries participated in two identical double-blind, double-dummy,

parallel-group trials comparing tiotropium, salmeterol and placebo. The trial protocols were identical with the exception that one evaluated spirometry for 12 h postdosing and the other for 3 h. Of the 18 countries, seven were native English speaking (Australia, Canada, Ireland, New Zealand, South Africa, UK and USA) and eleven were classified as non-native English speaking countries (Austria, Belgium, Denmark, Finland, France, Germany, Italy, the Netherlands, Norway, Spain and Sweden). The study groups consisted of outpatients of either sex who were ≥40 yrs old and had a clinical diagnosis of COPD, as defined by the American Thoracic Society [25]. Participants were required to have at least a 10 pack-yr smoking history and clinically stable airway obstruction as defined as a FEV1 $\leq$ 60% pred normal values and FEV1 $\leq$ 70% of forced vital capacity (FVC). Patients with a history of asthma or daytime oxygen use were not allowed to participate.

A 2-week baseline period preceded randomisation to tiotropium (18 µg once daily), salmeterol (50 µg bid) or placebo for a total of 6 months. The use of salbutamol metered-dose inhaler (as needed), stable doses of theophylline, inhaled glucocorticosteroids and oral prednisone (the equivalent of  $\leq 10 \text{ mg} \cdot \text{day}^{-1}$ ) was permitted throughout the study period. Other inhaled long-acting bronchodilators were not allowed.

## Dyspnoea assessments

Dyspnoea at baseline was assessed with the BDI and over the 6 months (8, 16, and 24 weeks of therapy) by TDI [4]. BDI and TDI have three domains as follows: 1) functional impairment (FI), which determines the impact of breathlessness on the ability to carry out activities; 2) magnitude of task (MT), which determines the type of task that causes breathlessness; and 3) magnitude of effort (ME), which establishes the level of effort that results in breathlessness. The BDI score ranges from 0 (very severe impairment) to 4 (no impairment) for each domain and are summed to determine the BDI focal score (0–12). The TDI score ranges from -3 (major deterioration) to +3 (major improvement) for each domain. The sum of all domains yields the TDI focal score (-9 to +9). A change of at least 1 unit in TDI was used as the criterion for a minimal important meaningful difference, based on the inherent descriptions of the scale by the developers and the present authors' previous work that related a change of 1 unit in focal score to clinically meaningful effects [22].

The instrument was originally developed and validated in English and the instrument was translated to the individual native countries language for individual use.

Dyspnoea assessments, based on patient diaries (DD), were on a 0 (none) to 3 (severe) scale.

## Spirometry/patient health status

Spirometry was performed on all clinic visits with FEV1 and FVC recorded. Generic and disease-specific health status was assessed using the multiple outcomes

Table 1.-The physicians global evaluation scale

Category	Score
Poor	1 2
Fair	2 3
Good	5
Excellent	6 7 8

survey short form 36 [26] and St. George's respiratory questionnaire (SGRQ) [27–28] instruments, respectively. These instruments were administered at baseline and weeks 8, 16 and 24. Investigators recorded the COPD symptom scores, including dyspnoea, on a 0–3 scale (none, mild, moderate, severe) after reviewing the patient's diary and then recorded a physician's global evaluation (PGE) of the patient's overall condition on a 1–8 scale (table 1). Patients tracked their "as needed" doses of salbutamol (one dose equals one to two puffs) on a daily diary.

#### Statistical analysis

Combined data from both trials were used for psychometric analysis. All randomised patients with baseline data and post-treatment data following multiple administration of treatment were included in the analysis. The differences between TDI responders (TDI  $\ge 1$  unit at end of study) and nonresponders (TDI <1 unit at end of study) in spirometry, salbutamol use and health status scores were evaluated with analysis of variance. Pearson's correlation coefficient was used to examine the correlation between TDI and the results from the other outcomes. The number of patients with exacerbations during the study was compared using Fisher's exact test. An anchor-based approach was used to evaluate whether observable effects were meaningful [29–30] by relating mean TDI scores to the PGE.

## Results

#### Patients

Further details of the population and the primary safety and efficacy findings have been reported by Donahue *et al.* [23] and Brusasco *et al.* [24]. Patient characteristics are listed in table 2. On average, the population was ~64 yrs old, primarily male (~76%) and had moderate-to-severe disease based on spirometric criteria (~40% FEV1 pred).

## General patterns of measures

The BDI score prior to treatment was  $6.5\pm0.1$  (mean $\pm$ sE) for patients randomised to tiotropium,  $6.5\pm0.1$  for patients randomised to salmeterol and

Table 2. – Patient characteristics at screening

	Placebo	Salmeterol	Tiotropium
Total randomised n	400	405	402
Males %	76	75	77
Age yrs	64.6	64.1	63.8
Duration of COPD yrs	9.8	9.9	9.0
Current smokers % Baseline spirometry	42.3	36.3	42.3
FEV1 L	1.09±0.40	1.07±0.38	1.12±0.39
FEV1 % pred <sup>#</sup>	40.6±12.8	39.4±12.2	41.0±12.2
FVC L	2.60±0.78	2.55±0.77	2.59±0.75
FEV1/FVC %	42.3±9.2	42.2±9.5	43.7±9.7

Data are presented as mean±SD unless otherwise stated. COPD: chronic obstructive pulmonary disease; FEV1: forced expiratory volume in one second; FVC: forced vital capacity; pred: predicted. #: Morris criteria.

6.5±0.1 for patients assigned to placebo, indicating a population with moderate dyspnoea. The distribution of BDI focal scores for the group is illustrated in figure 1. Forty-one (4%) patients had an improvement of exactly 1 unit in TDI focal score; the responses within the three domains were distributed as follows: FI (26%), ME (43%), MT (31%). There were improvements in only six of 41 patients who were TDI

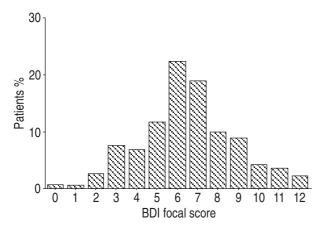


Fig. 1.-Frequency distribution of baseline dyspnoea index (BDI) focal score for the entire cohort at baseline.

responders in one dimension and a decrement in another.

Baseline dyspnoea index/transition dyspnoea index associated with other measures

Correlations of BDI (cross-sectional) and TDI (longitudinal) with other outcomes are listed in tables 3 and 4. The correlations for BDI ranged from -0.35--0.64 for SGRO scores and was 0.31 for FEV1 (p<0.05); correlations were lowest in the symptom domain. The BDI score also correlated with the DD score (r=-0.34; p<0.05), demonstrating concurrent validity. This pattern of correlations was the same in English and nonnative English countries. The correlations between SGRQ and DD were consistently greater in the non-native English-speaking countries; nevertheless, both cohorts demonstrated statistically significant associations. Correlations between TDI and changes in SGRQ scores ranged from -0.32--0.40. For the association of the changes in TDI and SGRQ, correlations, although significant for both groups, were of greater magnitude in the English-speaking cohort. Unlike correlations at baseline, the association of changes in DD with changes in SGRQ were greater in the native English-speaking cohort. Concurrent validity for TDI can be seen in the association with the changes recorded on the DD (r=-0.29; p<0.05).

BDI was correlated with PGE at baseline (r=0.39; p<0.01) as was TDI with the changes in PGE over 1 yr (r=0.28; p<0.05). Figure 2 illustrates the frequency distribution of PGE at the end of the study for all subjects and the corresponding mean values for TDI. The mean TDI scores were in the range of 1 unit with a 1–2 point change in PGE.

Transition dyspnoea index response and other clinical assessments

Figure 3 illustrates the supplemental salbutamol use classified by TDI responder status of the cohort. There was significantly less salbutamol use (p<0.05) in those patients who had at least a 1-unit improvement in TDI at the end of study *versus* those who did not.

Table 3.-The association of the baseline dyspnoea index (BDI) and transition dyspnoea index focal scores with other respiratory outcome measures

	Total cohort		Native English countries		Non-native English countries	
	BDI	DD baseline	BDI	DD baseline	BDI	DD baseline
SGRQ symptom baseline	-0.35	0.34	-0.35	0.26	-0.34	0.35
SGRQ activity baseline	-0.63	0.44	-0.68	0.35	-0.61	0.46
SGRQ impact baseline	-0.59	0.36	-0.58	0.30	-0.60	0.40
SGRQ total baseline	-0.64	0.44	-0.65	0.36	-0.64	0.47
DD score baseline	-0.34		-0.34		-0.34	
FEV <sub>1</sub> baseline	0.31	-0.26	0.32	-0.21	0.29	-0.26
FVC baseline	0.25	-0.18	0.21	-0.11	0.27	-0.19
PGE baseline	0.39	-0.46	0.39	-0.40	0.38	-0.48

DD: dyspnoea diary; SGRQ: St. George's respiratory questionnaire; FEV1: forced expiratory volume in one second; FVC: forced vital capacity; PGE: physician's global evaluation.

Table 4. – The association of transition dyspnoea index (TDI) focal scores with other respiratory outcome measures at the end of 6 months

	Total cohort			Native English speaking countries		Non-native English speaking countries	
	TDI	DD change from baseline	TDI	DD change from baseline	TDI	DD change from baseline	
SGRQ symptom change	-0.33	0.27	-0.41	0.35	-0.29	0.23	
SGRQ activity change	-0.33	0.23	-0.37	0.29	-0.31	0.19	
SGRQ impact change	-0.32	0.26	-0.38	0.31	-0.30	0.23	
SGRQ total change	-0.40	0.31	-0.46	0.37	-0.38	0.27	
DD score change	-0.29		-0.35		-0.25		
FEV <sub>1</sub> change	0.21	-0.23	0.24	-0.24	0.19	-0.22	
FVC change	0.16	-0.21	0.18	-0.21	0.16	-0.21	
PGE change	0.28	-0.47	0.29	-0.45	0.27	-0.48	

DD: dyspnoea diary; SGRQ: St. George's respiratory questionnaire; FEV1: forced expiratory volume in one second; FVC: forced vital capacity; PGE: physician's global evaluation.

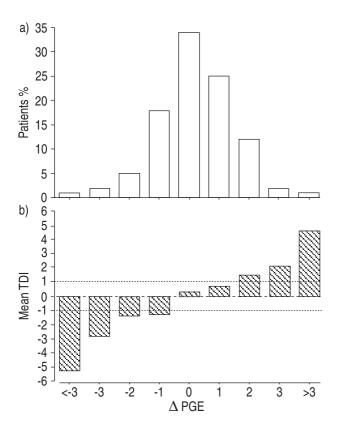


Fig. 2.—a) Frequency distribution of changes in physician's global evaluation (PGE) and b) the corresponding mean values for transition dyspnoea index (TDI). The mean TDI scores were in the range of 1 unit ( $\cdots$ ) with a 1–2 point change in PGE.

Additionally, there was an increase in salbutamol use over time in nonresponders that was not evident in responders. Spirometric response was also greater in responders (table 5). A significantly smaller proportion of responders experienced an exacerbation event that corresponded to significantly less events per year (table 5). Furthermore, health status scores measured by SGRQ were significantly improved in those classified as dyspnoea responders, with a mean

effect size regarded as clinically meaningful (*i.e.* change of 4 units). The differences were also evident when the cohort was classified by native *versus* non-native English speaking populations.

## Discussion

Breathlessness is an important symptom in patients suffering from chronic lung disease and the limitations that dyspnoea poses are often the reason for patients seeking medical attention. Thus, any medications proven to relieve dyspnoea are an important component of therapy. Demonstrating the relief of dyspnoea with drug therapy depends on achieving consistent results using valid instruments. The current results have validated the TDI instrument in a multinational clinical trial setting and confirmed the validation and its use in participants residing in both English and non-native English-speaking countries that participated in the trial.

The BDI and TDI have been validated over their development [5–8] and the TDI has been shown to be responsive to a wide variety of interventions [9–20].

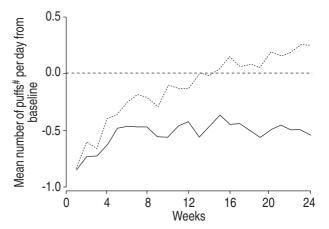


Fig. 3.—Change from baseline in mean salbutamol use for transition dyspnoea index (TDI) responders (focal score ≥1; n=382; —) and nonresponders (n=615; ······). #: 1 puff=100 μg salbutamol. p<0.05 TDI responders *versus* TDI nonresponders.

Table 5. – Relationship between transition dyspnoea index (TDI) response and exacerbation over the study period/health status scores at end of study

	Total cohort		Native English focal so		Non-native English countries TDI focal score 1	
	TDI R	TDI NR	TDI R	TDI NR	TDI R	TDI NR
Subjects n Exacerbations	382	615	135	252	247	363
Patients with event %	29.8*	39.0	30.4**	41.7	29.6	37.2
Events per patient yr Health Status <sup>#</sup>	0.872*	1.358	0.901**	1.399	0.857**	1.329
SGRQ total score	-8.8±0.6**	$0.9 \pm 0.5$	-12.0±1.1*	$-1.2 \pm 0.8$	$-7.0\pm0.8*$	$2.3 \pm 0.6$
SGRQ impacts score	-7.7±0.3 **	$1.3 \pm 0.6$	$-11.3\pm1.2*$	$-1.0\pm0.9$	$-5.9\pm0.9*$	$2.8 \pm 0.7$
SGRQ symptom score SGRQ activity score	-13.6±1.2* -7.7±0.8*	$0.6\pm0.8 \\ 0.4\pm0.5$	-18.3±1.9* -9.6±1.2*	-3.1±1.3 -0.4±0.9	-11.3±1.4* -6.4±0.9*	$3.1\pm1.1$ $0.99\pm0.7$
Spirometry Trough FEV1 Trough FVC	0.08±0.01* 0.17±0.03*	0.01±0.01 0.05±0.02**	0.13±0.02* 0.28±0.04*	0.03±0.01 0.11±0.03*	0.05±0.02 ** 0.12±0.03*	-0.01±0.01 0.01±0.03

Data are presented as mean±SE unless otherwise stated. R: responders; NR: nonresponders; SGRQ: St. George's respiratory questionnaire; FEV1: forced expiratory volume in one second; FVC: forced vital capacity. #: A change of 4 units in SGRQ is clinically meaningful. \*: p<0.05; \*\*: p<0.001, responders *versus* nonresponders.

The large cohort in the clinical trials evaluating efficacy of the bronchodilator tiotropium afforded the opportunity to validate the BDI/TDI instrument in a multinational setting. The observations in this multinational trial confirm the current authors' findings in previous studies in the USA [22], in that concurrent and construct validity were established. In other words, the two dyspnoea measures (BDI/TDI and DD) were significantly associated as were the BDI and TDI with other spirometric and outcome measures at baseline and after 6 month of therapy, respectively.

While all correlations of the BDI and TDI with SGRQ total and individual domain scores were significant, the BDI correlation with SGRQ symptom was weaker (r=-0.35) than other domains such as activity (r=-0.63). This may reflect the fact that the SGRQ symptom score includes both cough and sputum and the discriminative BDI reflects primarily dyspnoea with activity. This difference among domains was lost in the association of the changes in these measures over the trial (*i.e.* TDI *versus* ΔSGRQ).

DD scores did not show large differences in correlations across SGRQ total scores and domains at baseline (r=0.34–0.44) or with changes over time (r=0.23–0.31). The overall high correlations of baseline measures *versus* the correlation of changes likely reflect the tighter distribution of the changes (*i.e.* transition *versus* the discriminative relationships at baseline)

The associations in this study were evident in both the entire cohort as well as when the cohort was evaluated by native language relative to the English-based BDI/TDI. In summary, the instrument's concurrent and construct validity remained when the English version or translated versions was utilised. This observation was not surprising, as the TDI instrument itself serves as a general guide to open-ended questions as opposed to requesting specific answers to specific questions. The present authors did observe some differences in the English and non-English

speaking countries, in that correlations between baseline measures of SGRQ and the DD were greater in the non-native English speaking countries. Conversely, the SGRQ changes correlated more strongly with TDI and DD changes in the English speaking cohort. Whether these differences represent chance findings or reflect some methodological aspects of the trial are unknown. Nevertheless, the significance of all correlations across both groups is the most relevant observation for the instrument's overall validity.

Another important finding was the demonstration that a small change in TDI was seen to be relevant when assessed against an overall global change as judged by the clinician. The approximate net change of I unit is inherent in the descriptors of the instrument and in this cohort those with at least a 1-unit change in focal score had fewer exacerbations and improved health status and greater spirometric improvement relative to those who did not achieve a 1-unit improvement in TDI. For the SGRQ symptom score, the TDI responder cohort had a mean score nearly 3 times what is regarded as clinically meaningful. Additionally, TDI responders used significantly less salbutamol that those not improving their breathlessness.

In conclusion, the baseline and the transition dyspnoea indices have been validated in a multinational clinical trial cohort. The change of 1 unit was confirmed as having clinical relevance based on its relationship to an overall global change, as well as the improved outcomes observed in those who demonstrated improvements in breathlessness by at least a 1-unit focal score in transition dyspnoea index.

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